

## Review of Definitions

$\mathcal{L}_A = \{0, S, +, \cdot, =\}$  Language of arithmetic

$\bar{\Phi}_0 =$  all  $\mathcal{L}_A$ -sentences

$T_A = \{A \in \bar{\Phi}_0 \mid \mathbb{N} \models A\}$  True Arithmetic

A theory  $\Sigma$  is a set of sentences (over  $\mathcal{L}_A$ ) closed under logical consequence

- We can specify a theory by a subset of sentences that logically implies all sentences in  $\Sigma$

$\Sigma$  is consistent iff  $\bar{\Phi}_0 \not\equiv \Sigma$  (iff  $\forall A \in \bar{\Phi}_0$ , either  $A$  or  $\neg A$  Not in  $\Sigma$ )

$\Sigma$  is complete iff  $\Sigma$  is consistent and  $\forall A$  either  $A$  or  $\neg A$  is in  $\Sigma$

$\Sigma$  is sound iff  $\Sigma \subseteq TA$

Let  $\mathcal{M}$  be a model/structure over  $\mathcal{L}_A$

$$\text{Th}(\mathcal{M}) = \{ A \in \widehat{\Phi}_0 \mid \mathcal{M} \models A \}$$

$\text{Th}(\mathcal{M})$  is complete (for all structures  $\mathcal{M}$ )

Note  $TA = \text{Th}(\mathbb{N})$  is complete, consistent, & sound

$\text{VALID} = \{ A \in \widehat{\Phi}_0 \mid \models A \}$   $\longleftarrow$  smallest theory

Let  $\Sigma$  be a theory

$\Sigma$  is axiomatizable if there exists a set  $\Gamma \subseteq \Sigma$

such that ①  $\Gamma$  is recursive

$$\text{② } \Sigma = \{ A \in \mathcal{F}_0 \mid \Gamma \vdash A \}$$

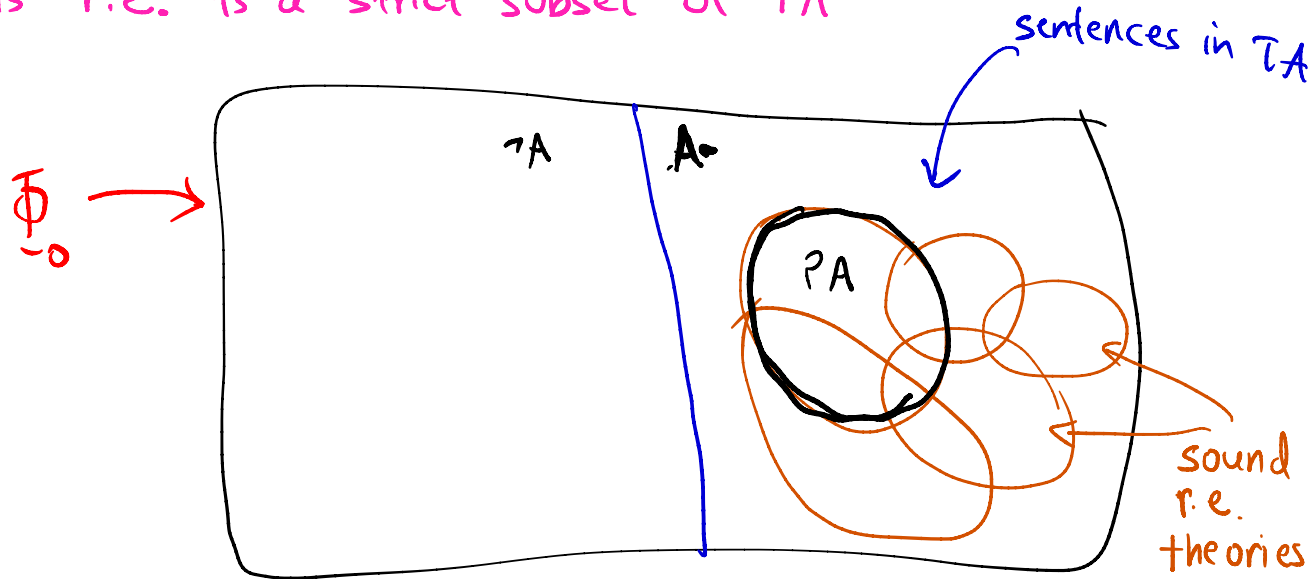
Theorem  $\Sigma$  is axiomatizable iff  $\Sigma$  is r.e.

(p. 76 of Notes)

# Incompleteness - Introduction

Incompleteness Theorem of TA: TA is not axiomatizable

In other words, any sound theory  $\Sigma$  (sound:  $\Sigma \subseteq TA$ ) that is r.e. is a strict subset of TA



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PROOF:

$K = \{x \mid \exists x \text{ halts on } x\}$

MAIN  
LEMMA:

For all  $x$  there is a sentence  $F_x$  (over  $\mathcal{L}_A$ ) such that  $x \in K^c$  iff  $F_x \in TA$

$\therefore$  If TA is r.e. then  $K^c$  is r.e. (contradiction):

Assume TA is r.e. and let  $M$  be a TM st.  $\mathcal{L}(M) = TA$

TM for  $K^c$   $\left[ \begin{array}{l} \text{given } x: \text{ Run } M \text{ on } F_x \text{ and accept iff } M(F_x) \text{ accepts} \end{array} \right.$

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↑

Need to show we can reason about TM computations with formulas in  $\mathcal{L}_A$

# (FIRST) INCOMPLETENESS THEOREM

MAIN  
LEMMA:

For all  $x$  there is a sentence  $F_x$  (over  $\mathcal{L}_A$ )  
such that  $x \in K^c$  iff  $F_x \in TA$

Defn A predicate is arithmetical if it can be represented  
by a formula over  $\mathcal{L}_A$

EXISTS-DELTA THEOREM (pp 68-71):

Every r.e. predicate/language is arithmetical

$\therefore$  the complement of an r.e. language is arithmetical  
so in particular  $K^c$  is arithmetical

# Every R.e. predicate is arithmetical

Definition Let  $s_0=0$ ,  $s_1=s_0$ ,  $s_2=ss_0$ , etc.

