Academic Department Instructions for Filing an Application for Doctor of Engineering Science Graduation

1. The department must complete the Application for the degree of Doctor of Engineering Science
   ♦ Complete sections #1-7
   ♦ Make sure the student signs the form at #6
   ♦ Make sure the department chair signs the form at #7
   ♦ Jump to section #9 and indicate the date when the dissertation was distributed to committee members (the dissertation must be forwarded to committee members to review 3 weeks prior to the date of the defense). In section #10, indicate the date of the defense and the names of all committee members. There must be 5 proposed committee members recommended by the sponsor who are full-time faculty at Columbia University. Not more than 3 committee members can be from the student’s department.

2. The sponsor must complete and sign the Program of Study for the Doctor of Engineering Science

3. The sponsor must complete the top section of the Voting Sheet

4. The department must submit the following materials to the Office of Graduate Student Affairs at least 3 weeks in advance of the scheduled defense
   ♦ Application for the degree of Doctor of Engineering Science
   ♦ Program of Study form with a copy of the student's academic transcript
   ♦ Voting Sheet

5. The Assistant Dean will review the Program of Study form and academic transcript. If approved, the Assistant Dean will sign section #8 and forward the application to the Vice Dean.

WHAT HAPPENS NEXT???

The Vice Dean will review and approve the recommended committee. The application will be returned from the office of the Vice Dean to the academic department for use on the day of the defense for committee members to sign.

ON THE DAY OF THE DEFENSE

After the defense presentation, the following signatures must be obtained:
   ♦ Each committee member signs the voting sheet in the appropriate column.
   ♦ The Chairperson of the Examining Committee signs at the bottom of the voting sheet.
   ♦ If the student satisfactorily passes, the Chairperson of the Examining Committee signs section #11 on the application for the degree.

AFTER THE DEFENSE

The academic department makes 2 copies of all completed paperwork. The academic department retains one copy and forwards the second copy to the Graduate School of Arts and Science Dissertation office in 107 Low Memorial Library for graduation preparation and depositing the student’s dissertation. The department submits the ORIGINAL paperwork to the Office of Graduate Student Affairs in 530 S.W. Mudd.
Columbia University in the City of New York
The Fu Foundation School of Engineering and Applied Science

Application for the degree of Doctor of Engineering Science

1. Name:
   First name       Middle       Name       Last       Name

   Your name as you would like it to appear on the diploma:

2. Columbia I.D. Number:

3. Department:

4. Address:
   Before graduation:

   After graduation:

5. Degrees already received:
   Title of degree       Institution       Date

6. Statement by Student:
   I apply for the final examination for the degree of Doctor of Engineering Science.

   Student Candidate Signature       Date

   ** Please note that the dissertation must be deposited within six months of the defense.**
7. Statement by the Department:
On behalf of the Department of ______________________________________________
I certify that the applicant has satisfied all examinations as required, prior to the defense of the dissertation. The applicant has passed the following departmental examinations:

____________________________________________________ Date: _____________
____________________________________________________ Date: _____________
____________________________________________________ Date: _____________

I recommend that the candidate be admitted to dissertation defense, and certify that the candidate has prepared a dissertation approved by the dissertation advisor with the following title:

________________________________________________________________________
________________________________________________________________________

____________________________________________________ __________________
Department Chair        Date

8. Statement by the Dean of Students:
I certify that the applicant has satisfied all the following requirements:
♦ credits of course work (minimum of 30 within the School beyond the M.S. degree)
♦ Plus 12 credits of Doctoral Research Instruction (RSCH 9800)
♦ Attached an approved DES program of study
♦ Completed within the seven year requirement
♦ Passed the ALP English Proficiency test at a Level 10

____________________________________________________ __________________
Dean of Students       Date

9. When was the dissertation distributed to committee members for review? _______________

10. I admit the candidate to dissertation defense by the following committee on:
Date: ________________ Location: ___________________ Time: ____________

Name    Department    Address    Phone
Chair: __________________________________________________________________
Sponsor: ________________________________________________________________
________________________________________________________________________
________________________________________________________________________
________________________________________________________________________

____________________________________________________ __________________
Dean          Date

11. I certify that the candidate has satisfactorily passed the final examination:

Chairperson of the Examining Committee       Date
Program of Study
Doctor of Engineering Science

Department: ______________________________

Date submitted: __________

Name of Student: __________________________________ ID: _______________

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TOTAL POINTS: __________

Approved by:

Departmental Advisor: _______________________  Dean: _______________________

Date: ____________________    Date: ____________________
Voting Sheet

To the Dean of the School of Engineering and Applied Science:

The oral examination of ______________________________________________ for the degree of Doctor of Engineering Science, held today, resulted as follows:

Major: _____________________________________________
Defense of thesis: _____________________________________________

Examiners present and voting **AYE** without reservation or subject only to minor revisions on the question of recommendation for the degree:

| _______________ | _______________ | _______________ |
| _______________ | _______________ | _______________ |
| _______________ | _______________ | _______________ |
| _______________ | _______________ | _______________ |
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| _______________ | _______________ | _______________ |

Examiners present and voting **AYE** subject to substantial revision, the satisfactory completion of which is to be later certified to the Dean in writing by a committee consisting of:

| _______________ | _______________ | _______________ |
| _______________ | _______________ | _______________ |
| _______________ | _______________ | _______________ |
| _______________ | _______________ | _______________ |
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Examiners present and voting **NO** on the question of recommendation:

| _______________ | _______________ | _______________ |
| _______________ | _______________ | _______________ |
| _______________ | _______________ | _______________ |
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Date: ____________________ Signed: _____________________________________________

Chairperson