

# SHIM: A Deterministic Concurrent Language

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April 2009

# SHIM



# Definition

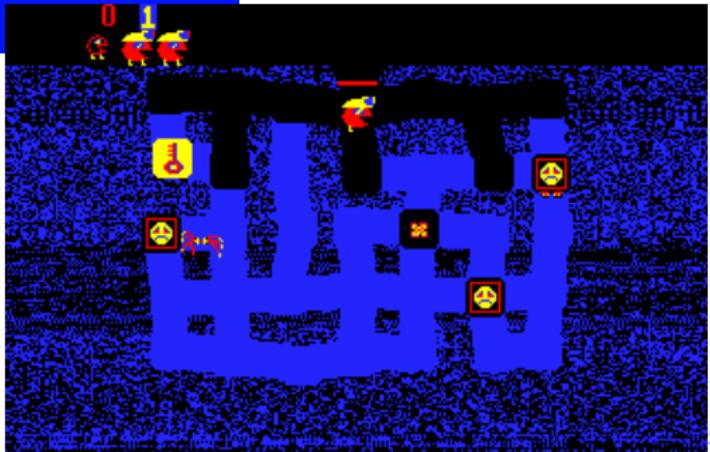
**shim** \shim\ *n*

1 : a thin often tapered piece of material (as wood, metal, or stone) used to fill in space between things (as for support, leveling, or adjustment of fit).



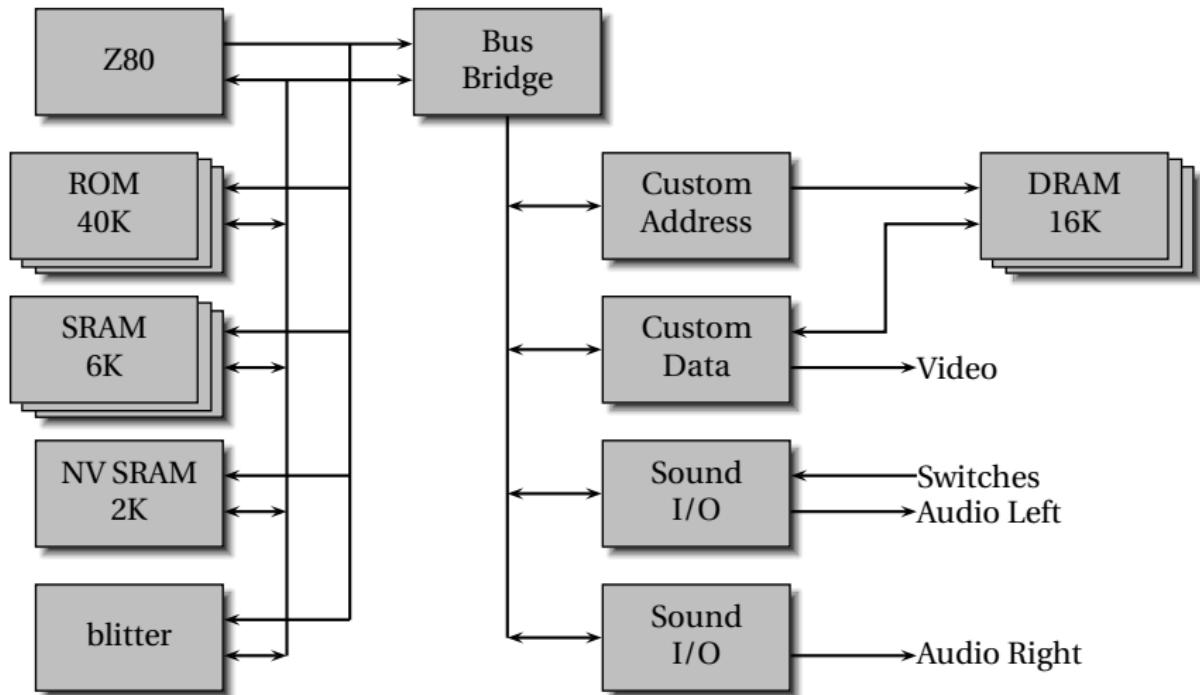
2 : *Software/Hardware Integration Medium*, a model for describing hardware/software systems