Let  $R(x_1, \dots, x_n)$  be an  $n$ -ary relation  $R \subseteq \mathbb{N}^n$

Let  $A(x_1, \dots, x_n)$  be an  $\mathcal{L}_A$  formula, with free variables  $x_1, \dots, x_n$

$A(\vec{x})$  represents  $R$  iff  $\forall \vec{a} \in \mathbb{N}^n \left[ R(\vec{a}) \Leftrightarrow \mathbb{N} \models A(s_{a_1}, s_{a_2}, \dots, s_{a_n}) \right]$

Example  $R \subseteq \mathbb{N}$   $R = \{ a \in \mathbb{N} \mid a \text{ is even} \}$

$$A(x) \stackrel{d}{=} \exists y (y+y=x) \quad \exists y \leq x (y+y=x)$$

$$R(3) = \text{false} \quad \text{and} \quad \mathbb{N} \not\models A(sss0) = \exists y (y+y=sss0)$$

$$R(4) = \text{true} \quad \text{and} \quad \mathbb{N} \models A(sssso) = \exists y (y+y=ssss0)$$



# Every R.e. predicate is arithmetical

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$R$  is arithmetical iff there is a formula

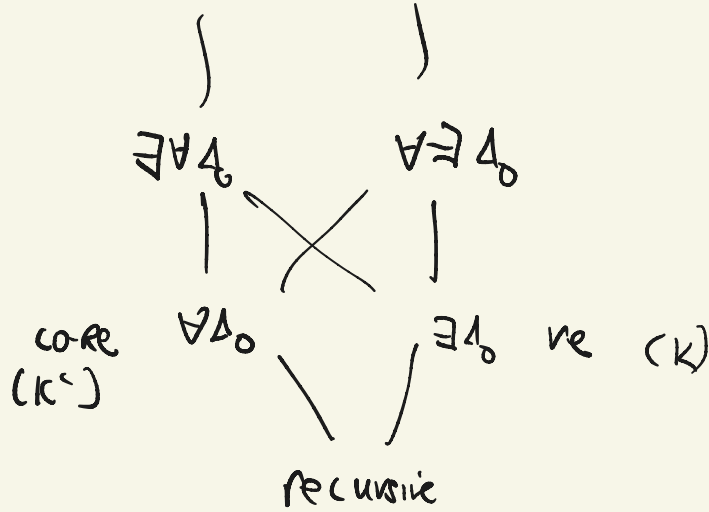
$A \in \mathcal{L}_A$  that represents  $R$

Exists-Delta-Theorem every r.e. relation

is arithmetical. In fact every r.e. relation

is represented by a  $\exists \Delta_0 \mathcal{L}_A$ -formula.

Aritmetic  
weird



## $\exists \Delta_0$ Formulas

$t_1 \leq t_2$  stands for  $\exists w (t_1 + w = t_2)$

$\exists z \leq t A$  stands for  $\exists z (z \leq t \wedge A)$

$\forall z \leq t A$  stands for  $\forall z (z \leq t \supset A)$

} Bounded  
Quantifiers

Definition A formula is a  $\Delta_0$ -formula if it has

the form  $\underbrace{\forall z_1 \leq t_1 \exists z_2 \leq t_2 \forall z_3 \leq t_3 \dots \exists z_k \leq t_k}_{\text{Bounded Quantifiers}} A(\vec{x}, \vec{z})$

No  
Quantifiers

Definition A relation  $R(\vec{x})$  is a  $\Delta_0$ -relation iff some  $\Delta_0$ -formula represents it

## $\exists \Delta_0$ Formulas

Example Prime =  $\{x \in \mathbb{N} \mid x \text{ is prime}\}$  is a  $\Delta_0$ -relation, represented by the following  $\Delta_0$ -formula:

$$A(x) \stackrel{d}{=} s_0 < x \wedge \forall z_1 \leq x \forall z_2 \leq x (x = z_1 \cdot z_2 \supset (z_1 = 1 \vee z_1 = x))$$

$$\forall z_1 \leq x \forall z_2 \leq x \left( (s_0 < x) \wedge (x = z_1 \cdot z_2 \supset (z_1 = 1 \vee z_1 = x)) \right)$$

## $\exists\Delta_0$ Formulas

$t_1 \leq t_2$  stands for  $\exists w (t_1 + w = t_2)$

$\exists z \leq t A$  stands for  $\exists z (z \leq t \wedge A)$

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Definition A formula is a  $\Delta_0$ -formula if it has the form  $\forall z_1 \leq t_1 \exists z_2 \leq t_2 \forall z_3 \leq t_3 \dots \exists z_k \leq t_k A(\vec{x}, \vec{z})$

Definition A  $\exists\Delta_0$  formula has the form  $\exists \varphi B(\vec{x}, \vec{y}, \vec{z})$   
 $\Delta_0$  formula

Definition A relation  $R(\vec{x})$  is a  $\Delta_0$ -relation iff some  $\Delta_0$ -formula represents it

Definition  $R(\vec{x})$  is a  $\exists\Delta_0$ -relation iff some  $\exists\Delta_0$ -formula represents it

## $\exists \Delta_0$ Formulas

Lemma Every  $\Delta_0$  relation is recursive ]

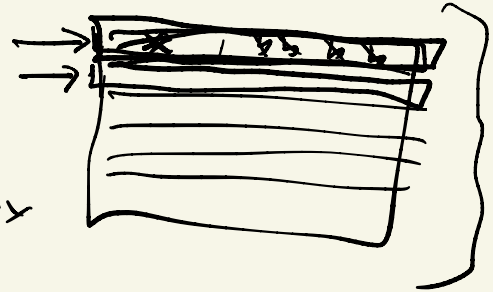
Lemma Every  $\exists \Delta_0$  relation is r.e.

