## **PKI: Public Key Infrastructure**





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### **Certificates**

- Cryptography provides confidentiality
- But: to whom am I talking?
- Someone who has the same key?
- With public key cryptography, everyone has their own
- But: how do I know to whom a given public key belongs?
- Answer: the *certificate*, a digitally-signed binding of a name to a public key



### **Certificates as a Systems Issue**

- The basic concept is simple enough: someone digitally signs a record containing (at least) a name and a public key
- (Just as we signed a biometric template)
- But—it's more complicated than that



### **Public Key Infrastructure: PKI**

- The Internet Security Glossary defines PKI as "The set of hardware, software, people, policies, and procedures needed to create, manage, store, distribute, and revoke digital certificates based on asymmetric cryptography."
- Note carefully that the definition includes "people, policies, and procedures," and not just code.
- N.B.: certifictes were invented in 1978 in an MIT bachelor's thesis



### **Issuing Certificates**

- Typically, user generates a key pair, and presents the public key, identity, and proof of identity
- Certificate Authority (CA) signs the certificate and gives it back
- Note: certificates are self-secured; they can be verified offline



### **Certificate Authorities**

- What makes a CA a CA?
- More precisely, how do you know who is authorized to issue a given certificate?
- And why do you trust them?



### **Trust Anchors**

- All cryptographic trust has to start somewhere
- For any given application, you need to pick your trust anchors
- For the Web, that choice has been made for you: every OS or browser has a built-in CA
- Let's look at the Columbia and Columbia CS web certificates



### **Checking a Certificate in Firefox**

#### Opinion

## John Roberts Can to Trump's Trial.

Democratic House managers for John Bolton and others.

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congressman from Oklahoma.	

Jan. 27, 2020

		General	Media	Permissions	Security	
Website Ide	ntity					
Website:	www.nytimes.com					
Owner:	This website does not supply ownership information.					
Verified by:	Sectigo Limited View Certific					
Expires on:	April 5, 2022					
Privacy & Hi	story					
Have I visited	this website prior to to	day?	Yes,	3,750 times		
ls this websit	e storing information on	my comput	er? Yes, data	cookies and 48.	0 KB of site	Clear Cookies and Site Da
Have I saved any passwords for this website?		No			View Saved Passwor	
Technical De	etails					
Connection E	Encrypted (TLS_ECDHE_	RSA_WITH_/	AES_128_G	CM_SHA256, 12	8 bit keys, TLS 1.	.2)
The page you	are viewing was encryp	oted before	being tran	smitted over the	Internet.	

### All desktop browsers have some way to display certificates



### **Columbia: The Basics**

columbia.edu	InCommon RSA Server CA	USERTrust RSA Certification Authority		
Subject Name				
Country	US			
	10027			
State/Province	New York			
Locality	New York			
	116th Street and Broadway			
Organization	Columbia University			
Organizational Unit	Information Technology			
Common Name	columbia.edu			
Issuer Name				
Country	US			
State/Province	MI			
Locality	Ann Arbor			
Organization	Internet2			
Organizational Unit	InCommon			
Common Name	on Name InCommon RSA Server CA			

The certificate includes the organization name and address, and the CA's name and address.

Note the top: it shows the certificate chain from the trust anchor.



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### **Columbia: Other Essential Data**

Validity	
Not Before	1/1/2020, 7:00:00 PM (Eastern Standard Time)
Not After	1/1/2022, 6:59:59 PM (Eastern Standard Time)
Subject Alt Names	
DNS Name	columbia.edu
DNS Name	*.columbia.edu
Public Key Info	
Algorithm	RSA
Key Size	2048
Exponent	65537
Modulus	C0:33:DA:C2:98:DE:44:41:A2:F5:87:D3:3E:AD:30:88:03:34:2D:36:C9:59:EB:86:64:37:B2:B3:E
Miscellaneous	
Serial Number	00:DC:96:95:D4:2C:DF:5E:DE:EA:4B:13:5C:F7:0D:B5:5A
Signature Algorithm	SHA-256 with RSA Encryption
Version	3
Download	PEM (cert) PEM (chain)

Next: the validity period, actual domain names (called "Subject Alt Names"), and the key and algorithm.



