# COMS 6998: Unconditional Lower Bounds and Derandomization

Spring 2024

## Lecture 5: February 13, 2024

Lecturer: Rocco Servedio

Scribe: Mark Chen

## Last Time:

- Used Håstad's Switching Lemma (HSL) to get  $2^{\Omega(n^{\frac{1}{d-1}})}$  size lower bound (l.b.) for depth-*d* circuits for PAR.
- Proof of "weak switching lemma."
- Proof of HSL (with a "key fact" left to prove today).

## Today:

- Finish proof of HSL by proving "key fact."
- $\bullet\,$  Average-case l.b. for  $\mathsf{AC}^0$  circuits for PAR (small extension of worst-case l.b. we did).
- Depth-2 average-case l.b. (O'Donnell and Wimmer): Any CNF that agrees with (some explicit function) on 90% of all inputs must have  $2^{\Omega(\frac{n}{\log(n)})}$  clauses.
- Start F<sub>2</sub>-polynomials: Definitions and basic properties that lead up to showing an average-case l.b. for them (next time).

## 1 Håstad's Switching Lemma (Continued)

Many of these results can be found in chapter 12 of [Juk12].

 $Proof.\ [Of HSL]$  Last time, we had a key fact left unproven for HSL, which was stated as such:

**Lemma 1.** Any restriction  $\sigma$  is  $Angel(\rho)$  for  $\leq (4w)^t$  many bad  $\rho$ 's.

**[Intuition:** Recall from last time that  $\operatorname{Angel}(\rho)$  is similar to  $\operatorname{Devil}(\rho)$  in that it fixes t additional variables beyond  $\rho$ , but it is different from  $\operatorname{Devil}(\rho)$  in that they necessarily disagree in each block, such that  $\operatorname{Angel}(\rho)$  path is able to reach the 1-leaf of the block  $V_n$  with one query instead. This poses us a natural question that we are showing with this lemma here, because the hope is that  $\operatorname{Angel}(\rho)$  is much more likely under  $R_p$  than  $\rho$ , because it has more fixed bits, and fixed bits are more likely than \*'s.]

*Proof of Lemma* 1. Suppose we know F to begin with, though it is not explicit.

Let  $\sigma = \text{Angel}(\rho)$  for some bad  $\rho$ . The idea is to decode  $\rho$  from " $\underline{\sigma}$ " and "<u>little extra</u> <u>information</u>" (where the bound on the possibilities for the "little extra information" gives a bound on the number of of possible  $\rho$ 's such that  $\sigma = \text{Angel}(\rho)$ ).

Now, we use the following auxiliary information: 2 rows of t numbers in each row and a little extra information (see Example 2):

- First row: An element of  $[w]^t \implies$  gives  $w^t$  possibilities.
- Second row: An element of  $\{0,1\}^t \implies$  gives  $2^t$  possibilities.
- Extra info: In each of the t-1 possibilities between 2 elements of the first row, we can put ";" or not  $\implies$  note that this can be represented by "0" and "1" for on and off in between each bit of the first row, and so there are t-1 such positions between t bits. That gives us  $2^{t-1}$  possibilities.

This means a total of  $\leq (4w)^t$  possible combinations. Since this is the upper bound for the total possible number of auxiliary information. Once we show we can decode  $\rho$  from  $\sigma$  and auxiliary information, we have the key lemma.

#### Example 2.

$$\begin{array}{c}
2 \_ 3 \\
0 \_ 0 \_ 1 \_ 0 \_ 1 \_ \cdots \_ 0
\end{array}$$

## 1.1 How to decode $\rho$ from [" $\sigma$ " + "auxiliary info"?

**Intuition:** The problem is we don't know which t fixed bits of  $\sigma$  are from  $\text{Angel}(\rho)$  (in contrast, these bits are already fixed in  $\rho$ ). If we knew, we could replace them by \* in  $\sigma$  and get  $\rho$ .]

We'll identify those variables by first finding  $V_1$ , then,  $V_2$ , .... Here's how: Recall  $CDT(F, \rho)$  has  $V_1$  = surviving variables in first of F that's not killed to 0 by  $\rho$ .

