

## Lecture 2:

From last time:

An alphabet  $\Sigma$  is a <sup>non-empty (i.e.  $\Sigma = \{\}$  isn't allowed)</sup> finite set of symbols  
↑  
"sigma"

Ex:  $\Sigma = \{0, 1\}$ ,  $\Sigma = \{a, b, c\}$

A string over  $\Sigma$  is a finite sequence of characters from  $\Sigma$

Ex: (over  $\Sigma = \{0, 1\}$ ) 0, 00, 1101, 11

$\epsilon$  ← empty string  
↑  
"epsilon"

A language over  $\Sigma$  is a  $L \subseteq \Sigma^*$

Ex: (over  $\Sigma = \{0, 1\}$ )

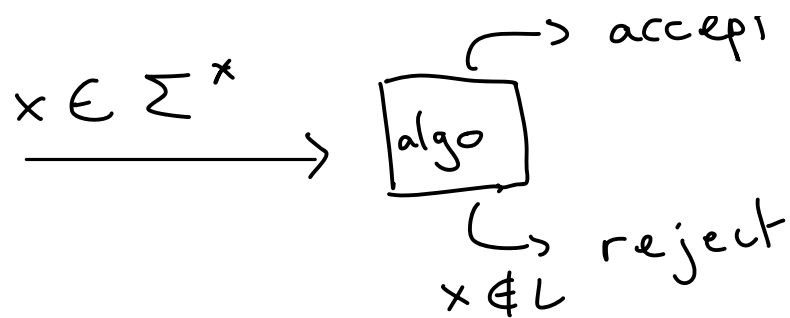
$L = \emptyset$ ,  $L = \{0, 00, 11\}$

$L = \{x \mid x \text{ has an even \# of 0s}\}$

↑ all strings over  $\Sigma$   
"sigma star"

$L$  can be finite or infinite

Languages correspond to problems  
 $x \in L$



Languages correspond to functions: given  $L \subseteq \Sigma^*$  we define  $f_L: \Sigma^* \rightarrow \{0,1\}$  as

$$f_L(x) = \begin{cases} 1 & \text{if } x \in L \\ 0 & \text{if } x \notin L \end{cases}$$

Today ~ Chapter 1 up to "regular operators"  
(page 44)

First checkup out later today

↳ due Tuesday Sept 15th  
    ↑ 1 attempt

↳ Final attempt: Tuesday Sept 22nd  
    ↑  $\infty$  attempts

for checkups

⇒ do the first  
attempt by  
yourself!

We drop the 2 lowest checkups  
(we know you might sometimes forget)

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Tentative quizz schedule:

- Oct 6 (Tue)
- Oct 22 (Thur)
- Nov 17 (Tue)
- Dec 8 (Tue)
- Final is 17th December

should attend your  
section

If you foresee  
an issue, let us  
know ASAP

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OHs will start next week!

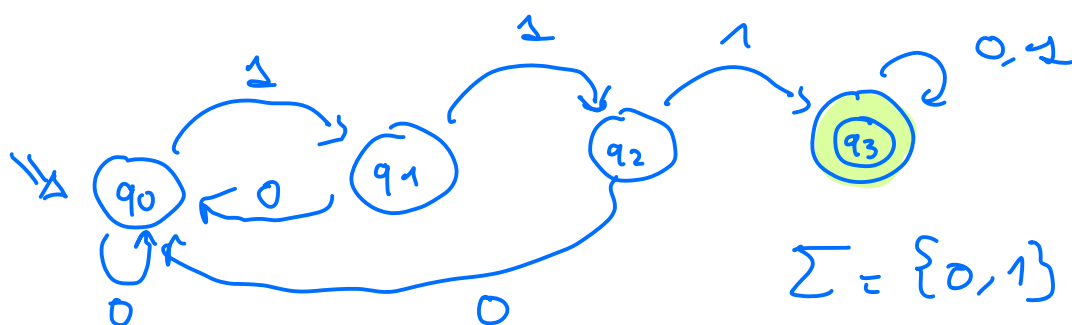
An handout coming soon: a discrete  
math review

Today: Deterministic finite automaton (DFA)

A DFA is roughly "a computer with very little memory"

