

# W3203

## Discrete Mathematics

### Number Theory

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# Outline

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- Communication, encryption
- Number system
- Divisibility
- Prime numbers
- Greatest Common Divisor (GCD)
- Euclidean Algorithm
- Modular Arithmetic
- Euler's totient function
- RSA cryptosystem
- Text: Rosen 4
- Text: Lehman 8

# Private Communication in Public

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- The Problem:
  - Alice (A) wants to tell Bob (B) a military secret. But the enemy (E) is listening to their conversation
  - Can they communicate with each other without revealing the secret to the enemy?
- General approach:
  - Communicate in secret code
  - A & B agree on a procedure to encrypt messages
  - The receiver (B) has a procedure to decrypt the message
  - The enemy (E) should not be able to deduce the decryption procedure

# Encryption

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- Goal: create a secret code (cipher)
  1. **Monographic substitution**: permute alphabet, replace each letter by substitute
  2. **Shift cipher**: represent letters as numbers, shift all letters by some integer, replace with new numbers
- Shift cipher:
  - $\{A, B, C, \dots, Y, Z\} \rightarrow \{0, 1, 2, \dots, 24, 25\}$
  - $\{0, 1, 2, \dots, 24, 25\} \rightarrow \{3, 4, 5, \dots, 1, 2\}$
  - $\{3, 4, 5, \dots, 1, 2\} \rightarrow \{D, E, F, \dots, B, C\}$
  - To decrypt (recover the original), shift back by the same #

# Shift Cipher (example)

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- Shift cipher:
  - Numbers:  $\{A, B, C, \dots, Y, Z\} \rightarrow \{0, 1, 2, \dots, 24, 25\}$
  - Shift:  $\{0, 1, 2, \dots, 24, 25\} \rightarrow \{3, 4, 5, \dots, 1, 2\}$
  - Letters:  $\{3, 4, 5, \dots, 1, 2\} \rightarrow \{D, E, F, \dots, B, C\}$
- Example: encrypt the message
  1. "MEET YOU IN THE PARK"
  2. "12 4 4 19 24 14 20 8 13 19 7 4 15 0 17 10"
  3. "15 7 7 22 1 17 23 11 16 22 10 7 18 3 20 13"
  4. "PHHW BRX LQ WKH SDUN"

# Breaking the Code (1)

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- Can we discover the message without knowing the encryption method and “key”?
  - Complicated cipher? Difficult to use!
  - Simple cipher? Can't hide patterns!
  - General knowledge can help: relative frequencies of letters
  - Enemy may have access to multiple messages
  - Decryption is computationally feasible

# Number System

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DEF: The ***natural numbers*** are a mathematical system

$$\{\mathbb{N}, 0 \in \mathbb{N}, s : \mathbb{N} \rightarrow \mathbb{N}\}$$

with a number **zero** 0 and a **successor** operation  $s : \mathbb{N} \rightarrow \mathbb{N}$  such that

(1)  $(\nexists n) [0 = s(n)]$ .

Zero is not the successor of any number.

(2)  $(\forall m, n \in \mathbb{N}) [m \neq n \Rightarrow s(m) \neq s(n)]$ .

Different numbers cannot have the same successor.

(3) Given a subset  $S \subseteq \mathbb{N}$  with  $0 \in S$

$$\text{if } (\forall n \in S) [s(n) \in S] \text{ then } S = \mathbb{N}$$

# Arithmetic Operations

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DEF: The ***predecessor*** of a natural number  $n$  is a number  $m$  such that  $s(m) = n$ .

NOTATION:  $p(n)$ .