$\exists \Delta_0$  (Exists-Delta) Theorem every r.e. relation is represented by a  $\exists \Delta_0$  formula

$K = \{ x \mid \exists z \text{ halts on } x \}$

[ A:  $\exists y$  ]  $y$  describes tableaux of TM  $\{x\}$  on input  $x$  + binar line of tableaux halts ]

tableaux of TM  $\{x\}$  running on  $x$



## $\exists\Delta_0$ Theorem

Main Lemma Let  $f: \mathbb{N}^n \rightarrow \mathbb{N}$  be a total computable function.

$$\text{Let } R_f = \{ (\vec{x}, y) \in \mathbb{N}^{n+1} \mid f(\vec{x}) = y \}$$

Then  $R_f$  is a  $\exists\Delta_0$ -relation.

← also called graph(f)

Main Lemma Let  $f: \mathbb{N}^n \rightarrow \mathbb{N}$  be total, computable  
Then  $R_f = \{ \langle \vec{x}, y \rangle \mid f(\vec{x}) = y \}$  is a  $\exists \Delta_0$  relation

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### Proof of $\exists \Delta_0$ Theorem from Main Lemma

Let  $R(\vec{x})$  be an r.e. relation [example  $K(x)$ ]

Then  $R(\vec{x}) = \exists y S(\vec{x}, y)$  where  $S$  is recursive

$$K(x) = \exists y S(x, y)$$

Since  $S$  is recursive,  $f_S(\vec{x}, y) = \begin{cases} 1 & \text{if } (\vec{x}, y) \in S \\ 0 & \text{otherwise} \end{cases}$

is total computable

By main lemma,  $R_{f_S}$  is represented by a  $\exists \Delta_0$  relation

So  $R(\vec{x}) = \exists y \underbrace{\exists z B}_{R_{f_S}}$  is represented by a  $\exists \Delta_0$  relation



Let  $K = \{x \mid \{x\} \text{ halts on input } x\}$

Can describe  $K$  by

$$K = \exists y \underbrace{A(x, y)}_{\exists \bar{z} \underbrace{F(x, y, \bar{z})}_{\Delta_0}}$$

where  $A$  is the recursive relation that accepts iff  $y$  is the tableau of TM  $\{x\}$  when run on input  $x$  and last config of  $y$  halts

$A$  is recursive so by main lemma  $A$  is represented by an  $\exists \Delta_0$  formula

$\therefore K$  is also represented by  $\exists \Delta_0$  formula

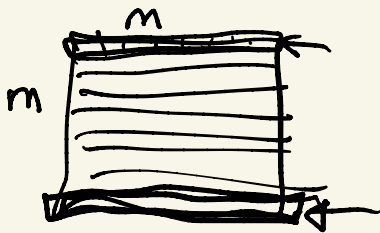
## Proof of Main Lemma: MAIN IDEA

Let  $f: \mathbb{N} \rightarrow \mathbb{N}$  be unary, total computable function, + let  $M_f$  be TM computing  $f$

$R(\vec{x}, y)$  will be a  $\exists \Delta_0$  relation saying:

$\exists m, c, d$  such that

- (1)  $c, d$  describe the tableaux given by  $r_1, \dots, r_m, \dots, r_{m^2}$
- (2)  $r_1 \dots r_m$  encode start config of  $M_f$  on  $x$
- (3) Last  $m$  numbers  $r_{(m-1)m} \dots r_{m^2}$  encode last config, containing  $y$  in first cells then  $B$ , and state is  $q_2$
- (4) For all other configs, state is not  $q_2$ .
- (5) all  $2 \times 3$  local cells are consistent with transition function of  $M_f$



# Proof of Main Lemma: MAIN IDEA

Let  $f: \mathbb{N} \rightarrow \mathbb{N}$  be unary, total computable function, + let  $M_f$  be TM computing  $f$

$R(\bar{x}, y)$  will be a  $\exists \Delta_0$  relation saying:

$A(x, y)$  formula  $\left\{ \begin{array}{l} \exists m, c, d \text{ such that} \\ (1) c, d \text{ describe the tableaux given by } r_1 \dots r_m \dots r_m \\ (2) r_1 \dots r_m \text{ encode start config of } M_f \text{ on } x \\ (3) \text{ last } m \text{ numbers } r_{(m)d} \dots r_m \text{ encode last config, containing } \\ \quad y \text{ in first cells then } B, \text{ and state is } q_2 \\ (4) \text{ For all other configs, state is not } q_2. \\ (5) \text{ all } 2 \times 3 \text{ local cells are consistent with transition function of } M_f \end{array} \right.$

