

## Announcements

HW2 out last week

Recap: we wanted to prove

$\exists\Delta_0$  (Exists-Delta) Theorem every r.e.  
relation is represented by a  $\exists\Delta_0$  formula

which followed by Main Lemma:

$f$  total, computable  $\Rightarrow R_f$  is a  $\exists\Delta_0$  relation

## Proof of Main Lemma ( $R_f = \text{graph}(f)$ is an r.e. relation)

Definition  $\beta$ -function

$$\beta(c, d, i) = \text{rm}(c, d(i+1) + 1) \quad \text{where } \text{rm}(x, y) = x \bmod y$$

Lemma 0.  $\forall n, r_0, r_1, \dots, r_n \exists c, d$  such that  $\forall i \leq n \beta(c, d, i) = r_i$

the pair  $(c, d)$  represents the sequence  $\underbrace{r_0, r_1, \dots, r_n}$  using  $\beta$   
entire tableaux of TM configuration

Lemma 1  $\text{graph}(\beta)$  is a  $\Delta_0$  relation

Recap:

## First Incompleteness Theorem

1<sup>st</sup> Incompleteness Theorem:

TA is NOT axiomatizable

That is, any sound, axiomatizable theory is incomplete.

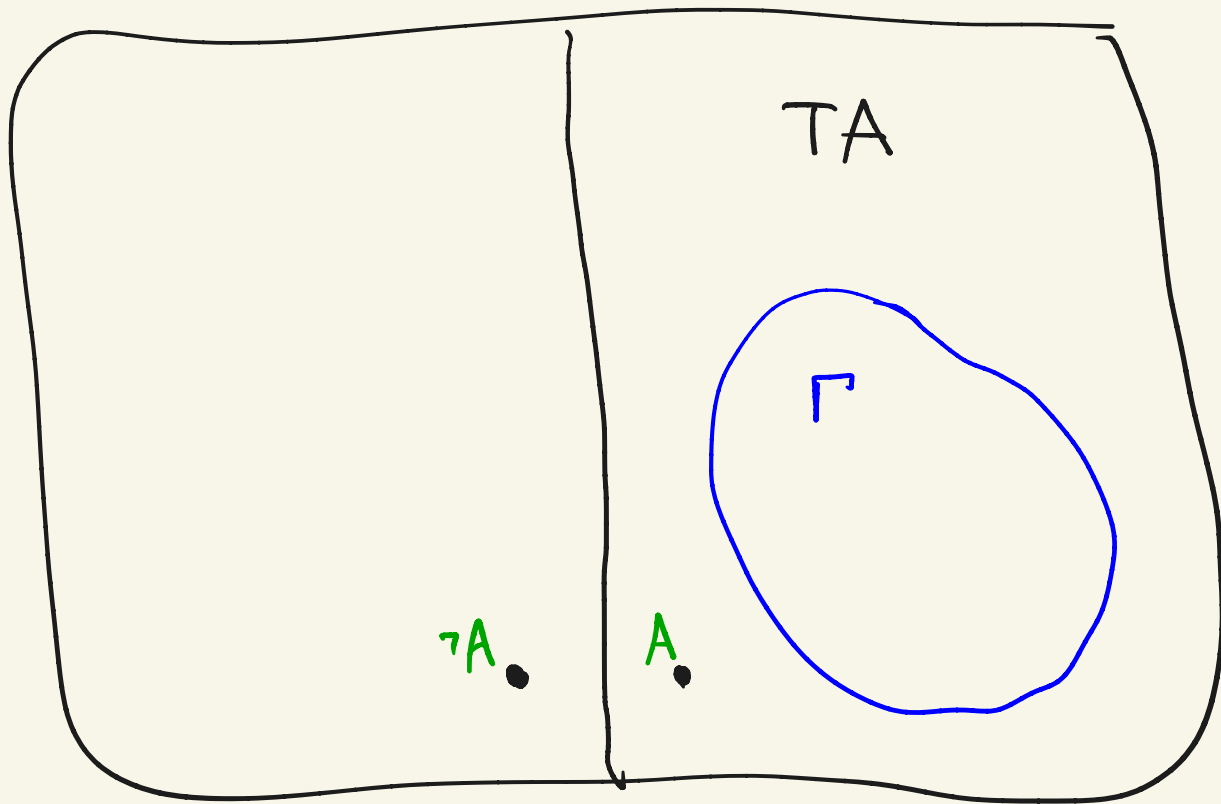
→ PA is axiomatizable. So assuming PA is sound, it is incomplete (so there are sentences  $A$  such that neither  $A$  or  $\neg A$  is provable from axioms of PA.)