DEF: ***Addition*** of natural numbers.

$$n + m = \begin{cases} n & \text{if } m = 0 \\ s(n) + p(m) & \text{otherwise} \end{cases}$$

DEF: ***Ordering*** of natural numbers.

$$n \geq m \text{ means } \begin{cases} m = 0 & \text{or} \\ p(n) \geq p(m) \end{cases}$$

DEF: ***Multiplication*** of natural numbers.

$$n \times m = \begin{cases} 0 & \text{if } m = 0 \\ n + n \times p(m) & \text{otherwise} \end{cases}$$



# Division

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- Definition: let  $n$  and  $d$  be integers with  $d \neq 0$ . If there exists an integer  $q$  such that  $n = dq$ , then  $d$  divides  $n$ 
  - $d$  is a factor or (proper) divisor of  $n$
  - $n$  is a multiple of  $d$
  - Notation:  $d \mid n$        $d \nmid n$
  - Facts:  $n \mid 0$      $n \mid n$      $1 \mid n$

# Properties of Divisibility

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- Properties:

Let  $a$ ,  $b$ , and  $c$  be integers with  $a \neq 0$

1. If  $a \mid b$  and  $a \mid c$  then  $a \mid (b+c)$
2. If  $a \mid b$  and  $b \mid c$  then  $a \mid c$
3. If  $a \mid b$  and  $a \mid c$  then  $a \mid (sb + tc)$  for all integers  $s, t$

- Proof of part (3):

- a. By definition,  $\exists k_1, k_2 \in \mathbb{Z} : ak_1 = b$  and  $ak_2 = c$
- b. It follows that,  $sb + tc = s(ak_1) + t(ak_2) = a(sk_1 + tk_2)$
- c.  $sk_1 + tk_2 \in \mathbb{Z} \rightarrow a \mid (sb + tc)$

# Division Theorem

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- Let  $n \in \mathbb{Z}$ ,  $d \in \mathbb{Z}^+$ , then there are unique nonnegative integers  $q$  and  $r < d$ , such that  $n = dq + r$ 
  - $d$  is called the *divisor*
  - $n$  is called the *dividend*
  - $q$  is called the *quotient*
  - $r$  is called the *remainder*
  - $r = n \bmod d$

# Modular Arithmetic

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- Definition: let  $b$  and  $n > 0$  be integers. Then  $b \bmod n$  is the residue (remainder) of dividing  $b$  by  $n$ .
- Definition: if  $a$ ,  $b$ , and  $n > 0$  be integers. Then  $a$  is *congruent to  $b$  modulo  $n$*  if  $n$  divides  $a - b$
- Notation:
  - $a \equiv b \pmod{n}$
  - $a \equiv_{\text{mod } n} b$
  - $a \not\equiv b \pmod{n}$
- Congruence modulo  $n$  defines a partition of the integers into  $n$  sets so that congruent numbers are all in the same set

# Shift Cipher (functions)

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- Shift cipher: letters shifted by some integer ( $k$ )
  - Numbers:  $\{A, B, C, \dots, Y, Z\} \rightarrow \{0, 1, 2, \dots, 24, 25\}$
  - Shift:  $\{0, 1, 2, \dots, 24, 25\} \rightarrow \{3, 4, 5, \dots, 1, 2\}$
  - Letters:  $\{3, 4, 5, \dots, 1, 2\} \rightarrow \{D, E, F, \dots, B, C\}$
- Encryption / Decryption functions ( $k$  is the key):
  - $f(p) = (p + k) \bmod 26$
  - $f^{-1}(p) = (p - k) \bmod 26$

# Linear Combination

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- An integer  $n$  is a **linear combination** of numbers  $b_0, \dots, b_k$  iff  $n = c_0b_0 + c_1b_1 + \dots + c_kb_k$  for some integers  $\{c_0, \dots, c_k\}$
- Application: represent numbers using a linear combination to improve efficiency of algorithms
- Common representation: decimal, or **base** 10
- We can represent numbers using any base  $b$ , where  $b$  is a positive integer greater than 1
- The bases  $b = 2$  (binary),  $b = 8$  (octal), and  $b = 16$  (hexadecimal) are important for computing and communications
- Example:  $965 = 9 \cdot 10^2 + 6 \cdot 10^1 + 5 \cdot 10^0$

# Base b Representations

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- **Theorem:** let  $b$  be a positive integer greater than 1. Then if  $n$  is a positive integer, it can be expressed uniquely in the form:

$$n = a_k b^k + a_{k-1} b^{k-1} + \dots + a_1 b + a_0$$

where  $k$  is a nonnegative integer,  $a_0, a_1, \dots, a_k$  are nonnegative integers less than  $b$ , and  $a_k \neq 0$ .