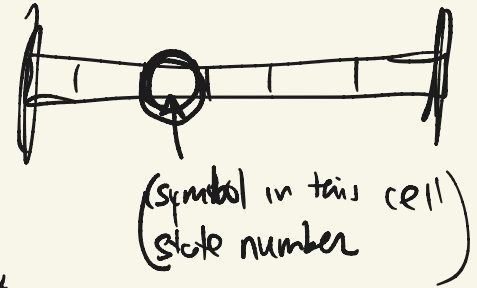
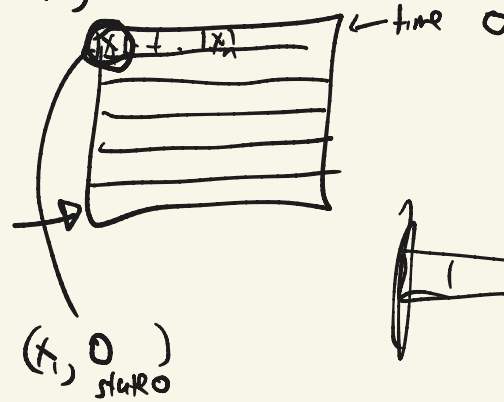
- Need to encode an arbitrarily long sequences (of numbers/strings) by a few (3) numbers ( $m, c, d$ )
- Need formulas that can talk about the  $i^{\text{th}}$  number in the sequence

We want  $A(x, y)$  to be true iff

$M_f$  on input  $x$  halts + outputs  $y$

iff  $\exists m$  (runtime of  $M_f$  on  $x$ )  
 $\exists$  tableau  $T$

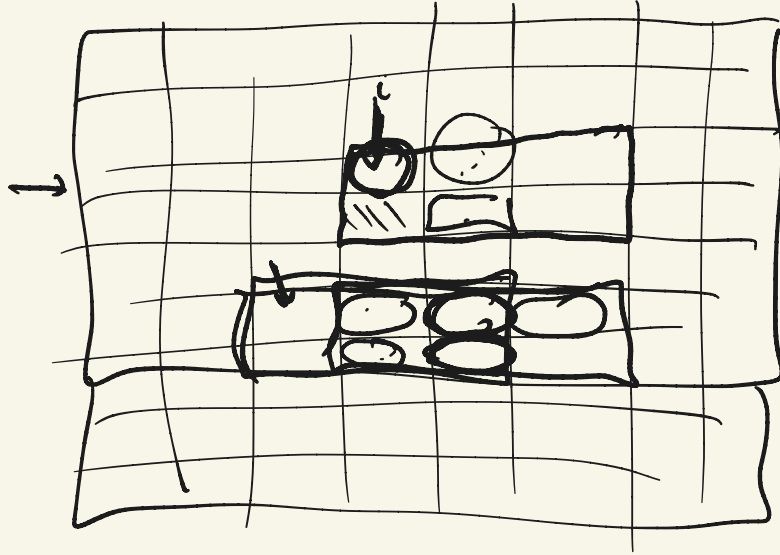
$m^2$  cells



s.t.  $T$  is correct:

- 1. 1st row of  $T$  is start config of  $M_f$  on  $x$
- 2. last row of  $T$  outputs  $y$  + is in halt state
- 3. no other rows are in halt state
- 4. for every row  $i > 1$ , row  $i$  is the config of  $M_f$  on  $x$  after  $i$  steps

4<sup>th</sup> condition can be checked locally



## Proof of Main Lemma: MAIN IDEA

- Need to encode an arbitrarily long sequences (of numbers/strings) by a few (3) numbers  $(m, c, d)$
- Need formulas that can talk about the  $i^{\text{th}}$  number in the sequence
- WARMUP: if exponentiation  $\text{fix } x^y$  were in  $\mathcal{L}_A$ , this would be easier.

encode 57, 3009, 205, 4, 5 by

$$2^{57} \cdot 3^{3009} \cdot 5^{205} \cdot 7^4 \cdot 11^5$$

(ie  $i^{\text{th}}$  number  $x$  sequence encoded by  $P_i^x$ , where  
 $P_i = i^{\text{th}}$  smallest prime number)

## Proof of Main Lemma: MAIN IDEA

- Need to encode an arbitrarily long sequences (of numbers/strings) by a few (3) numbers  $(m, c, d)$
- Need formulas that can talk about the  $i^{\text{th}}$  number in the sequence
- WARMUP: if exponentiation  $x^y$  were in  $\mathcal{L}_A$ , this would be easier.
- But we need to encode sequences using only  $+$ ,  $\cdot$ ,  $s$ 
  - ★ gödel's  $\beta$  function does this using magic of chinese remainder theorem

## Proof of Main Lemma (see pp 70-71)

Main idea: is a way of representing sequences of numbers by numbers using  $\exists \Delta_0$  formulas

Note: Prime power decomposition not useful here since we only have  $s, +, \cdot$

(ie. represent  $(a_1, a_2, a_3, a_4)$  by  $2^{a_1} \cdot 3^{a_2} \cdot 5^{a_3} \cdot 7^{a_4}$ )

Definition  $\beta$ -function

$$\beta(c, d, i) = \text{rm}(c, d(i+1) + 1)$$

$$\text{where } \text{rm}(x, y) = x \bmod y$$



## Proof of Main Lemma (see pp 70-71)

Definition  $\beta$ -function

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Lemma 0.  $\forall n, r_0, r_1, \dots, r_n \exists c, d$  such that

$$\beta(c, d, i) = r_i \quad \forall i, 0 \leq i \leq n$$

↑ so the pair  $(c, d)$  represents the sequence  
 $r_0, r_1, \dots, r_n$  using  $\beta$

## Proof of Main Lemma (see pp 70-71)

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ERT (Chinese Remainder Theorem)