A DFA is a machine that takes as input a string  $x$  (from a certain alphabet), it reads  $x$  from left to right & when it reaches the end of  $x$  it accepts or rejects

Example



Every DFA has:

- a finite set of states (  $\bigcirc$  )

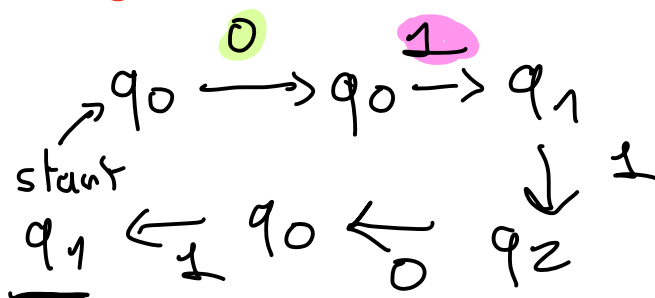
- For every state and each alphabet symbol we have a transition

≡ Optionally some accept states marked as  $\odot$   
(there can be 0, 1, 2 or more accept states)

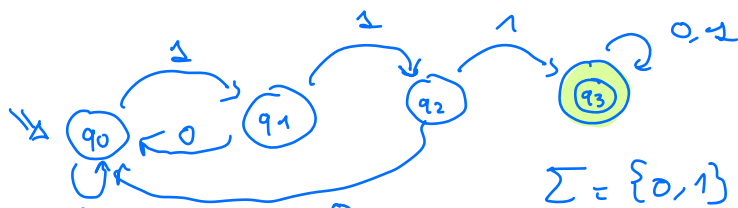
Computation of a DFA on a string:

Input is  $x = 01101$

The DFA rejects  $x$



$y = 011101$



$\rightarrow q_0 \xrightarrow{0} q_0 \xrightarrow{1} q_1 \xrightarrow{1} q_2 \xrightarrow{1} q_3 \xrightarrow{0} q_3 \xrightarrow{1} q_3$

The DFA accepts  $y$

last example  $z = \epsilon \rightarrow q_0$  so  $\epsilon$  is rejected by the DFA

Definition: A DFA is a 5-tuple  $M = (Q, \Sigma, \delta, q_0, F)$

-  $Q$  is a FINITE set of states

-  $\Sigma$  is an alphabet

-  $q_0$  is the name of the start state (a DFA always has exactly 1 start state)

-  $F \subseteq Q$ , the set of accept states

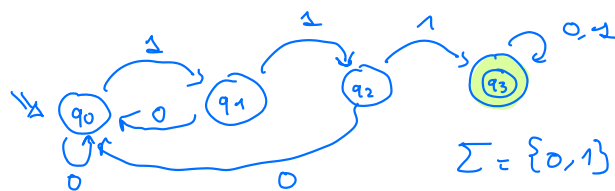
$\delta$  is the transition function:  $\delta: Q \times \Sigma \rightarrow Q$   
 "delta"  $\delta$  maps a state + symbol to a "next" state

$Q = \{q_0, q_1, q_2, q_3\}$

$\Sigma = \{0, 1\}$

$q_0$  is the start state

$F = \{q_3\}$  (not  $F = q_3$ )



| $\delta$ | 0     | 1     |
|----------|-------|-------|
| $q_0$    | $q_0$ | $q_1$ |
| $q_1$    | $q_0$ | $q_2$ |
| $q_2$    | $q_0$ | $q_3$ |
| $q_3$    | $q_3$ | $q_3$ |

Def: the computation of a DFA on a string

- If  $M = (Q, \Sigma, \delta, q_0, F)$  is a DFA and  $w = w_1 w_2 \dots w_n$  is a character string, where each  $w_i \in \Sigma$ , then the computation of  $M$  on  $w$  is a sequence of states :  $r_0, r_1, r_2, \dots, r_n$  where each  $r_i \in Q$

-  $r_0 = q_0$  (the start state)

-  $\forall i \in \{1, \dots, n\}$  we have  $\delta(r_{i-1}, w_i) = r_i$

If  $r_n \in F$  (the last state is an accept state) then we say  $(r_0, r_1, \dots, r_n)$  is an accepting computation

