

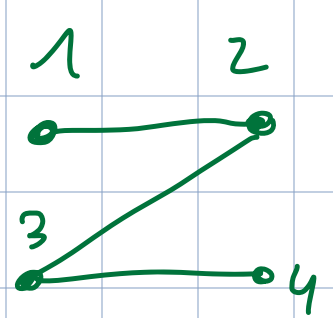
Lecture 20

Hw 5: tomorrow, due the 30th.

↳ start early, it looks short but isn't

tiger m edge

To encode a graph G ;



(1) Adjacency list:

$\{(1,2), (2,3), (3,4)\}$

size is $O(m \cdot 2 \log n) \leq O(n^2 \log n)$

(2) Adjacency matrix

	1	2	3	4
1	0	1	0	0
2	1	0	1	0
3	0	1	0	1
4	0	0	1	0

$M_{ij} = 1$ iff (i,j) is an edge

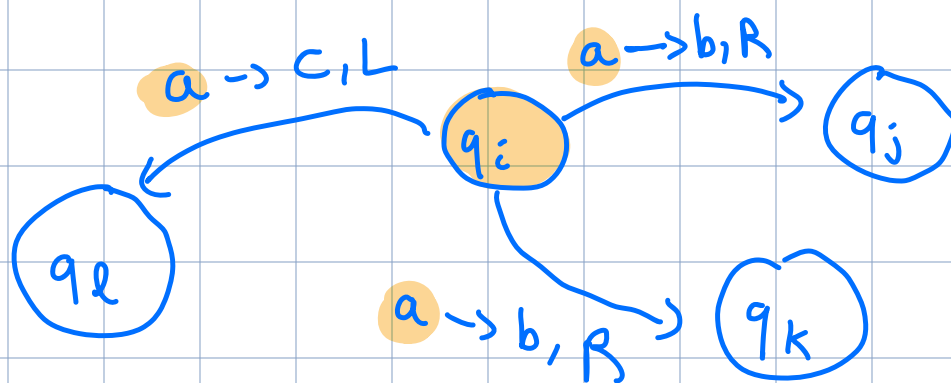
$O(n^2)$

Today : NP $7.3 + \underline{7.4} ?$

Some refresher

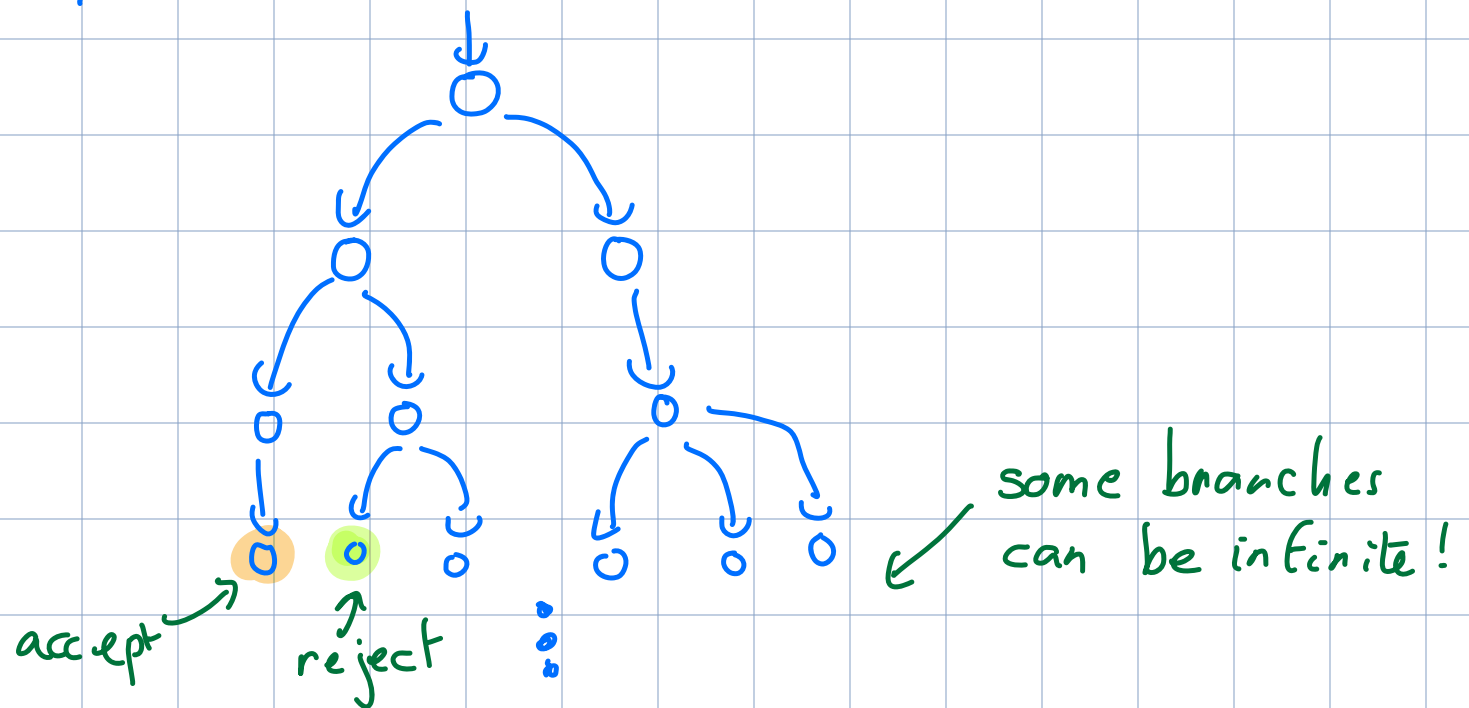
An NTM is a non deterministic TM:

