

## Announcements

- HW1 grades released. Excellent work!
- HW2 will be handed out later this week  
(Due Nov 15)

Last 2-3 questions on computability  
which we will cover this week

Lecture notes: skipping FO Resolution (supplementary notes)  
skipping Heurand thm (pp 39 - 42)

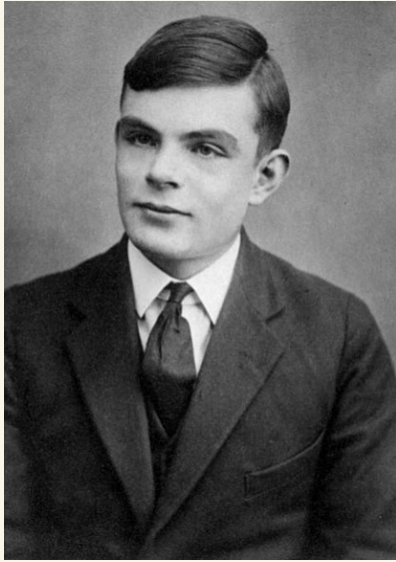
# COMPUTABILITY



(Lecture notes: pp 54-65)

# Turing Machines

"On Computable Numbers, with an application to the Entscheidungsproblem"  
1936



1912 - 1954

- Concept of 1<sup>st</sup> generally convincing general model of computation.
- Proved there is no algorithm for deciding truth in mathematics
- code breaking of Nazi ciphers WWII
- also worked in mathematical biology
- prosecuted in '52 for homosexuality

# Turing Machines

$$M = \{ Q, \Sigma, \Gamma, \delta, q_1, B, \{q_2\} \}$$

$Q = \{q_1, \dots, q_k\}$  states,  $k \geq 2$

$\Sigma =$  finite input alphabet, including 0, 1

$\Gamma =$  finite tape alphabet,  $\Sigma \subseteq \Gamma$ , includes  $B$  (blank symbol)

$q_1$  : start state

$q_2$  : halt state

$\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$

Ex. Parity:

Given  $x \in \{0,1\}^*$  want  $M$  st.

$M(x)$  outputs 1 if # 1's in  $x$  is odd  
0 " " " " " even

Start in state  $q_0$



$q_1$

$(q_0, 1) \rightarrow (q_3, \downarrow, R)$

$(q_0, 0) \rightarrow (q_0, \downarrow, R)$

$(q_3, 0) \rightarrow (q_3, \downarrow, R)$

$(q_3, 1) \rightarrow (q_0, \downarrow, R)$

$(q_0, \downarrow) \rightarrow (q_{0,2},$

$q_0$ : current parity is 0

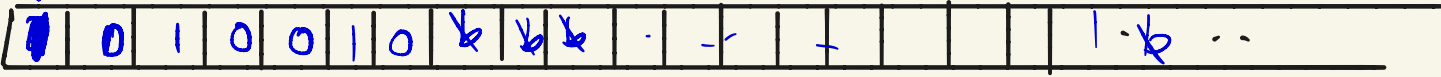
$q_1$

$q_2$ : current parity is 1

$q_0$



# Turing Machines

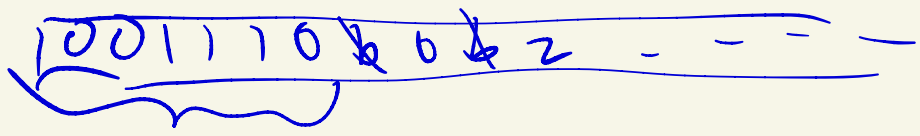


Some finite alphabet  
ex  $\Sigma = \{0, 1, \dots\}$

finite set of states =  $\{q_0, q_1, q_2\}$

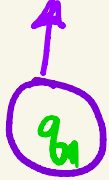
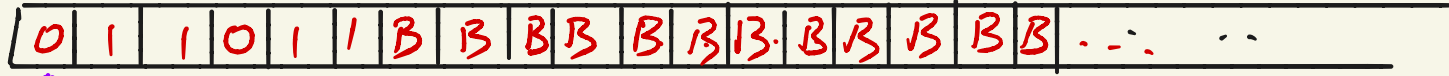
- Initially  $M$  is in start state  $q_0$ , input in 1st cells, then  $B$ 's
- at any point in time, tape head points to some tape cell
- every cell contains an element of  $\Gamma$

$$S(\text{value at head-location, current state}) \rightarrow (\text{new state, symbol, L or R})$$