The  $a_j, j = 0, \dots, k$  are called the base- $b$  digits of the representation

- Example:  $965 = 9 \cdot 10^2 + 6 \cdot 10^1 + 5 \cdot 10^0$

# Base b Expansions (examples)

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- What is the decimal expansion given the binary expansion?
  - $(1\ 0101\ 1111)_2 = 1 \cdot 2^8 + 0 \cdot 2^7 + 1 \cdot 2^6 + 0 \cdot 2^5 + 1 \cdot 2^4 + 1 \cdot 2^3 + 1 \cdot 2^2 + 1 \cdot 2^1 + 1 \cdot 2^0 = 351$
- What is the “decimal given binary” expansion?
  - $(11011)_2 = 1 \cdot 2^4 + 1 \cdot 2^3 + 0 \cdot 2^2 + 1 \cdot 2^1 + 1 \cdot 2^0 = 27$
- What is the “decimal given octal” expansion?
  - $(7016)_8 = 7 \cdot 8^3 + 0 \cdot 8^2 + 1 \cdot 8^1 + 6 \cdot 8^0 = 3598$
- What is the “octal given decimal” expansion?
  - $(12345)_{10} = (30071)_8$



# Turing's Code (not really)

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- Approach:
  - Convert message from letters to positive integers (e.g. standard ASCII code)
  - Combine separate numbers into one large integer **M**
  - Pad the result (**M**) with more digits to make a prime number (**p**)
  - Multiply **p** by a large prime number **k** (a secret key agreed to beforehand but unknown to the enemy)
  - Send message **M**<sup>\*</sup> = **p** x **k**
  - Receiver decrypts message by computing **p** = **M**<sup>\*</sup> / **k**, and deducing the words from the sequence of letters (**M**)

# Turing's Code (example)

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- Example:

1. *Translate*: {A, B, C, ... , Y, Z}  $\rightarrow$  {01, 02, 03, ... , 25, 26}

2. *Message*: “victory”  $\rightarrow$  {22 09 03 20 15 18 25}

3. *Pad to prime*:

4. {22 09 03 20 15 18 25}  $\rightarrow$  2209032015182513

5. *Secret key*: **k** = 22801763489

6.  **$M^* = p \times k$**

= 2209032015182513  $\times$  22801763489

= 50369825549820718594667857

# Prime Numbers

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- Definition: a positive integer  $p > 1$  is called *prime* if the only positive factors of  $p$  are 1 and  $p$ . A positive integer  $> 1$  which is not prime is called *composite*
- Prime questions:
  - How many primes are there?
  - Can we efficiently determine whether a number is prime?
  - What is the distribution of prime numbers?
  - How can we generate large primes?
  - Can we efficiently factor composite numbers into their prime factorizations?

# How Many Primes?

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- Theorem: there are infinitely many primes.
- Proof:
  - Suppose there are finitely many primes:  $\{p_1, \dots, p_k\}$
  - Let  $q = p_1 p_2 \cdots p_k + 1$
  - Either  $q$  is prime or it is composite (product of primes)
  - By assumption it is composite
  - But none of the primes  $p_j$  divides  $q$  since if  $p_j \mid q$ , then  $p_j$  divides  $q - p_1 p_2 \cdots p_k = 1$
  - Hence, there is a prime not on the list  $\{p_1, \dots, p_k\}$  which is a prime factor of  $q$
  - Contradiction!