-at each step 0, 1 or more transitions possible



at q_i & read a "a"
3 transitions!

Just like an NFA : on input w
many branches !



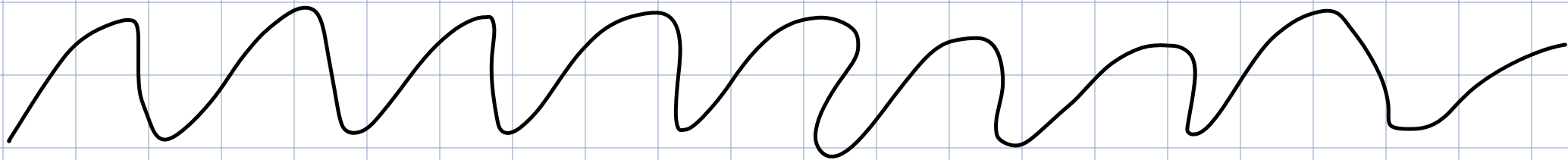
An NTM accepts w if some branch ends in accept
at least 1

Else : it rejects w (branches reject / go on forever)

An NTM N decides a language L if :

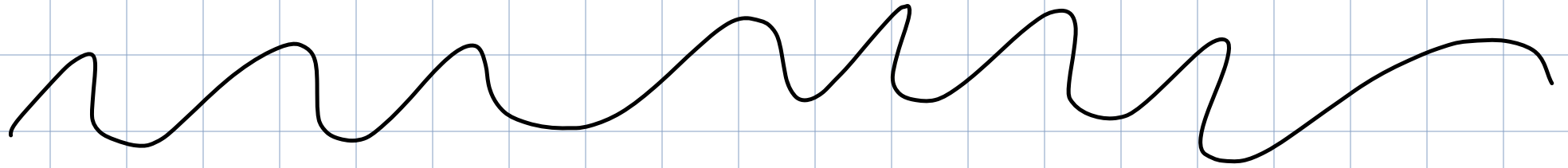
- $L(N) = L$

- on every input $w \Rightarrow$ all branches halt
(the computation tree is finite)



Let N be an NTM decider. The running time of N is $f: \mathbb{N} \rightarrow \mathbb{N}$ where

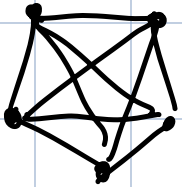
$f(n) = \max_{w, |w|=n}$ max # of steps any branch of N takes on w before halting



NP: When can you guess a solution & check it's correct.

For lots of pbs $\Rightarrow$ no idea if they are in P
 $\hookrightarrow$ we need to search
over $\approx 2^n$ # of solutions

Ex: Clique = $\{ \langle G, k \rangle \mid G \text{ is a graph with a } k\text{-clique} \}$



Naive algorithm: For each subset S of k vertices check if it is a clique in G
↳ if find a k -clique accept
↳ else reject.