$$K^c = \underbrace{\{ \langle \bar{x} \rangle \mid \exists x \exists (x) \text{ doesn't hold} \}}_{F(\bar{x})} \quad K$$



$\Phi_0$ :

all  $L_A$   
sentences



$\Gamma$  sound and axiomatizable  $\Rightarrow \exists A, \neg A \notin \Gamma$

## Tarski Theorem

Define the predicate  $\text{Truth} \subseteq \mathbb{N}$

$$\text{Truth} = \{ m \mid m \text{ encodes a sentence } \langle m \rangle \in \text{TA} \}$$

Then  $\text{Truth}$  is not arithmetical.

By  $\exists \Delta_1$ -Theorem (every r.e. set/language is arithmetical)  
this implies that  $\text{Truth}$  is NOT r.e.

High Level idea of Proof:

Formulate a sentence "I am false"  
which is self-contradictory

## PF of Tarski's thm

Let  $\text{sub}(m, n) = \begin{cases} 0 & \text{if } m \text{ is not a legal encoding of a formula} \\ \text{otherwise let } m \text{ encode the formula} \\ & A(x) \text{ with free variable } x. \text{ Then } \text{sub}(m, n) = m' \\ & \text{where } m' \text{ encodes } A(s_n) \end{cases}$

[ $\text{sub}(m, n)$ : decode  $m$ , plug in  $n$  + re-encode]

Let  $d(m) = \text{sub}(m, m)$

$\left\{ \begin{array}{l} d(m) = 0 \text{ if } m \text{ not a legal encoding.} \\ \text{or say } m \text{ encodes } A(x). \\ \text{then } d(m) = m' \text{ where } m' \text{ encodes } A(s_m) \end{array} \right\}$

clearly  $\text{sub}, d$  are both computable

so by  $\exists \Delta_0$ -theorem  $\text{graph}(\text{sub}), \text{graph}(d)$  are arithmetical

## Proof of Tarski's Thm

Suppose that Truth is arithmetical.

Then define  $R(m) = \neg \text{Truth}(d(m))$

Since  $d$ , Truth both arithmetical, so is  $R$

Let  $\widetilde{R(m)}$  represent  $R(m)$ , and let  $e$  be the encoding of  $\widetilde{R(m)}$

Then  $d(e) = \text{encoding of } \widetilde{R(s_e)}$  encodes "I am false"

Then

$$\widetilde{R(s_e)} \in \text{TA} \iff \neg \text{Truth}(d(e))$$

$$\iff \neg \widetilde{R(s_e)} \in \text{TA}$$

since  $\widetilde{R}$  represents  $R$

by defn of truth  
( $\text{Truth}(d(e))$  says  $R(s_e) \in \text{TA}$ )

this is a contradiction since  $A$  and  $\neg A$  cannot both be in TA  $\#$

$R(x)$  represented by a formula  $A(x)$  if:

$$\forall n \in \mathbb{N} \quad R(n) \Leftrightarrow \text{TA} \models A(S_n)$$

$$S_n = \underbrace{SS \dots S}_n S 0$$

term  
corresponding to  
the number  
 $n$

# PEANO ARITHMETIC

$$P1. \quad \forall x (Sx \neq 0)$$

$$P2. \quad \forall x \forall y (Sx = Sy \Rightarrow x = y)$$

$$P3. \quad \forall x (x + 0 = x)$$

$$P4. \quad \forall x \forall y (x + Sy = S(x + y))$$

$$P5. \quad \forall x (x \cdot 0 = 0)$$

$$P6. \quad \forall x \forall y (x \cdot Sy = (x \cdot y) + x)$$

$s$  is 1-1

define  $+$

define  $\cdot$

$$\text{IND}(A(x)) : \forall y_1 \dots \forall y_k \left[ (A(0) \wedge \forall x (A(x) \Rightarrow A(Sx))) \Rightarrow \forall x A(x) \right]$$

