

## Last Class

① Deterministic Protocols & partition number vs det. cc partition balancing protocols

② Randomized cc Protocols

- Error  $\epsilon$  can be amplified with little cost

We did amplification for 1-sided error protocols

See Rao-Yehudayoff for 2-sided error amplification

$EQ_n$ : has  $O(1)$  cost public coin  $RP^{cc}$  protocol

# Today

## ② Randomized CC Protocols

- Examples  $EQ_n$ ,  $gT_n$

- Public Coin CC  $\approx$  Private Coin CC

### Main Lemma (Newman)

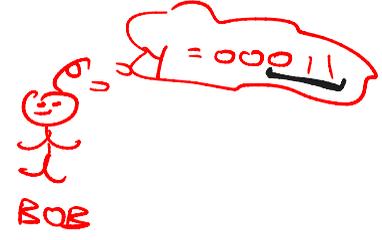
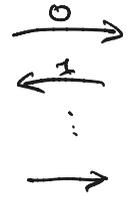
Can assume wlog #random bits used in randomized protocol is  $O(\log n)$

- Distributional View of randomized protocols  
Yao's Minimax for randomized CC

Randomized  
COMMUNICATION COMPLEXITY (public coin)

$r = 00111011110$

$x = 10110$



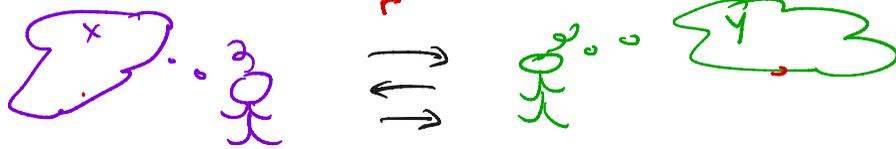
$\Pi$  computes  $f: X \times Y \rightarrow \{0,1\}$  with prob  $\geq 1-\epsilon$  if:  
 $\forall (x,y) \in f^{-1}(1) \Pr_r [\Pi_r(x,y) = f(x,y)] \geq 1-\epsilon$

cc of (randomized)  $\Pi := \max_r (cc(\Pi_r))$

← we'll see some that wlog  $|r| \leq \text{poly} \log n$

# Randomized CC

(Public Coin Model)



$BPP^{CC}$

$\Pi$  computes  $f_n$  with error  $\epsilon$  if:  $\forall (x, y) \quad |x|=|y|=n$

$$\Pr_{r_A, r_B} [\Pi(x, y, r) = f_n(x, y)] \geq 1 - \epsilon$$

$f \in BPP_{\epsilon}^{CC}(f)$  if randomized CC of  $f = O(\text{poly} \log n)$

Default  
 $\epsilon = \frac{1}{3}$

$RP^{CC}$

$\Pi$  computes  $f$  with 1-sided error  $\epsilon$  if  $\forall (x, y) \quad |x|=|y|=n$

$$f(x, y) = 1 \Rightarrow \Pr_{r} [\Pi(x, y, r) = f(x, y)] = 1$$

$$f(x, y) = 0 \Rightarrow \Pr_{r} [\Pi(x, y, r) = f(x, y)] \geq 1 - \epsilon$$

$f \in RP^{CC}(f)$  if randomized CC of  $f = O(\text{poly} \log n)$

$ZPP^{CC}$

error  $\epsilon = 0$ .

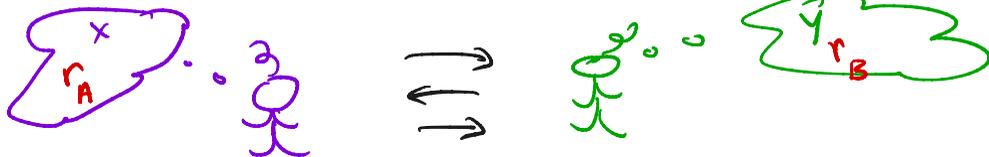
$$f \in ZPP^{CC}: \min_{\Pi \text{ for } f} \max_{(x, y)} \mathbb{E} [\# \text{ bits sent on } (x, y)]$$

Sometimes we'll also use

$P^{cc}(f)$ ,  $BPP^{cc}(f)$ ,  $RP^{cc}(f)$ , etc

to denote the comm complexity of  $f$  in that model.