# Turing Machines

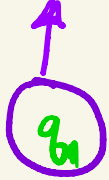
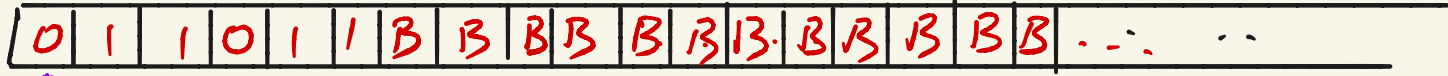
Input  $x = 011011$



- Initially  $M$  is in start state  $q_0$ , input in 1<sup>st</sup> cells, then B's
- at any point in time, tape head points to some tape cell  
initially head points to left most cell

# Turing Machines

Input  $x = 011011$

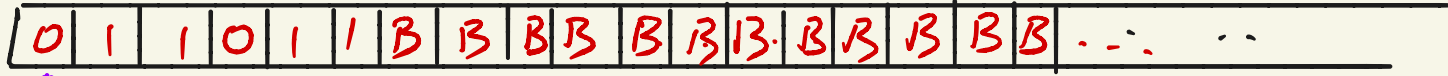


- Initially  $M$  is in start state  $q_0$ , input in 1<sup>st</sup> cells, then  $B$ 's
- at any point in time, tape head points to some tape cell  
initially head points to left most cell
- at every time step,  $M$  makes one transition according to  $\delta$



# Turing Machines

Input  $x = 011011$



$$M = \{Q, \Sigma, \Gamma, \delta, q_1, B, \{q_2\}\}$$

$$Q = \{q_1, q_2, q_3\}, \quad \Sigma = \{0, 1\}, \quad \Gamma = \{0, 1, B\}$$

$\delta$ :

$$(0, q_1) \rightarrow (0, q_1, R)$$

$$(1, q_1) \rightarrow (1, q_3, R)$$

$$(B, q_1) \rightarrow (B, q_1, R)$$

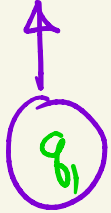
$$(0, q_3) \rightarrow (0, q_3, R)$$

$$(1, q_3) \rightarrow (1, q_2, R)$$

$$(B, q_3) \rightarrow (B, q_3, R)$$

# Turing Machines

Input  $x = 011011$

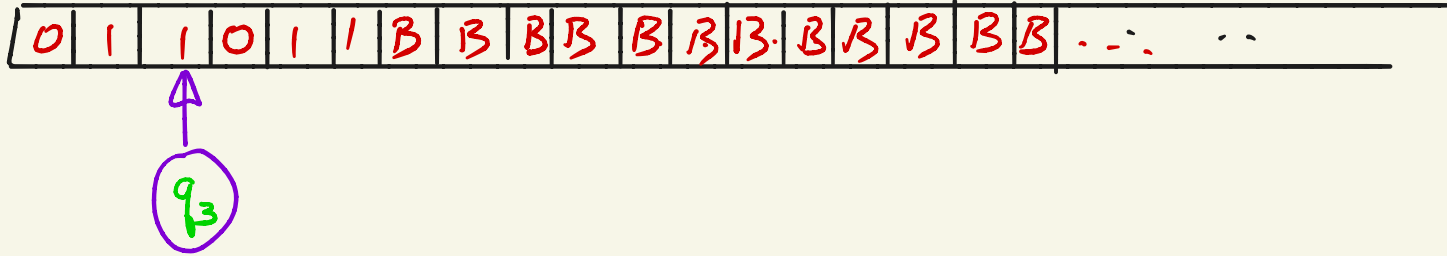


$\delta$ :

$(0, q_1)$	$\rightarrow$	$(0, q_1, R)$
$(1, q_1)$	$\rightarrow$	$(1, q_3, R)$
$(B, q_1)$	$\rightarrow$	$(B, q_1, R)$
$(0, q_3)$	$\rightarrow$	$(0, q_3, R)$
$(1, q_3)$	$\rightarrow$	$(1, q_2, R)$
$(B, q_3)$	$\rightarrow$	$(B, q_3, R)$

# Turing Machines

Input  $x = 011011$

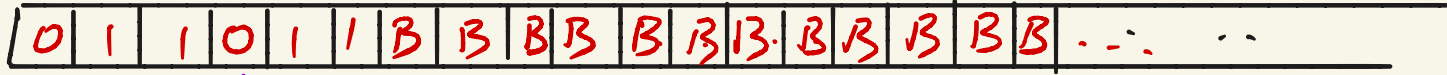


$\delta$ :

$(0, q_1)$	$\rightarrow$	$(0, q_1, R)$
$(1, q_1)$	$\rightarrow$	$(1, q_3, R)$
$(B, q_1)$	$\rightarrow$	$(B, q_1, R)$
$(0, q_3)$	$\rightarrow$	$(0, q_3, R)$
$(1, q_3)$	$\rightarrow$	$(1, q_2, R)$
$(B, q_3)$	$\rightarrow$	$(B, q_3, R)$