Let  $r_0, \dots, r_n, m_0, \dots, m_n$  be such that  
 $0 \leq r_i \leq m_i \quad \forall i, 0 \leq i \leq n$  and  $\gcd(m_i, m_j) = 1 \quad \forall i, j$

then  $\exists r$  such that  $\text{rm}(r, m_i) = r_i \quad \forall i, 0 \leq i \leq n$

## ERT (Chinese Remainder Theorem)

Let  $r_0, \dots, r_n, m_0, \dots, m_n$  be such that:

$$(1) 0 \leq r_i \leq m_i \quad 0 \leq i \leq n$$

$$(2) \gcd(m_i, m_j) = 1 \quad \forall i, j, i \neq j$$

Then  $\exists r$  such that  $rm(r, m_i) = r_i \quad \forall i, 0 \leq i \leq n$

### Proof (Counting Argument)

- The number of sequences  $r_0 \dots r_n$  such that (1) holds is

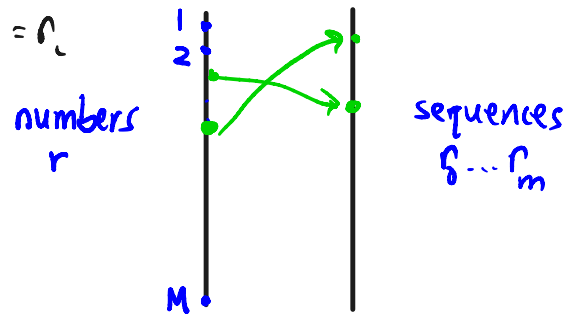
$$M = m_0 \cdot m_1 \cdot \dots \cdot m_n$$

- Each  $r, 0 \leq r \leq M$  corresponds to a different sequence:

I.e. If  $\forall i, rm(r, m_i) = r_i$  and  $\forall i, rm(s, m_i) = r_i$

Then  $r = s$  (mapping is 1-1)

- $\therefore$  for every sequence  $r_0 \dots r_m$ , some  $r \in M$  maps to it



## Proof of Main Lemma (see pp 70-71)

Lemma 0  $\forall n, r_0, r_1, \dots, r_n \exists c, d$  such that  
 $\beta(c, d, i) = r_i \quad \forall i, 0 \leq i \leq n$

$$\beta(c, d, i) = \text{rm}(c, d(i+1)+1)$$

where  $\text{rm}(x, y) = x \bmod y$

### Chinese Remainder Theorem

Let  $r_0, \dots, r_n, m_0, \dots, m_n$  be such that  
 $0 \leq r_i \leq m_i$  and  $\text{gcd}(m_i, m_j) = 1$ . Then  $\exists r$   $\text{rm}(r, m_i) = r_i \quad \forall i$

### Proof of Lemma 0

Let  $d = (n + r_0 + \dots + r_n + 1)!$

Let  $m_i = d(i+1) + 1$

claim  $\forall i, j \text{ gcd}(m_i, m_j) = 1$  (proof next page)

By CRT  $\exists r = c$  so that  $\beta(c, d, i) = \text{rm}(c, m_i) = r_i \quad \forall i \in [n]$

Claim Let  $d = (n + r_0 + r_1 + \dots + r_n + 1)!$ ,  $m_i = d(i+1) + 1$   
then  $\forall i \neq j \leq n \quad \gcd(m_i, m_j) = 1$

PF Suppose  $p$  is a prime, and  $p \mid \underbrace{d(i+1) + 1}_{m_i}$ ,  $p \mid \underbrace{d(j+1) + 1}_{m_j}$

Then  $p \mid \left[ \underbrace{d(j+1) + 1}_{m_j} - \underbrace{d(i+1) + 1}_{m_i} \right]$  (assume  $j > i$ )

so  $p \mid d(j-i)$

But  $p$  cannot divide both  $d$  and  $d(i+1) + 1$  so  $p \mid j-i$

But then  $p \leq j-i < n$  so  $p \nmid d \neq$

# Proof of Main Lemma (see pp 70-71)

Lemma 0  $\forall n, r_0, r_1, \dots, r_n \exists c, d$  such that  
 $\beta(c, d, i) = r_i \quad \forall i, 0 \leq i \leq n$

Lemma 1  $\text{graph}(\beta)$  is a  $\Delta_0$  relation

We want a  $\Delta_0$  formula  $A(z, z_2, z_3, z_4)$  s.t.

Pf

$A$  is true on inputs  $c, d, i, y$  iff  $\beta(c, d, i) = y$

$$y = \beta(c, d, i) \Leftrightarrow c \bmod d(i+1) + 1 = y$$

$$\Leftrightarrow c = \lfloor d(i+1) + 1 \rfloor q + y \quad \text{where } y < d(i+1) + 1$$

$$y = \beta(c, d, i) \Leftrightarrow [\exists q \leq c (c = q(d(i+1) + 1) + y) \wedge y < d(i+1) + 1]$$

## Proof of Main Lemma (see pp 70-71)

Let  $f: \mathbb{N} \rightarrow \mathbb{N}$  be unary, total computable function, + let  $M_f$  be TM computing  $f$

$R(\vec{x}, y)$  will be a  $\exists \Delta_0$  relation saying:

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(5) all  $2 \times 3$  local cells are consistent with transition function of  $M_f$



Recap: we wanted to prove

$\exists\Delta_0$  (Exists-Delta) Theorem every r.e.  
relation is represented by a  $\exists\Delta_0$  formula

which followed by Main Lemma:

$f$  total, computable  $\Rightarrow R_f$  is a  $\exists\Delta_0$  relation



Recap:

## First Incompleteness Theorem

1<sup>st</sup> Incompleteness Theorem:

TA is NOT axiomatizable

That is, any sound, axiomatizable theory is incomplete.