INDUCTION AXIOMS: All sentences  $\text{IND}(A(x))$  for all formulas  $A$  whose free variables are  $y_1, \dots, y_k, x$

$$\Gamma_{PA} = \{P_1, \dots, P_6\} \cup \{\text{INDUCTION AXIOMS}\}$$

1.  $\Gamma_{PA}$  is recursive

2. PA is sound + axiomatizable (so incomplete)

3. PA still strong enough to prove all  
of standard number theory

## Robinson's Arithmetic RA

Axioms  $\{P1, \dots, P6\}$  of PA plus  $P7, P8, P9$

$$P7 : (\forall x \ x \leq 0 \Rightarrow x = 0)$$

$$P8 : \forall x \forall y (x \leq sy \Rightarrow (x \leq y \vee x = sy))$$

$$P9 : \forall x \forall y (x \leq y \vee y \leq x)$$

where  $t_1 \leq t_2$  abbreviates  $\exists z (t_1 + z = t_2)$

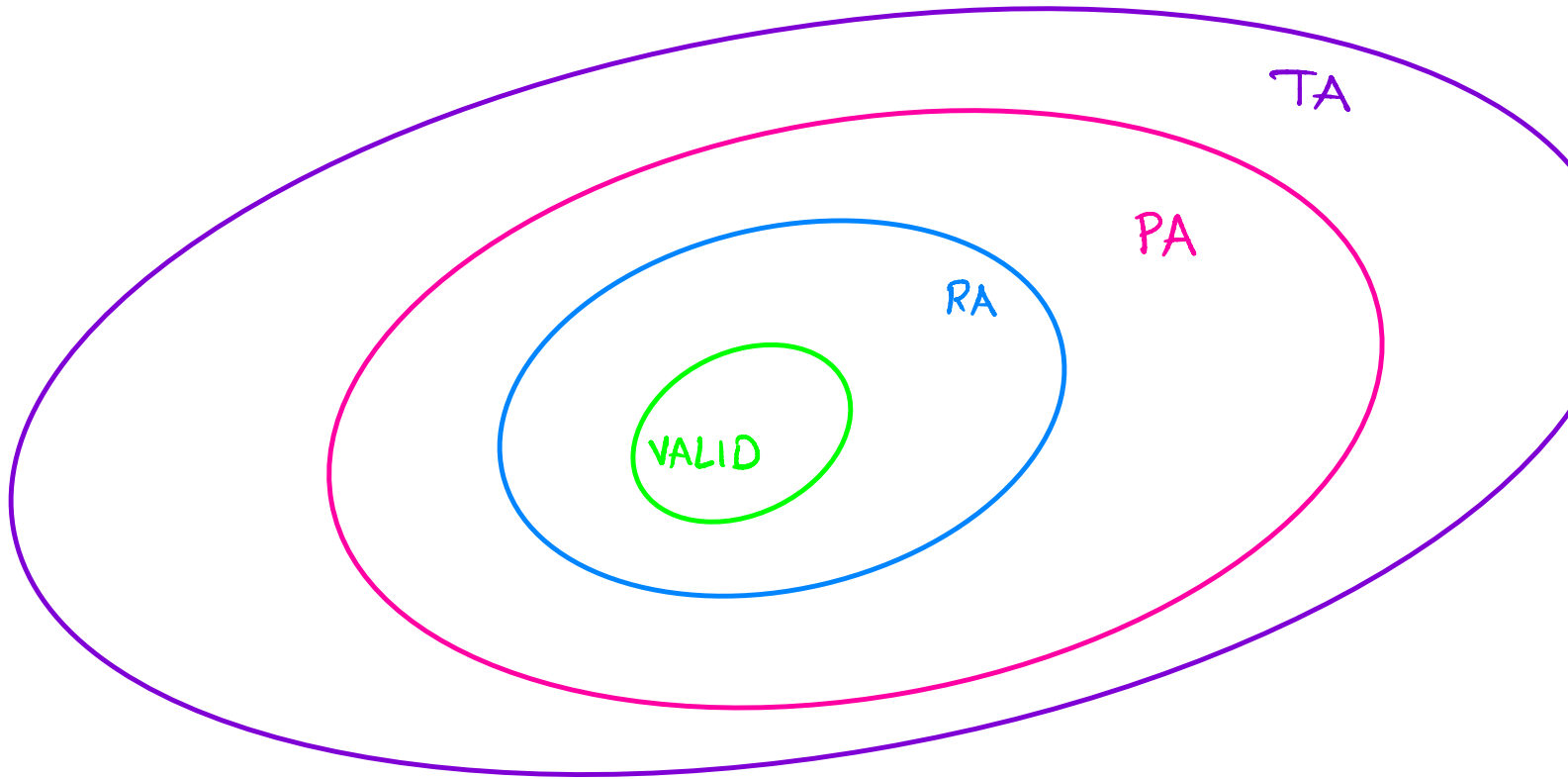
FACTS ①  $RA \subseteq PA$

② RA finitely axiomatizable

③ Over extended language  $\mathcal{L}_{A, \leq}$ , RA axioms are  $\forall$ sentences



