COMS 6998: Unconditional Lower Bounds and
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## 1 Lecture Overview

In this lecture, we cover the following ideas. Broadly speaking, we concern ourselves with $k$-wise independent random variables and $\epsilon$-bias distributions. Here are the contents in detail.

- $k$-wise independent/uniform random variables.
- Pairwise independence
- Derandomization application: MaxCut
- Constructing $k$-wise uniformly random variables
- Derandomization application: MAX-3SAT
- PRGs for $k$-juntas and depth- $k$ decision trees
- $\epsilon$-bias distributions
- $P A R_{S}$
- $\epsilon$-bias random variables
- $\epsilon$-generator for $\epsilon$-biased random variable
- Combine $k$-wise, $\epsilon$-biased random variable


## $2 k$-wise Independent/Uniform Random variables

In this section, we introduce the concept of $k$-wise independent random variables and $k$-wise uniform random variables.

Definition 1. Let $\mathbf{X}_{1}, \mathbf{X}_{2}, \ldots, \mathbf{X}_{n}$ be a set of random variables with support over $A$. The sequence, $\left(\mathbf{X}_{1}, \ldots, \mathbf{X}_{n}\right)$, is $k$-wise independent if for all subsequences $a_{i_{1}}, \ldots, a_{i_{k}}$
where $1 \leq i_{1}<i_{2} \cdots<i_{k} \leq n$, we have that

$$
\operatorname{Pr}\left[\bigwedge_{j \in[k]}\left(\mathbf{X}_{i_{j}}=a_{i_{j}}\right)\right]=\prod_{j \in[k]} \operatorname{Pr}\left[\mathbf{X}_{i_{j}}=a_{i_{j}}\right]
$$

Informally, a set of random variables is $k$-wise independent if any subset of size at most $k$ is mutually independent. We often think about a set of $k$-wise independent random variables whose joint distribution is uniform over its joint support. Such a set of random variables are referred to as $k$-wise uniform.

When we are dealing with $k=2$-wise independent random variables, we will often refer to them as two-wise or pairwise-independent random variables. Pairwise independence appears often in the context of hash function families, where the concept is used to analyze the probability of collisions in buckets.

Example 2 (1-wise independence). Let's consider the set of random variables, $\left\{\mathbf{X}_{i}\right\}_{i \in[n]} \in$ $\{0,1\}$. Here, $\mathbf{X}_{1}=1$ with probability $1 / 2$. Furthermore, $\mathbf{X}_{j}=\mathbf{X}_{1}$ for all $j \in[n] \backslash\{1\}$. This set of random variables is 1 -wise independent.

Example 3 (2-wise independent). Let's consider the set of random variables, $\left\{\mathbf{X}_{1}, \mathbf{X}_{2}, \mathbf{X}_{3}\right\}$. Here, $\mathbf{X}_{1}=1$ with probability $1 / 2 . \mathbf{X}_{2}$ has the same distribution as $\mathbf{X}_{1}$. Furthermore, $\mathbf{X}_{3}=\mathbf{X}_{1} \oplus \mathbf{X}_{2}$. This set is pairwise independent.

### 2.1 Generating $n$-pairwise uniform bits with small seed length

In this section, we constructively prove that it is possible to generate $n$-pairwise uniform bits with seed length $k=\lceil\log (n+1)\rceil$ bits. Here is the construction.

For each non-empty $S \subseteq[k]$, let $\mathbf{b}_{1}, \ldots, \mathbf{b}_{k}$ be independent, uniform random bits over support $\{0,1\}$. Furthermore, let

$$
\mathbf{x}_{S}=\bigoplus_{i \in S} \mathbf{b}_{i}
$$

Note that there are $n=2^{k}-1$ of such subsets of this type. Now, consider any two, non-empty subsets $S_{1}, S_{2} \subseteq[k], S_{1} \neq S_{2}$. Next, consider any element $(\alpha, \beta) \in\{0,1\}^{2}$. Without loss of generality, assume that $S_{1} \backslash S_{2} \neq \emptyset$. With this set up, we can state that

$$
\underset{\mathbf{b}_{1}, \ldots, \mathbf{b}_{k}}{\operatorname{Pr}}\left[\mathbf{X}_{S_{1}}=\alpha, \mathbf{X}_{S_{2}}=\beta\right]=\operatorname{Pr}\left[\mathbf{X}_{S_{2}}=\beta\right] \cdot \operatorname{Pr}\left[\mathbf{X}_{S_{1}}=\alpha \mid \mathbf{X}_{S_{2}}=\beta\right]
$$