# Prime Factorization

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- **Fundamental Theorem of Arithmetic:** every positive integer is a product of a **unique** *weakly decreasing sequence* of primes (*prime factorization*).
- **Proof idea:**
  - Assume the factorization is not unique
  - Define two sequences (for both, the product equals  $n$ )
  - Compare the largest prime factor in each sequence
  - w.l.o.g, you can divide  $n$  by the larger of these (call it ' $f$ ')
  - Derive contradiction with the fact that ' $f$ ' is the largest prime factor

# Primality Testing

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- Given an integer  $n$ , is it prime?
- Naive Algorithm: for each  $d \in [2, n-1]$ , if  $d \mid n$ , then stop and return “FALSE”
- Less Naive Algorithm: for each  $d \in [2, \sqrt{n}]$ , if  $d \mid n$ , then stop and return “FALSE”
- *Probabilistic test*: gives the right answer when applied to any prime number, but has some (very tiny) probability of giving a wrong answer on a nonprime number

# Distribution of Primes

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- Primes show up erratically, but we can give an *asymptotic* estimate for the number of primes not exceeding some integer  $n$
- ***Prime Number Theorem***: the ratio of the number of primes  $\pi(n)$  not exceeding  $n$  and  $n/\ln n$  approaches 1 as  $n$  grows without bound.

$$\lim_{n \rightarrow \infty} \frac{\pi(n)}{n / \ln n} = 1.$$

- As a rule of thumb, about 1 integer out of every  $\ln n$  in the vicinity of  $n$  is a prime (odds of random selection)

# Breaking the Code (2)

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- Can we discover the message without knowing the “key”?
  - Recovering the original message requires factoring a very large number into its prime factors
  - Conjecture: there is no computationally efficient procedure for prime factorization
  - But enemy may have access to multiple messages!
  - Message 1:  $\mathbf{M}_1^* = \mathbf{p}_1 \times \mathbf{k}$       Message 2:  $\mathbf{M}_2^* = \mathbf{p}_2 \times \mathbf{k}$
  - The key ( $\mathbf{k}$ ) divides both  $\mathbf{M}_1^*, \mathbf{M}_2^*$
  - Compute the greatest common divisor of  $\mathbf{M}_1^*, \mathbf{M}_2^*$



# Greatest Common Divisor

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- Definition: let  $a$  and  $b$  be integers, not both zero. The largest integer  $d$  such that  $d \mid a$  and also  $d \mid b$  is called the *greatest common divisor* of  $a$  and  $b$ , denoted by  $\gcd(a,b)$
- Examples:
  - $\gcd(24,36) = 12$
  - $\gcd(17,22) = 1$
  - $\gcd(n, 0) = n$
- Definition: the integers  $a$  and  $b$  are *relatively prime* if their gcd is 1,  $a \perp b$

# Computing the GCD

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## **Algo 4.3.4: Primepower GCD Algorithm**

*Input:* integers  $m \leq n$  not both zero

*Output:*  $\gcd(m, n)$

(1) Factor  $m = p_1^{a_1} p_2^{a_2} \cdots p_r^{a_r}$  into prime powers.

(2) Factor  $n = p_1^{b_1} p_2^{b_2} \cdots p_r^{b_r}$  into prime powers.

(3)  $g := p_1^{\min(a_1, b_1)} p_2^{\min(a_2, b_2)} \cdots p_r^{\min(a_r, b_r)}$

**Return**  $(g)$

# Least Common Multiple

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- Definition: let  $a$  and  $b$  be positive integers. The least common multiple of  $a$  and  $b$  is the smallest positive integer that is divisible by both  $a$  and  $b$ , denoted by  $\text{lcm}(a,b)$

$$\text{lcm}(a,b) = p_1^{\max(a_1,b_1)} p_2^{\max(a_2,b_2)} \cdots p_n^{\max(a_n,b_n)}$$

- Example:  $\text{lcm}(2^3 3^5 7^2, 2^4 3^3) = 2^{\max(3,4)} 3^{\max(5,3)} 7^{\max(2,0)}$   
 $= 2^4 3^5 7^2$
- Fact:  $ab = \text{gcd}(a,b) \cdot \text{lcm}(a,b)$