→ PA is axiomatizable. So assuming PA is sound, it is incomplete (so there are sentences  $A$  such that neither  $A$  or  $\neg A$  is provable from axioms of PA.)

Recap:

## First Incompleteness Theorem

1<sup>st</sup> Incompleteness Theorem:

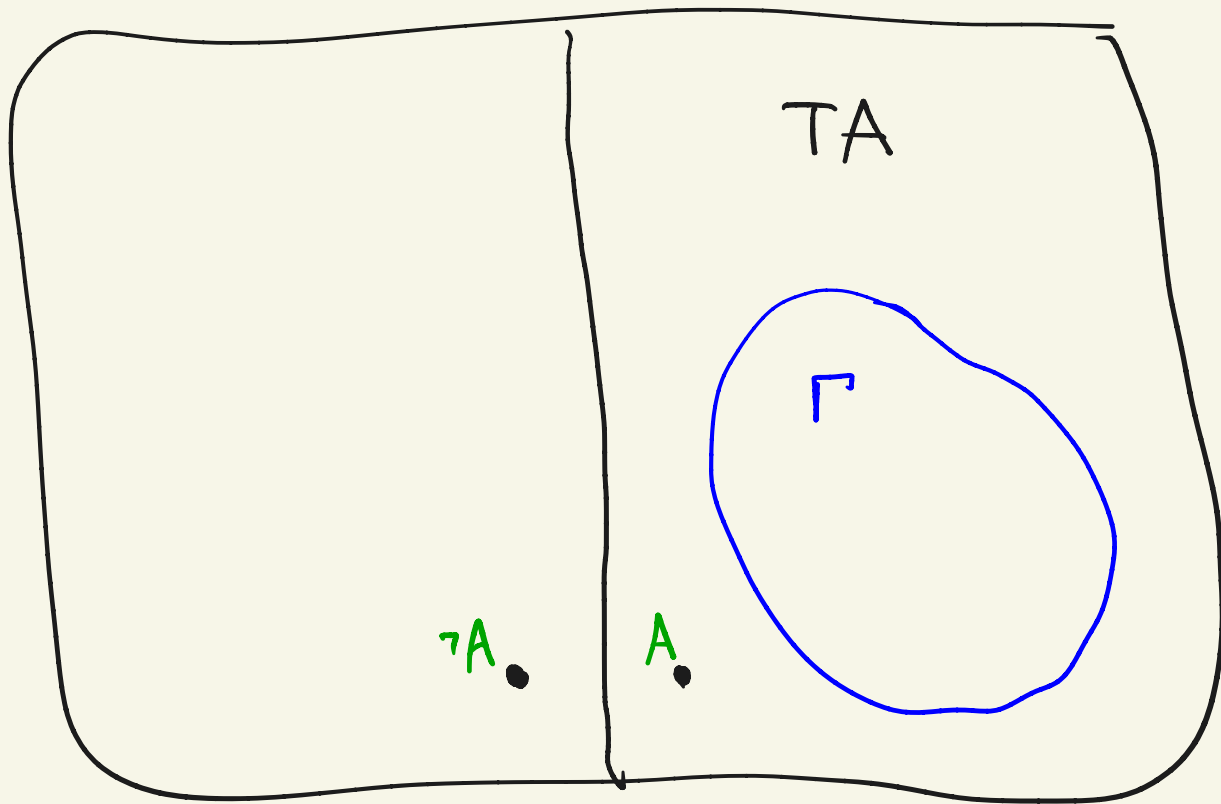
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→ PA is axiomatizable. So assuming PA is sound, it is incomplete (so there are sentences  $A$  such that neither  $A$  or  $\neg A$  is provable from axioms of PA.)

$\Phi_0$ :

all  $L_A$   
sentences



$\Gamma$  sound and axiomatizable  $\Rightarrow \exists A, \neg A \notin \Gamma$

## Tarski Theorem

Define the predicate  $\text{Truth} \subseteq \mathbb{N}$

$$\text{Truth} = \{ m \mid m \text{ encodes a sentence } \langle m \rangle \in \text{TA} \}$$

Then  $\text{Truth}$  is not arithmetical.

By  $\exists \Delta_1$ -Theorem (every r.e. set/language is arithmetical)  
this implies that  $\text{Truth}$  is NOT r.e.

High Level idea of Proof:

Formulate a sentence "I am false"  
which is self-contradictory

## PF of Tarski's thm

Let  $\text{sub}(m, n) = \begin{cases} 0 & \text{if } m \text{ is not a legal encoding of a formula} \\ \text{otherwise say } m \text{ encodes the formula} \\ A(x) \text{ with free variable } x. \text{ Then } \text{sub}(m, n) = m' \\ \text{where } m' \text{ encodes } A(s_n) \end{cases}$

[ $\text{sub}(m, n)$ : decode  $m$ , plug in  $n$  + re-encode]

Let  $d(n) = \text{sub}(n, n)$

$\left\{ \begin{array}{l} d(n) = 0 \text{ if } n \text{ not a legal encoding.} \\ \text{or say } n \text{ encodes } A(x). \\ \text{then } d(n) = n' \text{ where } n' \text{ encodes } A(s_n) \end{array} \right\}$

clearly  $\text{sub}, d$  are both computable

so by  $\exists \Delta_0$ -theorem  $\text{graph}(\text{sub}), \text{graph}(d)$  are arithmetical

## Proof of Tarski's Thm

Suppose that Truth is arithmetical.