# Robby Roto

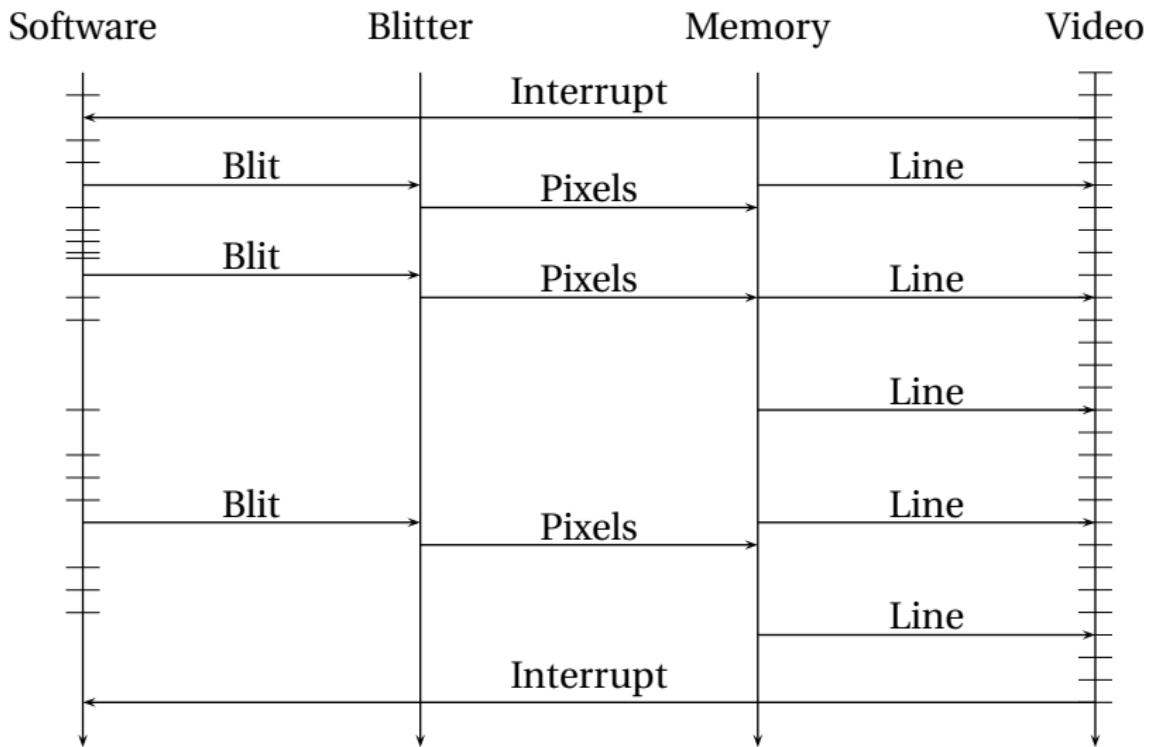


(Bally/Midway 1981)

# Robby Roto Block Diagram



# HW/SW Interaction



# SHIM Wishlist

- *Concurrent*  
Hardware always concurrent
- *Mixes synchronous and asynchronous styles*  
Need multi-rate for hardware/software systems
- *Only requires bounded resources*  
Hardware resources fundamentally bounded
- *Formal semantics*  
Do not want arguments about what something means
- *Scheduling-independent*  
Want the functionality of a program to be definitive  
Always want simulated behavior to reflect reality  
Verify functionality and performance separately



# Basic SHIM

An imperative language with familiar C/Java-like syntax

```
int32 gcd(int32 a, int32 b)
{
    while (a != b) {
        if (a > b)
            a -= b;
        else
            b -= a;
    }
    return a;
}

struct foo { // Composite types
    int x;
    bool y;
    uint15 z; // Explicit-width integers
    int<-3,5> w; // Explicit-range integers
    int8 p[10]; // Arrays
    bar q; // Recursive types
};
```

# Three Additional Constructs

*stmt<sub>1</sub>* par *stmt<sub>2</sub>* Run *stmt<sub>1</sub>* and *stmt<sub>2</sub>* concurrently

*send var* Communicate on channel *var*

*recv var*

*next var*

*try {* Define the scope of an exception

*:*

*throw exc* Raise an exception

*:*

*} catch( exc ) stmt*

# Concurrency & *par*

*Par* statements run concurrently and asynchronously

Terminate when all terminate

Each thread gets private copies of variables; no sharing

Writing thread sets the variable's final value

```
void main() {
    int a = 3, b = 7, c = 1;
    {
        a = a + c; // a ← 4, b = 7, c = 1
        a = a + b; // a ← 11, b = 7, c = 1
    } par {
        b = b - c; // a = 3, b ← 6, c = 1
        b = b + a; // a = 3, b ← 9, c = 1
    }
        // a ← 11, b ← 9, c = 1
}
```

# Restrictions

Both pass-by-reference and pass-by-value arguments

Simple syntactic rules avoid races

```
void f(int &x) { x = 1; } // x passed by reference
```

```
void g(int x) { x = 2; } // x passed by value
```

```
void main() {
```

```
    int a = 0, b = 0;
```

```
    a = 1; par b = a; // OK: a and b modified separately
```

```
    a = 1; par a = 2; // Error: a modified by both
```

```
f(a); par f(b); // OK: a and b modified separately
```

```
f(a); par g(a); // OK: a modified by f only
```

```
g(a); par g(a); // OK: a not modified
```

```
f(a); par f(a); // Error: a passed by reference twice
```

```
}
```

# Communication

Blocking: thread waits for all processes that know about *a*

```
void f(chan int a) { // a is a copy of c
    a = 3; // change local copy
    recv a; // receive (wait for g)
        // a now 5
}

void g(chan int &b) { // b is an alias of c
    next b = 5; // sets c and send (wait for f)
        // b now 5
}

void main() {
    chan int c = 0;
    f(c); par g(c);
}
```

# Synchronization, Deadlocks

Blocking communication makes for potential deadlock

```
{ next a; next b; } par { next b; next a; } // deadlocks
```

Only threads responsible for a variable must synchronize

```
{ next a; next b; } par next b; par next a; // OK
```

When a thread terminates, it is no longer responsible

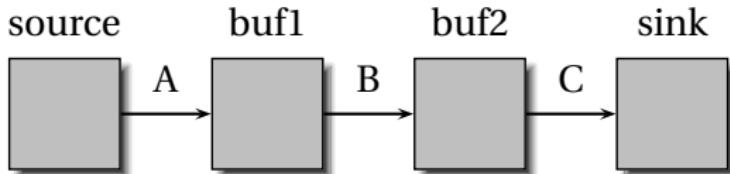
```
{ next a; next a; } par next a; // OK
```