We can fix the outcomes of each coin flip except for that of the last outcome of $S_{2}$. Let the outcome of the last coin flip be denoted as $\mathbf{b}_{j}$. We can now say that the single outcome of $\mathbf{b}_{j}$ causes $\mathbf{X}_{S_{2}}$ to be either 0 or 1 with equal probability. Thus, $\operatorname{Pr}\left[\mathbf{X}_{S_{2}}=\beta\right]=1 / 2$.

Now, to show that $\operatorname{Pr}\left[\mathbf{X}_{S_{1}}=\alpha \mid \mathbf{X}_{S_{2}}=\beta\right]=1 / 2$, we can note the following. Let $j^{\prime} \in S_{1} \backslash S_{2}$. We can fix all $\mathbf{b}_{i}, i \in S_{2}$ such that $\mathbf{X}_{S_{2}}=\beta$. We can also fix all $\mathbf{b}_{i}$ other than $i=j^{\prime}$ such that $\mathbf{b}_{i}, i \in S_{2}$. In the first setting of $j^{\prime}$, we have that $\mathbf{X}_{S_{1}}=\alpha$, and in the other setting, we have that $\mathbf{X}_{S_{1}} \neq \alpha$. By this construction, we have shown that both outcomes are equally likely, and that $\operatorname{Pr}\left[\mathbf{X}_{s_{1}}=\alpha \mid \mathbf{X}_{s_{2}}=\beta\right]=1 / 2$. To that end, we can say that

$$
\operatorname{Pr}_{\mathbf{b}_{1}, \ldots, \mathbf{b}_{k}}\left[\mathbf{X}_{S_{1}}=\alpha, \mathbf{X}_{S_{2}}=\beta\right]=\operatorname{Pr}\left[\mathbf{X}_{S_{2}}=\beta\right] \cdot \operatorname{Pr}\left[\mathbf{X}_{S_{1}}=\alpha \mid \mathbf{X}_{S_{2}}=\beta\right]=1 / 4
$$

### 2.2 Derandomization application: MaxCut

The optimization variant of the MaxCuT problem is known to be NP-hard. It is described as follows. Given a graph, $G=(V, E)$, as input, find a partition of the vertex set $V=X \cup Y$ such that $X \cap Y=\emptyset$ and the number of edges crossing $X$ to $Y$ is maximized.

There is a well-known randomized algorithm that $1 / 2$-approximates the optimal solution. It is given as follows.

```
\(\underline{\text { Algorithm } 1 \operatorname{MaxCut}(G=(V, E))}\)
    \(X=\emptyset\)
    for \(v \in V\) do
        Toss an unbiased coin, and set its value to \(b\)
        if \(b=1\) then
                \(X=X \cup\{v\}\)
    \(Y:=V-X\)
    return \((X, Y)\)
```

For ease of analysis, let $\mathbf{E}=(X, Y)$ be the set of edges that crosses from a vertex $u \in X$ to leads to a vertex $u \in Y$. Furthermore, let OPT be the size of the optimal
cut. Note that we can compute the following expectation.

$$
\mathbb{E}[|\mathbf{E}(X, Y)|]=\sum_{\{u, v\} \in E} \operatorname{Pr}[\{u, v\} \text { crosses cut }]
$$

Since each edge has a probability of $1 / 2$ of crossing the cut, due to linearity of expectation, we can say that

$$
=\sum_{e \in E} \frac{1}{2}=\frac{1}{2} \cdot|\mathbf{E}| \geq \frac{1}{2} \cdot O P T
$$

