# The IETF or Where do all those RFCs come from, anyway?

Steven M. Bellovin smb@research.att.com
http://www.research.att.com/~smb

#### What's an IETF?

- "Internet Engineering Task Force".
  - □Has no legal standing...
- Standardizes protocols for the Internet.
- □Sometimes like a herd of cats...

#### How Do I Join the IETF?

- -Just show up at a meeting -- no formal membership.
  - The IETF isn't even incorporated.
  - Dress code extremely compatible with Usenix style.
- □Or join one or more mailing lists.

#### How the IETF Differs

- $\Box$  <u>Us</u>
- Individuals
- Open membership
- □Just show up
- Consensus
- Engineers
- □T-shirts

- □ Them
- Organizations
- □Often closed
- Formal membership
- Ballots and voting
- □Suits
- □Ties

# The Organization of the IETF

- Real work done by working groups.
- Working groups organized into Areas.
- Each Areas has one or two Area Directors.
- Collectively, the ADs form the IESG (Internet Engineering Steering Group).
- There's also the Internet Architecture Board; it provides overall architectural guidance and handles Layer 9 issues.

## Related Organizations

- ¬RFC Editor -publishes RFCs
- IANA (Internet Assigned Numbers Authority)
  - "Keeps track of unique protocol values"
- IAB chartered by the Internet Society (with IETF consent); RFC Editor funded by ISOC; IANA funded by ICANN, per an MOU approved by the IAB.

# Selecting IETF Management

- A nominating committee is randomly selected from a pool of eligible volunteers.
- The nomcom fills vacant IAB and IESG slots.
  - Terms are two years.
- The IAB confirms IESG nominees.
- □The ISOC board confirms IAB nominees.

# Suppose You Have an IDEA?

- Suppose you want everyone to use your whizzy new protocol.
- Do you publish an RFC?
  - •How is this done?
- Do you bring it do the IETF?
  - Can you? Should you?
  - □How?

## What is the IETF Interested In?

#### Internet protocols

- □LAN-resident protocols generally aren't eligible.
- Layer 1 and 2 aren't eligible, except for their relationship to layer 3 and above.

#### Open standards

- Proprietary standards need not apply for IETF standardization.
- □ But sometimes a vendor will turn over change control to the IETF.

#### Patents and the IETF

#### ¬Theory:

- Patented technologies acceptable if patent owner pledges reasonable, non-discriminatory licensing.
- Pactive WG participants *must* disclose any patents they know of or hold.
- □Submarine patents a serious issue.

#### Practice:

• Most IETFers dislike patents, and try to avoid standardizing protocols that rely on them.

#### **IETF** Areas

- □Internet (IPv6, DNS, ICMP, etc.)
- □Transport (TCP, QoS, VoIP, SCTP, etc.)
- -Applications (email, some Web, ldap, etc.)
- □Routing (OSPF, BGP, etc.)
- Operations and Management (SNMP, etc.)
- □Security (IPsec, TLS, S/MIME, etc.)
- ¬SubIP (MPLS, IPoλ, traffic eng, etc.)
- □General (miscellaneous, process)

# The Paths to Standards-Track RFCs

- Working group documents
  - Complex process
  - Can be time-consuming
- •Individual submissions
  - Comparatively rare path for IETF standards
  - Process of the order of the conflicts with IETF working groups

# Types of RFCs

- Standards Track
  - Used for IETF standards (Proposed, Draft, Full)
- •Informational
  - May explain a standards-track protocol
  - -May describe a proprietary protocol
  - □April 1...
- Experimental
  - □*Not* a standard. Don't implement without consulting with the author.
- □ NOT ALL RFCs ARE STANDARDS!!

## Let Me Repeat That

NOTALL RFCSARE STANDARDS!

# RFC: Proposed Standard

- Generally stable
- Believed to be well understood
- -Appears to be valuable
- Implementation and operational experience useful but not required
- Internet runs on Proposed Standards.

#### RFC: Draft Standard

- -At least two independent, interoperable implementations
  - Documentation of interoperability required
  - □Must have a MIB
  - Por patented technologies, two independent exercises of the licensing process
- -Well understood, quite stable, unlikely to change unless *major* problems are found.

