## COMS 6998: Unconditional Lower Bounds and

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## Last Time:

- Used Håstad's Switching Lemma (HSL) to get $2^{\Omega\left(n^{\frac{1}{d-1}}\right)}$ size lower bound (l.b.) for depth- $d$ circuits for PAR.
- Proof of "weak switching lemma."
- Proof of HSL (with a "key fact" left to prove today).


## Today:

- Finish proof of HSL by proving "key fact."
- Average-case l.b. for $\mathrm{AC}^{0}$ circuits for PAR (small extension of worst-case l.b. we did).
- Depth-2 average-case l.b. (O'Donnell and Wimmer): Any CNF that agrees with (some explicit function) on $90 \%$ of all inputs must have $2^{\Omega\left(\frac{n}{\log (n)}\right)}$ clauses.
- Start $\mathbb{F}_{2}$-polynomials: Definitions and basic properties that lead up to showing an average-case l.b. for them (next time).


## 1 Håstad's Switching Lemma (Continued)

Many of these results can be found in chapter 12 of [Juk12].
Proof. [Of HSL] Last time, we had a key fact left unproven for HSL, which was stated as such:
Lemma 1. Any restriction $\sigma$ is $\operatorname{Angel}(\rho)$ for $\leq(4 w)^{t}$ many bad $\rho$ 's.
[Intuition: Recall from last time that $\operatorname{Angel}(\rho)$ is similar to $\operatorname{Devil}(\rho)$ in that it fixes $t$ additional variables beyond $\rho$, but it is different from $\operatorname{Devil}(\rho)$ in that they necessarily disagree in each block, such that $\operatorname{Angel}(\rho)$ path is able to reach the 1-leaf of the block $V_{n}$ with one query instead. This poses us a natural question that we are showing with this lemma here, because the hope is that $\operatorname{Angel}(\rho)$ is much more likely under $R_{p}$ than $\rho$, because it has more fixed bits, and fixed bits are more likely than $*$ 's.]
Proof of Lemma 1. Suppose we know $F$ to begin with, though it is not explicit.
Let $\sigma=\operatorname{Angel}(\rho)$ for some bad $\rho$. The idea is to decode $\rho$ from " $\underline{\sigma}$ " and "little extra information" (where the bound on the possibilities for the "little extra information" gives a bound on the number of of possible $\rho$ 's such that $\sigma=\operatorname{Angel}(\rho))$.

Now, we use the following auxiliary information: 2 rows of $t$ numbers in each row and a little extra information (see Example 2):

- First row: An element of $[w]^{t} \Longrightarrow$ gives $w^{t}$ possibilities.
- Second row: An element of $\{0,1\}^{t} \Longrightarrow$ gives $2^{t}$ possibilities.
- Extra info: In each of the $t-1$ possibilities between 2 elements of the first row, we can put ";" or not $\Longrightarrow$ note that this can be represented by " 0 " and " 1 " for on and off in between each bit of the first row, and so there are $t-1$ such positions between $t$ bits. That gives us $2^{t-1}$ possibilities.

This means a total of $\leq(4 w)^{t}$ possible combinations. Since this is the upper bound for the total possible number of auxiliary information. Once we show we can decode $\rho$ from $\sigma$ and auxiliary information, we have the key lemma.

Example 2.

|  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  |

### 1.1 How to decode $\rho$ from [" $\sigma$ " + "auxiliary info"?

Intuition: The problem is we don't know which $t$ fixed bits of $\sigma$ are from $\operatorname{Angel}(\rho)$ (in contrast, these bits are already fixed in $\rho$ ). If we knew, we could replace them by $*$ in $\sigma$ and get $\rho$.]

1 HÅSTAD'S SWITCHING LEMMA (CONTINUED)

We'll identify those variables by first finding $V_{1}$, then, $V_{2}, \ldots$. Here's how: Recall $\operatorname{CDT}(F, \rho)$ has $V_{1}=$ surviving variables in first of $F$ that's not killed to 0 by $\rho$.

Imagine restricting $F$ by just $\sigma$. The original $\sigma$ adds to the existence of $\rho$, so any term killed to 0 by $\rho$ is killed to 0 by $\sigma$. But, the first term in $F$ that is not killed by $\rho$ is satisfied by $\sigma=\operatorname{Angel}(\rho)$ ! So, the first term in $F$ that's satisfiable by $\rho$ is where $V_{1}$ came from.