# SOUND, CONSISTENT THEORIES OF ARITHMETIC



## Stronger Version of $\exists \Delta_0$ Thm

Recall

$R(x)$  is represented <sup>(in TA)</sup> by  $A(x)$  if  
 $\forall n \in \mathbb{N} \quad R(n) \Leftrightarrow \text{TA} \models A(S_n)$

Stronger version:

$R(x)$  is represented in RA by  $A(x)$  if  
 $\forall n \in \mathbb{N} \quad R(n) \Leftrightarrow \text{RA} \models A(S_n)$

### RA Representation Theorem

Every r.e. relation is represented in RA by an  $\exists \Delta_0$  formula

# Corollaries of RA Representation Theorem

Theory

① RA is not recursive (not decidable)

Pf sketch:  $K$  is r.e. but not recursive

$K$  r.e.  $\Rightarrow$  it is represented in RA by some  $\exists A_0$ -formula  $A$

If RA recursive then  $K$  recursive. Contradiction

② VALID is not recursive (not decidable)

Pf idea: RA is finitely axiomatizable!

$A \in RA \Leftrightarrow P_1 \wedge \dots \wedge P_n \Rightarrow A$  is valid

so membership in RA is reducible to membership in VALID





## RA Representation Theorem

Every r.e. relation is represented in RA by an  $\exists\Delta_0$  formula

### Proof idea

**Main Lemma**: every  $\Delta_0$ -sentence in TA is provable in RA

Assuming Main Lemma, Let  $R(\vec{x})$  be an r.e. relation.

By Exists-Delta Theorem,  $R(\vec{x})$  is represented (in TA) by some  $\exists\Delta_0$ -formula  $A(\vec{x})$

$$\text{so } \forall \vec{a} \in \mathbb{N}^k \quad R(\vec{a}) \Leftrightarrow \exists y \underbrace{A(S_{\vec{a}}, y)}_{\Delta_0} \in TA$$

$\therefore$  By soundness of RA + since every  $\Delta_0$  sentence of TA is provable in RA

$$R(\vec{a}) \Leftrightarrow RA \vdash \exists y A(S_{\vec{a}}, y) \quad \exists y RA \vdash A(S_{\vec{a}}, S_y)$$

so  $\exists y A(\vec{x}, y)$  represents  $R(\vec{x})$  in RA

## PROOF (SKETCH) OF Main Lemma

**Main Lemma**: every  $\Delta_0$ -sentence in TA is provable in RA

Proof By induction on number of logical symbols in A

For convenience easier to work in  $RA_{\leq}$  :  $\leq$  new relation symbol,

Axioms P1-P9 Plus new axiom P0

$$P0: \forall x \forall y (x \leq y \leftrightarrow \exists z (x + z = y))$$

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$\Delta_0$  sentence:

$$\exists x \leq \underbrace{((sso + sso) \cdot sssso)}_{25} \forall y \leq (x + x) sso A(x, y)$$

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Base Case A:  $t = u, \neg(t = u), t \leq u, \neg(t \leq u)$

$$\begin{aligned} \text{Lemma A1: } RA_{\leq} &\vdash S_m + S_n = S_{m+n} \\ &\vdash S_m \cdot S_n = S_{m \cdot n} \end{aligned}$$

Lemma A:  $t$  closed (no variables) and  $TA \vdash t = S_n$ , then  $RA_{\leq} \vdash t = S_n$

Lemma B:  $\forall m \neq n \quad RA_{\leq} \vdash S_n \neq S_m$

Lemma C:  $RA_{\leq} \vdash \forall x (x \leq S_n \supset (x = 0 \vee x = S_1 \vee x = S_2 \vee \dots \vee x = S_n))$



ex.

$$t = SSO + \underbrace{SS \dots 0}_{n-2}$$

$$TA \Leftarrow t = \underbrace{S}_n \underbrace{SS \dots SO}_n$$

## PROOF (SKETCH) OF Main Lemma

**Main Lemma**: every  $\Delta_0$ -sentence in TA is provable in RA

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Induction step

①  $A = A_1 \vee A_2$  ,  $A_1 \wedge A_2$       Apply ind. hyp.