Imagine restricting F by just  $\sigma$ . The original  $\sigma$  adds to the existence of  $\rho$ , so any term killed to 0 by  $\rho$  is killed to 0 by  $\sigma$ . But, the first term in F that is <u>not</u> killed by  $\rho$  is satisfied by  $\sigma = \text{Angel}(\rho)!$  So, the first term in F that's satisfiable by  $\rho$  is where  $V_1$  came from.

**Example 3.** Suppose we start with something like:

$$F = (x_1 \wedge \overline{x}_2) \lor (\overline{x}_1 \wedge \overline{x}_2 \wedge x_8) \lor (\overline{x}_2 \lor x_4 \lor x_5 \lor \overline{x}_6) \lor \dots$$

Also, let's say that  $\sigma$  is fixed to be

$$x_1 x_2 x_3 x_4 x_5 x_6 x_7 x_8$$
  
$$\sigma = 0 \ 0 \ 1 \ 1 \ 1 \ 0 \ 1 \ 1,$$

then

$$F = (\underbrace{x_1 \land \overline{x_2}}_{x_1=0, \ killed}) \lor (\overline{x}_1 \land \overline{x}_2 \land x_8) \lor (\overline{x}_2 \lor x_4 \lor x_5 \lor \overline{x}_6) \lor \dots$$

Notice that  $(\overline{x}_1 \wedge \overline{x}_2 \wedge x_8)$  is the first term that evaluates to true with the  $\sigma$  assignment, so it is where  $V_1$  came from.

We use the following steps to inductively find variables in  $V_i$ :

Step 1: To decide which variables in the term we found above are  $V_1$  ones: We read the elements of the first row to get info about which of the w variables in that term are "\*" in  $\rho$ . Use ";" to mark the last position of this term. We have, thus, found  $V_1$ !

Step 2: To find  $V_2$ : Use the second row of the auxiliary info to

- learn how to traverse  $V_1$ -block of CDT to follow  $\mathsf{Devil}(\rho)$  path (this is because the second row tells us how  $\mathsf{Devil}(\rho)$  fixes the  $V_1$  variables).
- Map  $\sigma \rightsquigarrow \sigma'$  by replacing  $V_1$  variables with those bits and continue.
- Now, the first term in F that is satisfiable by  $\sigma'$  must be where  $V_2$  came from.

Then, we find variables that are  $V_2$  ones analogous to how Step 1 does for  $V_1$ .

### 1 HÅSTAD'S SWITCHING LEMMA (CONTINUED)

Step 3: We can find  $V_i$  inductively following the process described for  $V_2$  based on the previously found variables and auxiliary information. So, like this, we can continue and end up recovering  $\rho$ , obtained by replacing all  $V_1, V_2, \ldots$  variables in  $\sigma$  with \*'s.

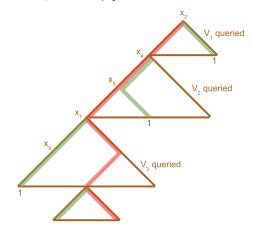
This marks the end of the HSL proof (see example 4 for how this decoding works).

**Example 4.** Recall the example from last time about Angel and Devil, for which the set-up is:

- Consider a "canonical decision tree" for F ↾ ρ, denoted CDT(F, ρ). Furthermore, CDT(F, ρ) is to obliviously query all surviving variables (meaning to fix them to 0 or 1) in each block unkilled by ρ, and recurse through all such unkilled blocks. In the example illustrated below, we have {x<sub>2</sub>} in V<sub>1</sub> block unkilled, {x<sub>4</sub>, x<sub>5</sub>} in V<sub>2</sub> block unkilled, .... Then, Devil(ρ) and Angel(ρ) are specific ways to fix surviving variables in unkilled blocks.
- The green path segments denote the Devil(ρ); the red path segments denote the Angel(ρ). In particular, we may have the following assignments (for x<sub>1</sub> to x<sub>5</sub> only, but it is easy to infer what x<sub>7</sub> and x<sub>9</sub> could be):