Example 3. Suppose we start with something like:

$$
F=\left(x_{1} \wedge \bar{x}_{2}\right) \vee\left(\bar{x}_{1} \wedge \bar{x}_{2} \wedge x_{8}\right) \vee\left(\bar{x}_{2} \vee x_{4} \vee x_{5} \vee \bar{x}_{6}\right) \vee \ldots
$$

Also, let's say that $\sigma$ is fixed to be

$$
\begin{array}{rlllllllll} 
& x_{1} & x_{2} & x_{3} & x_{4} & x_{5} & x_{6} & x_{7} & x_{8} \\
\sigma=0 & 0 & 1 & 1 & 1 & 0 & 1 & 1,
\end{array}
$$

then

$$
F=(\underbrace{x_{1} \wedge \overline{x_{2}}}_{x_{1}=0, \text { killed }}) \vee\left(\bar{x}_{1} \wedge \bar{x}_{2} \wedge x_{8}\right) \vee\left(\bar{x}_{2} \vee x_{4} \vee x_{5} \vee \bar{x}_{6}\right) \vee \ldots
$$

Notice that $\left(\bar{x}_{1} \wedge \bar{x}_{2} \wedge x_{8}\right)$ is the first term that evaluates to true with the $\sigma$ assignment, so it is where $V_{1}$ came from.

We use the following steps to inductively find variables in $V_{i}$ :
Step 1: To decide which variables in the term we found above are $V_{1}$ ones: We read the elements of the first row to get info about which of the $w$ variables in that term are "*" in $\rho$. Use ";" to mark the last position of this term. We have, thus, found $V_{1}$ !

Step 2: To find $V_{2}$ : Use the second row of the auxiliary info to

- learn how to traverse $V_{1}$-block of CDT to follow $\operatorname{Devil}(\rho)$ path (this is because the second row tells us how $\operatorname{Devil}(\rho)$ fixes the $V_{1}$ variables).
- Map $\sigma \sim \sigma^{\prime}$ by replacing $V_{1}$ variables with those bits and continue.
- Now, the first term in $F$ that is satisfiable by $\sigma^{\prime}$ must be where $V_{2}$ came from.

Then, we find variables that are $V_{2}$ ones analogous to how Step 1 does for $V_{1}$.

Step 3: We can find $V_{i}$ inductively following the process described for $V_{2}$ based on the previously found variables and auxiliary information. So, like this, we can continue and end up recovering $\rho$, obtained by replacing all $V_{1}, V_{2}, \ldots$ variables in $\sigma$ with *'s.
This marks the end of the HSL proof (see example 4 for how this decoding works).
Example 4. Recall the example from last time about Angel and Devil, for which the set-up is:

- Consider a "canonical decision tree" for $F \upharpoonright \rho$, denoted $\operatorname{CDT}(F, \rho)$. Furthermore, $\operatorname{CDT}(F, \rho)$ is to obliviously query all surviving variables (meaning to fix them to 0 or 1) in each block unkilled by $\rho$, and recurse through all such unkilled blocks. In the example illustrated below, we have $\left\{x_{2}\right\}$ in $V_{1}$ block unkilled, $\left\{x_{4}, x_{5}\right\}$ in $V_{2}$ block unkilled, .... Then, Devil( $\rho$ ) and Angel( $\rho$ ) are specific ways to fix surviving variables in unkilled blocks.
- The green path segments denote the Devil( $(\rho)$; the red path segments denote the Angel( $\rho$ ). In particular, we may have the following assignments (for $x_{1}$ to $x_{5}$ only, but it is easy to infer what $x_{7}$ and $x_{9}$ could be):

$$
\begin{array}{rcccc}
x_{1} & x_{2} & x_{3} & x_{4} & x_{5} \\
\rho: 1 & * & 0 & * & * \\
\operatorname{Devil}(\rho): 1 & 0 & 0 & 0 & 0 \\
\text { Angel }(\rho): 1 & 1 & 0 & 0 & 1
\end{array}
$$

[Notice how Devil( $\rho$ ) and Angel( $\rho$ ) fix additional bits to those that were initially assigned " $*$ " by $\rho$ (Devil( $\rho$ ) and Angel $(\rho)$ always assign different values if it is the bit right before the end of a block).]


### 1.2 Project Topic

Variants / extensions of HSL:

- Proof complexity.
- Derandomization versions.
- ...