### **Domain Names**

- The certificate holder's identity follows a format originally intended for companies and their employees
- This certificate is for a web server—and browsers and users understand domain names, not "organizational units"
- Could a certificate authenticate part of a URL? In principle, yes, but I don't think that the standards or the code support that



### **Expiration**

- Why limit certificate lifetime?
- Limit the damage in case of private key compromise
- Limit the amount of revocation data that has to be kept
- Organizations have finite lifetimes—why leave their abilities around forever?
- And algorithms age



### **Columbia: Permissible Key Uses**

Basic Constraints Certificate Authority No

1/30/20, 9:00 PM

cate

about:certificate?cert=MIIHFDCCBfygAwIBAgIRANyWldQs...

Key UsagesPurposesDigital Signature, Key Encipherment

**Extended Key Usages** 

Purposes Server Authentication, Client Authentication

The private key can be used for web sites, as a client and as a server, but cannot be used to issue new certificates or to sign code.



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### Why Limit Uses?

- Limit who can be a CA (but is that for protectionist reasons?)
- Limit how far you extend trust—that I can visit a website doesn't mean that I trust that website to run code on my computer
- Limit trust in employees who have access to the private key



### **Columbia: Policies and Revocation**

CRL Endpoints Distribution Point	http://crl.incommon-rsa.org/InCommonRSAServerCA.crl
Authority Info (AIA) Location Method	http://crt.usertrust.com/InCommonRSAServerCA_2.crt CA Issuers
Location	http://ocsp.usertrust.com
Method	Online Certificate Status Protocol (OCSP)
•	Statement Identifier ( 1.3.6.1.4.1 ) 1.3.6.1.4.1.5923.1.4.3.1.1
Qualifier	Practices Statement ( 1.3.6.1.5.5.7.2.1 )
Value	https://www.incommon.org/cert/repository/cps_ssl.pdf
Policy	Certificate Type(2.23.140.1.2.2)
Value	Organization Validation

The certificate has the CA's policies and revocation mechanisms.





### How Do You Revoke a Certificate?

- Revocation is hard! Verification can be done offline; revocation requires some form of connectivity
- Publish the URL of a list of revoked certificates in a Certificate Revocation List (CRL)

One reason for certificate expiration dates; you don't need to keep revocation data forever

- Online status checking (OCSP)
- Note: OCSP has privacy implications
- STU-IIIs use flooding algorithm—works well because of comparatively closed communities



### Why Revoke Certificates?

- Private key compromised
- Cancel authorization associated with certificate
- CA key compromised, e.g., DigiNotar
- Algorithm compromised (rare use)



### **Columbia: Certificate Transparency**

# Embedded SCTs 46:A5:55:EB:75:FA:91:20:30:B5:A2:89:69:F4:F3:7D:11:2C:41:74:BE:FD:49:B8:85:AB:F2:FC:70:FE:( Name Google "Xenon2022" Signature Algorithm SHA-256 ECDSA Version 1 Timestamp 1/2/2020, 1:58:59 PM (Eastern Standard Time) Log ID 6F:53:76:AC:31:F0:31:19:D8:99:00:A4:51:15:FF:77:15:1C:11:D9:02:C1:00:29:06:8D:B2:08:9A:37:D Name Sectigo (Comodo) "Mammoth" CT

 Signature Algorithm
 SHA-256 ECDSA

 Version
 1

 Timestamp
 1/2/2020, 1:58:59 PM (Eastern Standard Time)

 Log ID
 22:45:45:07:59:55:24:56:96:3F:A1:2F:F1:F7:6D:86:E0:23:26:63:AD:C0:4B:7F:5D:C6:83:5C:6E:E2

 Name
 DigiCert Yeti2022

 Signature Algorithm
 SHA-256 ECDSA

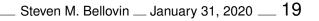
 Version
 1

Last: the certificate transparency servers that list the issuance.



