

Last time: • Applic. of Occam's Razor  $\exists h \in \mathcal{H}$  then: learning sparse disj., using few examples, with hyp. that's a (pretty) sparse disj., via "greedy set cover" heuristic

- (start) proper vs improper learning:
  - "can efficiently improperly learn 3-term DNF;

Today:

- finish

- "can't eff. properly learn 3-term DNF  
(unless  $NP \subseteq RP$ ).

- start VC dimension unit ( $KV \text{ Chp. } 3$ )

Reminder: Midterm, in-person next Mon 10/23;  
closed-book, closed-note. (can cover stuff  
thru today)

Questions?

Let's finish:

can efficiently improperly learn 3-term DNF.

using 3-CNF hypotheses.

over  $\{0,1\}^n$

Recall Fact 1:  $N$ -var max. conj. eff. learnable,  
 $\approx \frac{1}{\epsilon}(N + \log \frac{1}{\delta})$  examples & runtime

Fact 2: any 3-term DNF is  $\equiv$  to some 3-CNF.

Combine facts to get  $\supseteq$ : ONF  $T_1 \cup T_2 \cup T_3$ , over  $x$ -lits.  
 $\equiv$  to a 3CNF, also over  $\$$ .

Reduce to learning  $N$ -var conj,  $N = \Theta(n^3)$ .

Target  $c$  (a 3-term ONF) is  $\stackrel{F2}{\equiv}$  to some

3-CNF over  $\{0,1\}^n$  : 1 of  $(l_1 \vee l_2 \vee l_3)$   
 lit over  $x_1, \dots, x_n$

$\leq 8n^3$  poss length-3 clauses

Define  $y_1, \dots, y_{N=8n^3}$  new vars, one for  
 each clause; e.g.

$$\underline{y_{1,2,4}} = \underline{(x_{1,3} \vee \bar{x}_{2,3} \vee x_4)} \quad \begin{array}{l} \text{3-term ONF} \\ \equiv \text{3-CNF} \end{array}$$

Explicitly convert each  $(x, c(x)) \sim EX(c, \mathcal{D})$  into

$$(y, \underline{c'(y)}) \quad \begin{array}{l} c' \text{ is a conj over} \\ \text{the } x_i \text{'s!} \end{array}$$

expanded  $8n^3$ -bit ver. of  $x$

dist. acc. to some  $\mathcal{D}'$  over  $\{0,1\}^{8n^3}$   
 (no prob!)

$\hookrightarrow$  PAC alg. succeeds  $\uparrow$  no matter the dist.

Run conj learning alg. over the  $x_i$ 's (over length-3  
 clauses on  
 or is  $x_i$ -lits.)

Get  $h(y)$  our hyp, w.p.  $1-\delta$  is  $\epsilon$ -acc. w.r.t  $\mathcal{D}'$ .  $\hookrightarrow$  a 3-CNF over orig  $x_i$ -literals.

Acc of this under  $\mathcal{D}' \equiv$

//  $h(y)$  under  $\mathcal{D}'$ .

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So... non-properly, 3-term DNF  
eff. learnable!

//  
     $\cup$  (using 3-CNF hyp's)

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|| Thus: Sps exists in eff.  $\xrightarrow{\text{time poly}(n, \frac{1}{\epsilon}, \frac{1}{\delta})}$  proper

PAC learning alg for 3-term DNF.

Then there exists an eff. rand. alg.  $\xrightarrow{(\text{poly}(n)-\text{time on } n\text{-node graphs})}$   
to solve GRAPH 3-COLORABILITY. Prob. doesn't exist...

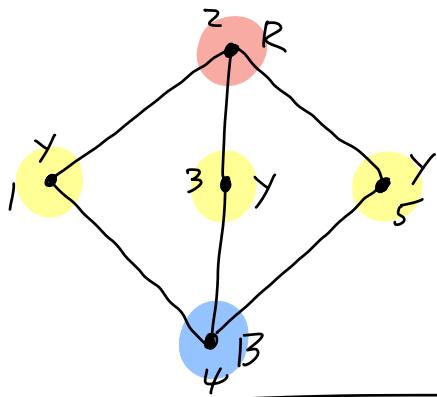
w.p. 99%, alg. correctly announces whether or not  $G$  is 3-colorable.

