

# Disjointness is hard in the multi-party number-on-the-forehead model

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- Randomized complexity  $\Theta(n)$  [KS87, Raz92]
- Quantum complexity  $\Theta(\sqrt{n})$  [lower Raz03, upper AA03]

## Number-on-the-forehead model

- $k$ -players, input  $x_1, \dots, x_k$ . Player  $i$  knows everything but  $x_i$ .
- Large overlap in information makes showing lower bounds difficult. Only available method is discrepancy method.
- Lower bounds have application to powerful models like circuit complexity and complexity of proof systems.
- Best lower bounds are of the form  $n/2^k$ . Bound of  $n/2^{2k}$  for generalized inner product function [BNS89].

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- Researchers have studied restricted models—bound of  $n^{1/3}$  for three players where first player speaks and dies [BPSW06]. Bound of  $n^{1/k}/k^k$  in one-way model [VW07].

## Our results

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- Separates nondeterministic and randomized complexity up to  $\delta \log \log n$  players,  $\delta < 1$ .
- Chattopadhyay and Ada independently obtained similar results

## Application to proof systems

- As linear and semidefinite programming are some of the most sophisticated algorithms we have developed, natural to see how they fare on NP-complete problems.
- One way to formalize this is through proof complexity: for example cutting planes, Lovász-Schrijver proof systems.

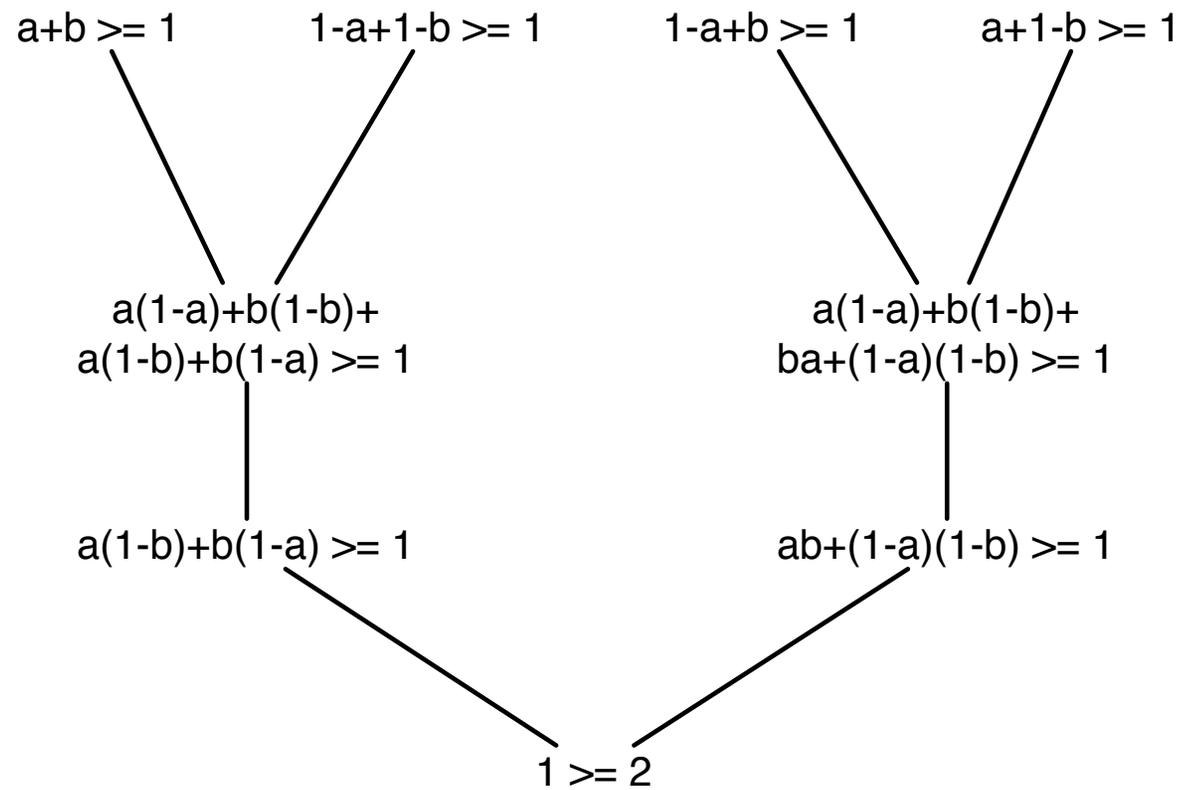
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- One way to formalize this is through proof complexity: for example cutting planes, Lovász-Schrijver proof systems.
- Beame, Pitassi, and Segerlind show that lower bounds on disjointness imply lower bounds for a very general class of proof systems, including the above [\[BPS06\]](#).