### Web Trust Anchors

- All browsers trust many CAs
- Last time I counted, Firefox trusts about 200 CAs; Windows IE trusts
   > 300—and at least 10% are agencies of some government
- All browser vendors have their own policies for adding CAs, and there are industry standards
  - Any trusted CA can issue a certificate for any domain
  - When DigiNotar was hacked, bogus certificates were issued for gmail, Yahoo, Mozilla, and many more
  - How do we prevent this?





### **Certificate Transparency**

- Concept: all issued certificates are logged in one or more transparency servers
- Legitimate ertificate holders can query these servers to see if there are any bogus certificates listing them
- Idea originally pushed by Google.
- (I was skeptical that it would catch on, but Google has a lot of influence...)



### **The CS Department Certificate**

Subject Name	
Country	US
	10027
State/Province	NY
Locality	New York
	116th Street and Broadway
Organization	Columbia University
Organizational Unit	Information Technology
Common Name	*.cs.columbia.edu

Note that it was CUIT that got the CS department's certificate—but CUIT did not issue it itself. Why not?

On the one hand, CUIT knows CU CS and who here is authorized to have the certificate. On the other, CUIT is not a CA—and that may be wise.



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### **The Federal Trade Commission's Certificate**

**Subject Alt Names** DNS Name www.ftc.gov DNS Name ftc.gov **DNS Name** military.ncpw.gov DNS Name www.bulkorder.ftc.gov DNS Name www.alertaenlinea.gov DNS Name www.onguardonline.gov DNS Name onguardonline.gov DNS Name www.consumer.ftc.gov DNS Name www.dontserveteens.gov DNS Name alertaenlinea.gov DNS Name consumer.ftc.gov **DNS Name** www.hsr.gov DNS Name military.consumer.gov DNS Name www.military.ncpw.gov **DNS Name** staging.ftc.gov DNS Name bulkorder.ftc.gov DNS Name protecciondelconsumidor.gov

- Look at all of the domain names listed
- How does the CA—a commercial entity, not part of the government—know which .gov domains the FTC actually runs?
- (There are many more than I've shown)

### **Certificate Practices Statements**

- All CAs publish Certificate Practices Statements (CPS)
- Have you ever read one?
- Let's look at the CPS for Internet2 InCommon, which issued CU's certificate (https://www.incommon.org/custom/ certificates/repository/cps\_ssl.pdf)



### Liability

- CAs are inherently about trust
- But: "InCommon provides no warranty as further described in the Subscriber Addendum and Relying Party Agreement."
- (Lots of capital letters emphasizing that there is no warranty...)
- "Relying Party Agreement"?



### **Relying Party Agreement**

- "Relying Party An entity that relies upon the information contained within the Certificate.
- "Relying Party Agreement An agreement that must be read and accepted by a Relying Party prior to validating, relying on or using a Certificate and is available for reference in the InCommon PKI repository as described in Section 2.
- "Relying parties use InCommon's PKI service certificates to perform transactions, communications, or other functions at their own discretion."
- I'm sure you've all read and accepted this document you've never heard of before visiting, say, the CS department web site...
- Oh yes: they give the URL for the repository with the Relying Party Agreement, but it's not a hyperlink



### **CAs as Corporations**

- A CA is typically a corporation or a unit of a corporation; as such, it is subject to the usual corporate life
- Example: Baltimore CyberTrust

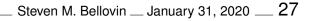
AOL Time Warner Root Certification Authorit... Builtin Object Token ✓Autoridad de Certificacion Firmaprofesional CIF… Autoridad de Certificacion Firmaprofesional ... Builtin Object Token ▼Baltimore Baltimore CyberTrust Root Builtin Object Token Baltimore CyberTrust Code Signing Root Software Security Device Baltimore CyberTrust Mobile Root Software Security Device ¬BankEngine Inc. bankengine Software Security Device ▼BelSign NV BelSign Object Publishing CA Software Security Device BelSign Secure Server CA Software Security Device

