

Welcome to COMS 3261 (CS Theory)!

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1. Course website:

[www.cs.columbia.edu/~toni/courses/CSTheory2023](http://www.cs.columbia.edu/~toni/courses/CSTheory2023)

2. Courseworks:

Lecture recordings

office hour links (calendar) <sup>see</sup>

gradescope: submit homeworks

Discussion (edstem)

3. Lectures MW 8:40 - 9:55  
MW 10:10 - 11:25

4. office hours / Review Sessions  
See course calendar

5. Book: Sipser Intro to Theory of Computation  
3<sup>rd</sup> edition (or any edition)

## 6. Prereqs

Discrete math (graphs, directed, undirected)

See chap 0

## 7. Evaluation

4 homeworks                      7<sup>do</sup> each                      (28<sup>do</sup>)

2 tests (in class)                      36<sup>do</sup> each                      (72<sup>do</sup>)

10/23 , 12/11

\* Late homeworks not accepted

Submit to gradescope. Deadline midnight

can submit early & resubmit until deadline

## 8. Collaboration / Academic honesty

Don't search internet for solutions, related ex's

Don't copy from anyone / any source

Can collaborate with other students taking class

at end of collaboration, erase white board

or paper. Don't take pics

only keep ideas from discussion.

Write down solns by yourself

Write names of collaborators on HW

## Intro

This course is about how hard problems are

"languages" are problems. For now think of languages as problems.

We will characterize problems into some classes

Regular languages / DFAs

Context-free languages / PDAs

Computable/decidable languages / TMs

Complexity theory

## Challenges

Lots of proofs

Abstract

Some concepts may not seem natural (at first)

ie. Nondeterminism

## Background Material

I. Not covered here but you need to know: (Chap 0)

Boolean Logic, graphs, sets

II Alphabet, strings & words, languages



An **alphabet**  $\Sigma$  is a finite set of elements

Finite  $\nearrow$  Examples:  $\Sigma = \{0, 1\}$   
 $\Sigma = \{0, 1, 2, \dots, 8, 9\}$

A **string**  $w$  over  $\Sigma$  is a finite sequence of elements from  $\Sigma$

Finite  $\nearrow$  Examples ( $\Sigma = \{0, 1\}$ ):  $w = \epsilon$   
 $w = 0011$

A **language**  $\mathcal{L}$  over  $\Sigma$  is a set of strings over  $\Sigma$

finite  
or  
infinite  $\nearrow$

Examples  $\mathcal{L} = \emptyset$  (the empty language)

$\mathcal{L} = \{w \mid w \text{ has length} \leq 10\}$

$\mathcal{L} = \{w \mid w \text{ has an odd number of } 1\text{'s}\}$

$\mathcal{L} = \Sigma^* = \{w \mid w \text{ is any string over } \Sigma\}$

$$L = \{w \mid w \text{ has length } \leq 10\}$$

$$= \{\epsilon, 0, 1, 10, 01, 11, 00, 000, 001, 010, \dots, 111\}$$

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$$L = \{w \mid w \text{ has an odd number of 1's}\}$$

$$w = 001110 \in L$$

$$w = 10101011 \in L$$

$$w = \epsilon \notin L$$

$$w = 1110000 \notin L$$

$$\Sigma = \{0, 1\}$$

$$\Sigma^* = \{ \epsilon, 0, 1, 00, 01, 10, 11, 000, 001, \dots, 111, \dots \}$$

## String Notation

The **length** of a string  $s \in \Sigma^*$ ,  $|s|$ , is the number of characters in the string

The **empty string**, denoted by  $\epsilon$ , is the string of length zero

The **concatenation** of strings  $s$  and  $t$ , is denoted by  $s \cdot t$  or  $st$

$\Sigma^k$  is the set of all strings over  $\Sigma$  of length  $k$

$\Sigma^*$  is the set of all strings over  $\Sigma$

$$\Sigma^2 = \{00, 01, 10, 11\}$$

A language  $L$  over  $\Sigma$  is a subset of  $\Sigma^*$

smallest language:  $L = \emptyset$

largest language:  $L = \Sigma^*$

Why are languages problems?

Fix alphabet  $\Sigma$  (ie.  $\Sigma = \{0,1\}$ )

Problem associated with  $\mathcal{L}$ :

given  $w \in \Sigma^*$  as input, decide if  $w \in \mathcal{L}$

Input:  $w \in \Sigma^*$

Output:  $\begin{cases} \text{yes (accept)} & \text{if } w \in \mathcal{L} \\ \text{no (reject)} & \text{if } w \notin \mathcal{L} \end{cases}$

## Examples of Languages

$L_1 = \{w \in \{0,1\}^* \mid w \text{ has an even number of 1's}\}$

$L_2 = \{w \in \{0,1\}^* \mid w \text{ ends with } 011\}$  ← example:

