

# Announcements

Today : Finish 2<sup>nd</sup> Incompleteness Thm  
Review for Test 2  
HW 2 solutions

Next week: Last class (Test 2)

Recap: we wanted to prove

$\exists\Delta_0$  (Exists-Delta) Theorem every r.e.  
relation is represented by a  $\exists\Delta_0$  formula

which followed by Main Lemma:

$f$  total, computable  $\Rightarrow R_f$  is a  $\exists\Delta_0$  relation

Recap:

## First Incompleteness Theorem

1<sup>st</sup> Incompleteness Theorem:

TA is NOT axiomatizable

That is, any sound, axiomatizable theory is incomplete.

→ PA is axiomatizable. So assuming PA is sound, it is incomplete (so there are sentences  $A$  such that neither  $A$  or  $\neg A$  is provable from axioms of PA.)

## Tarski Theorem

Define the predicate  $\text{Truth} \subseteq \mathbb{N}$

$$\text{Truth} = \{ m \mid m \text{ encodes a sentence } \langle m \rangle \in \text{TA} \}$$

Then  $\text{Truth}$  is not arithmetical.

By  $\exists \Delta_1$ -Theorem (every r.e. set/language is arithmetical)  
this implies that  $\text{Truth}$  is NOT r.e.

High Level idea of Proof:

Formulate a sentence "I am false"  
which is self-contradictory

## Stronger Version of $\exists \Delta_0$ Thm

Recall

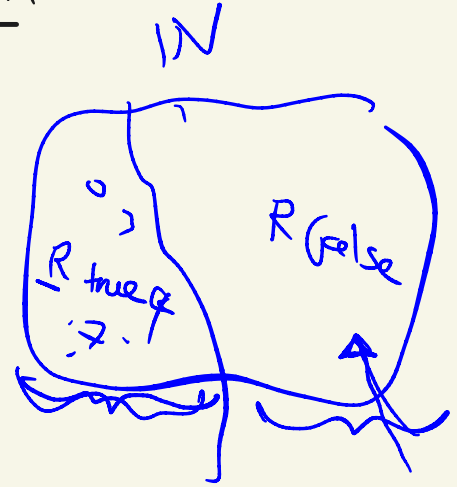
$R(x)$  is represented by  $A(x)$  if

$$\forall n \in \mathbb{N} \quad R(n) \Leftrightarrow \text{TA} \models A(S_n)$$

Stronger version:

$R(x)$  is represented in RA by  $A(x)$  if

$$\forall n \in \mathbb{N} \quad R(n) \Leftrightarrow \text{RA} \models A(S_n)$$



### RA Representation Theorem

Every r.e. relation is represented in RA by an  $\exists \Delta_0$  formula

# Corollaries of RA Representation Theorem

Theory

① RA is not recursive (not decidable)

Pf sketch:  $K$  is r.e. but not recursive

$K$  r.e.  $\Rightarrow$  it is represented in RA by some  $\exists A_0$ -formula  $A$

If RA recursive then  $K$  recursive. Contradiction

② VALID is not recursive (not decidable)

Pf idea: RA is finitely axiomatizable!

$A \in RA \Leftrightarrow P_1 \wedge \dots \wedge P_n \Rightarrow A$  is valid

so membership in RA is reducible to membership in VALID

## Stronger Version of Incompleteness Thm

Recall

$R(\vec{x})$  is represented by an  $\exists\Delta_0$  formula  $A(\vec{x})$  if

$$\forall \vec{a} \in \mathbb{N} \quad R(\vec{a}) \Leftrightarrow \text{TA} \models A(S_{\vec{a}})$$

Stronger version:

$R(\vec{x})$  is represented in RA by  $A(\vec{x})$  if

$$\forall \vec{a} \in \mathbb{N} \quad R(\vec{a}) \Leftrightarrow \text{RA} \models A(S_{\vec{a}})$$

### RA Representation Theorem

Every r.e. relation is represented in RA by an  $\exists\Delta_0$  formula

# Incompleteness Theorems

## Theorem ①

There is a specific sentence, "I am not provable"  $\equiv g$   
such that:

(a) PA consistent  $\rightarrow$  PA  $\nVdash$   $g$

(b) PA consistent  $\rightarrow$  PA  $\nVdash$   $\neg g$

← This part called  
the 1<sup>st</sup> incompleteness  
theorem

## Theorem ② (2<sup>nd</sup> Incompleteness Theorem)

PA consistent  $\Rightarrow$  PA cannot prove con(PA)