# Euclid's Observation

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- Observation: let  $a = bq + r$ , where  $a$ ,  $b \neq 0$ ,  $q$ , and  $r$  are integers. Then,  $\gcd(a, b) = \gcd(b, r)$
- Proof:
  - a. By definition,  $a$  is a linear combination of  $b$  and  $r$ . Likewise,  $r$  is a linear combination,  $a - qb$ , of  $a$  and  $b$ .
  - b. It follows that any divisor of  $b$  and  $r$  is a divisor of  $a$ . Any divisor of  $a$  and  $b$  is a divisor of  $r$ .
  - c. It follows that  $a$  and  $b$  have the same common divisors as  $b$  and  $r$ .
  - d. Hence they have the same *greatest* common divisor  $\gcd(a, b) = \gcd(b, r) = \gcd(b, a \bmod b)$

# Euclidean Algorithm

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## **Algo 4.3.5: Euclidean Algorithm**

*Input:* positive integers  $m \geq 0, n > 0$

*Output:*  $\gcd(n, m)$

**If**  $m = 0$  **then return**  $(n)$   
**else return**  $\gcd(m, n \bmod m)$

$$\begin{aligned}\gcd(210, 111) &= \gcd(111, 210 \bmod 111) = \\ \gcd(111, 99) &= \gcd(99, 111 \bmod 99) = \\ \gcd(99, 12) &= \gcd(12, 99 \bmod 12) = \\ \gcd(12, 3) &= \gcd(3, 12 \bmod 3) = \\ \gcd(3, 0) &= 3\end{aligned}$$

# Euclidean Algorithm (example)

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## **Example 4.3.6:** Euclidean Algorithm

$$\gcd(42, 26) = \gcd(26, 42 \bmod 26) =$$

$$\gcd(26, 16) = \gcd(16, 26 \bmod 16) =$$

$$\gcd(16, 10) = \gcd(10, 16 \bmod 10) =$$

$$\gcd(10, 6) = \gcd(6, 10 \bmod 6) =$$

$$\gcd(6, 4) = \gcd(4, 6 \bmod 4) =$$

$$\gcd(4, 2) = \gcd(2, 4 \bmod 2) =$$

$$\gcd(2, 0) = 2$$

# Extended Euclidean Algorithm

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- The greatest common divisor of  $a$  and  $b$  is a linear combination of  $a$  and  $b$ . That is, for some integers  $s$  and  $t$  (*Bézout coefficients*):  **$\gcd(a,b) = sa + tb$**
- How do you determine  $s$  and  $t$ ?

$$a = r_0 \quad b = r_1$$

$$r_0 = r_1 q_1 + r_2 \quad 0 \leq r_2 < r_1,$$

$$r_1 = r_2 q_2 + r_3 \quad 0 \leq r_3 < r_2,$$

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$$r_{n-2} = r_{n-1} q_{n-1} + r_n \quad 0 \leq r_n < r_{n-1},$$

$$r_{n-1} = r_n q_n.$$

$$\gcd(a,b) = r_n$$

$$r_n = r_{n-2} - r_{n-1} q_{n-1}$$

$$r_{n-1} = r_{n-3} - r_{n-2} q_{n-2}$$

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$$r_3 = r_1 - r_2 q_2 = b - (a - b q_1) q_2$$

$$r_2 = r_0 - r_1 q_1 = a - b q_1$$

# Extended Euclidean Algorithm (example)

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- $\text{gcd}(a,b) = sa + tb$

- Example:  $\text{gcd}(259,70)$

$$259 = 70 \times 3 + 49$$

$$70 = 49 \times 1 + 21$$

$$49 = 259 - 70 \times 3$$

$$21 = 70 - 49 \times 1$$

$$= 70 - (259 - 70 \times 3) \times 1$$

$$= -(259 \times 1) + (70 \times 4)$$

$$49 = 21 \times 2 + 7$$

$$7 = 49 - 21 \times 2$$

$$= (259 - 70 \times 3) - [-(259 \times 1) + (70 \times 4)] \times 2$$

$$= [3 \times 259] - [11 \times 70]$$

$$21 = 7 \times 3$$



# Turing's Code (better idea)

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- Approach:
  - Convert message to into one large integer **M** and pad to make a prime number **p**
  - Choose a large prime number **n** > p (n can be made public)
  - Multiply **p** by a large prime number **k** < n (k is a secret key)
  - Send message **M\* = (p x k) mod n**
  - ~~Receiver decrypts message by computing **p = M\* / k**~~
  - Decryption is a problem! Must compute “inverse mod n”