## Semantically entailed proof systems

- Say trying to show a CNF formula  $\phi$  is not satisfiable
- Refutation is a binary tree with nodes labeled by degree  $d$  polynomial inequalities and derives  $0 \geq 1$ .
- Axioms are clauses of  $\phi$ , represented as inequalities.
- Derivation rule is Boolean soundness: if every 0/1 assignment which satisfies  $f$  and  $g$  also satisfies  $h$ , then one may conclude  $h$  from  $f, g$ .

**Example:**  $(a \vee b) \wedge (\neg a \vee \neg b) \wedge (\neg a \vee b) \wedge (a \vee \neg b)$



## Application to proof systems

- Via [BPS06] and our results on disjointness, we obtain super-polynomial lower bounds on the size of tree-like degree  $d$  semantically entailed proofs needed to refute certain CNFs for any  $d = \log \log n - O(\log \log \log n)$ .
- Examples: cutting planes, Lovász-Schrijver systems ( $d = 2$ ).
- Exponential bounds known for cutting planes and tree-like Lovász-Schrijver systems, but rely heavily on specific properties of these proof systems. Even for  $d = 2$  no such bounds were known in general.

## Review of two-party complexity

- Alice and Bob wish to compute a distributed function  $f : X \times Y \rightarrow \{-1, +1\}$ . Consider a  $|X|$ -by- $|Y|$  matrix where  $A[x, y] = f(x, y)$ .
- Structural theorem: successful  $c$ -bit protocol partitions  $A$  into  $2^c$  monochromatic rectangles.
- In particular, the protocol gives us a way to decompose  $A$  as

$$A = \sum_i \epsilon_i C_i$$

where  $\epsilon_i \in \{-1, 1\}$  and  $C_i$  is a 0/1 valued rank-one matrix.

## A relaxation

- Define a quantity

$$\mu(A) = \min \left\{ \sum |\alpha_i| : A = \sum_i \alpha_i C_i \right\}$$

where each  $C_i$  is a 0/1 valued rank-one matrix.

- We have  $D(A) \geq \log \mu(A)$ .
- The log rank bound is a relaxation in a different direction—each  $C_i$  can be an arbitrary rank one matrix, but we count their number rather than their “weight”.

## Randomized complexity

- For randomized complexity, a protocol gives a decomposition not of  $A$  but of a matrix close to  $A$  in  $\ell_\infty$  norm.
- To capture this, we consider an approximate version of  $\mu$ : for  $\alpha \geq 1$

$$\mu^\alpha(A) = \min_{A': J \leq A \circ A' \leq \alpha J} \mu(A')$$

where  $J$  is the all ones matrix.

- One can show that  $R_\epsilon(A) \geq \log \mu^\alpha(A) - \log(\alpha)$  for  $\alpha = 1/(1 - 2\epsilon)$ .

## Dual formulation

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- We look at the dual formulation to get a maximization problem which is more convenient for showing lower bounds.
- By definition, the dual norm is

$$\mu^*(Q) = \max_{B:\mu(B)\leq 1} |\langle Q, B \rangle|$$

- So we see  $\mu^*(Q) = \max_C |\langle Q, C \rangle|$  where  $C$  is 0/1 valued rank one matrix.

## Dual formulation

- By theory of duality we then get

$$\mu(A) = \max_Q \frac{\langle A, Q \rangle}{\mu^*(Q)}$$

- This form is more convenient for showing lower bounds— it suffices to exhibit a matrix  $Q$  that has non-negligible correlation with  $A$  and such that  $\mu^*(Q)$  is small.

## Dual formulation, approximate versions

The approximate versions of  $\mu$  also have attractive dual formulations:

$$\mu^\alpha(A) = \max_Q \frac{(1 + \alpha)\langle A, Q \rangle + (1 - \alpha)\|Q\|_1}{2\mu^*(Q)}$$

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$$\mu^\infty(A) = \max_{Q:A \circ Q \geq 0} \frac{\langle A, Q \rangle}{\mu^*(Q)}$$

## Comparison with discrepancy

Discrepancy with respect to probability distribution  $P$  is defined as

$$\begin{aligned}\text{disc}_P(A) &= \max_C \langle A \circ P, C \rangle \\ &= \mu^*(A \circ P).\end{aligned}$$

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## Number-on-the-forehead model

- Instead of a communication matrix, we now have a communication tensor  $A[x_1, \dots, x_k] = f(x_1, \dots, x_k)$ .
- Instead of combinatorial rectangles we now have cylinder intersections.
- Message of player  $i$  does not depend on  $x_i$ . Behavior can be described as a function  $\phi$  for which

$$\phi(x_1, \dots, x_i, \dots, x_k) = \phi(x_1, \dots, x'_i, \dots, x_k).$$

- We call such a function a cylinder function.

