
Access Control Matrix

- List all processes and files in a matrix
- Each row is a process (“subject”)
- Each column is a file (“object”)
- Each matrix entry is the access rights that subject has for that object

Sample Access Control Matrix

Subjects p and q

Objects f , g , p , q

Access rights r (read), w (write), x (execute), o (owner)

	f	g	p	q
p	rwo	r	rwX	w
q	-	r	r	rwXO

Other Permissions

- Append
- Delete file
- Owner (can change ACL)

Access Control Matrix Operations

- System can transition from one ACM state to another
- Primitive operations: create subject, create object; destroy subject, destroy object; add access right; delete access right
- Transitions are, of course, conditional

Conditional ACM Changes

Process p wishes to give process q read access to a file f owned by p .

```
command grant_read_file( $p, f, q$ )  
  if  $o$  in  $a[p, f]$   
  then  
    enter  $r$  into  $a[q, f]$   
  fi  
end
```

Safety versus Security

- *Safety* is a property of the abstract system
- *Security* is a property of the implementation
- To be secure, a system must be safe *and* not have any access control bugs

Undecidable Question

- Query: given an ACM and a set of transition rules, will some access right ever end up in some cell of the matrix?
- Model ACM and transition rules as Turing machine
- Machine will halt if that access right shows up in that cell
- Will it ever halt?
- Clearly undecidable
- Conclusion: We can never tell if an access control system is safe (Harrison-Ruzzo-Ullman (HRU) result)

Complex Access Control

- Simple user/group/other or simple ACLs don't always suffice
- Some situations need more complex mechanisms

Temporal Access Control

- Permit access only at certain times
- Model: time-locks on bank vaults

Implementing Temporal Access Control

- Obvious way: add extra fields to ACL
- Work-around: timer-based automatic job that changes ACLs dynamically

Problems and Attacks

Problems and Attacks

- Is your syntax powerful enough for concepts like holidays? On what calendar? Do you support all relevant religious calendars? When is Eid ul Fitr next year?
- What if the clock is wrong?
- Can the enemy change the clock?
- How is the clock set? By whom or what?

Time Protocols

```
berkshire.ntp > time.nist.gov.ntp: NTPv4 client, strat 0  
time.nist.gov.ntp > berkshire.ntp: NTPv4 server, strat 1  
berkshire.ntp > meow.febo.com.ntp: NTPv4 client, strat 0  
meow.febo.com.ntp > berkshire.ntp: NTPv4 server, strat 2
```

Changing the ACL

- Who changes it?
- What are the permissions on the clock daemon's tables?
- Is there a race condition at permission change time?
- What if the daemon's tables get out of sync with reality? Suppose a new file or directory is added?
- We have introduced new failure modes!

Role-Based Access Control

- Permissions are granted to *roles*, not users
- Map users to roles
- “Any software problem can be solved by adding another layer of indirection”
- Mapping can change; should be reasonably dynamic
- Example: substitute worker; replacement worker

Using RBAC

- RBAC is the mechanism of choice for complex situations
- Often, it isn't used where it should be, because it's more complex to set up.
- Example: giving your secretary your email password

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- New attack: corrupt the mapping mechanism between users and roles

Program-Based Control

- Sometimes, there's no general enough model
- There are constraints that cannot be expressed in any table
- Common example: some forms of digital rights management (DRM), which may include forcing a user to scroll through a license agreement and then click “yes”
- It requires a program

All Bets are Off

- Is the program correct?
- Is it secure?
- Who wrote it?
- Who can change it?
- Does it do what you want?

Military Classification Model

- Documents are classified at a certain level
- People have certain clearances
- You're only allowed to see documents that you're cleared for

Classifications

- Levels: Confidential, Secret, Top Secret
- Compartments: Crypto, Subs, NoForn
- (“NoForn” is “No foreign nationals”)
- To read a document, you must have at least as high a clearance level *and* you must be cleared for each compartment
- Systems that support this are known as multi-level security systems

Examples

Pat is cleared for **Secret**, *Subs*

Chris is cleared for **Top Secret**, *Planes*

We have the following files:

warplan	Top Secret	<i>Troops, Subs, Planes</i>
runway	Confidential	<i>Planes</i>
sonar	Top Secret	<i>Subs</i>
torpedo	Secret	<i>Subs</i>

Who can read which file?

Examples

- Pat cannot read `warp1an`; she isn't cleared high enough and she doesn't have *Troops* or *Planes* clearance
- Chris can't read it, either; he doesn't have *Troops* or *Subs* clearance
- Chris can read `runway`; Pat can't
- Pat can't read `sonar`; she has *Subs* clearance but only at the **Secret** level
- She can, however, read `torpedo`

Comparing Clearances

- Who has a higher clearance, Chris or Pat?
- Which is higher, ⟨**Secret**, *Subs*⟩ or ⟨**Top Secret**, *Planes*⟩
- Neither — they aren't comparable