## Proof of theorem ①

- $\text{Proof}(a, b) \in \mathbb{N} \times \mathbb{N}$  is true iff  $b$  codes an  $\text{LK-}\Gamma_{\text{PA}}$  proof of the sentence coded by  $a$
- Let  $n = n(F)$  code the formula  $F(x)$  with one free variable  $x$ .  
Then  $\text{Proof}(d(n), m) \in \mathbb{N} \times \mathbb{N}$  is true iff  $m$  codes an  $\text{LK-}\Gamma_{\text{PA}}$  proof of the sentence  $F(s_n)$
- By RA Representation Thm, let  $A(x)$  represent  $\exists y \text{Proof}(d(x), y)$  in RA (and hence in PA)
- $\therefore \forall n \quad \text{TA} \models \exists y \text{Proof}(d(n), y) \iff \text{PA} \vdash A(s_n) \quad (*)$

# Proof of Theorem 1

- $\text{Proof}(a, b) \in \mathbb{N} \times \mathbb{N}$  is true iff  $b$  codes an  $\text{LK-}\Gamma_{\text{PA}}$  proof of the sentence coded by  $a$
- Let  $n = n(F)$  code the formula  $F(x)$  with one free variable  $x$ .  
Then  $\text{Proof}(d(n), m) \in \mathbb{N} \times \mathbb{N}$  is true iff  $m$  codes an  $\text{LK-}\Gamma_{\text{PA}}$  proof of the sentence  $F(s_n)$

• By RA Representation Thm, let  $A(x)$  represent  $\exists y \text{Proof}(d(x), y)$  in RA (and hence in PA)

•  $\therefore \forall n \quad \text{TA} \models \exists y \text{Proof}(d(n), y) \iff \text{PA} \vdash A(s_n) \quad (*)$

• Let  $e = \# \neg A(x)$ ;  $g = \neg A(s_e)$ ;  $d(e) = \# \neg A(s_e)$

Says that "I am not provable" since  $\neg A(s_e)$  says the formula encoded by  $d(e)$  -- which is  $g$  -- is not provable in PA

## Proof of Theorem ①

- By RA Representation Thm, let  $A(x)$  represent  $\exists y \text{Proof}(d(x), y)$  in PA (†)
  - So  $\forall n: TA \models \exists y \text{Proof}(d(n), y) \iff PA \vdash A(s_n)$  (\*)
  - Let  $e = \# \neg A(x)$ ;  $g = \neg A(s_e)$ ;  $d(e) = \# \neg A(s_e) = \# g$
- 

Theorem  $PA \vdash g \Rightarrow PA$  is not consistent  
1a

PF Suppose  $PA \vdash g$   
Then sentence number  $d(e)$  is provable, so  $\exists y \text{Proof}(d(e), y)$  is true  
Thus  $PA \vdash A(s_e)$  by left-to-rt direction of (\*)  
Thus  $PA \vdash \neg g$  and  $PA \vdash g$  so PA not consistent

## Proof of Theorem ①

- By RA Representation Thm, let  $A(x)$  represent  $\exists y \text{Proof}(d(x), y)$  in PA (+)
  - So  $\forall n: TA \models \exists y \text{Proof}(d(n), y) \iff PA \vdash A(s_n)$  (\*)
  - Let  $e = \# \neg A(x)$ ;  $g = \neg A(s_e)$ ;  $d(e) = \# \neg A(s_e)$
- 

Thm  $PA \vdash \neg g \Rightarrow PA$  is not consistent

PF Suppose  $PA \vdash \neg g$ . i.e. PA proves  $A(s_e)$

Then  $\exists y \text{Proof}(d(e), y)$  is true by rt-to-left direction of (\*)

So PA proves  $\neg A(s_e)$

So  $PA \vdash g$  and  $PA \vdash \neg g$ , so PA not consistent

• PA consistent  $\Rightarrow$  PA does not prove  $g$  + PA does not prove  $\neg g$

## Formulating consistency in PA

Let  $B(x, y)$  be a  $\exists \Delta_0$  formula that represents  
Proof  $(x, y)$  in RA (and thus also in PA)

Then for every sentence  $C$

$$\underbrace{PA \vdash C} \iff PA \vdash \exists y \underbrace{B(\#C, y)}_{\text{stands for } B(s_{\#C}, y)}$$

Define  $\text{con}(PA) \stackrel{\text{d}}{=} \neg \exists y B(\#0 \neq 0, y)$

says there is no PA  
proof of  $0 \neq 0$  (a false statement)

Theorem ② If PA is consistent, then  $PA \not\vdash \text{con}(PA)$

Proof :

Main Lemma :  $PA \vdash (\text{con}(PA) \rightarrow g)$

[ recall  $g \stackrel{d}{=} \neg A(s_e)$ ,  $e = \# \text{ of } A(x) \text{ says}$   
= "sam not provable" ]