$\hookrightarrow$  G-3COL is NP-complete!

would mean  $NP \subseteq RP$

G-3COL : input:  $n$ -node graph  $G$

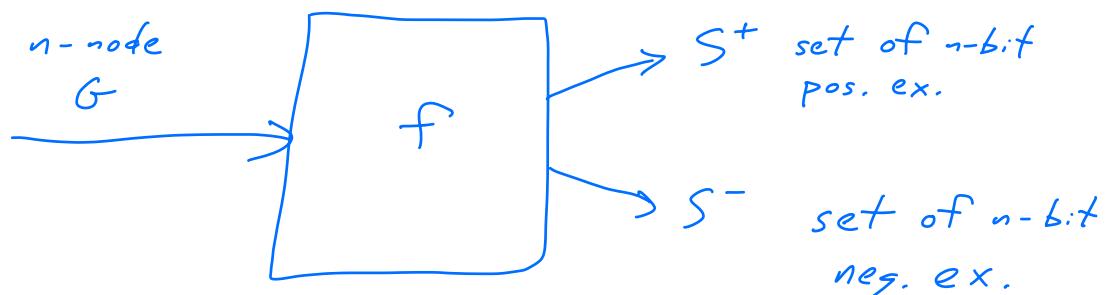
output: a legit coloring of  $G$ 's  $\xrightarrow{n \text{ nodes}}$  using 3 colors  $R, Y, B$  legit: no R-R edge,



no B-B edge,  
no Y-Y edge.

NP-complete: almost certainly no poly( $\neg$ )time  
(rand.) alg.

Key pf ingred: mapping  $f$ .  $S = S^+ \cup S^-$



$f$  is ① eff. computable ( $\text{poly}(n)$  time, so  $S$  is of  $\text{poly}(n)$  size)

②  $\nexists G$ ,

$G$  is 3-colorable  $\iff$  there exists some 3-term DNF lab. all ex in  $S^+$  as +,  
" " "  $S^-$  as -.

Assume for now we have  $f$ .

By assump. of thm, also have alg  $\textcircled{A}$ <sup>an</sup> eff. proper PAC learner for 3-term DNFs.

Here's our alg. to decide G3COL:

- input graph to alg:  $G$

The alg:

1) Apply  $f$  to  $G$  to get  $S = S^+ \cup S^-$

2) Let  $\mathcal{D}'$  be dist. over lab. ex. which is uniform

over  $S$ .  
Let  $\epsilon = \frac{1}{2|S|}$ . Let  $\delta = 0.01$

Run  $\textcircled{A}$  with examples from  $\mathcal{D}'$   
(note: we toss coins to sim. draws from  $\mathcal{D}'$ )

$\textcircled{A}$  outputs a 3-term DNF  $h$  (b/c it's proper).

3) Check whether  $h$  agrees with every lab. ex. in  $S$ .

If Y, output "G is 3-col."

If N, " " "G is not 3-col."

Eff?

Y

1)  $\text{poly}(G)$  time ( $f$ )

2) also  $\text{poly}(G)$  time:  $\epsilon = \frac{1}{\text{poly}(G)}$  b/c

$|S| \leq \text{poly}(n)$ ,  $\delta = \text{const}$ ,  $A$  eff.

3)  $\text{poly}(n)$  time.

Correct?

→  $S_{\text{ps}}$   $G$  is 3-colorable.

$\Rightarrow S$  is cons. w/ some 3-term DNF ( $f$ ).

so our sim of  $EX(c, \delta)$  for  $\textcircled{A}$  was valid "

so w.p.  $\geq 99\%$ ,  $h$  output by  $A$  is a 3-term DNF w/ error  $\leq \varepsilon = \frac{1}{2|S|}$ .

→ This  $h$  is perfect on  $S$ . (even 1 error would mean error rate  $> \frac{1}{|S|}$ )

so in 3) we say " $G$  is 3-col."

→  $S_{\text{ps}}$   $G$  is not 3-colorable.

$\Rightarrow S$  is not consist. w/ any 3-term DNF (f guaranteed)

So the 3-term DNF hyp  $h$  is surely not cons. w/  $S$ , so in step 3 we surely say " $G$  not 3-col."

Remains to give  $f$  & argue it has claimed properties.

Here's  $f$ :

on input  $G = (V, E)$  where

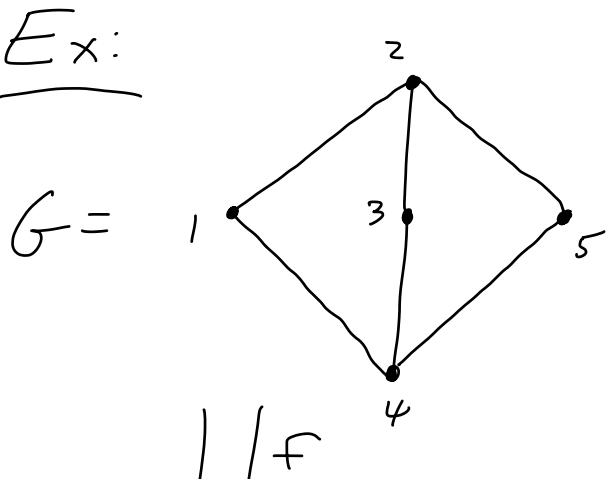
$V = \{1, \dots, n\}$ ,  $E = \text{bunch of } \{i, j\} \text{ pairs, } m \text{ many}$

