

## Lecture (4/11): Mapping Reductions

Instructor: *Tal Malkin*Lecture given by: *Flora Min Jung Park (mp3369)*

## 1 Understanding Mapping Reductions

### 1.1 Recap

**Definition 1.** Language  $A$  is mapping reducible to language  $B$  ( $A \leq_m B$ ) if there is a computable function  $f: \Sigma^* \rightarrow \Sigma^*$ , where for every  $w$ ,  $w \in A \Leftrightarrow f(w) \in B$

**Example 2.** Let's consider the following languages  $A, B$ .

$A = \{\text{strings in } \{a, b\}^* \text{ with amount of } a\text{'s} = \text{amount of } b\text{'s}\}$ ,

$B = \{a^n b^n : n \geq 0\}$ .

We can construct our mapping  $f$  to be, for example,

$f(w)$ : on input  $w$ , sorting  $w$  by the symbols (and thus putting all  $a$ 's before  $b$ 's).

- for any string  $w$ ,  $f(w) \in B$  if and only if  $w \in A$
- note that this example is onto (though it doesn't have to be), but not one-to-one

### 1.2 Mapping Reduction in relation to Turing Reduction

**Theorem 3.** If  $A \leq_m B$ , then  $A \leq_T B$

*Proof.* Let  $f$  be the mapping, and  $D_B$  the decider for  $L(B)$ .

Then, we can construct a decider  $D_A$  for  $A$  on input  $w$ :

$D_A$  on input  $w$ :

- compute  $y = f(w)$
- run  $D_B(y)$  and output the same

□

**Fact 4.** On the other hand, if  $A \leq_T B$ , then this does not necessarily mean that  $A \leq_m B$ . However, it does in the following special case: check if the Turing reduction uses the Decider once, and always outputs same (no flipping of accept/reject). In this case, the Turing reduction implies Mapping reduction.

**Theorem 5.** If  $A \leq_T B$ , and this reduction calls the decider for  $B$ ,  $D_B$  exactly once and outputs same. Then,  $A \leq_M B$ .

*Proof.* Let  $A \leq_T B$  via a reduction that constructs a decider  $D_A$  for  $A$ , by calling a decider  $D_B$  for  $B$  exactly once and outputting the same thing as  $D_B$ . We define  $f(w)$  as the input that  $D_B$  is invoked on when  $D_A$  starts with input  $w$ . This  $f$  is clearly computable, as this is exactly what  $D_A$  computes on input  $w$ , before calling  $D_B$  on  $f(w)$ . It satisfies that  $w$  is in  $A$  if and only if  $f(w)$  is in  $B$ , because of the fact that the output of  $D_A$  on  $w$  is the same as the output of  $D_B$  on  $f(w)$ .  $\square$

### 1.3 Mapping Reduction Properties

**Theorem 6.** *If  $A \leq_m B$  and  $B$  is recognizable, then  $A$  is also recognizable.*

*Proof.* Let  $f$  be the mapping, and  $M_B$  the recognizer for  $B$ .

We can construct a recognizer  $M_A$  on input  $w$ :  
 $M_A$  on input  $w$ :

- compute  $y = f(w)$   
   we are using the fact that  $f$  is a computable function (as part of the def. of mapping reduction)
- run  $M_B(y)$
- if it accepts, accept.
- if it rejects, reject.

**Analysis:** Note that since  $f$  is a computable function, the first step is computable in finite time.

if  $w \in A$   
 $\Rightarrow y = f(w) \in B$   
 $\Rightarrow M_B(y)$  accepts  
 $\Rightarrow M_A(w)$  accepts

if  $w \notin A$   
 $\Rightarrow y = f(w) \notin B$   
 $\Rightarrow M_B(y)$  rejects or runs forever  
 $\Rightarrow M_A(w)$  rejects or loops forever

$\square$

**Theorem 7.** *If  $A \leq_m B$  iff  $\overline{A} \leq_m \overline{B}$ .*

*Proof.* Prove both ways for equivalence:

$\rightarrow$ : If  $A \leq_m B$ , then  $\overline{A} \leq_m \overline{B}$

Let's assume  $A \leq_m B$ , and the mapping for this reduction to be  $f$ . We know that our mapping  $f$  satisfies  $w \in A \Leftrightarrow f(w) \in B$ . This is equivalent to  $w \notin A \Leftrightarrow f(w) \notin B$  for all mapping (will always answer yes/yes, no/no). Thus we can use this same  $f$  to map  $\overline{A} \leq_m \overline{B}$ .

$\leftarrow$ : If  $\overline{A} \leq_m \overline{B}$ , then  $A \leq_m B$ : Follows from the above, by noticing that  $\overline{\overline{A}} = A$  and  $\overline{\overline{B}} = B$ .  $\square$

**Some other possible exercises:**

**Corollary 8.** *If  $A \leq_m B$  and  $A$  is not recognizable, then  $B$  is not recognizable.*

*Proof.* Same reasoning with same  $f$  construction (understand it as contrapositive of Theorem 7). □

**Theorem 9.** *If  $A \leq_m B$  and  $B$  is decidable, then  $A$  is also decidable.*

**Corollary 10.** *If  $A \leq_m B$  and  $A$  is not decidable, then  $B$  is not decidable.*

**Corollary 11.** *If  $A \leq_m B$ , and  $\bar{A}$  is not recognizable ( $A$  is not co-recognizable), then  $\bar{B}$  is not recognizable.*

**Fact 12.** *Note that for Turing reductions you can add complements arbitrarily. For mapping reduction you can only add complement to both sides, not just one at a time – otherwise it may no longer be true.*

**Example 13.**  $EQ_{TM} = \{\langle M1, M2 \rangle : M1, M2 \text{ are TMs and } L(M1) = L(M2)\}$  is neither recognizable nor co-recognizable.