Formally Comparing Labels

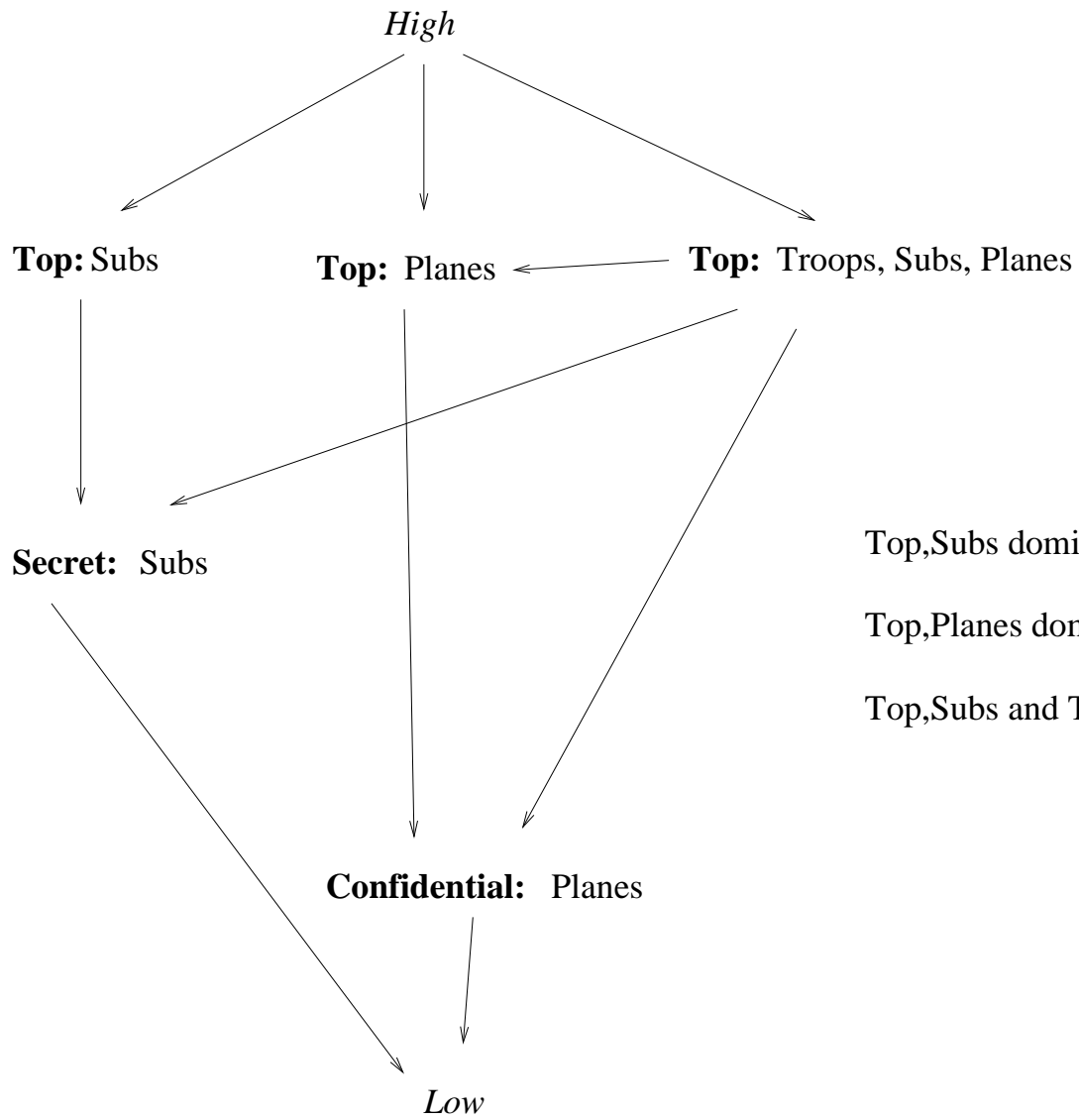
- A label is the tuple $\langle L, C \rangle$, where L is the hierarchical level and C is the set of compartments
- $S \geq O$ if and only if $L_S \geq L_O$ and $C_S \supseteq C_O$

Lattices

- Clearances here are represented in a *lattice*
- A lattice is a directed graph
- We say that label A *dominates* label B if there is a valid path down from A to B
- Expressed differently, if A dominates B , information is allowed to flow from B to A . We write $B \leq A$.
- Known as the Bell-LaPadula model

Properties of Lattices

- Lattices are a *partial ordering*
- Lattice domination is transitive, reflexive, anti-symmetric:
If $C \leq B$ and $B \leq A$, then $C \leq A$
 $A \leq A$
 $B \leq A$ and $A \leq B$ implies $A = B$



Top,Subs dominates Secret,Subs
 Top,Planes dominates Confidential,Planes
 Top,Subs and Top,Planes are not comparable

Using this Scheme

- Processes are *subjects*
- Files are *objects*
- A process can read a file if its label dominates the file's label
- Known as “no read up”
- File labels are typically subject to mandatory access control (MAC)

Writing Files

- Suppose there are three labels, A , B , and C , such that A dominates B and B dominates C
- A process with label A can read a file with label B or label C
A process with label C can read a file labeled C but not B
- Suppose that a process with label A reads B and then writes the contents to a file labeled C .
- Can a C -labeled process now read this?
- No — a process can only write to a file if the file's label dominates it
- Known as “no write down”