output  $f(G)$  is  $(S^+, S^-)$  where

- $S^+ = n$   $n$ -bit examples,  $i \in \{0, 1\}^n$   
 $\downarrow$   
 vertices  
 $\overbrace{1 \dots 1 0 1 \dots 1}^n$   
 $\uparrow$   
 $i$ th one is  $\underbrace{\dots}_{\text{pos.}} \underbrace{1 0 1 \dots 1}_n$

- $S^- = m$   $n$ -bit examples,  $i \in \{0, 1\}^n$   
 $\downarrow$   
 corr. to edges;  
 each ex is in  $\{0, 1\}^n$   
 $\downarrow$   
 $i \quad j$   
 $\downarrow \quad \downarrow$   
 $\text{edge } \{i, j\} \iff \underbrace{1 \dots 1 0 1 \dots 1 0 1 \dots 1}_{n \text{ bits}}$

Ex:



$$\begin{array}{c}
 \Downarrow \\
 S^+ = \begin{array}{l}
 01111 + \\
 10111 + \\
 11011 + \\
 11101 + \\
 11110 +
 \end{array} \quad \left. \begin{array}{l} n= \\ 5 \text{ pos.} \\ \text{examples} \end{array} \right\} \\
 \left| \begin{array}{l}
 S^- = \begin{array}{l}
 00111 - \\
 01101 - \\
 10011 - \\
 10110 - \\
 11001 - \\
 11100 -
 \end{array} \quad \left. \begin{array}{l} m=6 \\ \text{neg ex.} \end{array} \right\}
 \end{array} \right.
 \end{array}$$

f easy to compute. ✓

To show:

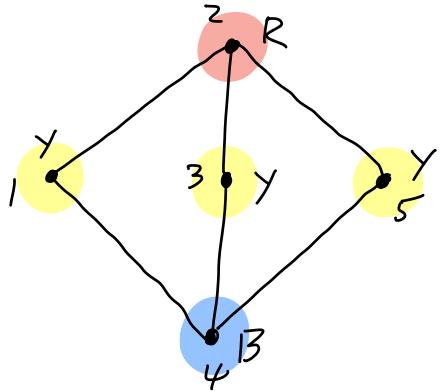
- (L1) If  $G$  is 3-colorable, then  $\exists$  3-term DNF cons. w/  $S^+$  &  $S^-$
- + (L2) If  $\exists$  3-term DNF cons. w/  $S^+$  &  $S^-$ , then  $G$  is 3-colorable.

Pf of (L1): Let  $R, B, Y$  be some legal 3-coloring of  $G$ .

Let  $T_R = \text{conj containing } x_i \text{ for every non-red vtx:}$

$$T_Y = | \quad | \quad x_i \quad | \quad | \quad \text{non-yellow} \quad ||$$

$T_B = " " " " " \text{ non-blue vtx } i.$



$$T_R = x_1 x_3 x_4 x_5$$

$$T_Y = x_2 x_4$$

$$\overline{T}_B = x_1 x_2 x_3 x_5 .$$

$\Gamma \equiv \text{view} : T_R \text{ is } \underline{\text{missing}} \text{ only red } x_i \text{'s, etc.}$

- Each ex in  $S^+$  is labeled + by  $T_R \cup T_Y \cup T_B$

consider  $i^{th}$  pos ex.  $1 \dots 1 \overset{i}{0} 1 \dots 1$

Say it's R in col. Then  $T_R$  is missing  $x_i$   
so ex sat.  $T_R \cup$

- Each ex. in  $S^-$  is lab. - by  $T_R \cup T_Y \cup T_B$ :

consider  $\{i, j\}$  ex  $1 \dots 1 \overset{i}{0} 1 \dots \overset{j}{0} 1 \dots 1$

Does this sat.  $T_R$ ? No - would mean  $T_R$   
missing  $x_i \neq x_j$ , ie  $i, j$  both R,  
but can't be since we had a legit col.

Same arg gives that  $T_B, T_Y$  also not sat by this ex.

so this is  $l_{ab}$  - by  $T_R \vee T_Y \vee T_B$ .



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Next time: (L2)

start unit on sample complexity of PAC learning.

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