Then define  $R(x) = \neg \text{Truth}(d(x))$

Since  $d$ , Truth both arithmetical, so is  $R$

Let  $\widetilde{R(x)}$  represent  $R(x)$ , and let  $e$  be the encoding of  $\widetilde{R(x)}$

Let  $d(e) = \widetilde{R(s_e)}$  encodes "I am false"

Then

$$\widetilde{R(s_e)} \in \text{TA} \iff \neg \text{Truth}(d(e))$$

$$\iff \neg \widetilde{R(s_e)} \in \text{TA}$$

$$\iff \widetilde{R(s_e)} \notin \text{TA}$$

since  $\widetilde{R}$  represents  $R$

by defn of truth

TA contains exactly one of  $A, \neg A$

✗ this is a contradiction.  $\therefore$  Truth is not arithmetical

# PEANO ARITHMETIC

$$P1. \quad \forall x (Sx \neq 0)$$

$$P2. \quad \forall x \forall y (Sx = Sy \Rightarrow x = y)$$

$$P3. \quad \forall x (x + 0 = x)$$

$$P4. \quad \forall x \forall y (x + Sy = S(x + y))$$

$$P5. \quad \forall x (x \cdot 0 = 0)$$

$$P6. \quad \forall x \forall y (x \cdot Sy = (x \cdot y) + x)$$

$s$  is 1-1

define  $+$

define  $\cdot$

$$\text{IND}(A(x)) : \forall y_1 \dots \forall y_k \left[ (A(0) \wedge \forall x (A(x) \Rightarrow A(Sx))) \Rightarrow \forall x A(x) \right]$$

INDUCTION AXIOMS: All sentences  $\text{IND}(A(x))$  for all formulas  $A$  whose free variables are  $y_1, \dots, y_k, x$

$$\Gamma_{PA} = \{P_1, \dots, P_6\} \cup \{\text{INDUCTION AXIOMS}\}$$

1.  $\Gamma_{PA}$  is recursive

2. PA is sound + axiomatizable (so incomplete)

3. PA still strong enough to prove all  
of standard number theory



## Robinson's Arithmetic RA

Axioms  $\{P1, \dots, P6\}$  of PA plus  $P7, P8, P9$

$$P7 : (\forall x \ x \leq 0 \Rightarrow x = 0)$$

$$P8 : \forall x \forall y (x \leq sy \Rightarrow (x \leq y \vee x = sy))$$

$$P9 : \forall x \forall y (x \leq y \vee y \leq x)$$

where  $t_1 \leq t_2$  abbreviates  $\exists z (t_1 + z = t_2)$

FACTS ①  $RA \subseteq PA$

② RA finitely axiomatizable

## Stronger Version of Incompleteness Thm

Recall

$R(\vec{x})$  is represented by an  $\exists\Delta_0$  formula  $A(\vec{x})$  if

$$\forall \vec{a} \in \mathbb{N} \quad R(\vec{a}) \Leftrightarrow \text{TA} \models A(S_{\vec{a}})$$

Stronger version:

$R(\vec{x})$  is represented in RA by  $A(\vec{x})$  if

$$\forall \vec{a} \in \mathbb{N} \quad R(\vec{a}) \Leftrightarrow \text{RA} \models A(S_{\vec{a}})$$

## RA Representation Theorem

Every r.e. relation is represented in RA by an  $\exists\Delta_0$  formula

## Corollaries of RA Representation Theorem

① RA is not recursive

Pf sketch:  $K$  is r.e. but not recursive

$K$  r.e.  $\Rightarrow$  it is represented in RA by some  $\exists \Delta_0$ -formula  $A$

If RA recursive then  $K$  recursive. Contradiction

② VALID is not recursive

Pf idea: RA is finitely axiomatizable!

$A \in \text{RA} \Leftrightarrow P_1 \wedge \dots \wedge P_n \Rightarrow A$  is valid

so membership in RA is reducible to membership in VALID

## RA Representation Theorem

Every r.e. relation is represented in RA by an  $\exists\Delta_0$  formula

### Proof idea

**Main Lemma**: every  $\Delta_0$ -sentence in TA is provable in RA

Assuming Main Lemma, Let  $R(\vec{x})$  be an r.e. relation.

By Exists-Delta Theorem,  $R(\vec{x})$  is represented (in TA) by some  $\exists\Delta_0$ -formula  $A(\vec{x})$

$$\text{so } \forall \vec{a} \in \mathbb{N}^k \quad R(\vec{a}) \Leftrightarrow \exists y \underbrace{A(\vec{S}_{\vec{a}}, y)}_{\Delta_0} \in \text{TA}$$

$\therefore$  By soundness of RA + since every  $\exists\Delta_0$  sentence of TA is provable in RA

$$R(\vec{a}) \Leftrightarrow \text{RA} \vdash \exists y A(\vec{S}_{\vec{a}}, y)$$

so  $\exists y A(\vec{x}, y)$  represents  $R(\vec{x})$  in RA

## 2<sup>nd</sup> INCOMPLETENESS THEOREM

Recall PA is a strong sound subtheory of TA

### 2<sup>nd</sup> Incompleteness Thm

PA cannot prove its own consistency

## 2<sup>nd</sup> Incompleteness Thm

- ① A specific sentence "I am not provable"  $\equiv g$  such that neither  $g$  nor  $\neg g$  are provable in PA (assuming PA is consistent)
- ② Consistency of PA,  $\text{Con}(\text{PA})$  is not provable in PA (assuming PA is consistent)