### 2.3 Constructing General $k$-wise Uniformly Random Variables

Our goal is to construct some general $k$-wise uniform random variables $\mathbf{X}=\left(\mathbf{X}_{1}, \ldots, \mathbf{X}_{n}\right)$ over the finite field $\mathbb{F}$ that contains $n$ elements. Pick $k$ variables $\mathbf{c}_{0}, \ldots, \mathbf{c}_{k-1}$ independently and uniformly from $\mathbb{F}$, requiring $k \log n$ bits of randomness. We want to view these $\mathbf{c}_{i}$ as coefficients of a univariate polynomial over $\mathbb{F}$. That is, for $\alpha \in \mathbb{F}$, let

$$
\mathbf{X}_{\alpha}:=\sum_{i=0}^{k-1} \mathbf{c}_{i} \alpha^{i}=p_{c}(\alpha) .
$$

Claim 4. $\mathbf{X}_{\alpha}$ is a $k$-wise uniform random variable over $\mathbb{F}$.
Proof. We get $k$-wise independence by using the Lagrange interpolation, which states that for any desired $\left\{a_{i}\right\}_{i \in[k]} \in \mathbb{F}$ and for any distinct $\left\{\alpha_{i}\right\}_{i \in[k]} \in \mathbb{F}$ there exists a unique set of coefficients $\left(c_{0}, \ldots, c_{k-1}\right)$ such that

$$
\mathbf{X}_{\alpha}=p_{c}(\alpha)=\sum_{i=1}^{k} a_{i} \cdot \frac{\prod_{j \neq i}\left(\alpha-\alpha_{j}\right)}{\prod_{j \neq i}\left(\alpha_{i}-\alpha_{j}\right)},
$$

with

$$
X_{\alpha_{i}}=p\left(\alpha_{i}\right)=a_{i}
$$

for all $i=1, \ldots, k$. We then have that our uniform distribution over $\left(\mathbf{c}_{0}, \ldots, \mathbf{c}_{k-1}\right)$ induces uniformity over $\left(a_{1}, \ldots, a_{k}\right)$, meaning

$$
\operatorname{Pr}\left[\bigwedge_{i \in[k]}\left(\mathbf{X}_{\alpha_{i}}=a_{i}\right)\right]=\prod_{i=1}^{k} \operatorname{Pr}\left[\mathbf{X}_{\alpha_{i}}=a_{i}\right]=\frac{1}{|\mathbb{F}|^{k}}
$$

Hence, $\mathbf{X}_{\alpha}$ is a $k$-wise uniform random variable over $\mathbb{F}$ of size $n$.

### 2.4 Official Homework Problem

Let $\mathbb{F}$ be a field with $|\mathbb{F}|=n=2^{j}$ and let $i \leq j$. Show how to generate $n$ elements $\mathbf{X}_{\mathbf{1}}, \ldots, \mathbf{X}_{\mathbf{n}}$ of $\left\{0,1, \ldots, 2^{i}-1\right\}$ which are $k$-wise uniform using $k j$ independent uniform random bits. Use the construction presented in the previous section to help you do so.

### 2.5 Derandomization Application: Max3SAT

Max3SAT is another well known NP-Hard optimization problem. Given a 3CNF instance $\phi=\bigwedge_{i=1}^{m} C_{i}$, where each $C_{i}=\left(l_{i_{1}} \vee l_{i_{2}} \vee l_{i_{3}}\right)$, find an assignment that satisfies a maximal number of clauses. Here, there are $m$ clauses and $n$ variables.

Here's a simple randomized algorithm that 7/8-approximates the optimal solution.

```
Algorithm 2 Max3SAT( \(\phi\) )
    Let \(b=\perp^{n}\) be a string, where \(\perp\) is a special character.
    for \(i \in[n]\) do
        \(b_{i}=1\) with probability \(1 / 2\), and \(b_{i}=0\) otherwise.
    return \(b\)
```

Let $\mathbf{S}$ be a non-negative random variable that counts the number of satisfied clauses. Furthermore, let $C_{i}(\mathbf{b})$ be a boolean value denoting whether or not $C_{i}$ is satisfied by assignment $\mathbf{b} \sim\{0,1\}^{n}$ uniformly. Finally, let $O P T$ denote the maximal number of satisfiable clauses. Given this algorithm, we can say that

$$
\mathbb{E}[\mathbf{S}]=\sum_{i=1}^{m} \operatorname{Pr}\left[C_{i}(\mathbf{b})=1\right]=\frac{7}{8} \cdot m \geq \frac{7}{8} \cdot O P T
$$