Philosophy: deadlocks easy to detect; races are too subtle

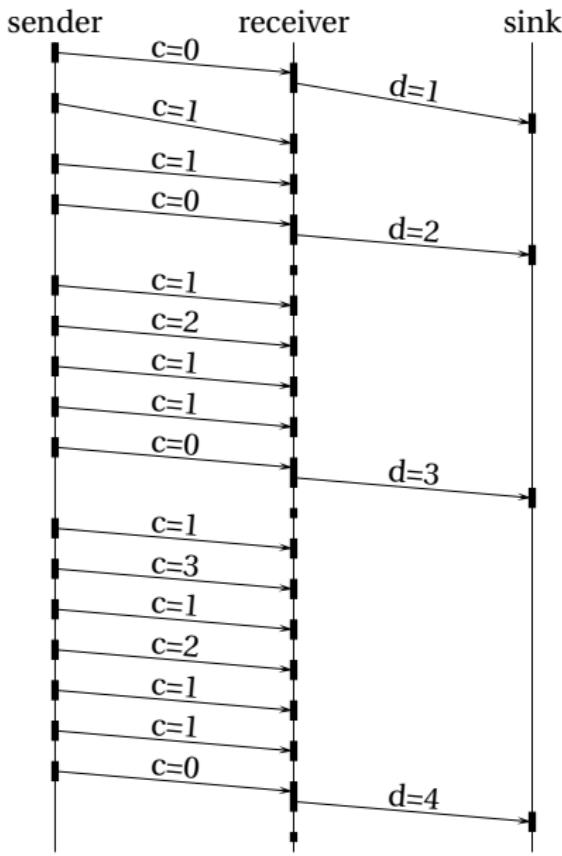
SHIM prefers deadlocks to races (always reproducible)

# An Example

```
void main() {
    chan uint8 A, B, C;
    { // source: generate four values
        next A = 17;
        next A = 42;
        next A = 157;
        next A = 8;
    } par { // buf1: copy from input to output
        for (;;) {
            next B = next A;
        }
    } par { // buf2: copy, add 1 alternately
        for (;;) {
            next C = next B;
            next C = next B + 1;
        }
    } par { // sink
        for (;;) {
            recv C;
        }
    }
}
```



# Message Sequence Chart



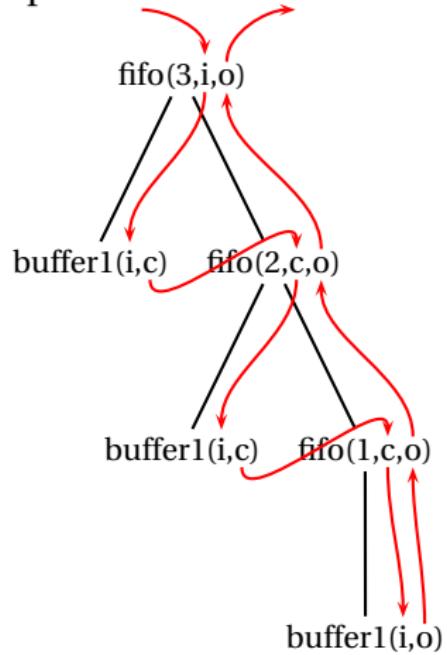
```
int a, b; chan int c, d;  
{  
    d = 0;  
    for (;;) {  
        e = d;  
        while (e > 0) {  
            next c = 1;  
            next c = e;  
            e = e - 1;  
        }  
        next c = 0;  
        next d = d + 1;  
    }  
} par {  
    a = b = 0;  
    for (;;) {  
        do {  
            if (next c != 0)  
                a = a + next c;  
        } while (c);  
        b = b + 1;  
    }  
} par {  
    for (;;) recv d;
```

# Recursion & Concurrency

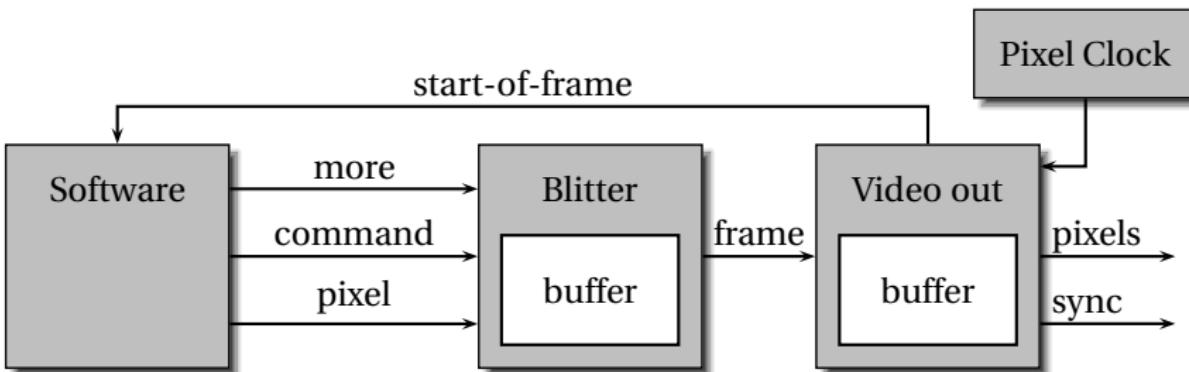
A bounded FIFO: compiler will analyze & expand

```
void buffer1(chan int in, chan int &out) {  
    for (;;) next out = next in;  
}
```

```
void fifo(int n, chan int in, chan int &out) {  
    if (n == 1)  
        buffer1(in, out);  
    else {  
        chan int channel;  
        buffer1(in, channel);  
        par  
            fifo(n-1, channel, out);  
    }  
}
```



# Robby Roto in SHIM



```
while (player is alive) {  for (;;) {  
    next start-of-frame;      while (next more) {  
      ...game logic...          next command;  
    next more = true;          Write to buffer  
    next command = ...;       }  
    ...game logic...          next frame = buffer;  
    next more = false;        }  
}  
}
```

```
for (;;) {  
  next start-of-frame;  
  for each line {  
    next sync = ...;  
    for each pixel {  
      next clock;  
      Read pixel  
      next pixel = ...;  
    }  
  }  
  buffer = next frame;
```