## Number-on-the-forehead model

- A cylinder intersection is the intersection of sets which are cylinders. Characteristic function can be written as

$$\phi^1(x_1, \dots, x_k) \cdots \phi^k(x_1, \dots, x_k)$$

where each  $\phi^i$  is a 0/1 valued cylinder function in the  $i^{\text{th}}$  dimension.

- Structural theorem: a successful  $c$ -bit  $k$ -player NOF protocol decomposes the communication tensor into  $2^c$  monochromatic  $k$ -fold cylinder intersections.

## Our lower bound technique

- Analogous to the two-player case, for a  $k$ -tensor  $A$  we define

$$\mu(A) = \min \left\{ \sum_i |\alpha_i| : A = \sum_i \alpha_i C_i \right\}$$

where each  $C_i$  is characteristic function of a  $k$ -fold cylinder intersection.

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where each  $C_i$  is characteristic function of a  $k$ -fold cylinder intersection.

- $D_k(A) \geq \log \mu(A)$
- As before we define the approximate version to lower bound randomized complexity:

$$\mu^\alpha(A) = \min_{A': J \leq A \circ A' \leq \alpha J} \mu(A')$$

## Dual formulation

- Now we see that

$$\mu^*(Q) = \max_C |\langle Q, C \rangle|$$

where  $C$  is the characteristic function of a cylinder intersection.

- Connection to discrepancy:  $\text{disc}_P(A) = \mu^*(A \circ P)$ .

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## Overview of proof

- We want to lower bound  $\mu^\alpha(A)$ , where  $A[x_1, \dots, x_k] = \text{OR}(x_1 \wedge \dots \wedge x_k)$ .
- Suffices to find  $Q$ , show  $\langle A, Q \rangle$  is non-negligible, upper bound  $\mu^*(Q)$ .

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- Also choose  $Q$  to be of the form  $Q[x_1, \dots, x_k] = q(x_1 \wedge \dots \wedge x_k)$
- We follow the elegant “pattern matrix” framework of Sherstov [She07a, She07b], and its extension to the tensor case by Chattopadhyay [Cha07]. Focus on subtensors of  $A, Q$  with nicer structure.

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- This allows us to relate properties of functions  $f, q$  to those of  $A, Q$ .

## Pattern Matrix

- Alice holds  $m$ -many strings  $x = (x_1, \dots, x_m)$  each of length  $M$ .
- Bob holds  $S \in [M]^m$  to select bits of  $x$ .
- For a function  $f : \{0, 1\}^m \rightarrow \{-1, +1\}$ , pattern matrix is defined as

$$A_f[x, S] = f(x_1[S[1]], \dots, x_m[S[m]]).$$

- If  $f = \text{OR}$  then this is special case of disjointness on  $mM$  bits.