Say b was only 3 -wise independent. If we can enumerate over all

$$
2^{3 \cdot \log n}=\operatorname{poly}(n)
$$

strings (as the seed length of our PRG is $k \log n$ ), we now have a derandomization assumption if we can choose the $\mathbf{b}$ that satisfies the most clauses, that is,

$$
\sum_{i=1}^{m} \operatorname{Pr}\left[C_{i}(\mathbf{b})=1\right] \geq \frac{7}{8} \cdot m
$$

### 2.6 PRG-type Applications: Fooling Juntas and Decision Trees

Definition 5 ( $k$-junta). A $k$-junta over $\{0,1\}^{n}$ is a function $f$ such that

$$
f\left(x_{1}, \ldots, x_{n}\right)=g\left(x_{i_{1}}, \ldots, x_{i_{k}}\right)
$$

for some other function $g$ and indices $i_{1}<\cdots<i_{k}$.
Definition $6\left(\mathcal{J}_{k}\right)$.

$$
\mathcal{J}_{k}=\left\{f \mid f:\{0,1\}^{n} \rightarrow\{0,1\}, f \text { is } k \text {-junta }\right\}
$$

Definition $7\left(\mathcal{D} \mathcal{T}_{k}\right)$.

$$
\mathcal{D} \mathcal{T}_{k}=\left\{f \mid f:\{0,1\}^{n} \rightarrow\{0,1\}, f \text { is computed by } k \text {-depth Decision Tree }\right\}
$$

Observation 8. The class of all $k$-juntas is a strict subset of the class of all $k$-depth decision trees. That is,

$$
\mathcal{J}_{k} \subsetneq \mathcal{D} \mathcal{T}_{k}
$$

Corollary 9. If $\mathbf{X}$ is constructed to be $k$-wise independent over $\{0,1\}^{n}$, then $\mathbf{X}$ perfectly fools $\mathcal{J}_{k}$.

Proof. Note that the seed length of the PRG used to construct $X$ has $k \log n$. Since a $k$-junta is essentially a function over $k$ variables, we can capture the scope of all $k$ juntas by iterating through all seeds. We then output the same values when computing $f(\mathbf{X})$ or $f(\mathbf{U})$. Hence,

$$
\mathbb{E}[f(\mathbf{X})]=\mathbb{E}[f(\mathbf{U})]
$$

meaning $X 0$-fools, or perfectly fools, all $f \in \mathcal{J}_{k}$.
Lemma 10. Since $\mathbf{X}$ perfectly fools $\mathcal{J}_{k}$, then $\mathbf{X}$ perfectly fools $\mathcal{D} \mathcal{T}_{k}$.
Proof. Let $f \in \mathcal{D} \mathcal{T}_{k}$. Let $L$ be the set of all 1-leaves of the decision tree that computes $f$. Then, define $f$ in terms of many $f_{\ell}$ :

$$
f=\sum_{\ell \in L} f_{\ell} .
$$

Each $f_{\ell}$ is also a $k$-junta as they are at most a conjunction of $k$ variables. Recall the definition of $X$ fooling $\mathcal{J}_{k}$, with $f_{\ell} \in \mathcal{J}_{k}$ :

$$
\mathbb{E}\left[f_{\ell}(\mathbf{X})\right]=\mathbb{E}\left[f_{\ell}(\mathbf{U})\right]
$$

Then,

$$
\begin{aligned}
\mathbb{E}[f(\mathbf{X})] & =\mathbb{E}\left[\sum_{\ell \in L} f_{\ell}(\mathbf{X})\right] \\
\text { (linearity of expectation) } & =\sum_{\ell \in L} \mathbb{E}\left[f_{\ell}(\mathbf{X})\right] \\
\left(\text { O-fooling of } \mathcal{J}_{k}\right) & =\sum_{\ell \in L} \mathbb{E}\left[f_{\ell}(\mathbf{U})\right] \\
& =\mathbb{E}\left[\sum_{\ell \in L} f_{\ell}(\mathbf{U})\right] \\
& =\mathbb{E}[f(\mathbf{U})]
\end{aligned}
$$