$\binom{n}{k} \approx O(n^k)$

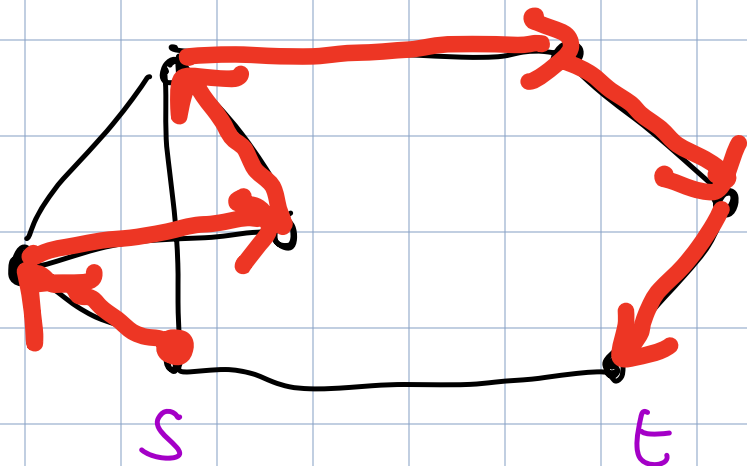
$\swarrow k = n/2$

$O(n^{n/2}) \Rightarrow \text{exponential time!}$

But for this problem if you give

me a "proof" $\Rightarrow$ a set of k vertices
that forms a clique $\Rightarrow$ easy to check.

Hamilton path = $\{ \langle G, s, t \rangle \mid G \text{ is an undirected graph \& there's a path from } s \text{ to } t \text{ visiting each vertex exactly once} \}$



Bad algo: try all
possible paths from
 s to $t \Rightarrow$ check if

one is Hamiltonian
 $\approx O(n!)$

NP: it's easy to verify a solution

Def 1: $NP = \{ L \mid L \text{ is a language decided by an NTM running in polynomial time} \}$

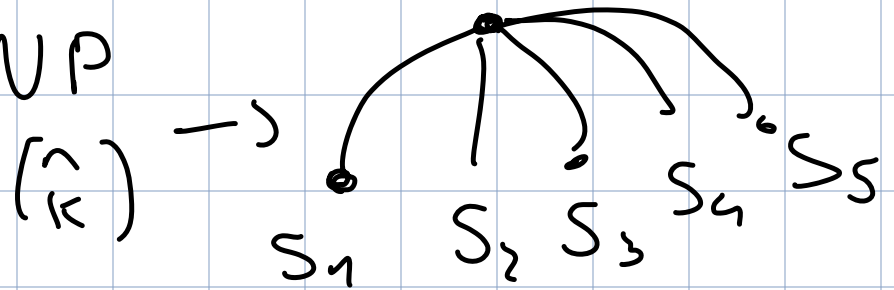
Thm: $P \subseteq NP$

Idea: NTM guesses a solution +

check if's connect

Ex Clique is in NP

On input $\langle G, k \rangle$:



(1) Non deterministically pick k vertices from G into a set S

(2) For each $u, v \in S$:

(4) check that (u, v) is an edge in G

(5) If not reject

$O(1)$ ← (6) Accept $\langle G, k \rangle$ ← S is a k -clique

(1) NTM runs in polytime: input is $\langle G, k \rangle$

so input size is $O(n^2 + \log k)$

Pick k vertices from S : takes $\text{poly}(n)$
(if $k > n \Rightarrow$ just reject)
so $k \leq n$

lines (2) - (5): $\leq |S|^2$ pairs to look at
 $\leq n^2$

checking if (u, v) is an edge

$\hookrightarrow$ check $\langle G \rangle \Rightarrow \text{poly}(n)$ time.

line (6) $\Rightarrow O(1)$ time

So running is $\text{poly}(n)$ which polynomial
in $|\langle G, k \rangle|$

(2) If $w \in L$, some branch/path of the NTM
leads to accept.

If $\langle G, k \rangle \in \text{Clique} \Rightarrow$ then $\exists$ a clique
 S^* in G of size k .

So on line 1, if I pick $S \leftarrow S^*$,

lines (2) - (5) will pass (all edges are there since S^* is a clique)

So my NTM accepts.

(3) If $w \notin L \Rightarrow$ every branch rejects

If $\langle G, k \rangle \notin \text{Clique} \Rightarrow$ there's no

k -clique in G . So no matter what

S I pick $\Rightarrow$ some edge will be missing

So lines (2) - (5) will reject.