For each DFA  $M$  and string  $w$ , there is one computation of  $M$  on  $w$

$\Rightarrow$  if we run  $M$  on  $w$ , we always go through the same sequence of states

$M$  accepts  $w$  if and only if the computation of  $M$  on  $w$  is accepting ( If we run  $M$  on  $w$ , we end in an accept state)

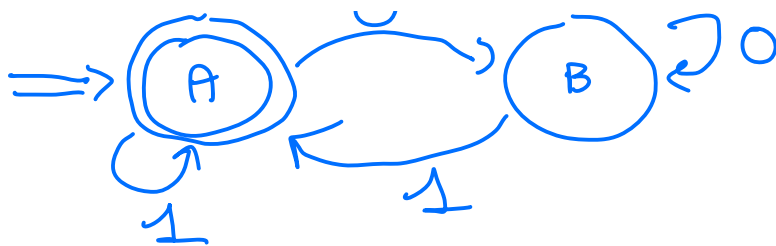
If  $M$  doesn't accept  $w$ , we say " $M$  rejects  $w$ "

Def: For a DFA  $M$ , we define

$$L(M) = \{ w \in \Sigma^* \mid M \text{ accepts } w \}$$

This is called the language recognized by  $M$   
doesn't accept  $\emptyset$   
 $\downarrow$

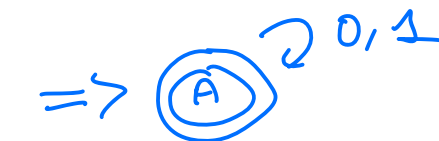
Example M :



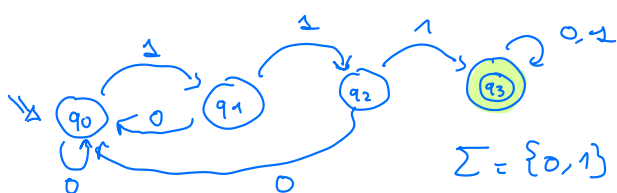
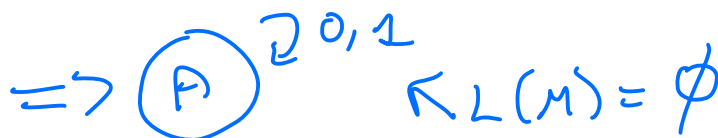
$\Sigma$  is an alphabet  
ex :  $\{0,1\}$

$\Sigma^*$  is all strings over  $\Sigma$

$\{0,1\}^* = \{\epsilon, 0, 1, 01, 10, 00, 11, \dots\}$



DFA for  $\phi$  ?



what's  $L(M)$  ?

$L(M) = \{w \mid w \text{ has 3 consecutive 1's}\}$

$110$   
 $q_0 \xrightarrow{1} q_1 \xrightarrow{1} q_2 \xrightarrow{0} q_0$

$\Rightarrow$  any string with 3 consecutive 1s is accepted.

Since, from any state of M seeing 111 takes you to  $q_3$ . Once in  $q_3$ , we stay there, so we'll end in an accept state.

$\Rightarrow$  every other string is rejected.

$\Rightarrow$  whenever we see a 0, if we're not in  $q_3$  we go back to  $q_0$

$\Rightarrow$  only way of going from  $q_0$  to  $q_3$  is

$q_0 \xrightarrow{1} q_1 \xrightarrow{1} q_2 \xrightarrow{1} q_3$

In general to show  $L(M) = L$ , need to argue:

- every string in  $L$  is accepted by  $M$
- every string not in  $L$  is rejected by  $M$

Def: a language  $L$  is REGULAR if and only if there exists a DFA with  $L(M) = L$

$\Rightarrow$  we saw  $\Sigma^*$ ,  $\emptyset$ ,  $\{w \mid w \text{ contains } 111\}$  are regular

Are all languages regular?

How to prove a language is or isn't regular?