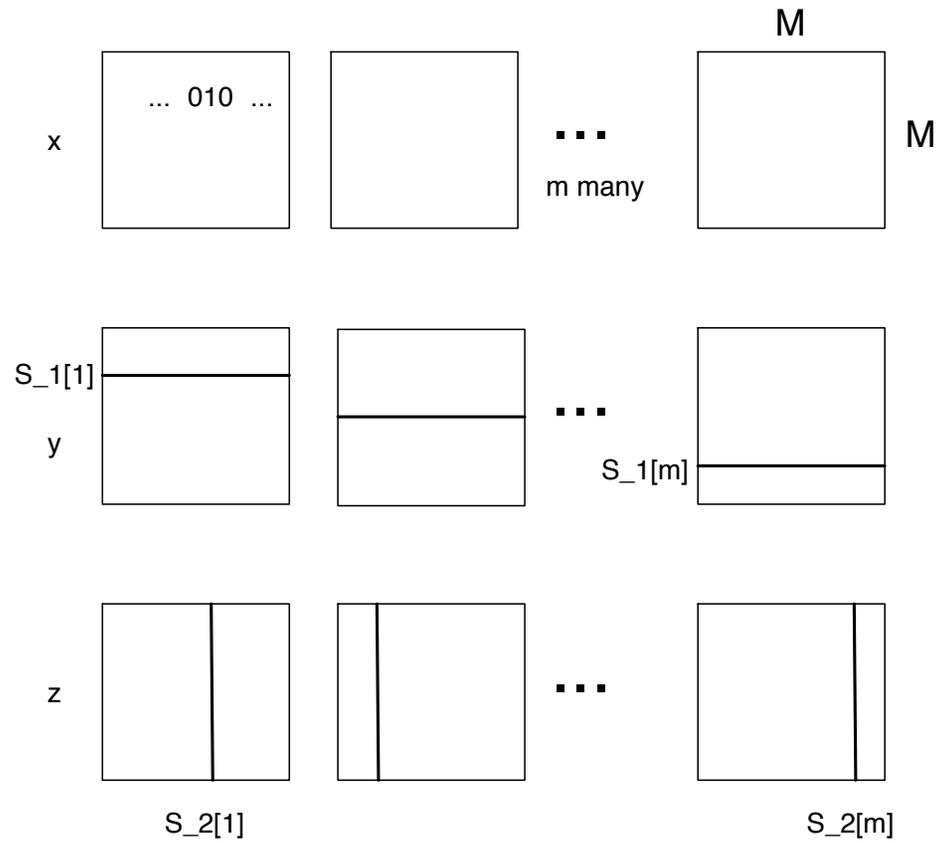
## Pattern Tensors

- For simplicity,  $k = 3$ . Now Alice has  $m$  many  $M$ -by- $M$  matrices  $x = (x_1, \dots, x_m)$ .
- Bob, Charlie hold  $S_1, S_2 \in [M]^m$  to select rows resp. columns of  $x$ .
- For a function  $f : \{0, 1\}^m \rightarrow \{-1, +1\}$  define

$$A_f[x, S_1, S_2] = f(x_1[S_1[1], S_2[1]], \dots, x_m[S_1[m], S_2[m]]).$$

- Nice property: every  $m$ -bit string appears as input to  $f$  equal number of times.

# Embedding into disjointness of size $mM^2$



## Building $Q$ from degree witness

- Choose  $Q$  to be a pattern tensor of function  $q$ .
- By structure of pattern tensor,  $\langle f, q \rangle \sim \langle A, Q \rangle$ .
- Following Degree/Discrepancy [[She07a](#), [Cha07](#), [She07b](#)], one can show  $\mu^*(Q)$  is small if  $q$  contains only high degree terms.
- Thus to get good bounds we want to find  $q$  which correlates with  $f$  and has all terms with degree as large as possible.

## Dual polynomial

More precisely, if  $\deg_\alpha(f) \geq d$  then there exists a polynomial  $q$  such that

1.  $\|q\|_1 = 1$

2.  $\langle f, q \rangle \geq \frac{\alpha-1}{\alpha+1}$

3.  $q$  is orthogonal to all polynomials of degree  $< d$ .

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We let  $Q$  be the pattern tensor formed from  $q$ . Item 2 lower bounds  $\langle A_f, Q \rangle$ . Item 3 is used to upper bound  $\mu^*(Q)$ .

## Main theorem

Let  $\alpha < \alpha_0$ .

$$\log \mu^\alpha(A_f) \geq \frac{\deg_{\alpha_0}(f)}{2^{k-1}} + \log \frac{\alpha_0 - \alpha}{\alpha_0 + 1}$$

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We can embed the pattern tensor of  $OR$  into disjointness to obtain

$$R_{1/4}(\text{DISJ}_n) = \Omega\left(\frac{n^{1/k+1}}{2^{2^k}}\right)$$

## Conclusion

- Find a function in  $AC^0$  whose NOF complexity remains non-trivial for more than  $k = \log \log n$  players.
- For our particular approach (choosing  $Q$  as pattern tensor, using [BNS92] bound on discrepancy), analysis is tight.
- Our inspiration to the  $\mu$  norm:  $\gamma_2$  norm shown to lower bound quantum communication complexity by Linial and Shraibman.
- Follow-up work [LSS08] extends  $\gamma_2$  to the multiparty case to lower bound multiparty quantum communication. We show that multiparty  $\mu$  and  $\gamma_2$  are related by constant factor to transfer all classical bounds to the quantum case.