

---

## Access Control Matrix

- List all processes and files in a matrix
- Each row is a process (“subject”)
- Each column is a file (“object”)
- Each matrix entry is the access rights that subject has for that object

---

## Sample Access Control Matrix

Subjects  $p$  and  $q$

Objects  $f, g, p, q$

Access rights  $r, w, x, o$

	$f$	$g$	$p$	$q$
$p$	rwo	r	rwX	w
$q$	-	r	r	rwXO

---

## Access Control Matrix Operations

- System can transition from one ACM state to another
- Primitive operations: create subject, create object; destroy subject, destroy object; add access right; delete access right
- Transitions are, of course, conditional

---

## Conditional ACM Changes

Process  $p$  wishes to give process  $q$  read access to a file  $f$  owned by  $p$ .

```
command grant_read_file( $p, f, q$ )  
  if  $o$  in  $a[p, f]$   
  then  
    enter  $r$  into  $a[q, f]$   
  fi  
end
```

---

## Safety versus Security

- *Safety* is a property of the abstract system
- *Security* is a property of the implementation
- To be secure, a system must be safe *and* not have any access control bugs

---

## Undecidable Question

- Query: given an ACM and a set of transition rules, will some access right ever end up in some cell of the matrix?
- Model ACM and transition rules as Turing machine
- Machine will halt if that access right shows up in that cell
- Will it ever halt?
- Clearly undecidable
- Conclusion: We can never tell if an access control system is safe (Harrison-Ruzzo-Ullman (HRU) result)

---

# Complex Access Control

- Simple user/group/other or simple ACLs don't always suffice
- Some situations need more complex mechanisms

---

# Temporal Access Control

- Permit access only at certain times
- Model: time-locks on bank vaults

---

# Implementing Temporal Access Control

- Obvious way: add extra fields to ACL
- Work-around: timer-based automatic job that changes ACLs dynamically

---

# Problems and Attacks

---

## Problems and Attacks

- Is your syntax powerful enough for concepts like holidays? On what calendar?
- What if the clock is wrong?
- Can the enemy change the clock?
- How is the clock set? By whom or what?

---

## Changing the ACL

- Who changes it?
- What are the permissions on the clock daemon's tables?
- Is there a race condition at permission change time?
- What if the daemon's tables get out of sync with reality? Suppose a new file or directory is added?
- We have introduced new failure modes!

---

## Role-Based Access Control

- Permissions are granted to *roles*, not users
- Map users to roles
- “Any software problem can be solved by adding another layer of indirection”
- Mapping can change; should be reasonably dynamic
- Example: substitute worker; replacement worker

---

## Using RBAC

- RBAC is the mechanism of choice for complex situations
- Often, it isn't used where it should be, because it's more complex to set up.
- Example: giving your secretary your email password
- New attack: corrupt the mapping mechanism between users and roles

---

## Program-Based Control

- Sometimes, there's no general enough model
- There are constraints that cannot be expressed in any table
- Common example: some forms of digital rights management (DRM), which may include forcing a user to scroll through a license agreement and then click “yes”
- It requires a program

---

## All Bets are Off

- Is the program correct?
- Is it secure?
- Who wrote it?
- Who can change it?
- Does it do what you want?

---

## Military Classification Model

- Documents are classified at a certain level
- People have certain clearances
- You're only allowed to see documents that you're cleared for

---

# Classifications

- Levels: Confidential, Secret, Top Secret
- Compartments: Crypto, Subs, NoForn
- (“NoForn” is “No foreign nationals”)
- To read a document, you must have at least as high a clearance level *and* you must be cleared for each compartment
- Systems that support this are known as multi-level security systems

---

## Examples

Pat is cleared for **Secret**, *Subs*

Chris is cleared for **Top Secret**, *Planes*

We have the following files:

warplan	<b>Top Secret</b>	<i>Troops, Subs, Planes</i>
runway	<b>Confidential</b>	<i>Planes</i>
sonar	<b>Top Secret</b>	<i>Subs</i>
torpedo	<b>Secret</b>	<i>Subs</i>

Who can read which file?