$x_1$	$x_2$	$x_3$	$x_4$	$x_5$
ho:1	*	0	*	*
$Devil(\rho):1$	0	0	0	0
$Angel(\rho):1$	1	0	0	1

[Notice how  $\text{Devil}(\rho)$  and  $\text{Angel}(\rho)$  fix additional bits to those that were initially assigned "\*" by  $\rho$  ( $\text{Devil}(\rho)$  and  $\text{Angel}(\rho)$  always assign different values if it is the bit right before the end of a block).]



## 1.2 Project Topic

Variants / extensions of HSL:

- Proof complexity.
- Derandomization versions.
- . . .

## 2 Average-Case L.B. for $AC^0$

Many of these results can be found in chapter 12 of [Juk12].

## 2.1 Recall Worst-Case Lower Bound

Reusing previous argument, we can see that all our "w.p.  $\geq \frac{1}{2}$ " are very strong. Let's set M, the size of the circuits against which we'll give an average-case l.b., to be

$$M := 2^{cn^{1/d}}.$$

where  $c = c_{\alpha} = \frac{1}{100^d}$ . Then, overall, for M, we can verify each failure probability is at most  $\frac{1}{M^5}$ . So, O(d) many: overall, w.p.  $1 - \frac{O(d)}{M}$ , the circuit  $C_{d-2}$  [the thing after random restrictions] is depth-2 circuit with bottom fanin  $\leq 10 \log M$ , over  $n_{d-2} \geq \frac{M}{200(200 \log M)^{d-2}}$  variables.

Now, do one more round of random restriction. With  $p = \frac{1}{100 \log M}$ ,

$$\Pr[C_{d-2} \text{ doesn't collapse to depth-}(10 \log M) \text{ DT}] \leq \frac{1}{M^5},$$

so, by Chernoff Bound, just like before,

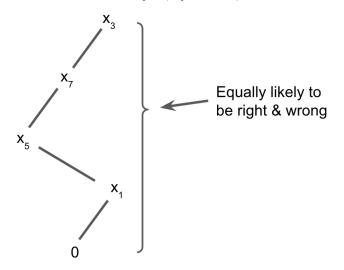
$$\Pr\left[\text{ fewer than } \frac{n_{d-2}}{200\log M} \text{ variables survive}\right] \le \exp\left(-\frac{n}{c \cdot (\log M)^{d-2}}\right) \le \frac{1}{M^5}.$$

So, overall, w.p. at least  $1 - \frac{O(d)}{M^5}$ , we get  $(10 \log M)$ -depth DT, and at least  $\frac{n}{c \cdot (\log M)^{d-1}}$  variables survive.

## 2.2 Moving on to Average-Case Lower Bound

**Fact 5.** Any DT of depth-d has correlation 0, under  $\mathcal{U}$ , with any PAR on more than d variables. See example 6

**Example 6.** Consider  $PAR(x_1, x_3, x_5, x_7, x_8)$ . Below is an example where half of all the assignments that reach this leaf satisfy the PAR and half don't. For this particular example, we see all variables other than  $x_8$  are on the path. Given this path, we can see that if  $x_8 = 0$ , then PAR isn't satisfied; if  $x_8 = 1$ , then PAR is satisfied.



Reinterpreting the key fact gives the following theorem:

**Theorem 7.** Let C be a circuit of size  $M = 2^{cn^{1/d}}$ , depth d. Then, PAR<sub>n</sub> is  $\epsilon$ -hard for C, where  $\epsilon \leq 2^{-cn^{1/d}}$  (which implies the average-case l.b. for PAR).

### 2.2.1 Project Topic:

Refinements of the average-case l.b.'s for  $AC^0$ , by either making the circuit size bigger or making the  $\epsilon$  bound smaller.

**Goal 8.** In fact, it would be awesome to show that, for some <u>explicit</u> f, we can make  $\underline{M}$  bigger while making  $\underline{\epsilon}$  smaller. But we don't know how to achieve both at the same time.