An equivalent def of NP:

A verifier for a language $L \subseteq \{0,1\}^*$ is an algorithm $V \rightarrow$ deterministic TM

$L = \{w \mid \exists \text{ accepts } \langle w, c \rangle \text{ for some string } c\}$

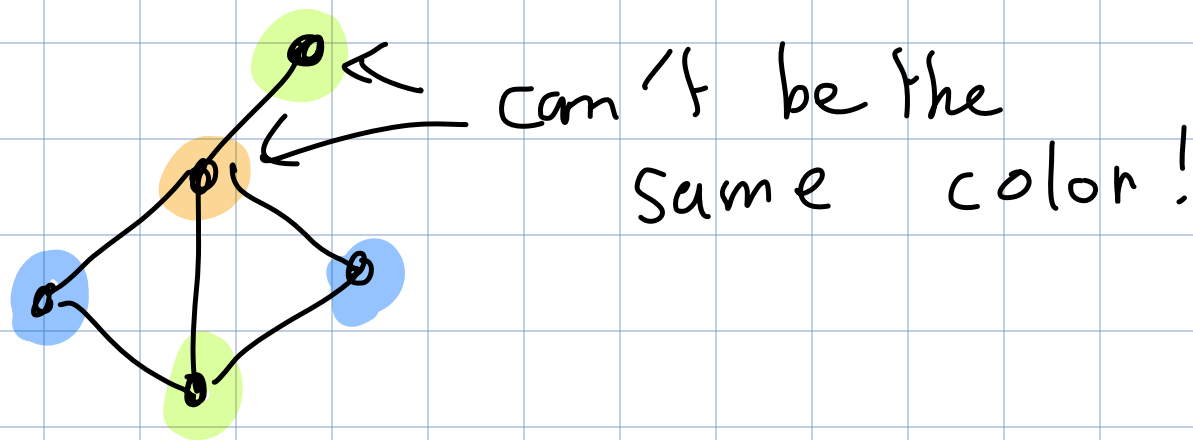
Idea: "c is a proof that $w \in L$ "

A verifier is polytime if it runs in polynomial time in $|w|$ (not $|w| + |c|$)

L to be polytime need $|c| = \text{poly}(|w|)$

Def 2: $NP = \{L \mid L \text{ has a polytime verifier}\}$

Ex : $k\text{-coloring} = \{ \langle G, k \rangle \mid \text{for each vertex you can assign it a color } 1, \dots, k \text{ such that no adjacent vertices have the same color} \}$



(1) The verifier runs in poly time:

$\hookrightarrow$ input size is $O(n^2 + \log k)$

$\hookrightarrow \langle G, k \rangle$

The size of c is $O(n \cdot \log k)$

since it's a list of n numbers
between 1 & k

$\hookrightarrow$ line (1) takes poly time

L , line (3) : checking $c_i = c_j$
 $\Rightarrow$ $\text{poly}(n, \log(k))$ time

So the loop runs at most $O(n^2)$
times (max # of edges)

So the verifier runs in polynomial
time in $| \langle G, k \rangle |$

(2) If $w \notin L$, $V(w, c) \Rightarrow \text{reject}$
for all c .

If $\langle G, k \rangle \notin L$

- If c has the wrong format $\Rightarrow$ reject

- Else because G is not k -colorable c must color 2 adjacent vertices the same color $\Rightarrow$ Algo rejects,

So Algo always reject $\langle \langle G, k \rangle, c \rangle$ when $\langle G, k \rangle \notin L$.

(3) If $w \in L$, $V(w, c) \Rightarrow$ accepts

for some c .

If $\langle G, k \rangle \in L$, there is a k coloring c^* of G . So when c is such

that $c_i = \text{color of vertex } i \text{ in } c^*$

I claim $V(\langle G, k \rangle, c)$ will accept.

Indeed $\forall (ij)$ that are edges of

G , i & j have a different color in

c (because of c^*)

So lines (2) - (4) do not reject

& the verifier accepts.

Equivalence between NTMs & verifier
definition of NP.

$\mathcal{L}_1 = \{L \mid L \text{ is accepted by a polytime NTM}\}$

$\mathcal{L}_2 = \{L \mid L \text{ has a polytime verifier}\}$

WTS $\mathcal{L}_1 = \mathcal{L}_2$.

A) $L_1 \subseteq L_2$. Let $L \in L_1$ w.t.s $L \in L_2$

Let N be an NTM for L_1

running in time n^K .

$\hookrightarrow$ for L_1

Verifier; on input $\langle w, c \rangle$:

- $O(n^K)$ (
- Simulate N on input w . treat each symbol of c as the next nondeterministic step to take
 - If this branch[✓] accepts $\Rightarrow$ accept $\langle w, c \rangle$
 - else reject.

- If $w \in L_1 \Rightarrow$ some c makes V accept
- If $w \notin L_1 \Rightarrow$ for all $c \Rightarrow V$ rejects
- V runs in polynomial time