Randomized CC  
(Private Coin Model)



$BPP^{CC}$

$\Pi$  computes  $f$  with error  $\epsilon$  if:  $\forall (x, y) \quad |x| = |y| = n$   
 $\Pr_{r_A, r_B} [\Pi(x, r_A; y, r_B) = f(x, y)] \geq 1 - \epsilon$

Default  
 $\epsilon = \frac{1}{3}$

$RP^{CC}$

$\Pi$  computes  $f$  with 1-sided error  $\epsilon$  if  $\forall (x, y)$

$$f(x, y) = 1 \Rightarrow \Pr_{r_A, r_B} [\Pi(x, r_A; y, r_B) = f(x, y)] = 1$$

$$f(x, y) = 0 \Rightarrow \Pr_{r_A, r_B} [\Pi(x, r_A; y, r_B) = f(x, y)] \geq 1 - \epsilon$$

$ZPP^{CC}$

error  $\epsilon = 0$ .

## Randomized CC : Private vs Public Coin

Private coin Protocol  $\Rightarrow$  Public coin Protocol

Public coin  $\stackrel{?}{\Rightarrow}$  Private ?

## Example: EQUALITY

We saw last lecture: deterministic cc of  $EQ_n = \Omega(n)$

$RP^{cc}$  EQ protocol ( $\epsilon = \frac{1}{2}$ )

View 1<sup>st</sup>  $n$  bits of  $r$  as selecting a subset of  $1, \dots, n$ .

Alice sends parity of  $x|_r$

Bob sends parity of  $y|_r$

Accept (output 1) iff parities are the same  
or output 0

Public Coin

$n$  bits of randomness

Repeat  $k$  times to get error  $\frac{1}{2^k}$

$k=2 \rightarrow \epsilon = \frac{1}{4}$ , communication  $O(1)$

$k = \log n \rightarrow \epsilon = \frac{1}{n}$ , communication  $O(\log n)$

## Another public coin protocol (easier but even more random bits)

Alice / Bob sample a random function  $h: \{0,1\}^n \rightarrow \{0,1\}^k$

1. Alice sends  $h(x)$  to Bob.

2. Bob: If  $h(x) = h(y)$  Bob outputs 1  
          or     "       "       "       0

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Analysis:

If  $x = y$ :  $\forall h \quad h(x) = h(y)$

If  $x \neq y$ :  $\Pr_h [h(x) = h(y)] \leq 2^{-k}$

for  $k = 1$  this gives error  $\frac{1}{2}$ . ] cost 1-bit

general  $k$ : error  $\frac{1}{2^k}$ , cost  $k$

## A Private Coin Randomized Protocol for $\mathbb{Q}_n$

Encode sets  $X, Y$  as  $X = Y = \{1, 2, \dots, 2^n\}$

### Protocol

1. Alice samples a random prime  $p$  in  $[M, 2M]$   
Then she computes  $a = x \pmod{p}$  and  
send  $a, p$  to Bob
2. Bob sends 1 iff  $a = y \pmod{p}$

We will pick  $M = n^2$

$\therefore$  complexity  $O(\log n)$

since  $|p|, |a| = O(\log n)$

# A Private Coin Randomized Protocol for $\mathbb{Z}_n$

Encode sets  $X, Y$  as  $X = Y = \{1, 2, \dots, 2^n\}$

Correctness:

If  $x = y$ :  $\forall p, x \pmod{p} = y \pmod{p}$  so protocol outputs 1 w.p. 1

If  $x \neq y$ : Let  $D = |x - y| \in \{1, 2, \dots, 2^n - 1\}$

If Bob says  $x = y$ : then  $x \pmod{p} = y \pmod{p} \Leftrightarrow |x - y| = 0 \pmod{p}$

$\therefore$  protocol errs on  $(x, y, p)$  iff  $p$  is a prime factor of  $|x - y|$

$$\therefore \epsilon = \frac{\text{\# primes in } [M, 2M] \text{ that divide } |x - y|}{\text{\# primes in } [M, 2M]} \quad \begin{matrix} \textcircled{1} \\ \textcircled{2} \end{matrix}$$

①: say  $p_1, \dots, p_k \in [M, 2M]$  are prime factors of  $D$

Then  $p = p_1 \times \dots \times p_k$  also divides  $D$

But each  $p_i \geq M$  so  $M^k \leq p \leq D < 2^n$ .  $\therefore k \leq \frac{n}{\log M}$

②  $\#$  primes between  $M$  and  $2M$  is  $\Theta\left(\frac{M}{\log M}\right)$  (density of primes)