**Remark 9.** Theorem 7 is a compromise to that hope as described in goal 8, as in they made  $\underline{M}$  bigger but also made  $\epsilon$  bigger. Furthermore, what they did was restricted to depth-2 circuits, not PAR<sub>n</sub>. Particularly, they achieved:  $\underline{M} = 2^{n^{1/d}} = 2^{\sqrt{n}}$  and  $\epsilon = \frac{1}{2\sqrt{n}}$  when d = 2.

## 2.3 O'Donnell & Wimmer Statement and Proof [RO07]

**Definition 10.** Let  $F^* := DNFTRIBES f_n$  on  $n = w2^w$  variables be defined as

$$F^*(x_1,\ldots,x_n) = \underbrace{(x_1 \wedge \ldots \wedge x_w) \lor (x_{w+1} \wedge \ldots \wedge x_{2w}) \lor \ldots}_{\frac{n}{\log n} = \frac{n}{w} = 2^w \text{ terms, } w \text{ variables per term}},$$

where we let  $w \approx \log n - \log \log n$ ,  $n = w2^w$ .

**Theorem 11.** [O'D &W] Any CNF g that agrees with  $F^*$  on 90% of all  $2^n$  inputs must have  $2^{\Omega(\frac{n}{\log n})}$  clauses. Then, we can extend to get average-case l.b. for all depth-2 circuits.

*Proof.* [O'D&W] The first step is to show a fact:

**Fact 12.** If g is s-clause CNF, there's a CNF g' which is  $\epsilon$ -close to g, i.e.

$$\Pr_{\mathbf{x}\in\mathcal{U}}[g(\mathbf{x}) = g'(\mathbf{x})] \ge 1 - \epsilon$$

s.t. g' has width of every clause being at most  $\log(\frac{s}{\epsilon})$ .

*Proof.* [Of fact 12] Any clause of length t falsifies w.p.  $\frac{1}{2^{t}}$ , so, removing a clause of width greater than  $\log\left(\frac{s}{\epsilon}\right)$  changes g on  $\leq \frac{1}{2^{\log\left(\frac{s}{\epsilon}\right)}} = \frac{\epsilon}{s}$  fraction of inputs. By union bound over all such clauses removed (at most s), we have the desired upper bound.

So, in order to show O'D&W, it is sufficient to argue that:

Claim 13. Any CNF g' that 0.2-approximates  $F^*$  must have width  $\geq \frac{1}{4} \cdot 2^w = \Omega\left(\frac{n}{\log n}\right)$ .

Proof. [Claim 13 ⇒ O'D&W] Suppose g 0.1-approximates  $F^*$  and has s clauses. Then, fact 12 ⇒  $\exists$  a width-log(10s) CNF g' s.t. it 0.1-approximates g. So, g' 0.2-approximates  $F^*$ , so claim 13 say log(10s)  $\geq \Omega\left(\frac{n}{\log n}\right)$ .

Thus, the goal of the proof for O'D&W (theorem 11) is to show claim 13. To prove that, first observe:

**Observation 14.** Random restrictions / switching lemma won't help

## 2 AVERAGE-CASE L.B. FOR $AC^{0}$

Observation 14 is because  $F^*$ , like g', is a depth-2 circuit, so random restrictions will simplify  $F^*$  like g'. However,  $F^*$  is a width-w DNF and g' is a width- $(\frac{1}{4}2^w)$  CNF, so simplifications won't work because  $F^*$  will simplify at least as much as g' (and  $F^*$  is much smaller than g'). Instead, we need a way to "keep  $F^*$  complex" while "making g' simple." This is why we introduce the method of random projections.

**Definition 15** (Random Projections). A projection  $\rho$  is a mapping  $\{x_1, \ldots, x_n\} \rightarrow \{0, 1, \mathbf{y_1}, \ldots, \mathbf{y_t}\}.$ 

The purpose of a random projection,  $\rho$ , is to both fix variables and identify groups of variables.