That Isn't Right, Either

- Should a process at **Confidential** be able to overwrite a **Top Secret** file?
- The usual rule is that a process can only write to a file whose label is an exact match

Formal Version

Simple Security Condition S can read O if and only if $l_o \leq l_s$

***-property** S can write O if and only if $l_s \leq l_o$

Basic Security Theorem If Σ is a system with secure initial state σ_0 and T is a set of state transitions that preserve the simple security condition, every state $\sigma_i, i \geq 0$ is secure

Combining MAC and DAC

- The Bell-LaPadula model includes DAC as well as MAC
- Users control DAC settings; the site security officer controls the MAC values
- To read or write a file, both MAC and DAC conditions must be satisfied

Confidentiality versus Integrity

- This scheme is geared towards confidentiality
- We can use it for integrity, too
- Make sure that all system files are labeled **Low**
- All labels dominate **Low**
- Thus, no process can write to it (“no write down”)
- Overwriting a system file appears to the access control mechanism as a confidentiality violation!
- Known as Biba integrity

Floating Labels

- Instead of “no read up/no write down”, labels can float
- A process that reads a file acquires a label that dominates its original label and the file’s label
- When a process writes to a file, the file’s label changes as well
- Subjects and objects can have limits; if the label can’t float high enough, the output can’t take place

Thinking Semantically

- Simpler permission schemes protect *objects*
- Bell-LaPadula schemes protect *information*
- Information flow is a dynamic concept

Implementing Bell-LaPadula

- Does anyone actually use this stuff?
- First implemented in Multics
- Available today in Trusted Solaris
- Part of many DoD-certified systems
- But — such systems are rarely used outside of DoD, and not often within it
- The assurance process is too slow and expensive

Exporting Labels

- Labels have to stay with the data
- Transmitted in network packets
- Printed on output
- Recorded on CDs, etc.
- What happens if a labeled CD is physically carried to — and from — a non-MLS (or otherwise untrusted) machine?

Marking Classified Documents

[REDACTED]

[REDACTED]

[REDACTED] CIA and DIA BW analysts interviewed by Committee staff all agreed that in every case cited by the NIE of Iraqi attempts to obtain dual-use biotechnical equipment abroad, the Iraqis could have been seeking equipment for their legitimate needs. As a CIA BW analyst noted "There was nothing that was uniquely BW. . . ." A CIA BW analyst stated that none of the equipment and materials required for a BW program were exclusively BW in nature, and said that the IC did not have a specific case where it could provide intelligence that showed that a piece of dual-use biological equipment or material sought by Iraq was clearly intended to go to an Iraqi BW-related end user. The Deputy Director for Analysis at the DCT's Center for Weapons Intelligence, Nonproliferation, and Arms Control told Committee staff that ". . . if you look at every individual dual-use procurement, if your question is, are there any of these procurements that we saw that can't be explained by a potential legitimate application . . . I think the answer to that probably is no."

2. Indigenous Iraqi Efforts

[REDACTED] The final part of the NIE's section concerning Iraq's ability to obtain dual-use biological equipment and production capabilities stated that "We assess that Iraq also maintains the capability to manufacture some BW-related equipment and materials indigenously." The IC provided the Committee with several [REDACTED] reports and an abstract of a paper published in a European science journal that showed dual-use biotechnical capabilities inherent in Iraqi industry that could potentially be converted for use in an offensive BW program.

(U) While all of the examples in the NIE have potential application to the Iraqi BW program, and while some of the organizations involved were connected to the pre-1991 Iraqi BW program, only one of the reports has a clear link to a post-1991 BW program. The report came from the HUMINT source codenamed CURVE BALL who reported on Iraq's alleged mobile BW program. According to this report, CURVE BALL stated that fermenters and tanks in the mobile production units had been made in Iraq.

(U) When asked by Committee staff whether the 2002 NIE did a good job of explaining the possibility that some, most or all of the examples cited in the NIE of dual use biological research and procurement could have been intended for legitimate, non-BW uses, a senior INR analyst stated, "I think, to answer your question, someone who is not an expert in weapons of mass destruction, if I were coming to the issue and they said here, read this Estimate on Iraq's weapons of mass destruction program, even if you have a discussion of dual-use applicability I think that I would come to the conclusion that, well, it must be really for WMD stuff because it's

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[REDACTED]

Note the blacked-out security labels at top and bottom and the per-paragraph classification level. Note also that the blacked-out classification label occupies a space too long for "S" or "TS", and hence presumably give a compartment. . .

The Commercial Uselessness of Bell-LaPadula

- Most commercial data isn't as rigidly classified as is military data
- Few commercial operating systems support it
- It's hard to transfer labels across networks, among heterogeneous systems
- *Downgrading* is hard

Downgrading Information

- Suppose we have a web server as a front end for a sensitive database
- We can label the database **Top Secret**
- To read it, the web server needs to have **Top Secret** privileges
- But the end user — the web client — isn't trusted to that level
- Where does the downgrade operation take place?
- Downgrade is a *very* sensitive operation and can only be done by a trusted module. Is your web server that trusted?