**Claim 14.**  $EQ_{TM}$  is not recognizable.

*Proof.* By the previous lecture, we have seen  $E_{TM} \leq_T EQ_{TM}$ . This reduction is actually a mapping reduction where our  $f$  (mapping) corresponds to  $f(\langle M \rangle) : \langle M_\emptyset, M \rangle$ , where  $M_\emptyset$  is the TM that rejects all inputs (This is a sufficient explanation because we already know  $E_{TM}$  is not recognizable).

This is mapping reduction because:  $f$  is a computable function, since it involves outputting the encoding of  $M_\emptyset$  (which can be hard coded into our algorithm), followed by the encoding  $M$ , which is just copying of the input.

$\langle M \rangle \in E_{TM} :$

$\Leftrightarrow M$  is a TM and  $L(M) = \emptyset = L(M_\emptyset)$

$\Leftrightarrow \langle M_\emptyset, M \rangle \in EQ_{TM}$  □

**Claim 15.**  $EQ_{TM}$  is not co-recognizable. (i.e.  $\overline{EQ_{TM}}$  is not recognizable)

*Proof.* Let's prove that  $\overline{A_{TM}} \leq_m \overline{EQ_{TM}}$  (or equivalently,  $A_{TM} \leq_m EQ_{TM}$ ). This is sufficient because we know that  $\overline{A_{TM}}$  is not recognizable (we proved in class that  $A_{TM}$  is non-decidable but recognizable). We can construct an  $f$  such that:  $f(\langle M, w \rangle) = \langle M1, M2 \rangle$  where  $M1$  accepts all inputs, and  $M2$  for any input  $x$ , runs  $M$  on  $w$  and if it accepts, accept  $x$ . We can easily deduct that this  $f$  is computable as well.

### Analysis

If  $\langle M, w \rangle \in A_{TM}$

then  $M$  accepts  $w$ , so  $M2$  will accept all inputs  $x$ , namely  $L(M2) = \Sigma^* = L(M1)$ .

therefore,  $\langle M1, M2 \rangle \in EQ_{TM}$

If  $\langle M, w \rangle \notin A_{TM}$

then  $M$  does not accept  $w$ , so  $M2$  does not accept any input  $x$ , namely  $L(M2) = \emptyset \neq L(M1)$ .

therefore,  $\langle M1, M2 \rangle \notin EQ_{TM}$  □

**Example 16.** *Revisiting the proof of Rice's Theorem*

By complementing both sides and recalling that  $\overline{A_{TM}}$  is not recognizable, we get the following revised version of the Rice Theorem. For proving the Rice Theorem, we proved that for any non-trivial language property  $P$ , if  $\emptyset$  does not satisfy the property then we showed a mapping reduction from  $A_{TM} \leq_m P$ , and if  $\emptyset$  does satisfy the property, then it was a mapping reduction from  $A_{TM} \leq_m \overline{P}$ .

**Theorem 17.** *Rice's Theorem*

*For any  $P$ , a non-trivial recognizable language property, if  $\emptyset$  satisfies  $P$  then  $P$  is not recognizable, and if  $\emptyset$  does not satisfy  $P$  then  $\overline{P}$  is not recognizable. In either case,  $P$  is undecidable.*

**Example 18.**  $CLL_{TM} = \{\langle M \rangle : \text{where } M \text{ is a TM and } L(M) \text{ is a context free language}\}$  Deduct that  $CLL_{TM}$  is non recognizable with the Refined Version of the Rice's Theorem.

*Proof.* We show that  $CLL_{TM}$  is a non-trivial property of TM languages, and that  $\emptyset$  satisfies the property. Thus, using the refined version of Rice's theorem above, we can conclude  $CLL_{TM}$  is not recognizable.

To show that it is not trivial: there exist a TM in  $CLL_{TM}$ , e.g. take  $M_\emptyset$  which rejects all inputs.  $\langle M_\emptyset \rangle \in CLL_{TM}$  because  $\emptyset$  is a context free language. There also exists a TM not in  $CLL_{TM}$ , e.g take a TM  $T$  that accepts all strings of the form  $a^n b^n c^n$  and rejects all other strings.  $\langle T \rangle$  not in  $CLL_{TM}$  because  $L(T) = \{a^n b^n c^n : n \geq 0\}$  is not a CFL.

To show that it's a language property, note that for any two TMs  $M1, M2$  with  $L(M1)=L(M2)$ , either this language is CFL, and then both  $\langle M1 \rangle, \langle M2 \rangle \in CFL_{TM}$ , or this language is not a CFL and then both  $\langle M1 \rangle, \langle M2 \rangle \notin CFL_{TM}$ . In any case,  $\langle M1 \rangle \in CFL_{TM} \Leftrightarrow \langle M2 \rangle \in CFL_{TM}$ .

Finally,  $\emptyset$  satisfies the property since, as we already mentioned above,  $\emptyset$  is a CFL. □