# Turing's Code (example)

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- Example 1:

1. *Message:*  $p = 5$

2. *Large prime:*  $n = 17$

*Secret key:*  $k = 13$

3.  **$M^* = (p \times k) \bmod n$**   
=  $65 \bmod 17$   
= 14

- Example 2:

1. *Message:*  $p = 7$

2. *Large prime:*  $n = 17$

*Secret key:*  $k = 13$

3.  **$M^* = (p \times k) \bmod n$**   
=  $91 \bmod 17$   
= 6

# Multiplicative Inverse

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- Definition: the *multiplicative inverse* of a number  $x$  is another number  $x^{-1}$  such that:  $x^{-1}x = 1$ 
  - Except 0, every rational number  $n / m$  has an inverse, namely,  $m/n$ .
  - Over the integers, only 1 and -1 have inverses
- What about modular arithmetic (“ring  $Z_n$ ”)?:
  - $(2 \cdot 8) \bmod 15 = 2 \cdot_n 8 = 1$
  - $(? \cdot 3) \bmod 15 = ? \cdot_n 3 = 1$
  - Some numbers have inverses modulo 15 and others don't

# Modular Arithmetic Rules

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1.  $a \equiv \text{rem}(a, n) \pmod{n}$        $a \equiv_{\text{mod } n} \text{rem}(a, n)$

2. If  $a \equiv_{\text{mod } n} b$  and  $c \equiv_{\text{mod } n} d$ , then

I.  $a + c \equiv_{\text{mod } n} b + d$

II.  $ac \equiv_{\text{mod } n} bd$

# Modular Arithmetic Rules (2)

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1.  $a \equiv \text{rem}(a, n) \pmod{n}$        $a \equiv_{\text{mod } n} \text{rem}(a, n)$
2. If  $a \equiv_{\text{mod } n} b$  and  $c \equiv_{\text{mod } n} d$ , then
  - I.  $a + c \equiv_{\text{mod } n} b + d$
  - II.  $ac \equiv_{\text{mod } n} bd$
3. Define operations in  $\mathbb{Z}_n$ :  $\cdot_n +_n$ 
  - $a +_n b ::= \text{rem}(a + b, n)$        $a \cdot_n b ::= \text{rem}(a \cdot b, n)$
  - 1.  $(a + b) \bmod n = [(a \bmod n) + (b \bmod n)] \bmod n$   
 **$\text{rem}(a + b, n) = \text{rem}(a, n) +_n \text{rem}(b, n)$**
  - 2.  $(ab) \bmod n = [(a \bmod n) \cdot (b \bmod n)] \bmod n$   
 **$\text{rem}(ab, n) = \text{rem}(a, n) \cdot_n \text{rem}(b, n)$**

# Modular Arithmetic (example)

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- Find:  $\text{rem}((44427^{3456789} + 15555858^{5555})403^{6666666}, 36)$ .

- Use rules:

1.  $\text{rem}(a + b, n) = \text{rem}(a, n) +_n \text{rem}(b, n)$

2.  $\text{rem}(ab, n) = \text{rem}(a, n) \cdot_n \text{rem}(b, n)$

- Simplify:

$$\text{rem}(44427, 36) = 3, \text{rem}(15555858, 36) = 6, \text{rem}(403, 36) = 7$$

$$(3^{3456789} + 6^{5555})7^{6666666}$$

$$(3^3 + 6^2 \cdot 6^{5553})(7^6)^{1111111}$$

$$(3^3 + 0 \cdot 6^{5553})1^{1111111}$$

$$= 27.$$

# Inverse in $\mathbb{Z}_n$

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- Definition: the *multiplicative inverse* of a number  $x$  is another number  $x^{-1}$  such that:  $x^{-1}x = 1$
- What about modular arithmetic (“ring  $\mathbb{Z}_n$ ”)?
  - $x \cdot a \equiv 1 \pmod n$ 
    - $\rightarrow xa - qn = 1$
    - $\rightarrow \gcd(a, n) = 1$
  - Conclusion: for a number ( $a$ ) to have an inverse in  $\mathbb{Z}_n$ ,  $a$  must be relatively prime to  $n$