**Example 16.** One example for  $f \upharpoonright \rho$  is:

$$\rho(x_1) = 1$$
  

$$\rho(x_2) = 0$$
  

$$\rho(x_3) = \rho(x_4) = \mathbf{y_1}$$
  

$$\rho(x_5) = \rho(x_6) = \mathbf{y_2}$$
  
:

The point of random projections is that they let us "carefully preserve structures" in target function  $F^*$  so it "survives."

Now, the key to prove claim 13, is to draw uniform n-bit string, using random projections:

**Lemma 17.** Let  $\boldsymbol{\rho} \sim \left\{\mathbf{y}_{\frac{1}{2}}, \mathbf{1}_{\frac{1}{2}}\right\}^{w} \setminus \{1^{w}\}$  and  $\mathbf{y} \sim \left\{\mathbf{0}_{1-\frac{1}{2^{w}}}, \mathbf{1}_{\frac{1}{2^{w}}}\right\}$  (the subscript denotes the probability that a specific position of a string is assigned a character). Doing  $\boldsymbol{\rho}$  then  $\mathbf{y}$  gives uniform string in  $\{0, 1\}^{w}$ .

*Proof.* [Of lemma 17] If  $z = 1^w$ , then we will need  $\rho$  to be all 1 or y to be 1. The former has a probability of  $\frac{1}{2^w}$  and the latter has a probability of  $\frac{1}{2^w}$ , so the total probability is just  $\frac{1}{2^w}$ .

Otherwise,  $z \neq 1^w$ , then,

$$\Pr[\text{get } z] = \underbrace{\frac{1}{2^w - 1}}_{\text{get } \boldsymbol{\rho} \text{ compatible } w/z} \cdot \underbrace{(1 - \frac{1}{2^w})}_{\text{get } \mathbf{y} = 0} = \frac{1}{2^w}.$$

## 2 AVERAGE-CASE L.B. FOR $AC^{0}$

Finally, we prove the one missing piece, which is claim 13. We can do a global version of the trick described in lemma 17. Firstly, we have independent copies of  $\rho$  for each

of the  $2^w$  terms of  $F^*$ . Recall  $F^*$  from definition 10. In draw of  $\rho_1, \rho_2, \ldots, \rho_{2^w}$ , for each  $i \in [2^w]$ , all surviving variables under  $\rho_i \rightsquigarrow \mathbf{y}_i$ .

•  $F^*$  "stays complex and balanced under  $\rho$ ": w.p. 1 over  $\rho = (\rho_1, \rho_2, \dots, \rho_{2^w})$ ,

$$F^* \upharpoonright \boldsymbol{\rho} = \mathbf{y_1} \lor \mathbf{y_2} \lor \cdots \lor \mathbf{y_{2^w}},$$

where  $\mathbf{y_i} \sim \left\{ \mathbf{0}_{1-\frac{1}{2^w}}, \mathbf{1}_{\frac{1}{2^w}} \right\}$ , so

$$\mathbb{E}_{\mathbf{y}_1,\ldots,\mathbf{y}_{2^w}}[F^* \upharpoonright \boldsymbol{\rho}(\mathbf{y})] = 1 - \left(1 - \frac{1}{2^w}\right)^{2^w} \approx 1 - \frac{1}{e} \approx 0.63.$$

- Any non-super-wide CNF is very biased (either towards 0 or towards 1) after  $\rho$ : Fix any CNF g' of width  $\leq \frac{1}{4}2^w$ , consider any fixed outcome  $g' \upharpoonright \rho$ , a CNF over  $\mathbf{y_1}, \ldots, \mathbf{y_{2^w}}$ : there are two possibilities:
  - 1) Every clause of  $g' \upharpoonright \rho$  has at least 1 negated variable, so

$$g' \upharpoonright \boldsymbol{\rho} = (\overline{\mathbf{y}}_1 \lor \ldots) \land (\overline{\mathbf{y}}_7 \lor \ldots) \land \ldots,$$