# Turing Machines

Input  $x = 011011$



$\delta$ :

$(0, q_1)$	$\rightarrow$	$(0, q_1, R)$
$(1, q_1)$	$\rightarrow$	$(1, q_3, R)$
$(B, q_1)$	$\rightarrow$	$(B, q_1, R)$
$(0, q_3)$	$\rightarrow$	$(0, q_3, R)$
$(1, q_3)$	$\rightarrow$	$(1, q_2, R)$
$(B, q_3)$	$\rightarrow$	$(B, q_3, R)$

# Turing Machines

Turing Machines compute  $n$ -ary partial (or total) functions from  $\mathbb{N}^n \rightarrow \mathbb{N}$  by encoding input/output as strings over  $\Sigma$

Encoding of  $(a_1, \dots, a_n) \in \mathbb{N}^n$  example

$(3, 10, 8) : \underbrace{11}_2 \underbrace{1010}_2 \underbrace{100}_2$   
 $a_1$  in binary       $a_2$  in binary       $a_3$  in binary

separated by "2"

Let  $\langle a_1, \dots, a_n \rangle$  be the encoding of  $(a_1, \dots, a_n)$

# Turing Machines

Turing Machines compute  $n$ -ary partial (or total) functions from  $\mathbb{N}^n \rightarrow \mathbb{N}$  by encoding input/output as strings over  $\Sigma$

TM  $M$  on input  $x$  halts when it enters halt state ( $q_2$ )

If  $M$  halts on  $x$ , the output  $y$  is the ~~shortest~~ longest string on tape ~~with no B symbol~~ containing just 0's + 1's

## Turing Machines

Let  $f : \mathbb{N}^n \rightarrow \mathbb{N}$  be a total function

$M$  computes  $f$  if for every  $n$ -tuple  $(a_1, \dots, a_n) \in \mathbb{N}^n$

$M$  on input  $\langle a_1, \dots, a_n \rangle$  outputs  $f(a_1, \dots, a_n)$   
(in binary)

If there is a TM  $M$  that computes  $f$ ,  
then  $f$  is a total computable function

# Turing Machines

Let  $f : (\mathbb{N} \cup \{\infty\})^n \rightarrow \mathbb{N} \cup \{\infty\}$  be a partial function

(so  $f(c_1, \dots, c_n) = \infty$  if any  $c_i = \infty$ )

$M$  computes  $f$  if for all  $(a_1, \dots, a_n)$  in domain of  $f$

$M$  on input  $\langle a_1, \dots, a_n \rangle$  outputs  $f(a_1, \dots, a_n)$

\*  $M$  may not halt on inputs not in domain of  $f$

If  $f$  (a partial function) is computed by some  $M$   
then  $f$  is a computable partial function



d-ary partial f:  $\mathbb{N}^d \rightarrow \mathbb{N}$  maps  
to  $\mathbb{N}$  each input  $x \in S$ , where

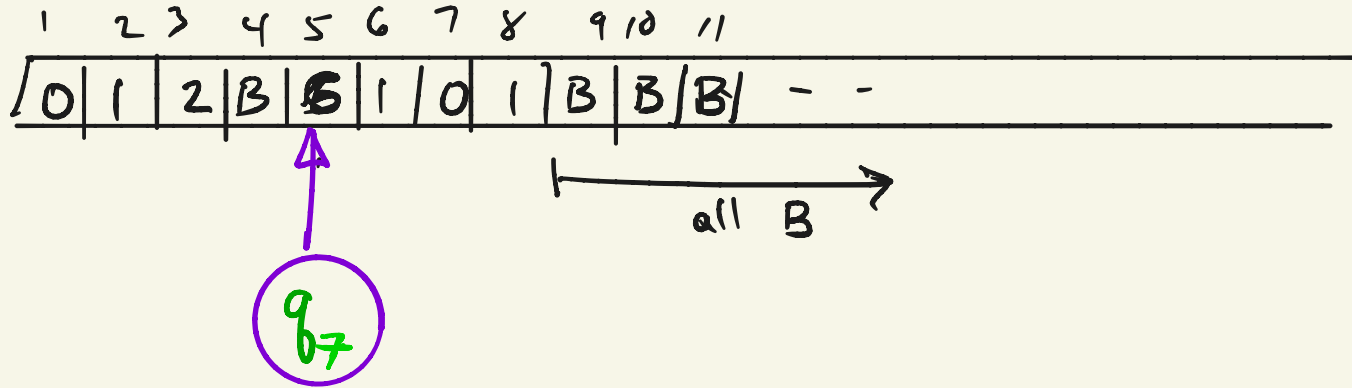
$$S \subseteq \underbrace{\mathbb{N} \times \mathbb{N} \times \dots \times \mathbb{N}}_d$$