②  $A = \forall x \leq t B(x)$

•  $t$  closed so by Lemma A  $RA_{\leq} \vdash t = s_n$  for some  $\overset{\text{fixed}}{n}$

• Show  $RA_{\leq} \vdash \forall x \leq n B(x)$

By Lemma C  $RA_{\leq} \vdash x \leq n \supset (x = 0 \vee x = s_1 \vee \dots \vee x = s_n)$

By induction  $RA_{\leq} \vdash B(c)$  for all  $c \leq n$

Put together to derive  $RA_{\leq} \vdash \forall x \leq n B(x)$

## PROOF (SKETCH) OF Main Lemma

**Main Lemma**: every  $\Delta_0$ -sentence in  $\mathcal{T}A$  is provable in  $RA$

Proof By induction on number of logical symbols in  $A$

For convenience easier to work in  $RA_{\leq}$  :  $\leq$  new relation symbol,

Axioms P1-P9 Plus new axiom P0

$$P0: \forall x \forall y (x \leq y \leftrightarrow \exists z (x + z = y))$$

Induction step

①  $A = A_1 \vee A_2$ ,  $A_1 \wedge A_2$       Apply ind. hyp.

②  $A = \forall x \leq t B(x)$

•  $t$  closed so by Lemma A  $RA_{\leq} \vdash t = s_n$  for some  $n$  (fixed)

• Show  $RA_{\leq} \vdash \forall x \leq n B(x)$

By Lemma C  $RA_{\leq} \vdash x \leq n \supset (x = 0 \vee x = s_1 \vee \dots \vee x = s_n)$

By induction  $RA_{\leq} \vdash B(c)$  for all  $c \leq n$

Put together to derive  $RA_{\leq} \vdash \forall x \leq n B(x)$

③  $A = \exists x \leq t B(x)$       proof similar to ②

## Consequences of MAIN LEMMA

- ① every  $\exists\Delta_0$  sentence of TA is provable in RA
- ② The  $\exists\Delta_0$  sentences of TA are r.e. but not recursive  
(and the  $\Delta_0$  sentences of TA are recursive/decidable)

## Stronger Version of Incompleteness Thm

Recall

$R(\vec{x})$  is represented by an  $\exists\Delta_0$  formula  $A(\vec{x})$  if

$$\forall \vec{a} \in \mathbb{N} \quad R(\vec{a}) \Leftrightarrow \text{TA} \models A(S_{\vec{a}})$$

Stronger version:

$R(\vec{x})$  is represented in RA by  $A(\vec{x})$  if

$$\forall \vec{a} \in \mathbb{N} \quad R(\vec{a}) \Leftrightarrow \text{RA} \models A(S_{\vec{a}})$$

### RA Representation Theorem

Every r.e. relation is represented in RA by an  $\exists\Delta_0$  formula

# EVEN Stronger Version of Incompleteness Thm

Recall

①  $R(\bar{x})$  is represented by an  $\exists\Delta_0$  formula  $A(\bar{x})$  if  
$$\forall \bar{a} \in \mathbb{N} \quad R(\bar{a}) \Leftrightarrow \text{TA} \models A(S_{\bar{a}})$$

② Stronger version:

$R(\bar{x})$  is represented in RA by  $A(\bar{x})$  if  
$$\forall \bar{a} \in \mathbb{N} \quad R(\bar{a}) \Leftrightarrow \text{RA} \models A(S_{\bar{a}})$$

③ Even stronger

$R(\bar{x})$  is strongly represented in RA by  $A(\bar{x})$  if  
$$\forall \bar{a} \in \mathbb{N} \quad R(\bar{a}) \Rightarrow \text{RA} \models A(S_{\bar{a}})$$
  
$$\neg R(\bar{a}) \Rightarrow \text{RA} \models \neg A(S_{\bar{a}})$$

## Strong RA Representation Theorem

Every ~~re.~~ recursive relation is strongly represented in RA by an  $\exists\Delta_0$  formula