which means that

$$g' \upharpoonright \boldsymbol{\rho}\left(0^{2^{w}}\right) = 1.$$

Each  $\mathbf{y}_{\mathbf{i}}$  is 0 w.p.  $1 - \frac{1}{2^{w}}$ , but  $F^* \upharpoonright \boldsymbol{\rho}\left(0^{2^{w}}\right) = 0$ . So,

$$\Pr[y = 0^{2^{w}}] = \left(1 - \frac{1}{2^{w}}\right)^{2^{w}} \approx 0.37.$$

So, in this case,

$$g' \upharpoonright \boldsymbol{\rho}$$
 and  $F^* \upharpoonright \boldsymbol{\rho}$  disagree on 37% of **y**-outcomes. (1)

2) Not every clause of  $g' \upharpoonright \rho$  has at least 1 negated variable, i.e.  $g' \upharpoonright \rho$  contains a clause

$$C = \mathbf{y_1} \lor \mathbf{y_2} \lor \cdots \lor \mathbf{y_k}$$
 where  $k \le \frac{1}{4} 2^w$  variables.

Then,

$$\Pr_{\mathbf{y}}[g' \upharpoonright \boldsymbol{\rho}(\mathbf{y}) = 1] \le \Pr_{\mathbf{y}}[C(\mathbf{y}) = 1] \stackrel{\text{Union Bound}}{\le} \frac{1}{4} \cdot 2^{w} \cdot \frac{1}{2^{w}} = \frac{1}{4}.$$

But, we already concluded above that

$$\Pr_{\mathbf{y}}[F^* \upharpoonright \boldsymbol{\rho}(\mathbf{y}) = 1] = \Pr_{\mathbf{y}}[\mathbf{y}_1 \lor \cdots \lor \mathbf{y}_{\mathbf{2^w}} = 1] \approx 0.63.$$

So, in this case

$$g' \upharpoonright \boldsymbol{\rho} \text{ and } F^* \upharpoonright \boldsymbol{\rho} \text{ disagree on } \approx \left(0.63 - \frac{1}{4}\right) = 0.38 \text{ of } \mathbf{y}\text{-outcomes.}$$
 (2)

In either case, we have

$$\Pr_{\mathbf{x} \sim \mathcal{U}(\{0,1\}^n)}[F^*(\mathbf{x}) \neq g'(\mathbf{x})] = \mathbb{E}_{\boldsymbol{\rho} \sim (!)}[\Pr_{\mathbf{y}}[F^* \upharpoonright \boldsymbol{\rho}(\mathbf{y}) \neq g' \upharpoonright \boldsymbol{\rho}(\mathbf{y})]]^1$$
  
 \geq 0.2, based on (1) and (2) we have shown for two cases of  $\boldsymbol{\rho}$ 

And, thus, we have shown claim 13. Then, finally, as we have pointed out as soon as we stated claim 13, showing claim 13 shows O'D&W, as desired. Thus, we have proved O'D&W (theorem 11).

#### **2.3.1** Beyond O'D&W:

To defeat all depth-2 circuits by considering DNF as well, instead of just CNF as theorem 11 did, we simply follow the same proof by switch between the following pairs: 1. 0/1 and 2.  $\wedge/\vee$ .

**Corollary 18.** Any DNF g' that 0.1-approximates n-variable CNFTRIBES must have a size of at least  $2^{\Omega(n/\log n)}$ .

*Proof.* Also analogous to what we have already shown for the CNF and DNFTRIBES equivalent above.

<sup>&</sup>lt;sup>1</sup>This equality is a trick specified in the paper by O'Donnell and Wimmer in 2007, titled "Approximation by DNF: Examples and Counterexamples", link; similar approaches in chapter 12 of [Juk12]

#### 2.3.2 Official Homework Problem:

Consider

$$f: \{0, 1\}^{2n=2w2^w} \to \{0, 1\}$$
$$f(a, b) = \mathsf{DNFTRIBES}(a) \lor \mathsf{CNFTRIBES}(b)$$

It is official homework problem to show that any depth-2 circuit that 0.01-approximates f(a, b) must at least have a size of  $2^{\Omega(n/\log n)}$ .