It's amusing to read Baltimore CyberTrust's complex corporate history



### **Baltimore CyberTrust**

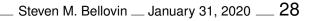
- CyberTrust was originally a subsidiary of GTE
- Sold to Baltimore Technologies, an Irish company, in 2000
- In 2003, they sold CyberTrust to BeTrusted Holdings
- In 2004, BeTrusted merged with TruSecure Corporation
- In 2007, acquired by Verizon—which itself included the old GTE
- In 2015, sold to DigiCert
- Query: which management structure did someone decide to trust?
- Matt Blaze: "a commercial CA will protect you from anyone from whom they wouldn't take money"





### **Types of Certificates**

- Web certificates: used for TLS connections
- Email certificates—sign and encrypt email
- IP address range certificates—used to secure routing announcements
- Code-signing certificates—authenticate the source of a program
- Used for market control, i.e., Apple's App Store, and as a security measure
  - Many more types





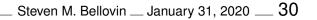
### **Rights Granted by Certificates**

- Typically, none
- *Most* certificates are assertions of identity
- It's still up to the relying party to decide what authorizations to grant that identity



### **Authorization Certificates**

- Identity-based: some organization, such as Verisign, vouches for your identity
  - Cert issuer is not affiliated with verifier
- Authorization-based: accepting site issues its own certificates
   Cert issuer acts on behalf of verifier
- Identity-based certificates are better when user has no prior relationship to verifier, such as secure Web sites
- Authorization-based certs are better when verifier wishes to control access to its own resources—no need to trust external party
- I call this "PKI" instead of "PKI"





### Why Aren't There More Authorization Certificates?

- Certificates have been granted a certain mystique
- They're somehow seen as different from a syadmin adding a username and password to a system
- From the InCommon CPS: "For InCommon CA key recovery purposes, the InCommon CA signing keys will be encrypted and stored within a secure environment. InCommon's escrowed copy of the private key is split across a number of removable media and requires a subset of the number to reconstruct. Custodians in the form of two or more InCommon authorized officers are required to physically retrieve the removable media from the distributed physically secure locations."



### Mystique

"Organizations are regularly told that they are complex, require ultra-high security, and perhaps are best outsourced to competent parties. Setting up a certificate authority (CA) requires a "ceremony", a term with a technical meaning but nevertheless redolent of high priests in robes, acolytes with censers, and more. This may or may not be true in general; for most IPsec uses, however, little of this is accurate. (High priests and censers are definitely not needed; we are uncertain about the need for acolytes...)"



### Can You Run Your Own CA?

- Yes, but it's hard
- It's not *inherently* hard, but the documentation is pretty poor
- There are decent web pages on how to create "self-signed certificates"
- Should I give a homework assignment on creating certificates? Maybe...



### **Certificate Lifecycle**

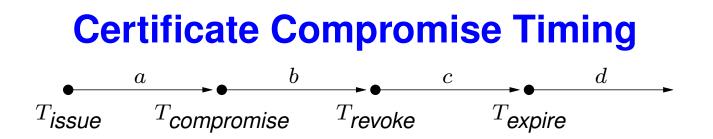
- 1. Generate a key pair
- 2. Request a certificate from a CA
- 3. Use the certificate
- 4. The private key is compromised
- 5. Revoke the certificate



### **Doing Revocation in Practice**

- What should be done if a CRL can't be retrieved? What if retrieval failures is due to a DDoS attack on the CRL site?
- OCSP seems better—less time between compromise and notification
- Slow—it requires another TLS connection from the browser (which is several round trips)
- And what if the OCSP server is unreachable or returns "Unknown"?
- Besides, the time advantage may be more imaginary than real





- OCSP shrinks the tiem between compromise and revocation
- But what is *Tdiscovery*?
- Almost always, it is much larger than b, even for CRLs
- That is, key compromises are rarely discovered promptly.
- You are insecure during the period between compromise and revocation, not the period between discovery and revocation
- OCSP helps, but not by nearly as much as one would think



#### **Using "Dead" Certificates**

- How should one treat expired or revoked certificates?
- Clearly, one should not set up new TLS connections
- But what about a digitally signed document or file?
- Was it signed before or after revocation?
- How can you tell? (Did an attacker reset the system clock to sign a malicious file?)
- In principle, trust signatures created before compromise or at least before detection, but it's hard for outsiders to know
- (Btw: the timestamp in a revocation notice is ambiguous: it may be the time the compromise was detected, or perhaps when it was reported. Check the CPS.)