---

## Examples

- Pat cannot read `warp1an`; she isn't cleared high enough and she doesn't have *Troops* or *Planes* clearance
- Chris can't read it, either; he doesn't have *Subs* or *Planes* clearance
- Chris can read `runway`; Pat can't
- Pat can't read `sonar`; she has *Subs* clearance but only at the **Secret** level
- She can, however, read `torpedo`

---

## Comparing Clearances

- Who has a higher clearance, Chris or Pat?
- Which is higher, ⟨**Secret**, *Subs*⟩ or ⟨**Top Secret**, *Planes*⟩
- Neither — they aren't comparable

---

## Formally Comparing Labels

- A label is the tuple  $\langle L, C \rangle$ , where  $L$  is the hierarchical level and  $C$  is the set of compartments
- $S \geq O$  if and only if  $L_S \geq L_O$  and  $C_S \supseteq C_O$

---

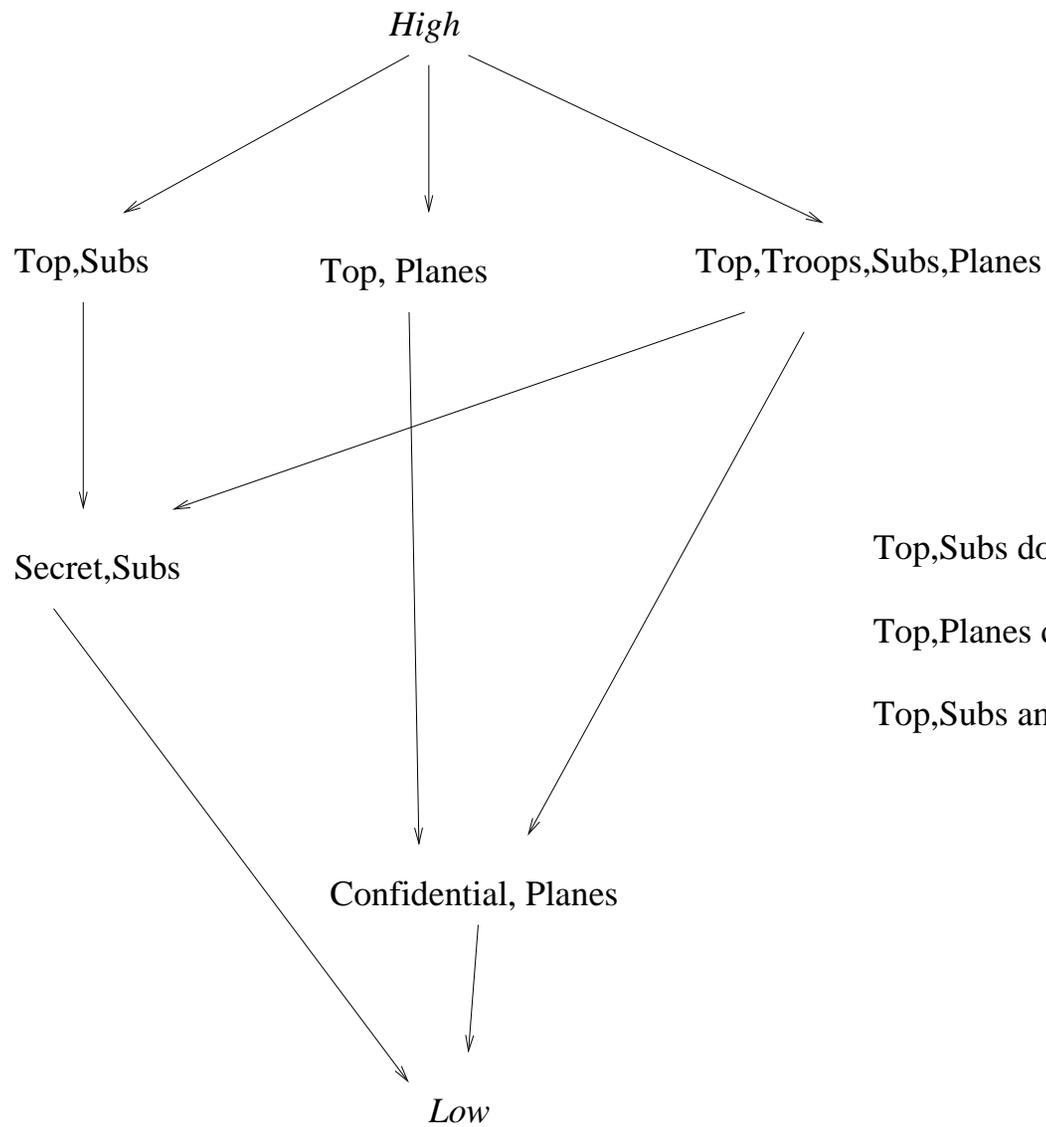
# Lattices

- Clearances here are represented in a *lattice*
- A lattice is a directed graph
- We say that label  $A$  *dominates* label  $B$  if there is a valid path down from  $A$  to  $B$
- Expressed differently, if  $A$  dominates  $B$ , information is allowed to flow from  $B$  to  $A$ . We write  $B \leq A$ .
- Known as the Bell-LaPadula model

---

## Properties of Lattices

- Lattices are a *partial ordering*
- Lattice domination is transitive, reflexive, anti-symmetric:  
If  $C \leq B$  and  $B \leq A$ , then  $C \leq A$   
 $A \leq A$   
 $B \leq A$  and  $A \leq B$  implies  $A = B$



Top,Subs dominates Secret,Subs

Top,Planes dominates Confidential,Planes

Top,Subs and Top,Planes are not comparable

---

## Using this Scheme

- Processes are *subjects*
- Files are *objects*
- A process can read a file if its label dominates the file's label
- Known as “no read up”
- File labels are typically subject to mandatory access control (MAC)

---

## Writing Files

- Suppose there are three labels,  $A$ ,  $B$ , and  $C$ , such that  $A$  dominates  $B$  and  $B$  dominates  $C$
- A process with label  $A$  can read a file with label  $B$  or label  $C$   
A process with label  $C$  can read a file labeled  $C$  but not  $B$
- Suppose that a process with label  $A$  reads  $B$  and then writes the contents to a file labeled  $C$ .
- Can a  $C$ -labeled process now read this?
- No — a process can only write to a file if the file's label dominates it
- Known as “no write down”

---

## That Isn't Right, Either

- Should a process at **Confidential** be able to overwrite a **Top Secret** file?
- The usual rule is that a process can only write to a file whose label is an exact match

---