# Turing's Code (Decryption)

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- Approach:

- Convert message to into one large integer **M** and pad to make a prime number **p**
- Choose a large prime number **n** > p (n can be made public)
- Multiply **p** by a large prime number **k** < n (k is a secret key)
- Send message **M\* = (pk) mod n**
- Receiver decrypts message by computing the  $\mathbb{Z}_n$ -inverse **j** of the key **k** using the extended Euclidean algorithm:

$$M^* \cdot_n j = (p \cdot_n k) \cdot_n j = p \cdot_n (k \cdot_n j) = p \cdot_n 1 = p$$



# Breaking the Code (3)

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- Can we discover the message without knowing the key?
  - Enemy may have access to multiple messages. No problem, we are working in  $\mathbb{Z}_n$
  - Suppose the enemy knows both the message (plaintext),  $\mathbf{M}$ , and its encrypted form,  $\mathbf{M}^*$
  - Enemy carries out a *known-plaintext attack!*
  - $\mathbf{M}^* = \mathbf{p} \cdot_n \mathbf{k}$        $n > p$      $n > k$
  - Using the extended Euclidean algorithm, enemy computes the  $\mathbb{Z}_n$ -inverse  $\mathbf{j}$  of  $\mathbf{p}$  and obtain the secret key:

$$\mathbf{j} \cdot_n \mathbf{M}^* = \mathbf{j} \cdot_n (\mathbf{p} \cdot_n \mathbf{k}) = (\mathbf{j} \cdot_n \mathbf{p}) \cdot_n \mathbf{k} = 1 \cdot_n \mathbf{k} = \mathbf{k}$$

# Public Key Cryptography

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- Approach:
  - Convert message into one large integer **M**
  - The receiver privately creates a pair of functions: **E** to encrypt the message, and **D** to decrypt the message, such that **D[ E(M) ] = M**
  - Receiver publicly reveals the function **E**
  - Message is sent: **M\* = E(M)**
  - Enemy can see **M\*** and knows **E** but can't determine **D**

# RSA (idea)

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- A public key cryptosystem was introduced in 1976 by three researchers at MIT: Rivest, Shamir, Adelman
- Idea:
  - Convert message into one large integer **M**
  - Receiver finds two large primes **p, q** (using probabilistic primality tests) and calculates their product  **$n = pq$  ( $n > M$ )**
  - Receiver finds two integers **e, d** and creates a pair of functions:  
$$E(M) = M^e \bmod n \quad \text{to encrypt the message}$$
$$D(M^*) = (M^*)^d \bmod n \quad \text{to decrypt the message}$$
  - Receiver publicly reveals **E (n & e)**
  - Message is sent:  **$M^* = E(M)$**
  - Enemy can see  **$M^*$**  and knows **E** but can't determine **d**

# RSA (setup)

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- Idea:

- Convert message into one large integer  $M$
- Receiver finds two large primes  $p, q$ , their product,  $n = pq$
- Receiver finds two integers  $e, d$  and creates a pair of functions:

$$E(M) = M^e \bmod n \quad \text{to encrypt the message}$$

$$D(M^*) = (M^*)^d \bmod n \quad \text{to decrypt the message}$$

- Receiver publicly reveals  $E$  ( $n$  &  $e$ )
- Message is sent:  $M^* = E(M)$
- Enemy can see  $M^*$  and knows  $E$  but can't determine  $d$
- System only works if:  $D(M^*) = D[E(M)] = M$
- $D[E(M)] = D(M^e) = (M^e)^d = M^{ed} = M$  working in  $Z_n$