As $f \in \mathcal{D} \mathcal{T}_{k}, \mathbf{X}$ then also 0 -fools $\mathcal{D} \mathcal{T}_{k}$.
We will generalize this result to achieve the triangle inequality.
Lemma 11 (Triangle Inequality). Let $f_{1}, \ldots, f_{t}:\{0,1\} \rightarrow \mathbb{R}$ and $\lambda_{0}, \ldots, \lambda_{t} \in \mathbb{R}$. Define

$$
f:=\lambda_{0}+\sum_{i=1}^{t} \lambda_{i} f_{i}(x)
$$

If random variable $\mathbf{X} \epsilon_{i}$-fools each $f_{i}$ for all $i \in[t]$, then $\mathbf{X}$ also $\epsilon$-fools $f$ for

$$
\epsilon=\sum_{i=1}^{t}\left|\lambda_{i}\right| \epsilon_{i}
$$

Proof. This is a relatively straightforward proof. We first expand out the definition of $f$, then apply triangle inequality under the usual metric. Note that if $\mathbf{X} \epsilon_{i}$-fools all $f_{i}$,

$$
\left|\mathbb{E}\left[f_{i}(\mathbf{X})\right]-\mathbb{E}\left[f_{i}(\mathbf{U})\right]\right| \leq \epsilon_{i}
$$

So,

$$
\begin{aligned}
|\mathbb{E}[f(\mathbf{X})]-\mathbb{E}[f(\mathbf{U})]| & =\left|\sum_{i=1}^{t} \lambda_{i} \mathbb{E}\left[f_{i}(\mathbf{X})\right]-\sum_{i=1}^{t} \lambda_{i} \mathbb{E}\left[f_{i}(\mathbf{U})\right]\right| \\
& =\left|\sum_{i=1}^{t} \lambda_{i}\left(\mathbb{E}\left[f_{i}(\mathbf{X})\right]-\mathbb{E}\left[f_{i}(\mathbf{U})\right]\right)\right| \\
(\text { triagle inequality }) & \leq \sum_{i=1}^{t}\left|\lambda_{i}\right| \cdot\left|\mathbb{E}\left[f_{i}(\mathbf{X})\right]-\mathbb{E}\left[f_{i}(\mathbf{U})\right]\right| \\
\left(\epsilon_{i} \text { fooling of } f_{i}\right) & \leq \sum_{i=1}^{t}\left|\lambda_{i}\right| \epsilon_{i}
\end{aligned}
$$

Now define

$$
\epsilon=\sum_{i=1}^{t}\left|\lambda_{i}\right| \epsilon_{i}
$$

implying that

$$
|\mathbb{E}[f(\mathbf{X})]-\mathbb{E}[f(\mathbf{U})]| \leq \epsilon,
$$

hence showing $\mathbf{X}$ does indeed $\epsilon$-fool $f$ if it also $\epsilon_{i}$-fools all $f_{i}$.
In summary, constructing $k$-wise independent variables lead to PRG-type applications of perfectly fooling $k$-juntas and $k$-depth decision trees. Upon generalizing the realization that a depth $k$ decision tree is the sum of many $k$-juntas, we come up with a general application of PRGs. This application states that if a certain group of functions are $\epsilon_{i}$-fooled by some $k$-wise independent random variable $\mathbf{X}$, then we can also $\epsilon$-fool any linear combination of these functions for some $\epsilon$.

## $3 \epsilon$-bias Distributions

Definition $12(P A R) . P A R=\left\{p_{S}\right\}_{S \subseteq[n]}$, where

$$
\begin{aligned}
p_{s} & =\sum_{i \in S} x_{i} \bmod 2 \\
& =\bigoplus_{i \in S} x_{i}
\end{aligned}
$$

In other words, $P A R$ is the class of all parities over $x_{1}, \ldots, x_{n} \in\{0,1\}^{n}$.