# Exceptions

Sequential semantics are classical

```
void main() {
    int i = 1;
    try {
        throw T;
        i = i * 2; // Not executed
    } catch (T) {
        i = i * 3; // Executed by throw T
    }
    // i = 3 on exit
}
```

# Exceptions & Concurrency

```
void main() {
    chan int i = 0, j = 0;
    try {
        while (i < 5)
            next i = i + 1;
        throw T;
    } par {
        for (;;) {
            next j =
                next i + 1;
        }
    } par {
        for (;;) {
            recv j;
        }
    } catch (T) {}
}
```

Exceptions propagate through communication actions to preserve determinism

Idea: “transitive poisoning”

Raising an exception “poisons” a process

Any process attempting to communicate with a poisoned process is itself poisoned (within exception scope)

“Best effort preemption”

# Another Example

Five functions that call each other and communicate through channel A

```
void main() {  
    try {  
        chan int A;  
        f(A); par g(A);  
    } catch (Done) {}  
}
```

```
void f(chan int &A) throws Done {  
    h(A); par j(A);  
}
```

```
void g(chan int A) {  
    recv A;  
    recv A;  
}
```

```
void h(chan int &A) {  
    A = 4; send A;  
    A = 2; send A;  
}
```

```
void j(chan int A) throws Done {  
    recv A;  
    throw Done;  
}
```

# Another Example

Parents call children

```
void main() {  
    try {  
        chan int A;  
        f(A); par g(A);  
    } catch (Done) {}  
}
```

```
void f(chan int &A) throws Done {  
    h(A); par j(A);  
}
```

```
void g(chan int A) {  
    recv A;  
    recv A;  
}
```

```
void h(chan int &A) {  
    A = 4; send A;  
    A = 2; send A;  
}
```

```
void j(chan int A) throws Done {  
    recv A;  
    throw Done;  
}
```

# Another Example

*h* sends 4 on *A*,  
*g* and *j* rendezvous

```
void main() {  
    try {  
        chan int A;  
        f(A); par g(A);  
    } catch (Done) {}  
}
```

```
void f(chan int &A) throws Done {  
    h(A); par j(A);  
}
```

~~```
void g(chan int A) {  
    recv A;  
    recv A;  
}
```~~~~```
void h(chan int &A) {  
    A = 4; send A;  
    A = 2; send A;  
}
```~~

```
void j(chan int A) throws Done {  
    recv A;  
    throw Done;  
}
```

# Another Example

*j* throws an exception. *g* and *h* poisoned by attempting communication

```
void main() {  
    try {  
        chan int A;  
        f(A); par g(A);  
    } catch (Done) {}  
}
```

```
void f(chan int &A) throws Done {  
    h(A); par j(A);  
}
```

```
void g(chan int A) {  
    recv A;  
    recv A;  
}
```

```
void h(chan int &A) {  
    A = 4; send A;  
    A = 2; send A;  
}
```

```
void j(chan int A) throws Done {  
    recv A;  
    throw Done;  
}
```

# Another Example

Concurrent processes  
terminate, control passed to  
exception handler

```
void main() {  
    try {  
        chan int A;  
        f(A); par g(A);  
    } catch (Done) {}  
}
```

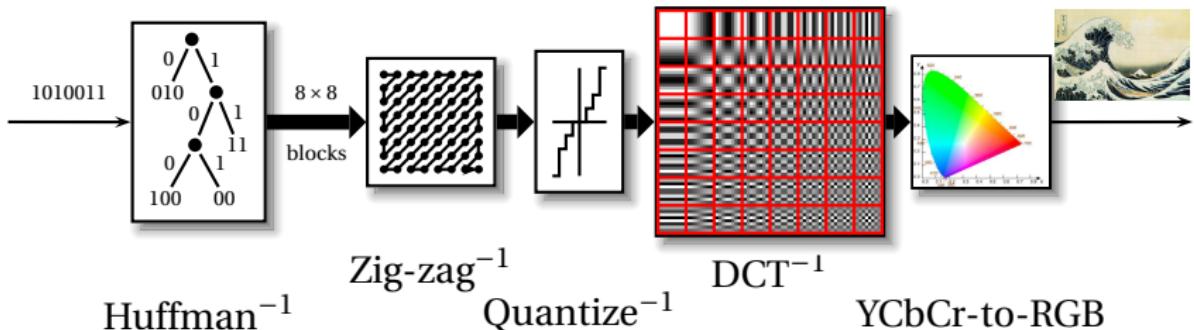
```
void f(chan int &A) throws Done {  
    h(A); par j(A);  
}
```

```
void g(chan int A) {  
    recv A;  
    recv A;  
}
```