### 2.3.3 Project Topic:

Other applications of random projections.

## **3** New Unit: Lower Bounds for $\mathbb{F}_2$ -polynomials.

The following are the set-ups towards the sections (section 1.2 and section 1, respectively) on correlation bounds for polynomials over  $\mathbb{F}_2$  in [Vio09, Vio22].

**Definition 19** ( $\mathbb{F}_2$ ). Recall from abstract algebra that, for  $\mathbb{F}_p$ , when p is prime,  $\mathbb{F}_p \cong \mathbb{Z}_p$ . So,  $\mathbb{F}_2 \cong \mathbb{Z}_2 = \{[0], [1]\}, and it is a field. For example, <math>[0] \equiv 4 \pmod{2}; [1] \equiv 123 \pmod{2}$ .

**Definition 20** (Monomial over  $\mathbb{F}_2$ ). First of all, monomials are polynomials that only have a single term (e.g.,  $x_1x_3^2x_4$  is a monomial but  $x_1 + x_2$  is not). A monomial over  $\mathbb{F}_2$  is a monomial such that the coefficient of the monomial is an element in  $\mathbb{F}_2 \cong \mathbb{Z}_2$ (e.g.,  $x_1x_3^2x_4 \cong x_1x_3x_4 \in \mathbb{F}_2$  [we see here that powers greater than 1 can be erased for  $\mathbb{F}_2$  polynomials, for a reason specified in the second bullet point]). There are a few simplifications / definitions we can make about  $\mathbb{F}_2$  nomomials to see why they are special:

- $x_{i_1}x_{i_2}\ldots x_{i_k}$  is a deg-k monomial with distinct  $i_1,\ldots$  Note that AND  $(x_{i_1}, x_{i_2},\ldots, x_{i_k})$  has no negations.
- Never need to consider higher powers of  $x_i$ , because  $x_i \in \{0, 1\}$  and  $0^2 = 0, 1^1$ . By induction, this generalizes to a higher order finite n.
- All monomials over  $\mathbb{F}_2$  are multilinear.

**Definition 21** ( $\mathbb{F}_2$ -Polynomial: Sum of Monomials over  $\mathbb{F}_2$ ). Sum can be defined as:

$$a + b \equiv a \oplus b \equiv PAR(a, b).$$

Degree of a polynomial is the highest degree of any monomial in the sum.

#### REFERENCES

**Notation 22.** Note that there are  $2^n$  n-variable multi-linear monomials because each of the n variables can take either an exponent of 0 or 1. Then, there are  $2^{2^n}$  multi-linear polynomials, because the following map exists between polynomials and monomials:

$$f: \{0,1\}^n \to \{0,1\}$$

(see fact 23 for details why).

**Fact 23.** Every  $f: \{0,1\}^n \to \{0,1\}$  has a unique expression as an  $\mathbb{F}_2$ -poly.

*Proof.* This is an easy fact that can be proved by induction on n.

**Remark 24.** Our goal, next, is to find the degree l.b.'s. However,  $AND(x_1, \ldots, x_n) = x_1 \cdots x_n$  which already has degree n, so worst case l.b.'s for these polynomials are easy. Next time, we will show an average-case l.b.

Notation 25. DEG<sub>d</sub> = {all functions  $f : \{0,1\}^n \to \{0,1\}$  that have deg-d  $\mathbb{F}_2$ -polys}.

## 3.1 Correlation Bound

In general, correlation bounds are hard!

#### 3.1.1 Open question:

Prove some  $f: \{0,1\}^n \to \{0,1\}, f \in \mathsf{NP}$ , is  $\frac{1}{n}$ -hard for  $\mathsf{DEG}_{\log n}$  for some distribution  $\mathcal{D}$  over  $\{0,1\}^n$ .

#### 3.1.2 Next:

We will do 2 correlation bounds:

- 1) Degree  $\theta(\sqrt{n})$ , but correlation =  $\theta(1)$ .
- 2) Degree  $<< \log n$ , but tiny correlation.

## References

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