If  $M$  computes partial f with domain  $S$  then

- $x \in S \Rightarrow M(\langle x \rangle) = \text{binary encoding of } f(x)$
- $x \notin S \Rightarrow M(\langle x \rangle)$  either halts + outputs something or doesn't halt on  $x$

# Turing Machine Configurations

- A configuration describes entire state of a TM at some point in time



Configuration : 0, 1, 2, B, (q<sub>7</sub>, 5), 1, 0, 1

## Turing Machine Configurations

- A tableaux is a sequence of configurations describing running  $M$  on some input  $x$

# Turing Machine Configurations

- A tableaux is a sequence of configurations describing running  $M$  on some input  $x$

$$(q_1, q_1) \rightarrow (2, q_2)$$

$t=0$	$(q_1, 0)$	0	1	1	0	2	B	...
$t=1$	2	$(q_1, 0)$	1	1	0	2	B	...
$t=2$	2	2	$(q_1, 1)$	1	0	2	B	...
$t=3$	2	2	2	$(q_1, 1)$	0	2	B	...
$t=4$	2	2	2	2	$(q_1, 0)$	2	B	...
$t=5$	2	2	2	2	2	$(q_1, 2)$	B	...
$t=6$	2	2	2	2	2	2	$(q_2, B)$	...

# Turing Machine Configurations

- A tableaux is a sequence of configurations describing running  $M$  on some input  $x$

At time  $t=m$ , tableaux is  $m \times m$

$t=0$	$(q_1, 0)$	0	1	1	0	2	B	...
$t=1$	2	$(q_1, 0)$	1	1	0	2	B	...
$t=2$	2	2	$(q_1, 1)$	1	0	2	B	...
$t=3$	2	2	2	$(q_1, 1)$	0	2	B	...
$t=4$	2	2	2	2	$(q_1, 0)$	2	B	...
$t=5$	2	2	2	2	2	$(q_1, 2)$	B	...
$t=6$	2	2	2	2	2	2	$(q_2, B)$	...

## Encoding Turing Machines

$$M = (\Sigma, Q, \Gamma, \delta, q_1, B, \{q_2\})$$

Let  $\Sigma = \{0, 1, 2\}$

$$Q = \{q_1, q_2, \dots, q_n\}$$

$$\Gamma = \{x_1, x_2, \dots, x_k\} \text{ where } x_1=0 \quad x_2=1 \quad x_3=2 \quad x_4=B$$

$$D_1 = \text{left} \quad D_2 = \text{right}$$

We represent transition  $\delta(q_i, x_j) \rightarrow (q_k, x_l, D_m)$  by

$$0^i 1 0^j 1 0^k 1 0^l 1 0^m$$

Code for  $M$ :  $111 \text{code}_1 11 \text{code}_2 11 \dots 11 \text{code}_r 111$

where  $\text{code}_1, \dots, \text{code}_r$  are the codes for transition function

# Encoding Turing Machines

Example.  $Q = \{q_1, q_2, q_3\}$ ,  $\Sigma = \{0, 1\}$ ,  $\Gamma = \{0, 1, B\}$

$$\delta(q_1, 1) = (q_3, 0, R)$$

$$\delta(q_3, 0) = (q_1, 1, R)$$

$$\delta(q_3, 1) = (q_2, 0, R)$$

$$\delta(q_3, B) = (q_3, 1, L)$$

$$0^1 1 0^2 1 0^3 1 0^1 1 0^2 \leftarrow c_1$$

$$0^3 1 0 1 0^1 1 0^2 1 0^2 \leftarrow c_2$$

$$0^3 1 0^2 1 0^2 1 0^1 1 0^2 \leftarrow c_3$$

$$0^3 1 0^3 1 0^3 1 0^2 1 0^1 \leftarrow c_4$$

$$M = \lll c_1 \lll c_2 \lll c_3 \lll c_4 \lll$$

$(M, 110110)$  encoded as  $\underbrace{\lll c_1 \lll c_2 \lll c_3 \lll c_4 \lll}_M \underbrace{110110}_x$