#### Web of Trust

- PGP use a "web of trust" rather than a tree, certificates form an arbitrary graph
- Anyone can sign a certificate
- Most people have more than one signature I have more than signatures on my primary PGP key
- Do you know and trust any of my signers?
- See my key at http://www.cs.columbia.edu/~smb/smbpgp.txt



#### **Does the Web of Trust Work?**

- Number of signatures alone is meaningless; I can create lots of identities if I want
- I can even forge names is the "Rocco Servedio" who signed my key the same one who's a professor here? How do you know?
- There are at least six PGP keys purporting to belong to "George W. Bush". One is signed by "Yes, it's really Bush!"
- You have to define your own set of trust anchors, as well as policies on how long a signature chain is too long



#### **SPKI: Simple Public Key Infrastructure**

- Only authorization certificates
- Always PKI, not PKI—"wandering bits of antimatter"
- No CRLs or OSCP—relies on expiration dates



### **Security Analysis: Is PKI Secure?**

- What are the weak points?
- Who can attack them?



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## Attacking the Cryptography

- Today's standards—SHA256; 2048-bit RSA or 256-bit ECDSA—are believed to be secure
- But when web encryption started, people used MD5 and 1024-bit RSA; both are currently believed to be insecure
- Some sites continued using older algorithms well after they were known to be bad
- And there have been exploits—but probably by intelligence agencies



#### Flame

- The Flame malware relied on a software vendor using MD5 in its certificates, well after they should have stopped (and well after Windows should have rejected such certificates)
- MD5 was known to be weak—but Flame used a previously unknown cryptanalytic mechanism to attack MD5
- Most hackers are not expert cryptanalysts...
- Flame also exploited a design error by Microsoft and hijacked the Windows Update mechanism



#### Microsoft and MD5

- Microsoft has excellent cryptographers; they *knew* that MD5 was broken
- But: they had to preserve backwards compatability for long enough for all of their customers to migrate to a stronger hash function
- Sites that had upgraded their own infrastructure could stil be vulnerable to Microsoft's decision



## **Private Key Compromise**

- Sites' private keys can be stolen
- Stuxnet relied on two different stolen vendor code-signing keys
- (Were these keys in HSMs? They should be)



# **Identity Spoofing**

- In 2001, someone impersonated Microsoft and got two fake code-signing certificates from VeriSign
- VeriSign employees did not check the requester's credentials properly
- But: their back-end audit process caught the problem
- CRLs weren't really used then, so Microsoft shipped a patch to ignore those two certificates



# **Buggy Code**

- Windows 10 had a just-repaired certificate-checking bug: under some circumstances, it would accept *anything*
- This bug was found by the NSA, which thought it was so scary it told Microsoft and issued its own advisory



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### **Blind Trust**

- Code-signing certificates are (at best) statements of where code came from, not that it's harmless
- Some hackers have purchased genuine code-signing certificates from Apple or Microsoft
- Victims' operating systems accept the malware, because it's digitally signed
- There is a confusion of identity with authorization and/or benignity
- These were identity certificates that were treate as authorization certificates!



### Hacking

- Hackers, believed to be linked to Iran, compromised the DigiNotar and Comodo CAs
- The DigiNotar private keys were stored in an HSM, but the attacker controlled the computer that controlled the HSM, and hence was able to get it to sign bogus certificates
- The attack put DigiNotar out of business



#### Conclusions

- Certificates are useful but they aren't panaceas
- Buggy code can beat good crypto
- Note the analysis: at some point, *every piece* of the security chain for certificates has been compromised