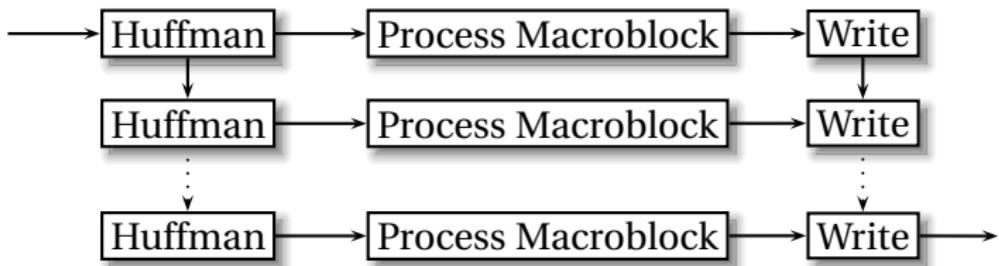
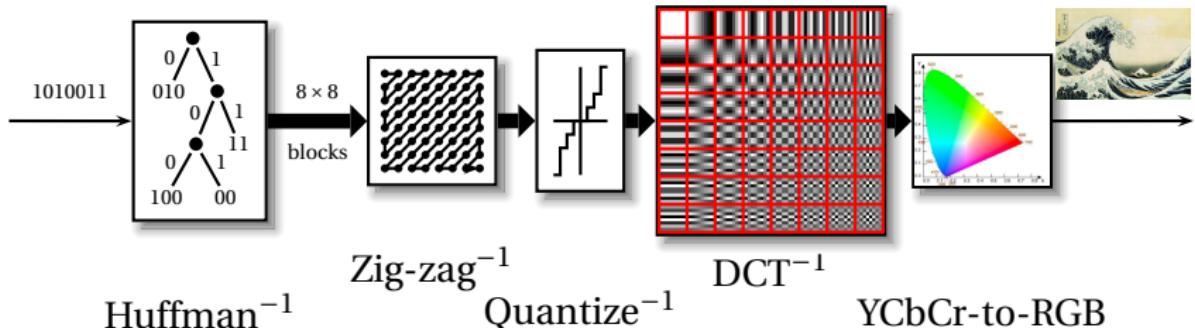
```
void h(chan int &A) {  
    A = 4; send A;  
    A = 2; send A;  
}
```

```
void j(chan int A) throws Done {  
    recv A;  
    throw Done;  
}
```

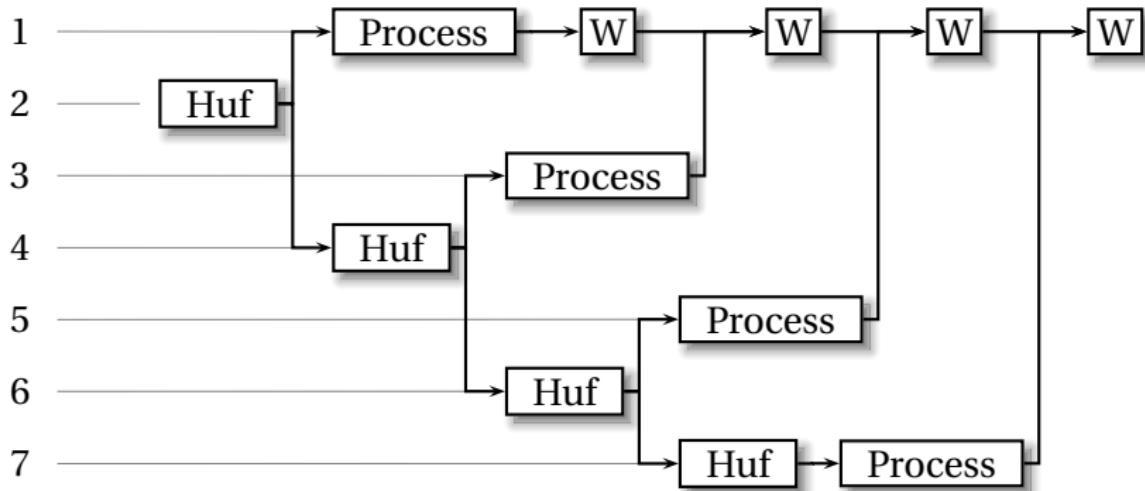
# JPEG Decoding



# JPEG Decoding



# Seven-task JPEG schedule



Idea: minimize communication events

# SHIM for the Seven-task Schedule

```
unpacker_state ustate;  
writer_state wstate;
```

```
stripe stripe1, stripe2, stripe3, stripe4;
```

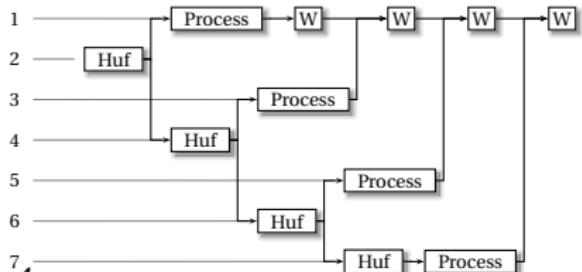
```
pixels pixels1; // to writer
```

```
chan pixels pixels2, pixels3, pixels4;
```

```
void unpack(unpacker_state &state, stripe &stripe) { ... } // Huffman Deco
```

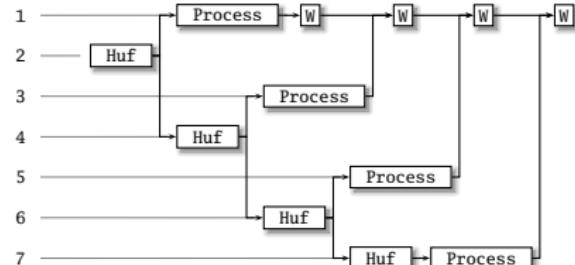
```
void process(const stripe &stripe, pixels &pixels) { ... } // IDCT, etc.
```

```
void write(writer_state &wstate, const pixels &pixels) { ... } // Write to file
```



# SHIM for the Seven-task Schedule

```
unpack(ustate, stripe1); // 2
{
    process(stripe1, pixels1); write(wstate, pixels1); // 1
    recv pixels2; write(wstate, pixels2);
    recv pixels3; write(wstate, pixels3);
    recv pixels4; write(wstate, pixels4);
} par {
    unpack(ustate, stripe2); // 4
    {
        process(stripe2, pixels2); send pixels2; // 3
    } par {
        unpack(ustate, stripe3); // 6
        {
            process(stripe3, pixels3); send pixels3; // 5
        } par {
            unpack(ustate, stripe4); // 7
            process(stripe4, pixels4); send pixels4;
        } } }
```