## Formal Version

**Simple Security Condition**  $S$  can read  $O$  if and only if  $l_o \leq l_s$

**\*-property**  $S$  can write  $O$  if and only if  $l_s \leq l_o$

**Basic Security Theorem** If  $\Sigma$  is a system with secure initial state  $\sigma_0$  and  $T$  is a set of state transitions that preserve the simple security condition, every state  $\sigma_i, i \geq 0$  is secure

---

## Combining MAC and DAC

- The Bell-LaPadula model includes DAC as well as MAC
- Users control DAC settings; the site security officer controls the MAC values
- To read or write a file, both MAC and DAC conditions must be satisfied

---

## Confidentiality versus Integrity

- This scheme is geared towards confidentiality
- We can use it for integrity, too
- Make sure that all system files are labeled **Low**
- All labels dominate **Low**
- Thus, no process can write to it (“no write down”)
- Overwriting a system file appears to the access control mechanism as a confidentiality violation!
- Known as Biba integrity

---

## Floating Labels

- Instead of “no read up/no write down”, labels can float
- A process that reads a file acquires a label that dominates its original label and the file’s label
- When a process writes to a file, the file’s label changes as well
- Subjects and objects can have limits; if the label can’t float high enough, the output can’t take place

---

## Thinking Semantically

- Simpler permission schemes protect *objects*
- Bell-LaPadula schemes protect *information*
- Information flow is a dynamic concept

---

## Implementing Bell-LaPadula

- Does anyone actually use this stuff?
- First implemented in Multics
- Part of many DoD-certified systems
- But — such systems are rarely used outside of DoD, and not often within it
- The assurance process is too slow and expensive

---

# The Commercial Uselessness of Bell-LaPadula

- Most commercial data isn't as rigidly classified as is military data
- Few commercial operating systems support it
- It's hard to transfer labels across networks, among heterogeneous systems
- *Downgrading* is hard

---

## Downgrading Information

- Suppose we have a web server as a front end for a sensitive database
- We can label the database **Top Secret**
- To read it, the web server needs to have **Top Secret** privileges
- But the end user — the web client — isn't trusted to that level
- Where does the downgrade operation take place?
- Downgrade is a *very* sensitive operation and can only be done by a trusted module. Is your web server that trusted?