#### **RFC: Internet Standard**

- Significant implementation and operational experience
- -High degree of technical maturity
- Believed to provide significant benefit

# Forming a Working Group

- □First, hold a BoF
  - TETF BoFs are *formal* entities, not informal gettogethers. (That role is filled by Bar BoFs...)
  - □Any AD can authorize a BoF
- □Must have:
  - Concise problem statement
  - -Agenda
  - □A mailing list (sometimes an *active* list)
  - Some I-Ds (Internet Drafts) if possible
  - □A chair

## Changing a BoF to a WG

- Must draft a *charter* (often a primary task for the BoF and/or the mailing list).
- The charter is a *contract* between the working group and the IESG. It specifies
  - □What the WG can work on
  - □What the WG can't work on
  - What documents are to be produced
  - When are they due

# Approving a Working Group

- The IAB looks for architectural issues and/or conflicts.
- The AD negotiates charter terms with the chairs.
  - The ADs can select new chairs.
  - Current practice is for narrowly-focused WGs.
- The IESG approves the charter.
- The AD monitors WG compliance.

# Policies on Working Groups

- Working groups should have a narrow focus
- Working groups should terminate in finitetime
  - It should be easy to tell if a working group is on schedule
- -A successful working group -- i.e., one that is a credit to its chair -- is one that *finishes*, not one that hangs around indefinitely

# How Do Working Groups Work?

- □Most work is done on the mailing list.
- Discussion at IETF meetings (3 per year) should focus on issues raised by I-Ds.
  - □Not all I-Ds are rough drafts of RFCs, but some are.
  - □ Meetings should *not* have presentations of I-Ds.
  - Participants are expected to have read the drafts.
- Decisions reached at a meeting *must* be ratified on the mailing list.

#### What Goes on at the IETF?

- □Six or seven parallel tracks.
- □BoFs meet once; working groups meet once or (sometimes) twice at an IETF.
- Two evening plenaries, for the IAB and IESG.
  - □Broad technical presentations.
  - Management issues discussed.
- □An optional reception.
- Excellent 802.11 coverage, Internet access.

#### Decision Process

"We reject presidents, kings, and voting.
 We believe in rough consensus and running code." (Dave Clark)

#### When a Document is Done

- □Standards-track documents that is, protocol definitions usually go through WG "last call".
  - The WG chairs assess WG consensus.
- When that is concluded, the chair asks the AD to schedule an IETF last call.
  - The entire community gets to pick apart your document.
- Then the fun begins....

# **IESG Processing**

- -All of the ADs read each standards-track document.
- □IESG discusses each RFC via email and at bi-weekly telechats.
- Most documents are sent back to the WG at least once, either by the AD or by the IESG.

#### **Individual Submissions**

- Generally progress through a series of I-Ds.
- Sometimes last-called by an AD; generally sent directly to the RFC editor.
- •IESG checks for conflict with (or end run around) a working group.
- □If no conflict, it suggests to the RFC editor whether or not it should be published.
- The RFC editor is *not* bound by this (and doesn't publish everything regardless).

#### What's in an RFC?

- Pormat has gotten more formal over the years.
- □Always ASCII no Postscript, HTML, proprietary formats.
  - Postscript and PDF are legal secondary formats
- -All RFCs are freely redistributable.
- =For standards-track documents, the IETF retains change control, to permit evolution of standards.

# Some April 1 RFCs

- □748 Telnet randomly-lose option
- □1149 -Standard for IP on Avian Cariers
  - -Implemented!
- □1437 Extension of MIME Content-Types to a New Medium
- □1605 SONET to Sonnet Translation
- □2324 -Hyper Text Coffee Pot Control Protocol

# Major IETF Issues

- □Security
- •Internationalization
- Congestion control

# Security

- -All RFCs must have a "Security Considerations" section.
- This section must describe the limitations, weaknesses, etc., of the protocol being described.
- The IESG will not knowingly approve an insecure protocol.
  - Plaintext passwords are by definition insecure...

#### Internationalization

- □ Many of the world's languages can't be represented in 7-bit ASCII.
- □All user-visible text in new protocols must be in UTF-8.
- Current challenge: internationalization of the DNS, plus protocols that use domain names.

# Congestion Control

- -All protocols must use approved congestion control mechanisms:
  - □TCP
  - **SCTP**
  - Other forms of backoff, preferably load-sensitive.
- The Internet is not a LAN!

# Major Process and Structure RFCs

- □2026 -The Internet Standards Process
- □2277 IETF Policy on Character Sets
- □2418 IETF Working Group Guidelines
- □2727 IAB and IESG Selectrion
- □2850 Charter of the IAB
- 2914 Congestion Control Principles
- □3184 IETF Guidelines for Conduct
- □3233 Defining the IETF

#### What's the IETF?

- A reasonably functional standards organization
- Creakier with age, but still very functional
- Usually) a good place to do sound technical work that can have an impact on the world