By ①, ②  $\epsilon \leq \frac{n}{\log M} \cdot \frac{\Theta\left(\frac{\log M}{M}\right)}{M}$  pick  $M = n^2$  gives  $\epsilon \ll 1$

Ex  $GT_n(x, y) = 1$  iff  $x > y$  (as binary numbers)

Deterministic CC of  $GT_n = \Omega(n)$  [rank ~~is~~ or fooling set]

Idea of randomized protocol (we'll do public coin):

Find lexicographically 1<sup>st</sup> index  $i$

Such that  $x_i \neq y_i$  (using binary search +  $\leq$  protocol)

If  $x_i = 1$  +  $y_i = 0 \Rightarrow$  output 1

or output 0

FIND-FIRST-NEQ-INDEX ( $x, y, i, j$ )  $[0 < i \leq j \leq n]$

If  $i = j$  : If  $x_i \neq y_i \Rightarrow$  output  $i$   
Else  $\Rightarrow$  output  $0$

Else : If  $EQ(x[i, j], y[i, j]) = 1 \Rightarrow$  output  $0$

Else: If  $EQ(x[i, \frac{j}{2}], y[i, \frac{j}{2}]) = 0$ :

call FIND-FIRST-NEQ-INDEX ( $x, y, i, \frac{j}{2}$ )

Else call FIND-FIRST-NEQ-INDEX ( $x, y, \frac{j}{2} + 1, j$ )

Let  $x[i, j] = x_i, \dots, x_j$

$\Leftarrow CC \Leftarrow$

$2 + \log_2(CC(EQ))$

GT ( $x, y$ ) :

call FIND-FIRST-NEQ-INDEX ( $x, y, 1, n$ )

If it returns  $0 \Rightarrow$  output  $0$

Else suppose it returns  $i, 1 \leq i \leq n$  :

If  $x_i = 1$  and  $y_i = 0 \Rightarrow$  output  $1$

Else  $\Rightarrow$  output  $0$

## CC Complexity

Need to call  $\text{EQ}$  with  $\epsilon \approx \frac{1}{3 \log n}$  (so small error  $\mu \leq \frac{1}{3}$ )

$\text{CC}(\text{EQ}_\epsilon) = O\left(\frac{1}{\log \epsilon}\right)$ , so cost per  $\text{EQ}$   
call is  $O(\log \log n)$

We call  $\text{EQ}$   $\log n$  times

$\therefore$  cost =  $O(\log n \cdot \log \log n)$

Is protocol 2-sided or 1-sided error?

What about private coin protocol?

Next we'll show Public + Private coin Protocols are nearly equivalent.

1. Easy Direction  $\forall \epsilon$  If  $f$  has a randomized private coin protocol  $\Pi$  of cost  $cc(\Pi) = c$ , error  $\epsilon$  then  $f$  has a public coin protocol of cost  $c$ , error  $\epsilon$

$\Pi'$ : Let  $r' = r_1 r_2$ .

Alice runs  $\Pi$  on  $(x, r_1)$

Bob runs  $\Pi$  on  $(y, r_2)$

$$\left. \begin{array}{l} BPP_{\epsilon}^{pub}(f) \\ \leq BPP_{\epsilon}^{priv}(f) \end{array} \right\}$$

2. Harder Direction:  $\forall \epsilon, \delta$  If  $f$  has public coin protocol cost  $c$ , error  $\epsilon$  then  $f$  has private coin protocol of cost  $c + O(\log n) + O(\log \frac{1}{\delta})$

$$\left. \begin{array}{l} BPP_{\epsilon + \delta}^{priv}(f) \leq \\ BPP_{\epsilon}^{pub} + O(\log n + \frac{1}{\delta}) \end{array} \right\}$$

## Theorem (Newman)

Let  $\Pi$  be a public coin protocol for  $f$  with error  $\epsilon$ .