# Euler's Totient Function

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- Definition: let  $\varphi(n)$  be defined as the number of integers in  $[0, n)$  that are relatively prime to  $n > 0$ .
- Examples:
  - $\phi(12) = 4$        $\{1, 5, 7, 11\}$
  - $\phi(7) = 6$        $\{1, 2, 3, 4, 5, 6\}$
  - $\phi(11) = 10$
- Rules:
  1. If  $p$  is prime,  $\phi(p) = p - 1$
  2. If  $p \neq q$  are both primes,  $\phi(pq) = (p - 1)(q - 1)$
  3. If  $a$  and  $b$  are relatively prime,  $\phi(ab) = \phi(a)\phi(b)$

# Euler's Theorem

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- Definition: let  $\varphi(n)$  be defined as the number of integers in  $[0, n)$  that are relatively prime to  $n > 0$ .
- *Euler's Theorem*: if  $n$  and  $k$  are relatively prime, then:

$$k^{\varphi(n)} \equiv 1 \pmod{n}$$

- Recall: if  $p$  is prime,  $\phi(p) = p - 1$
- *Fermat's Little Theorem*: if  $p$  is prime, and  $k$  is not a multiple of  $p$ , then:

$$k^{p-1} \equiv 1 \pmod{p}$$

# RSA (derivation)

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## ■ Recall:

- $n = pq$
- System only works if:  $D(M^*) = D[E(M)] = M$
- $D[E(M)] = D(M^e) = (M^e)^d = \mathbf{M^{ed} = M}$  *working in  $Z_n$*

## ■ Derivation:

1.  $n \perp M, M^{\phi(n)} \equiv 1 \pmod{n}$  Euler's Theorem,  $\gcd(M, n) = 1$
2.  $M^{c\phi(n)} \equiv 1 \pmod{n}$  Modular Arithmetic
3.  $M^{c\phi(n)+1} \equiv M \pmod{n}$  Modular Arithmetic
4.  $\phi(n) = \phi(pq) = (p-1)(q-1)$  Rule
5.  $e \cdot d = c \cdot \phi(n) + 1 \rightarrow ed \equiv 1 \pmod{\phi(n)}$
6.  $\gcd(e, \phi(n)) = 1 \rightarrow \gcd(e, (p-1)(q-1)) = 1$
7. **d** is the  $Z_{\phi(n)}$ -inverse of **e**

# RSA Cryptosystem

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1. The Receiver prepares the system as follows:
  - a. Generates two large distinct primes  $p, q$ , keeps them private
  - b. Calculates the product,  $n = pq$ , makes it public
  - c. Selects an integer  $e \in [0, n)$ , such that  $\gcd(e, (p-1)(q-1)) = 1$ , makes it public
  - d. Calculates an integer  $d \in [0, n)$  which is the  $Z_{(p-1)(q-1)}$ -inverse of  $e$ , using the extended Euclidean algorithm, keeps  $d$  private
2. Sender prepares and publicly transmits message:
  - a. Converts message into one large integer  $M \in [0, n)$  such that  $\gcd(M, n) = 1$
  - b. Encrypts message using public key,  $M^* = E(M) = M^e \bmod n$
3. Receiver privately decrypts message:
  - a. Decrypts message using private key,  $M = D(M^*) = (M^*)^d \bmod n$



# RSA Cryptosystem (example)

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1. The Receiver prepares the system as follows:
  - a. Generate:  $p = 1231, q = 337$
  - b. Calculate:  $n = pq = 414847, (p-1)(q-1) = 413280$
  - c. Select integer  $e \in [0, n)$ :  $e = 211243$
  - d. Calculate integer  $d \in [0, n)$ :  $d = e^{-1} = 166147$
2. Sender prepares and publicly transmits message:
  - a. Converts message:  $M = 224455$
  - b. Encrypts message:  $M^* = E(M) = M^e \bmod n = 376682$
3. Receiver privately decrypts message:
  - a. Decrypts message:  $M = D(M^*) = (M^*)^d \bmod n = 224455$