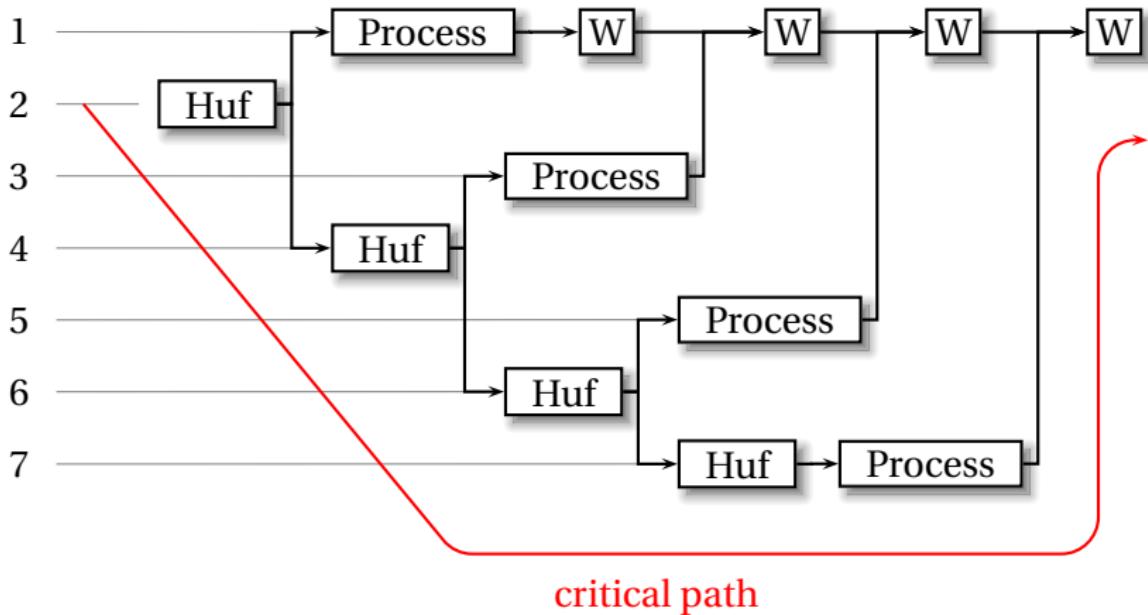


# SHIM Enforces Dependencies

```
unpack(ustate, stripe1);  
{  
    process(stripe1, pixels1); write(wstate, pixels1);  
    recv pixels2; write(wstate, pixels2);  
    recv pixels3; write(wstate, pixels3);  
    recv pixels4; write(wstate, pixels4);  
} par {  
    unpack(ustate, stripe2);  
    {  
        process(stripe2, pixels2); send pixels2;  
    } par {  
        unpack(ustate, stripe3);  
        {  
            process(stripe3, pixels3); send pixels3;  
        } par {  
            unpack(ustate, stripe4);  
            process(stripe4, pixels4); send pixels4;  
        } } }
```

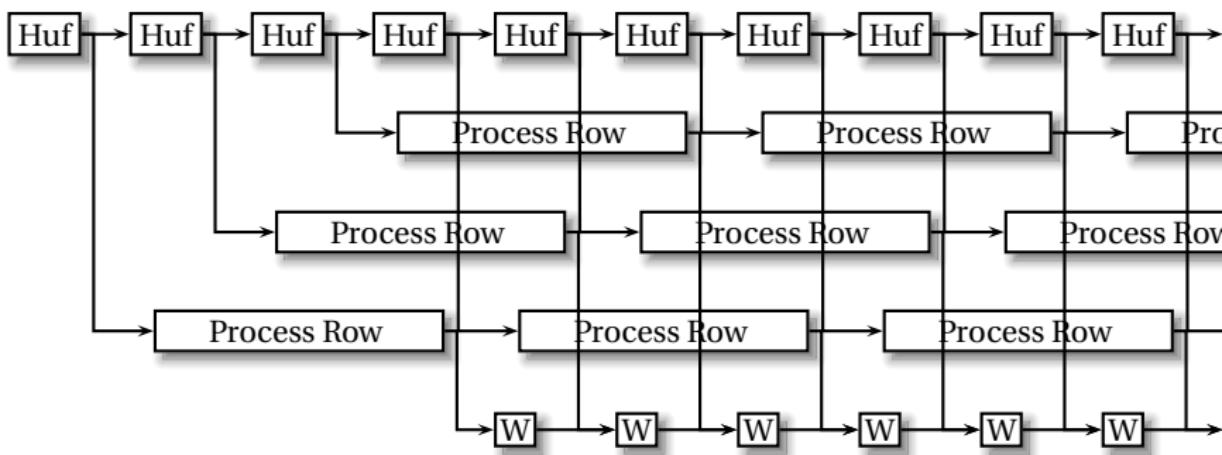
- Writer state local to one process
- Unpacker state can only be passed by reference once
- Trying to run *unpack* or *write* in parallel gives compiler error

# Oops



Only achieved a  $1.8\times$  speedup

# Pipelined JPEG

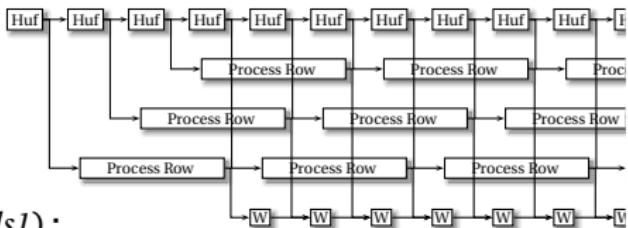


Process a row of blocks at a time (e.g., 64).