$w = 1101011 \in L$

$w = 111110 \notin L$

$L_3 = \{w \in \{0,1\}^* \mid w = 0^n 1^n, n \geq 1\}$  ←

$L_4 = \{w \in \{0,1,2\}^* \mid w = 0^n 1^n 2^n, n \geq 1\}$

$L_5 = \{w \in \{0,1\}^* \mid w \text{ encodes a connected graph}\}$

$L_6 = \{w \in \{0,1\}^* \mid w \text{ encodes a program that runs forever}\}$

$w = 000111 \in L$

$w = 00110011 \notin L$

# Examples of Languages

$L_1 = \{w \in \{0,1\}^* \mid w \text{ has an even number of 1's}\}$

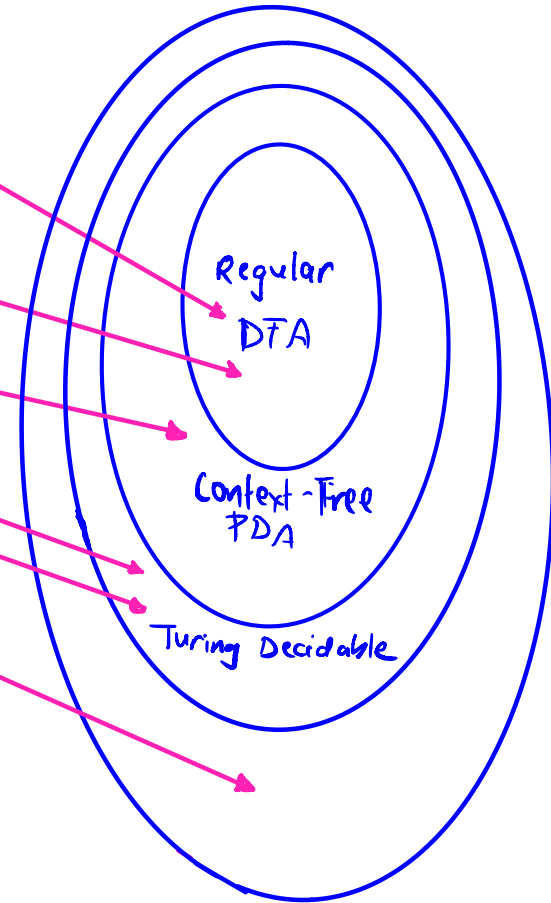
$L_2 = \{w \in \{0,1\}^* \mid w \text{ ends with } 011\}$

$L_3 = \{w \in \{0,1\}^* \mid w = 0^n 1^n, n \geq 1\}$

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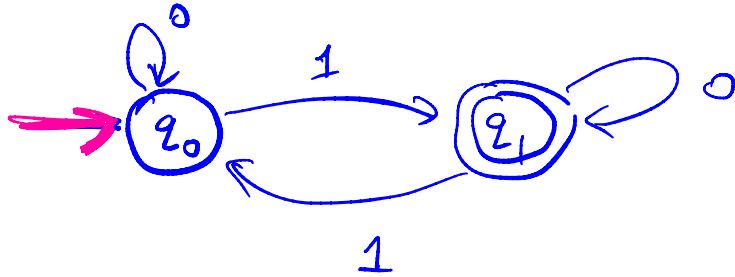
$L_5 = \{w \in \{0,1\}^* \mid w \text{ encodes a connected graph}\}$

$L_6 = \{w \in \{0,1\}^* \mid w \text{ encodes a program that runs forever}\}$



# Regular Languages and Finite Automata

Example of a DFA (over  $\Sigma = \{0,1\}$ )



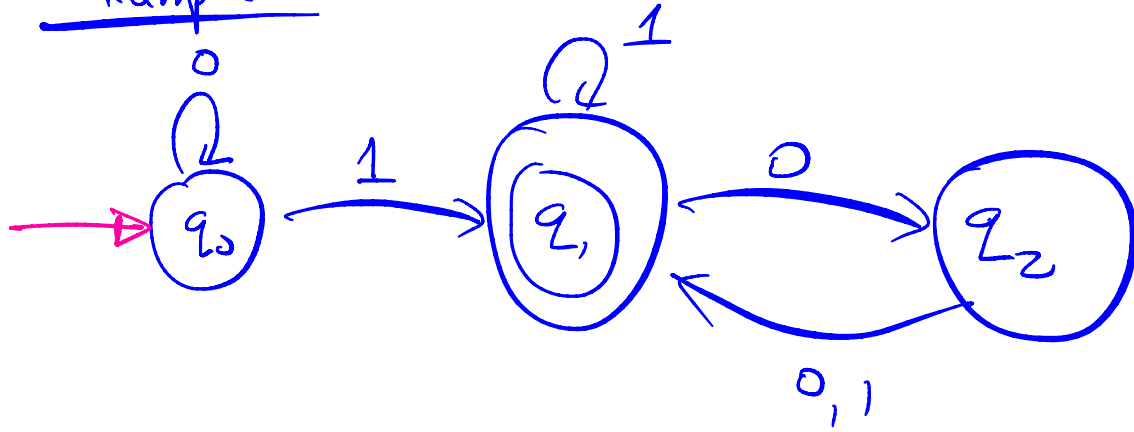
$w = 01101$  accepted  
 $w = 1100$  rejected  
 $w = 1110$

$$\delta : \begin{array}{c|cc} & 1 & 0 \\ \hline q_0 & q_1 & q_0 \\ q_1 & q_0 & q_1 \end{array}$$

$M = \left\{ \underbrace{\Sigma = \{0,1\}}_{\text{alphabet}}, \underbrace{Q = \{q_0, q_1\}}_{\text{set of states}}, \underbrace{q_0}_{\text{start state}}, \underbrace{F = \{q_1\}}_{\text{accept states}}, \underbrace{\delta : Q \times \Sigma \rightarrow Q}_{\text{transition function}} \right\}$



Example 2



$$L = \{\epsilon\}$$

$$L = \emptyset$$

