# COMS 4995 (Randomized Algorithms): Exercise Set #11

For the weeks of November 25–December 4, 2019

#### Instructions:

- (1) Do not turn anything in.
- (2) The course staff is happy to discuss the solutions of these exercises with you in office hours or in the course discussion forum.
- (3) While these exercises are certainly not trivial, you should be able to complete them on your own (perhaps after consulting with the course staff or a friend for hints).

#### Exercise 51

Recall the balls and bins setting and the power of two choices result from Lecture #21. In that proof, we defined the sequence  $\beta_4 = \frac{n}{4}$ 

and

$$\beta_{i+1} = 2\frac{\beta_i^2}{n}$$

for all  $i \geq 4$ .

- (a) Prove that once  $i \ge \log_2(\log_2 n) + O(1)$ ,  $\beta_i < 1$ .
- (b) Prove that the largest *i* for which  $\beta_{i+1} \ge 12 \ln n$  satisfies  $i = \log_2(\log_2 n) \pm O(1)$ .

### Exercise 52

Prove the following fact that we used in our proof in Lecture #21. Let  $Z_1, \ldots, Z_n$  be a sequence of arbitrary random variables and  $Y_1, \ldots, Y_n$  a sequence of 0-1 random variables with the property that each  $Y_i$  is fully determined by  $Z_1, \ldots, Z_i$ . (In the application in Lecture #21, the  $Z_i$ 's correspond to the bins that each ball wound up in, and the  $Y_i$ 's correspond to the  $Y_t$ 's from lecture.) Suppose that

$$\mathbf{Pr}[Y_i = 1 \mid Z_1, \dots, Z_{i-1}] \le p$$

with probability 1 (over  $Z_1, \ldots, Z_{i-1}$ ). Let  $X_1, \ldots, X_n$  denote i.i.d. Bernoulli random variables with parameter p. Prove that, for every threshold k,

$$\mathbf{Pr}\left[\sum_{i=1}^{n} Y_i > k\right] \le \mathbf{Pr}\left[\sum_{i=1}^{n} X_i > k\right].$$

[Hint: Induction on n.]

## Exercise 53

Continuing with Lecture #21, suppose we choose  $d \ge 2$  bins uniformly at random (with replacement) rather than 2. (As usual, a ball is placed in the least loaded of the *d* randomly chosen bins, with ties broken randomly.) Generalize the heuristic analysis from Lecture #21 to argue that we might guess that the expected maximum load under this process is

$$\approx \log_d(\log_2 n) = \frac{\log_2(\log_2 n)}{\log_2 d}$$

#### Exercise 54

Generalize the argument in Lecture #22 to prove that Moser's algorithm, when given a k-SAT formula satisfying  $d \leq 2^{k-3}$ , terminates (with a satisfying assignment) within  $O(m \log m)$  calls to Fix with high probability (tending to 1 as  $m \to \infty$ ). (Here *m* denotes the number of clauses in the given formula, and *d* equals one plus the maximum degree of the dependency graph in which vertices correspond to clauses and edge correspond to pairs of overlapping clauses.)

[Hint: Suppose the algorithm fails to terminate within T calls to FIX with probability at least  $\frac{1}{2}$ , where the probability is over the n + kT random bits used in the initialization and the first (at most) T FIX calls. Following the argument from lecture, what can you say about T? What if the probability is at least  $\frac{1}{4}$ ?]