Reduce communication; accelerate start-up and termination.

# SHIM for Pipelined JPEG

```
try {
{
    for (;;) {
        unpack(ustate, row1); send row1; if (--rows == 0) break;
        unpack(ustate, row2); send row2; if (--rows == 0) break;
        unpack(ustate, row3); send row3; if (--rows == 0) break;
    } throw Done;
} par
    process(row1, pixels1); par
    process(row2, pixels2); par
    process(row3, pixels3); par
{
    for (;;) {
        recv pixels1; write(wstate, pixels1);
        recv pixels2; write(wstate, pixels2);
        recv pixels3; write(wstate, pixels3);
    }
} catch (Done) {}
}
```



# Pthreads Compiler: Task and Channel Structures

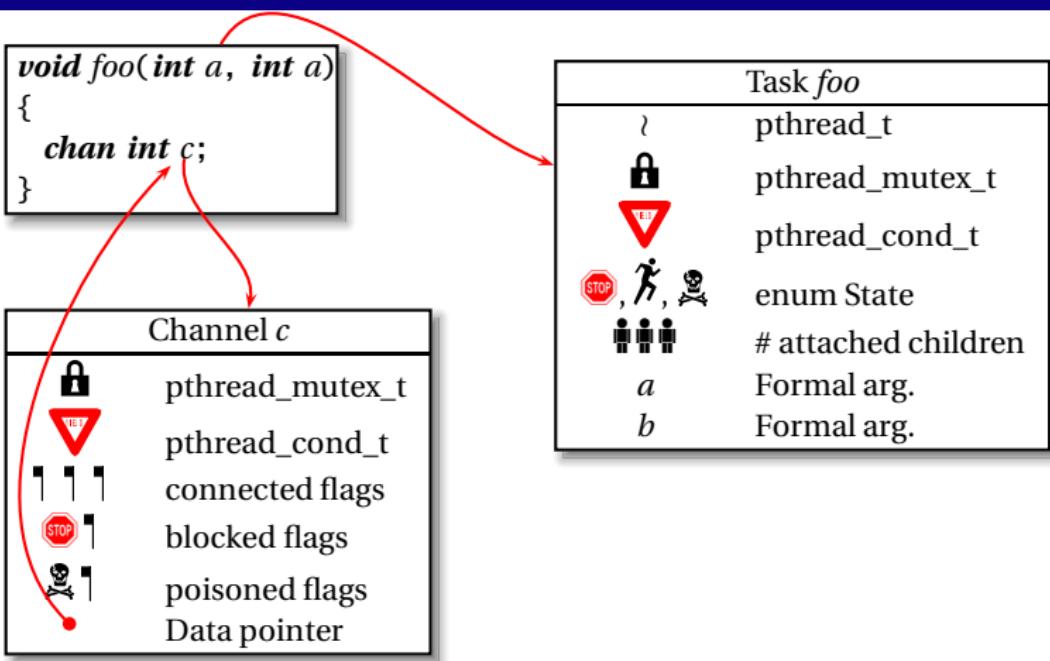
```
void foo(int a, int a)
{
    chan int c;
}
```

# Pthreads Compiler: Task and Channel Structures

```
void foo(int a, int a)
{
    chan int c;
}
```

| Task <i>foo</i> |                     |
|-----------------|---------------------|
|                 | pthread_t           |
|                 | pthread_mutex_t     |
|                 | pthread_cond_t      |
|                 | enum State          |
|                 | # attached children |
| <i>a</i>        | Formal arg.         |
| <i>b</i>        | Formal arg.         |

# Pthreads Compiler: Task and Channel Structures



# Pthreads Compiler: Task and Channel Structures

```
void foo(int a, int a)
{
    chan int c;
}
```

Channel *c*

|                 |
|-----------------|
| pthread_mutex_t |
| pthread_cond_t  |
| connected flags |
| blocked flags   |
| poisoned flags  |
| Data pointer    |

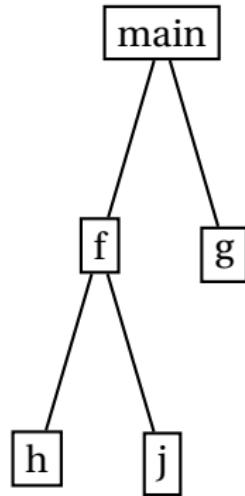
Task *foo*

|         |                     |
|---------|---------------------|
| l       | pthread_t           |
| LOCK    | pthread_mutex_t     |
| WAKE    | pthread_cond_t      |
| STOP    | enum State          |
| PEOPLES | # attached children |
| a       | Formal arg.         |
| b       | Formal arg.         |

```
void event_c() {
    if (c.connected == c.blocked) {
        // Communicate
    } else if (c.poisoned) {
        // Propagate exceptions
    }
}
```

# Code for *send A* in h()

```
pthread_mutex_lock(A.mutex); // Lock for channel A  
  
A.blocked |= (A_h|A_f|A_main);  
// Block ancestors, too.  
event_A(); // Communicate if possible  
  
while (A.blocked & A_h) { // Are we ready?  
    if (A.poisoned & A_h) { // Were we poisoned?  
        pthread_mutex_unlock(A.mutex);  
        goto _poisoned; // Handle exception  
    }  
    pthread_cond_wait(A.cond, A.mutex); // Yield  
}  
  
pthread_mutex_unlock(A.mutex);
```