Also, let $\chi_{S}(x)=(-1)^{p_{S}(x)}=e\left(p_{S}(x)\right)$ be the character function, outputting in $\{ \pm 1\}$.
Definition 13 ( $\epsilon$-biased). We call Random Variable $\mathbf{X}=\left(\mathbf{X}_{1}, \ldots, \mathbf{X}_{n}\right) \epsilon$-biased if it $\epsilon / 2$-fools $P A R$, hence $\epsilon$-fooling all characters $\chi_{S}$.

$$
\left|\mathbb{E}\left[\chi_{S}(\mathbf{X})\right]-\mathbb{E}\left[\chi_{S}(\mathbf{U})\right]\right| \leq \epsilon,
$$

and

$$
\mathbb{E}\left[\chi_{S}(\mathbf{U})\right]= \begin{cases}0 & \text { if } S \neq \emptyset \\ 1 & \text { if } S=\emptyset\end{cases}
$$

We will use $\epsilon$-biased Random Variables to fool $D E G_{d}$, the class of $\mathbb{F}_{2}$ polynomials with degree $d$.

### 3.1 Constructions of $\epsilon$-biased Random Variables

We begin by generalizing the field extension mentioned in the previous lecture from $\mathbb{F}_{4}$ to $\mathbb{F}_{2^{e}}$.
Definition $14\left(\mathbb{F}_{2^{\ell}}\right)$. Up to an isomorphism, $\mathbb{F}_{2^{\ell}}$ is an unique field of size $2^{\ell}$. Recall that $\mathbb{F}_{2^{\ell}}$ is constructed as the extension field of $\mathbb{F}_{2}$ modulo $p(t)$, where is an irreducible $\mathbb{F}_{2}$ polynomial of degree $\ell$. That is,

$$
\mathbb{F}_{2^{\ell}}=\frac{\mathbb{F}_{2}[t]}{p(t)}
$$

Fact 15. $\mathbb{F}_{2^{\ell}}$ contains $2^{\ell}$ elements, and any polynomial of degree at least $\ell$ can be reduced to one of these elements.
Our standard construction of $\left(\mathbb{F}_{2}\right)^{\ell}$ is an $\ell$-length vector of $\mathbb{F}_{2}$ values. Let bij : $\mathbb{F}_{2^{\ell}} \rightarrow\left(\mathbb{F}_{2}\right)^{\ell}$ be a linear bijection, meaning

$$
b i j(x+y)=b i j(x)+b i j(y) .
$$

For $\ell=\log (n / \epsilon)$, define a generator $G:\left(\mathbb{F}_{2^{\ell}}\right)^{2} \rightarrow\{0,1\}^{n}$ as

$$
G(x, y)=\left(r_{0}, \ldots, r_{n-1}\right), r_{i}=\left\langle b i j(y), b i j\left(x^{i}\right)\right\rangle \bmod 2 .
$$

Here, $x^{i}$ refers to the $i$ th power of $x \in \mathbb{F}_{2^{\ell}}$, so $x^{i} \in \mathbb{F}_{2^{\ell}}$, $\operatorname{bij}\left(x^{i}\right)$, $\operatorname{bij}(y) \in\left(\mathbb{F}_{2}\right)^{\ell}$, and for $a, b \in\left(\mathbb{F}_{2}\right)^{\ell}$, the inner product is defined as

$$
\langle a, b\rangle=\sum_{i=0}^{l} a_{i} b_{i} \bmod 2 .
$$

Lemma 16. $G$ as defined above is an $\epsilon$-biased generator. That is for $\ell=\log (n / \epsilon)$, $\mathbf{U} \sim\{0,1\}^{2 \ell}, G(\mathbf{U})$ is an $\epsilon$-biased random variable.

Proof. We need to show that $G$ can fool all parities, meaning for all nonzero $\alpha \in\{0,1\}^{n}$,

$$
\left|\operatorname{Pr}_{r \sim G(\mathbf{U})}\left[\sum_{i=0}^{n-1} \alpha_{i} r_{i} \equiv 1 \bmod 2\right]-\frac{1}{2}\right| \leq \frac{\epsilon}{2}
$$