- Let  $\Gamma_{PA}$  be the set of axioms of PA
- Let  $\text{Proof}(x, y)$ : true if and only if  $y$  codes a LK- $\Gamma_{PA}$  proof of the sentence coded by  $x$
- Recall  $d(n) = \#A(s_n)$  where  $\#A(x) = n$   
 (so  $n$  codes the formula  $A(x)$ , and  $d(n)$  codes  $A(s_n)$ )

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- Let  $S(x)$  be the r.e. relation:  $\exists y \text{Proof}(d(x), y)$



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- Let  $S(x)$  be the r.e. relation:  $\exists y \text{Proof}(d(x), y)$
- By RA representation Theorem, let  $A(x)$  be a  $\exists\Delta_0$  formula that represents  $S(x)$  in RA (and hence in PA)
- Then  $\forall n \in \mathbb{N} \quad \exists y \text{Proof}(d(n), y) \iff PA \vdash A(s_n) \quad (*)$

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 (so  $n$  codes the formula  $A(x)$ , and  $d(n)$  codes  $A(s_n)$ )
- Let  $S(x)$  be the r.e. relation:  $\exists y \text{ Proof}(d(x), y)$
- By RA representation Theorem, let  $A(x)$  be a  $\exists \Delta_0$  formula that represents  $S(x)$  in RA (and hence in PA)
- Let  $e = \# \neg A(x)$ , so  $d(e) = \# \neg A(s_e)$
- Let  $g \stackrel{d}{=} \neg A(s_e)$


 Says that "I am not provable"  
 since  $\neg A(s_e)$  says the formula encoded  
 by  $d(e)$  -- which is  $g$  -- is not provable in PA

- Let  $S(x)$  be the r.e. relation:  $\exists y \text{ Proof}(d(x), y)$
- By RA representation Theorem, let  $A(x)$  be a  $\exists \Delta_0$  formula that represents  $S(x)$  in RA (and hence in PA)
- Let  $e = \# \neg A(x)$ , so  $d(e) = \# \neg A(s_e)$
- Let  $g \stackrel{d}{=} \neg A(s_e)$

Theorem PA consistent  $\Rightarrow$  PA  $\nvdash$   $g$

PF Suppose PA  $\vdash$   $g$   
 Then sentence number  $d(e)$  is provable, so  $\exists y \text{ Proof}(d(e), y)$  holds  
 Thus PA  $\vdash$   $A(s_e)$  by left-to-rt direction of (\*)  
 Thus PA  $\vdash$   $\neg g$  and PA  $\vdash$   $g$  so PA not consistent

- Let  $S(x)$  be the r.e. relation:  $\exists y \text{ Proof}(d(x), y)$
- By RA representation Theorem, let  $A(x)$  be a  $\exists \Delta_0$  formula that represents  $S(x)$  in RA (and hence in PA)
- Let  $e = \# \neg A(x)$ , so  $d(e) = \# \neg A(s_e)$
- Let  $g \stackrel{d}{=} \neg A(s_e)$

Theorem PA consistent  $\Rightarrow$  PA  $\nvdash \neg g$

PF Suppose PA  $\vdash \neg g$ . i.e. PA proves  $A(s_e)$

Then  $\exists y \text{ Proof}(d(e), y)$  by rt-to-left direction of  $g$  (\*)

So PA proves  $\neg A(s_e)$

So PA  $\vdash g$  and PA  $\vdash \neg g$ , so PA not consistent

## Formulating consistency in PA

Let  $B(x, y)$  be a  $\exists \Delta_0$  formula that represents  
Proof  $(x, y)$  in RA (and thus also in PA)

Then for every sentence  $C$   
 $PA \vdash C \iff PA \vdash \exists y \underbrace{B(\#C, y)}_{\text{stands for } B(S_{\#C}, y)}$

Then  $PA \vdash A(S_n) \iff \exists y B(S_{d(n)}, y)$   
[recall  $A(x)$  represents  $\exists y B(d(x), y)$ ]

## Formulating consistency in PA

Let  $B(x, y)$  be a  $\exists \Delta_0$  formula that represents  
Proof  $(x, y)$  in RA (and thus also in PA)

Then for every sentence  $C$   
 $PA \vdash C \iff PA \vdash \exists y B(\#C, y)$   
stands for  $B(s_{\#C}, y)$

Then  $PA \vdash A(s_n) \iff \exists y B(s_{d(n)}, y)$   
[recall  $A(x)$  represents  $\exists y B(d(x), y)$ ]

Define  $\text{con}(PA) \stackrel{d}{=} \neg \exists y B(\#0 \neq 0, y)$

Theorem If PA is consistent, then  $PA \not\vdash \text{con}(PA)$

Proof :

Main Lemma:  $PA \vdash (\text{con}(PA) \rightarrow g)$

[ recall  $g \stackrel{d}{=} \neg A(s_e)$ ,  $e = \# \ulcorner A(x) \urcorner$  says  
"I am not provable" ]

If  $PA \vdash \text{con}(PA)$  by main lemma  $PA \vdash g$

But by previous theorem  
 $PA \text{ consistent} \Rightarrow PA \not\vdash g$

$\therefore PA \text{ consistent} \Rightarrow PA \not\vdash \text{con}(PA)$

It is left to prove:

Main Lemma:  $PA \vdash \text{con}(PA) \Rightarrow g$

[ recall  $g \stackrel{d}{=} \neg A(s_e)$ ,  $e = \# \neg A(x)$  says  
"I am not provable" ]

↑  
Need to formalize proof of Gödel's Incompleteness Thm  
in PA. Main step is to formalize in PA  
that every true  $\exists \Delta_0$  sentence is provable in PA.