# An Event Function

```
void event_A() {
    unsigned int can_die = 0, kill = 0;
    if(A.connected == A.blocked) { // Flags
        // Communicate
    } else if(A.poisoned) { // Propagate exceptions
        }
    }
}
```

# An Event Function

```
void event_A() {
    unsigned int can_die = 0, kill = 0;
    if(A.connected == A.blocked) { // Flags
        // Communicate
        A.blocked = 0;
        if(A.connected & A_g) *A.g = *A.main; // Unblock everybody
        if(A.connected & A_j) *A.j = *A.main; // Copy data
        pthread_cond_broadcast(A.cond); // Awaken blocked tasks
    } else if(A.poisoned) { // Propagate exceptions
        can_die |= blocked & (A_g|A_h|A_j);
        if(can_die & (A_h|A_j) == A.connected & (A_h|A_j)) can_die |= blocked & A_f; // Compute can_die
        if(A.poisoned & (A_f|A_g)) { // Compute kill
            kill |= A_g; if(can_die & A_f) kill |= (A_f|A_h|A_j);
        }
        if(A.poisoned & (A_h|A_j)) { kill |= A_h; kill |= A_j; } // Anybody to poison?
        if(kill &= can_die & ~A.poisoned) {
            pthread_mutex_unlock(A.mutex); // Poison g if necessary
            if(kill & A_g) {
                pthread_mutex_lock(g.mutex);
                g.state = POISON;
                pthread_mutex_unlock(g.mutex); }
            // also poison f, h, and j if in kill set...
            pthread_mutex_lock(A.mutex);
            A.poisoned |= kill; pthread_Cond_broadcast(A.cond);
        }
    }
}
```

# JPEG Experiment

21600 × 10800 .jpg file from NASA

Four-core Intel Xeon E5310

Sequential reference C code: .jpg to Sun rasterfile

Used the “pipelined” schedule

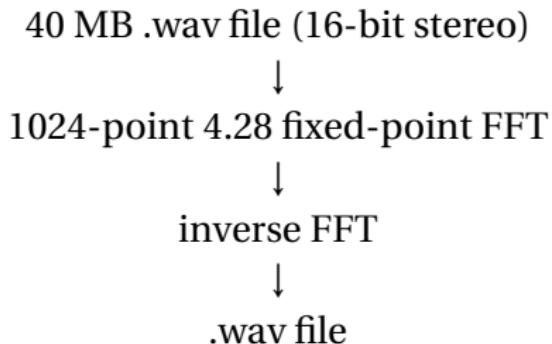
Measured speedup of 1–4 cores

Measured speedup of 1–5 IDCT tasks

# JPEG Results

| Cores | Tasks | Time | Total | Total/Time | Speedup   |
|-------|-------|------|-------|------------|-----------|
| 1     | 1     | 25s  | 20s   | 0.8        | 1.0×(def) |
| 1     | 1+3+1 | 24   | 24    | 1.0        | 1.04      |
| 2     | 1+3+1 | 13   | 24    | 1.8        | 1.9       |
| 3     | 1+3+1 | 11   | 24    | 2.2        | 2.3       |
| 4     | 1+3+1 | 8.7  | 25    | 2.9        | 2.9       |
| 4     | 1+1+1 | 16   | 24    | 1.5        | 1.6       |
| 4     | 1+2+1 | 9.3  | 25    | 2.7        | 2.7       |
| 4     | 1+3+1 | 8.7  | 25    | 2.9        | 2.9       |
| 4     | 1+4+1 | 8.2  | 25    | 3.05       | 3.05      |
| 4     | 1+5+1 | 8.6  | 25    | 2.9        | 2.9       |

# FFT Experiment (testing roundoff)



- Same hardware as JPEG (Xeon Quad-core)
- Baseline: sequential C from *Numerical Recipes*
- 1–4 cores, “pipelined” with 1 1024-sample block
- 1–4 cores, “pipelined” with 16 1024-sample blocks

# FFT Results

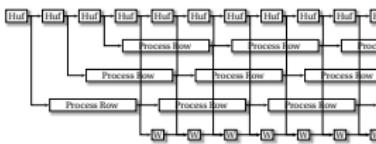
| Code            | Cores | Time | Total | Total/Time | Speedup                   |
|-----------------|-------|------|-------|------------|---------------------------|
| Handwritten C   | 1     | 2.0s | 2.0s  | 1.0        | $1.0 \times (\text{def})$ |
| Sequential SHIM | 1     | 2.1  | 2.1   | 1.0        | 0.95                      |
| Parallel SHIM   | 1     | 2.1  | 2.1   | 1.0        | 0.95                      |
| Parallel SHIM   | 2     | 1.3  | 2.0   | 1.5        | 1.5                       |
| Parallel SHIM   | 3     | 0.92 | 2.1   | 2.2        | 2.2                       |
| Parallel SHIM   | 4     | 0.86 | 2.1   | 2.4        | 2.3                       |
| Parallel 16     | 1     | 1.9  | 1.9   | 1.0        | 1.1                       |
| Parallel 16     | 2     | 1.0  | 1.9   | 1.9        | 2.0                       |
| Parallel 16     | 3     | 0.88 | 1.9   | 2.1        | 2.2                       |
| Parallel 16     | 4     | 0.6  | 1.9   | 3.2        | 3.3                       |

# Conclusions

- Scheduling-independent message passing language

# SHIM

- Exploring schedules interesting, safe



- Our compiler generates C code with pthreads calls



- Efficient: 3.05 and 3.3× speedups on a four-core