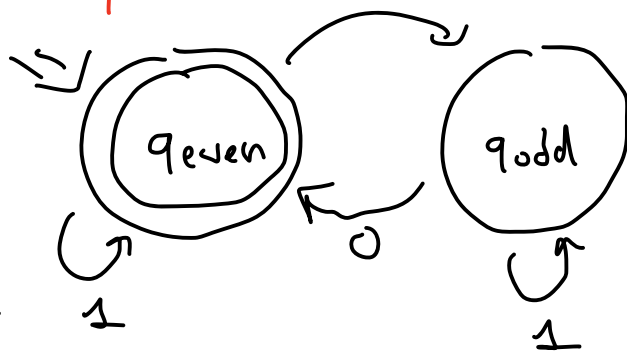
Ex:  $L = \{w \in \{0,1\}^* \mid w \text{ has an even \# of 0s}\}$

- first two algorithms:  $\begin{cases} \text{- count \# of 0s} \\ \text{- count the length of } w - \text{\# of 1's} \end{cases}$

$\hookrightarrow$  This seems hard

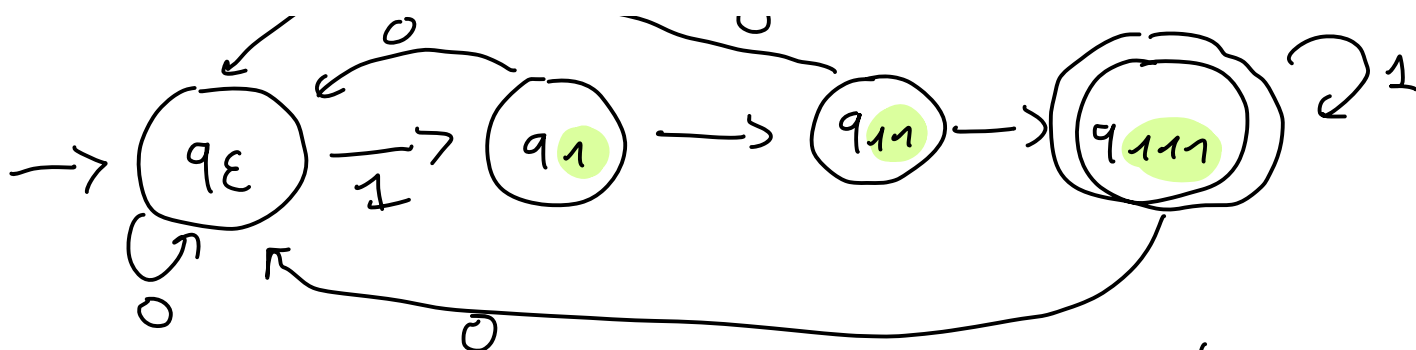
A DFA has a finite # of states, we can't store arbitrarily big number

The one bit algorithm:



A more challenging Language

$L = \{x \in \{0,1\}^* \mid x \text{ ends in } 111\}$



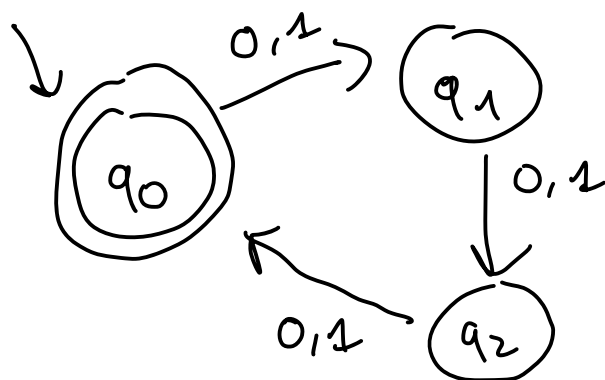
we keep track of the longest prefix of 111 we've seen so far

Ex:  $L = \{x \in \{0,1\}^* \mid |x| \text{ is divisible by } 3\}$

$\epsilon \in L$        $0000 \notin L$   
 $000 \in L$        $001 \in L$

only need 3 states

$|x| \bmod 3$



Ex:  $L = \{x \in \{0,1\}^* \mid x \text{ contains no } 0s\}$



$1101 \notin L$

Challenge: is the following regular:

$$L = \{ w \in \{0,1\}^* \mid w = xy \text{ such that} \\ \begin{array}{l} x \text{ has an even \# of 1s} \\ y \text{ has an even \# of 0s} \end{array} \}$$