$\forall \delta > 0$  there is another (public coin) protocol  $\Pi'$  such that:

- ①  $cc$  of  $\Pi = cc$  of  $\Pi'$
- ② error of  $\Pi'$  is  $\leq \epsilon + \delta$
- ③  $\Pi'$  uses  $O(\log n + \log \frac{1}{\delta})$  random bits

Given the above Lemma, we can convert a public coin protocol  $\Pi$  for  $f$  (error  $\epsilon$ ) to a private coin protocol for  $f$  (error  $\epsilon + \delta$ ), with cost =  $cc(\Pi) + O(\log n + \log \frac{1}{\delta})$ :

(i) Construct  $\Pi'$  from  $\Pi$  using Newman's thm

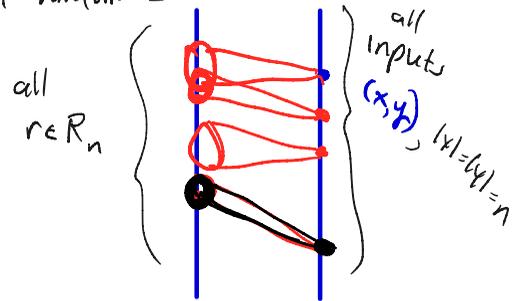
$\Pi'$ : public coin,  $cc(\Pi') = cc(\Pi)$ , error  $\epsilon + \delta$ , # random bits  $\overbrace{O(\log n) + \log(\frac{1}{\delta})}^K$

(ii) Construct  $\Pi^{priv}$  from  $\Pi'$ : Alice draws  $K$  random bits  $r = r_1 \dots r_K$  + sends them to Bob  
Then they run  $\Pi'$  on  $r$

# Proof of Newman's Thm

( $|x| = |y| = n$ ) Let  $\Pi_n$  use  $R_n$  bits of randomness

Idea:  $\forall (x, y)$ , only an  $\epsilon$  fraction of  $r$ 's are bad  
so there exists a small number of  $r$ 's s.t.  $\forall (x, y)$   
 $\Pi$  makes  $< \epsilon + \delta$  mistakes on these  $r$ 's



$$\text{Let } Z(x, y, r) = \begin{cases} 1 & \text{if } \Pi(x, y, r) \neq f(x, y) \\ 0 & \text{otherwise} \end{cases}$$

$$\forall x, y \quad \mathbb{E}_r [Z(x, y, r)] \leq \epsilon \quad \text{since } \Pi \text{ has error } \epsilon$$

Let  $r_1, \dots, r_t$  be strings from  $\{0, 1\}^{R_n}$   $t = O(\frac{n}{\delta^2})$

Define  $\Pi_{r_1, \dots, r_t}(x, y)$ : Alice + Bob choose  $i \in [t]$  at random and run  $\Pi(x, y, r_i)$

$$\text{Claim } \exists r_1, \dots, r_t \text{ s.t. } \mathbb{E}_i [Z(x, y, r_i)] \leq \epsilon + \delta \quad \forall x, y$$

For this choice of  $r_1, \dots, r_t$ ,  $\Pi_{r_1, \dots, r_t}(x, y)$  will be  $\Pi'$

$\left[ \Pi' \text{ uses } \log t = O(\log n + \log \frac{1}{\delta}) \right]$   
bits of randomness

$$\text{Claim } \exists r_1, \dots, r_t \text{ s.t. } \mathbb{E}_i[Z(x, y, r_i)] \leq \epsilon + \delta \quad \forall x, y$$

Chernoff Bound:  $X_1, \dots, X_N$  i.i.d. rv's in  $\{0, 1\}$ ,  $\epsilon = \mathbb{E}[X_i]$ ,  $\delta > 0$

Then  $\Pr\left[\frac{1}{N} \sum X_i > \epsilon + \delta\right] \leq 2 \cdot e^{-2\delta^2 N}$

Fix  $(x, y)$ . Pick  $r_1, \dots, r_t \in \{0, 1\}^R$  at random

$$\Pr_{r_1, \dots, r_t} \left[ \mathbb{E}_i[Z(x, y, r_i)] > \epsilon + \delta \right] = \Pr_{r_1, \dots, r_t} \left[ \frac{1}{t} \sum_{i=1}^t Z(x, y, r_i) > \epsilon + \delta \right]$$

- By Chernoff:  $\Pr_{r_1, \dots, r_t} \left[ \frac{1}{t} \sum_{i=1}^t Z(x, y, r_i) > \epsilon + \delta \right] \leq 2e^{-2\delta^2 t} < 2^{-2n}$  (for  $t = O\left(\frac{n}{\delta^2}\right)$ )

- By union Bd (over all  $2^{2n}$  inputs  $(x, y)$ )

$\exists r_1, \dots, r_t$  s.t.  $\forall (x, y)$  the error of  $\Pi_{r_1, \dots, r_t}(x, y)$  is  $\leq \epsilon + \delta$

In general this is tight (as evidenced by  $EQ_n$ ):