From the definition of $G$,

$$
\begin{aligned}
\operatorname{Pr}_{r \sim G(\mathbf{U})}\left[\sum_{i=0}^{n-1} \alpha_{i} r_{i} \equiv 1 \bmod 2\right] & =\operatorname{Pr}_{x, y \sim \mathbb{F}_{2^{l}}}\left[\sum_{i=0}^{n-1} \alpha_{i}\left\langle b i j(y), b i j\left(x^{i}\right)\right\rangle \equiv 1 \bmod 2\right] \\
& =\operatorname{Pr}_{x, y}\left[\left\langle b i j(y), \sum_{i=0}^{n-1} \alpha_{i} b i j\left(x^{i}\right)\right\rangle \equiv 1 \bmod 2\right] \\
& =\underset{x, y}{\operatorname{Pr}}\left[\left\langle b i j(y), \operatorname{bij}\left(p_{\alpha}(x)\right)\right\rangle \equiv 1 \bmod 2\right],
\end{aligned}
$$

where $p_{\alpha}(x)=\operatorname{deg}-(n-1) \mathbb{F}_{2^{l}}$ polynomial $\sum_{i=0}^{n-1} \alpha_{i} x^{i}$. Define event $E$ to occur if

$$
\left\langle b i j(y), b i j\left(p_{\alpha}(x)\right)\right\rangle \equiv 1 \bmod 2 .
$$

Now perform casework on $p_{\alpha}(x)$.

$$
\operatorname{Pr}_{y}[E]= \begin{cases}0 & \text { if } p_{\alpha}(x)=0 \\ \frac{1}{2} & \text { if } p_{\alpha}(x) \neq 0\end{cases}
$$

Condition using outcomes of $p_{\alpha}(x)$.

$$
\begin{aligned}
\operatorname{Pr}_{r \sim G(\mathbf{U})}\left[\sum_{i=0}^{n-1} \alpha_{i} r_{i} \equiv 1 \bmod 2\right] & =\operatorname{Pr}_{y}[E] \\
& =\operatorname{Pr}_{y}\left[E \mid p_{\alpha}(x)=0\right] \cdot \operatorname{Pr}_{x}\left[p_{\alpha}(x)=0\right]+\operatorname{Pr}_{y}\left[E \mid p_{\alpha}(x) \neq 0\right] \cdot \operatorname{Pr}_{x}\left[p_{\alpha}(x) \neq 0\right] \\
& =\frac{1}{2} \cdot \operatorname{Pr}_{x}\left[p_{\alpha}(x) \neq 0\right]
\end{aligned}
$$

as $\operatorname{Pr}_{y}\left[E \mid p_{\alpha}(x)=0\right]=0, \operatorname{Pr}_{y}\left[E \mid p_{\alpha}(x) \neq 0\right]=1 / 2$ using the above casework on $p_{\alpha}(x)$. For a lower bound on $\operatorname{Pr}_{x}\left[p_{\alpha}(x) \neq 0\right.$ ], use that $p_{\alpha}$ is a degree $n-1$ polynomial over $\mathbb{F}_{2^{l}}$. As $2^{l}=n / \epsilon$,

$$
\begin{aligned}
\operatorname{Pr}_{x}\left[p_{\alpha}(x) \neq 0\right] & \geq 1-\frac{n-1}{2^{l}} \\
& \geq 1-\epsilon .
\end{aligned}
$$

Hence,

$$
\operatorname{Pr}_{r \sim G(\mathbf{U})}\left[\sum_{i=0}^{n-1} \alpha_{i} r_{i} \equiv 1 \bmod 2\right] \leq \frac{1}{2}-\frac{\epsilon}{2},
$$

and

$$
\left|\operatorname{Pr}_{r \sim G(\mathbf{U})}\left[\sum_{i=0}^{n-1} \alpha_{i} r_{i} \equiv 1 \bmod 2\right]-\frac{1}{2}\right| \leq \frac{\epsilon}{2} .
$$

Hence, $G$ is an $\epsilon$-biased generator.

### 3.2 Application to Coding Theory

Distributions over $\left(\mathbb{F}_{2}\right)^{n}$ that are $k$-wise independent and $\epsilon$-biased are closely related to linear codes over $\mathbb{F}_{2}^{n}$. There is motivation from coding theory to give $\epsilon$-biased distributions that have a smaller seed length than $2 \ell=2 \log (n / \epsilon)$.