If  $PA \vdash \text{con}(PA)$  by main lemma  $PA \vdash g$

But by previous theorem  
 $PA \text{ consistent} \Rightarrow PA \not\vdash g$

$\therefore PA \text{ consistent} \Rightarrow PA \not\vdash \text{con}(PA)$

It is left to prove:

Main Lemma:  $PA \vdash \text{con}(PA) \Rightarrow g$

[ recall  $g \stackrel{d}{=} \neg A(s_e)$ ,  $e = \# \neg A(x)$  says  
= "I am not provable" ]

↑

main step is to show that PA can prove Theorem ① part (a)

Theorem ①a: consistency of PA  $\Rightarrow$  PA cannot prove  $g$

and therefore  $g$  is true

(since  $g$  states "I am not provable in PA")

## Review for Test 2

1. Completeness of LK, derivational completeness

2. Computability:

Recursive, r.e. Languages / sets

Diagonalization (to show some language not r.e.)

Reductions (to classify other languages)  
Detailing

Can assume  $HALT, K$  : r.e., not recursive

$D = \bar{K}$  : not r.e.

Proposition:

$L$  is r.e.,  $\bar{L}$  is r.e.  
 $\Rightarrow L$  is r.c.



### 3. Incompleteness

- Defns: theory, consistent, sound, axiomatizable
- A relation  $R(x)$  is represented by a  $(\exists \Delta_0)$  formula  $A(x)$  means:

$$\forall n \in \mathbb{N} \quad R(n) \text{ is true iff } TA \models A(S_n)$$

- A relation  $R(x)$  is represented in a theory  $\Sigma$  by  $A(x)$  means:

$$\forall n \in \mathbb{N} \quad R(n) \text{ is true iff } \Sigma \vdash A(S_n)$$

$\exists\Delta_0$  Theorem Every r.e. relation is represented by  
a  $\exists\Delta_0$  formula

Converse to  $\exists\Delta_0$  Thm also holds:  
every  $\exists\Delta_0$  formula  $A(x)$  is r.e. }  
}

### Corollaries

1.  $\text{TA}$  is not axiomatizable  
(Tarski's Thm:  $\text{TA}$  not arithmetical & not r.e.)
2. Every sound, axiomatizable theory is incomplete

Important Theories: PA, RA

RA Representation Theorem every r.e. relation is represented in RA by a  $\exists \Delta_0$  formula

Strong RA Representation Thm every recursive relation is strongly represented in RA by a  $\exists \Delta_0$  formula

strongly:  $R(n)$  is true  $\Leftrightarrow$   $RA \vdash A(S_n)$   
 $\neg R(n)$  is true  $\Leftrightarrow$   $RA \vdash \neg A(S_n)$

## Corollaries (of RA representation Thm)

- ① Every consistent extension of RA is undecidable
- ② VALID is undecidable

## Incompleteness Thms (Today)

$$\left. \begin{array}{l} \textcircled{1a} \text{ PA consistent} \Rightarrow \text{PA} \nVdash g \\ \textcircled{1b} \text{ PA consistent} \Rightarrow \text{PA} \nVdash \neg g \end{array} \right\} \begin{array}{l} \therefore \text{PA consistent} \\ \Rightarrow \text{PA incomplete} \end{array}$$

$$\textcircled{2.} \text{ PA consistent} \Rightarrow \text{PA} \nVdash \text{con}(\text{PA})$$

$$* \text{ Show: } \text{PA} \vdash \text{con}(\text{PA}) \rightarrow g$$

$$\text{By } *, \text{ PA} \vdash \text{con}(\text{PA}) \Rightarrow \text{PA} \vdash g, \text{ contradicting } \textcircled{1a}$$

## Test 2

- I'll put 2 practice tests on web.
- ~40% on computability  $\rightarrow$
- ~20% short answer
- ~40% completeness, compactness, incompleteness

Thank you!

Good Luck with finals & enjoy your break!

Email me if you have any q's  
about this class or  
more generally.

## Formulating consistency in PA

Let  $B(x, y)$  be a  $\exists \Delta_0$  formula that represents  
Proof  $(x, y)$  in RA (and thus also in PA)

Then for every sentence  $C$   
 $PA \vdash C \iff PA \vdash \exists y B(\#C, y)$   
stands for  $B(s_{\#C}, y)$

Then  $PA \vdash A(s_n) \iff \exists y B(s_{d(n)}, y)$   
[recall  $A(x)$  represents  $\exists y B(d(x), y)$ ]

Define  $con(PA) \stackrel{d}{=} \neg \exists y B(\#0 \neq 0, y)$