We saw  $EQ$  has  $O(1)$  public coin protocol

By previous result this implies a private coin

$RP^c$  protocol of cost  $O(\log n)$  [error  $\epsilon = \frac{1}{3}$ ]

It is known that any private coin protocol for  $EQ$  has cc  $\Omega(\log n)$

# Nondeterministic Communication Complexity

$f: \{0,1\}^n \times \{0,1\}^n \rightarrow \{0,1\}$       Players share random string  $r$

Nondeterministic  $NP^{cc}$  protocol  $\Pi$  for  $f: \{0,1\}^n \rightarrow \{0,1\}$

$$\forall x \in f^{-1}(1) \exists r \quad \Pi_r(x) = 1$$

$$\forall x \in f^{-1}(0) \forall r \quad \Pi_r(x) = 0$$

$$\text{Comm. Complexity of } \Pi = \max_{\substack{x, y, r \\ |x|=|y|=n}} \left( \|\Pi_r\| + |r| \right)$$

← Note  $|r|$  should be polylogn for protocol to be "efficient"

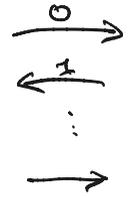
$f \in NP^{cc}$  if Nondet cc of  $\Pi$  is  $O(\text{polylogn})$

# EXAMPLE! DISJOINTNESS

x = 10110



ALICE



y = 00011



BOB

$$\text{DISJ}(x, y) = 1 \text{ iff } \exists i \ x_i = y_i = 1$$

communication complexity  
analogy of SATISFIABILITY problem  
NP-complete

DISJ requires  $\Omega(n)$  cc, (det + randomized)  
But easy non-deterministically

## Non-det protocol for DISJ:

Alice/Bob view  $r$ ,  $|r| = \log n$  as some  $i \in [n]$

Alice sends 1 iff the  $r^{\text{th}}$  bit of  $x$  ( $x_r$ ) = 1

Bob sends 1 iff the  $r^{\text{th}}$  bit of  $y$  ( $y_r$ ) = 1

accept iff both send 1's

We'll soon see that DISJ while easy for non-det protocols,  
is maximally hard for randomized protocols.



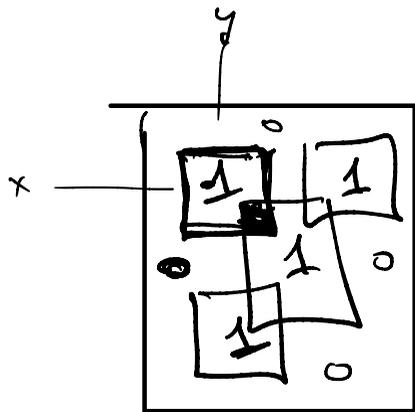
Non-trivial + very important LB.

Now has several proofs (None are easy)

Nondeterministic

Protocol  $\Pi$  for  $f$ :

Induces a covering of the 1's of  $M_f$  by  
all-1 rectangles (can be overlapping)



If  $\Pi$  has cc  $b$ , then size of covering  $\leq 2^b$

(Recall in contrast a deterministic protocol  $\Pi$  of cost  $b$   
partitions  $M_f$  into disjoint monochrom rectangles (non overlapping))

co - Nondeterministic CC shared random string  $r$

$\Pi$  computes  $f$  on  $\langle x, y \rangle$ ,  $|x|=|y|=n$ , co-Nondeterministically if

$$f(x, y) = 1 \Rightarrow \forall r \quad \Pi(x, y, r) = 1$$

$$f(x, y) = 0 \Rightarrow \exists r \quad \Pi(x, y, r) = 0$$

Comm complexity of  $\Pi$ :  $\max_{(x, y), r} [\text{\# bits sent on } (x, y) + |r|]$

$$\text{co-NP}^{\text{cc}}(f) = \min_{\Pi \text{ nondet protocol for } f} \max_{(x, y), r} [\text{\# bits sent on } (x, y) + |r|]$$

A co-nondet protocol  $\Pi$  for  $f$  induces a covering of the 0's of  $f$  into all-0 rectangles (can be overlapping)

## Yannakakis Theorem 2

Let  $f: \{0,1\}^n \times \{0,1\}^n \rightarrow \{0,1\}^n$  have

a nondeterministic protocol  $\Pi^1$  of cc  $c_1$

and a nondet. protocol  $\Pi^0$  of cc  $c_0$

Then  $f$  has a deterministic protocol of cc  $O(c_0 + c_1)$ .