\* uniquely decodable

$\#(M, x)$

## Universal Turing Machines

$U$ : Takes as input  $\#(M, x)$  and outputs  $y$  if

$M$  on  $x$  halts and outputs  $y$

if  $M$  does not halt on  $x$ ,  $U$  does not halt on  $\#(M, x)$



# Universal Turing Machines

$U$ : Takes as input  $\#(M, x)$  and outputs  $y$  if  
     $M$  on  $x$  halts and outputs  $y$   
    if  $M$  does not halt on  $x$ ,  $U$  does not halt on  $\#(M, x)$

We describe a 3-tape TM (at a high level) for  $U$ .  
(3-tapes can be simulated by one tape)

tape 1

$\#(M, x)$

tape 2

tape 3

# Universal Turing Machines

① initial state

tape 1

#(M, x)

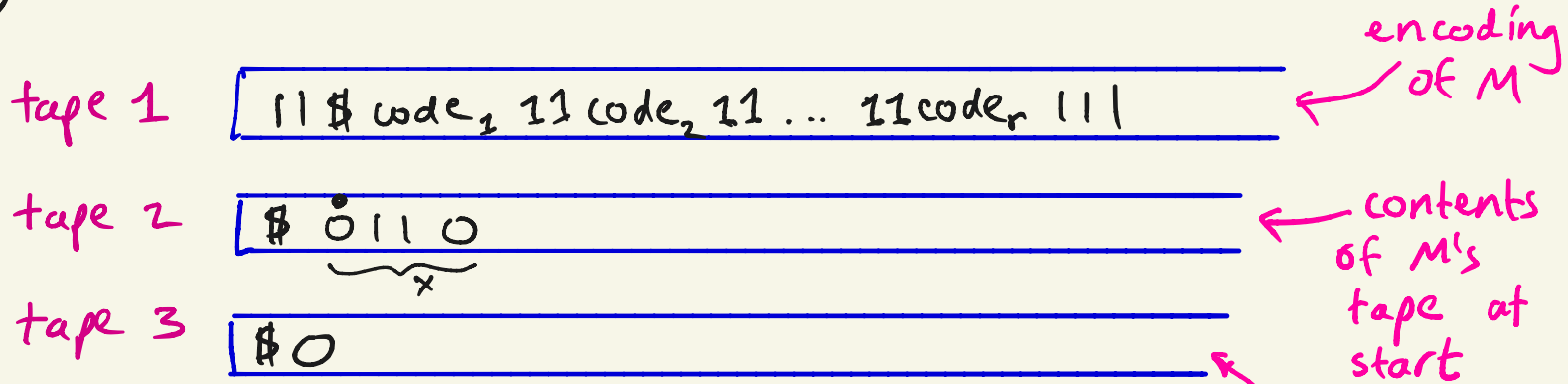
tape 2

tape 3

check that contents of tape 1 is  
legal encoding of  $M, x$

# Universal Turing Machines

②



Initialize tapes 1 + 2 as above

and tape 3 to contain  $\$ 0$

↑  
 $q_1$  in binary

# Universal Turing Machines

②

tape 1

| | \$ code<sub>1</sub> | | code<sub>2</sub> | | ... | | code<sub>r</sub> | | |

tape 2

| \$ X

tape 3

| \$ 0

Loop

IF tape 3 contains \$00 (halt state) halt and output contents of tape 2 (to 1st "B")

OR simulate next state:

store contents of tape 2 head and current state of M in U's state. Scan tape 1 to find corresponding code, Modify tapes 2, 3 accordingly

# Universal Turing Machines

②

tape 1

| | \$ code<sub>1</sub> 11 code<sub>2</sub> 11 ... 11 code<sub>r</sub> | | |

tape 2

\$ 0 0 1 2 1 0 1 B B ...

tape 3

\$ 0 0 B B ...

say  $\delta(q_2, 1) \rightarrow (q_3, 0, R)$

# Universal Turing Machines

②

tape 1

| | \$ code<sub>1</sub> 11 code<sub>2</sub> 11 ... 11 code<sub>r</sub> | | |

tape 2

\$ 0 0 1 2 0 0 | B B . . .

tape 3

\$ 0 0 0 B . . . .

Say  $\delta(q_2, 1) \rightarrow (q_3, 0, R)$

## Notation

$\{x\}$  = Turing machine  $M$  such that  $\#M = x$

$\{x\}_1$  = the unary function computed by  $x$

$\{x\}_n$  = the  $n$ -ary function computed by  $x$

(can generalize earlier so  $M$  takes  $n$  inputs instead of 1)

A set is a subset of  $\mathbb{N}^n$  (usually  $n=1$ )

a set / relation / 0-1 valued total function :

$$A \subseteq \mathbb{N} \quad \text{then} \quad A(x) = \begin{cases} 1 & \text{if } x \in A \\ 0 & \text{if } x \notin A \end{cases}$$