### 3.3 Project Topic

The information-theoretic best possible seed length for an $\epsilon$-biased distribution is

$$
\log n+2 \log \left(\frac{1}{\epsilon}\right)-\log \log \left(\frac{1}{\epsilon}\right) .
$$

Can this be achieved with an explicit construction? Ta-Shma '17 showed a bound of

$$
\log n+2 \log \left(\frac{1}{\epsilon}\right)+\tilde{O}\left(\log ^{2 / 3}\left(\frac{1}{\epsilon}\right)\right)
$$

## $4 k$-wise $\epsilon$-biased Random Variables

Definition 17. Random Variable $\mathbf{X}=\left(\mathbf{X}_{1}, \ldots, \mathbf{X}_{n}\right)$ is $k$-wise $\epsilon$-biased if it $\epsilon$ fools all $\chi_{S}$ with $|S| \leq k$.
Lemma 18. There exists explicit $k$-wise $\epsilon$-biased $\mathbf{X}=\left(\mathbf{X}_{1}, \ldots, \mathbf{X}_{n}\right)=G(\mathbf{U})$ with seed length

$$
\log k+\log \left(\frac{1}{\epsilon}\right)+\log \log n
$$

Proof. Let $G:\{0,1\}^{s} \rightarrow\{0,1\}^{n}$ be a $k$-wise uniform generator that is a linear transformation viewed as $\mathbb{F}_{2}^{s} \rightarrow \mathbb{F}_{2}^{n}$. Let $\mathbf{Y}$ be an $\epsilon$-biased distribution over $\{0,1\}^{s}$. Overall, $\mathbf{X}=G(\mathbf{Y})$, with output in $\{0,1\}^{n}$. Hence, the seed length is

$$
2 \log \left(\frac{s}{\epsilon}\right)=O\left(\log k+\log \left(\frac{1}{\epsilon}\right)+\log \log n\right)
$$

We want to show $G(\mathbf{Y}) \epsilon$-fools parities of size at most $k$. Let $S \subset[n],|S| \leq k$. This parity is

$$
p_{S}(x)=\sum_{i \in S} x_{i}, x \in\left(\mathbb{F}_{2}\right)^{s} .
$$

Let $M \in \mathbb{F}_{2}^{n \times s}$ be a matrix acting as a linear transformation for $G$. Denote $M_{j}$ to be the $j$ th row of $M$. Then,

$$
G(\mathbf{Y})=\left(\left\langle M_{1}, \mathbf{Y}\right\rangle, \ldots,\left\langle M_{n}, \mathbf{Y}\right\rangle\right) \in \mathbb{F}_{2}^{n} .
$$

For $\mathbf{Y} \in \mathbb{F}_{2}^{s}$, we have

$$
\begin{aligned}
p_{S}(G(\mathbf{Y})) & =\sum_{i \in S}\left\langle M_{i}, \mathbf{Y}\right\rangle \\
& =\sum_{i \in S} \sum_{j=1}^{s} M_{i j} \mathbf{Y}_{j} \\
& =\sum_{j=1}^{s}\left(\sum_{i \in S} M_{i j}\right) \mathbf{Y}_{j} .
\end{aligned}
$$

Notice how this is a $P A R$ over $\mathbf{Y}=\left(\mathbf{Y}_{1}, \ldots, \mathbf{Y}_{s}\right)$. Since $\mathbf{Y}$ is $\epsilon$-biased,

$$
\left\lvert\, \mathbb{E}\left[p_{S}(G(\mathbf{Y})]-\mathbb{E}\left[p_{S}(G(\mathbf{U})] \left\lvert\, \leq \frac{\epsilon}{2}\right.\right.\right.\right.
$$

Since $p_{s}$ is a $k$-junta and $G$ is $k$-wise uniform, we have

$$
\mathbb{E}\left[p_{S}(G(\mathbf{U}))\right]=\mathbb{E}\left[p_{S}\left(G\left(\mathbf{U}_{n}\right)\right)\right] .
$$

So,

$$
\left\lvert\, \mathbb{E}\left[p_{S}(G(\mathbf{Y}))\right]-\mathbb{E}\left[p_{S}\left(G\left(\mathbf{U}_{n}\right)\right] \left\lvert\, \leq \frac{\epsilon}{2}\right.\right.\right.
$$

meaning $\mathbf{Y}(\epsilon / 2)$-fools $p_{S}$.