## 2 Average-Case L.B. for AC ${ }^{0}$

Many of these results can be found in chapter 12 of [Juk12].

### 2.1 Recall Worst-Case Lower Bound

Reusing previous argument, we can see that all our "w.p. $\geq \frac{1}{2}$ " are very strong. Let's set $M$, the size of the circuits against which we'll give an average-case l.b., to be

$$
M:=2^{c n^{1 / d}}
$$

where $c=c_{\alpha}=\frac{1}{100^{d}}$. Then, overall, for $M$, we can verify each failure probability is at most $\frac{1}{M^{5}}$. So, $O(d)$ many: overall, w.p. $1-\frac{O(d)}{M}$, the circuit $C_{d-2}$ [the thing after random restrictions] is depth- 2 circuit with bottom fanin $\leq 10 \log M$, over $n_{d-2} \geq \frac{M}{200(200 \log M)^{d-2}}$ variables.

Now, do one more round of random restriction. With $p=\frac{1}{100 \log M}$,

$$
\operatorname{Pr}\left[C_{d-2} \text { doesn't collapse to depth- }(10 \log M) \mathrm{DT}\right] \leq \frac{1}{M^{5}}
$$

so, by Chernoff Bound, just like before,

$$
\operatorname{Pr}\left[\text { fewer than } \frac{n_{d-2}}{200 \log M} \text { variables survive }\right] \leq \exp \left(-\frac{n}{c \cdot(\log M)^{d-2}}\right) \leq \frac{1}{M^{5}}
$$

So, overall, w.p. at least $1-\frac{O(d)}{M^{5}}$, we get $(10 \log M)$-depth DT, and at least $\frac{n}{c \cdot(\log M)^{d-1}}$ variables survive.

### 2.2 Moving on to Average-Case Lower Bound

Fact 5. Any DT of depth-d has correlation 0, under $\mathcal{U}$, with any PAR on more than d variables. See example 6
Example 6. Consider $\operatorname{PAR}\left(x_{1}, x_{3}, x_{5}, x_{7}, x_{8}\right)$. Below is an example where half of all the assignments that reach this leaf satisfy the PAR and half don't. For this particular example, we see all variables other than $x_{8}$ are on the path. Given this path, we can see that if $x_{8}=0$, then PAR isn't satisfied; if $x_{8}=1$, then PAR is satisfied.


Reinterpreting the key fact gives the following theorem:
Theorem 7. Let $C$ be a circuit of size $M=2^{c n^{1 / d}}$, depth d. Then, $\mathrm{PAR}_{n}$ is $\epsilon$-hard for $C$, where $\epsilon \leq 2^{-c n^{1 / d}}$ (which implies the average-case l.b. for PAR).

### 2.2.1 Project Topic:

Refinements of the average-case l.b.'s for $\mathrm{AC}^{0}$, by either making the circuit size bigger or making the $\epsilon$ bound smaller.
Goal 8. In fact, it would be awesome to show that, for some explicit $f$, we can make $M$ bigger while making $\epsilon$ smaller. But we don't know how to achieve both at the same time.

Remark 9. Theorem 7 is a compromise to that hope as described in goal 8, as in they made $M$ bigger but also made $\epsilon$ bigger. Furthermore, what they did was restricted to depth-2 circuits, not $\mathrm{PAR}_{n}$. Particularly, they achieved: $M=2^{n^{1 / d}}=2^{\sqrt{n}}$ and $\epsilon=\frac{1}{2^{\sqrt{n}}}$ when $d=2$.

### 2.3 O'Donnell \& Wimmer Statement and Proof [RO07]

Definition 10. Let $F^{*}:=$ DNFTRIBES $f_{n}$ on $n=w 2^{w}$ variables be defined as

$$
F^{*}\left(x_{1}, \ldots, x_{n}\right)=\underbrace{\left(x_{1} \wedge \ldots \wedge x_{w}\right) \vee\left(x_{w+1} \wedge \ldots \wedge x_{2 w}\right) \vee \ldots}_{\frac{n}{\log n}=\frac{n}{w}=2^{w} \text { terms, } w \text { variables per term }},
$$

where we let $w \approx \log n-\log \log n, n=w 2^{w}$.
Theorem 11. [O'DEW] Any CNF g that agrees with $F^{*}$ on $90 \%$ of all $2^{n}$ inputs must have $2^{\Omega\left(\frac{n}{\log n}\right)}$ clauses. Then, we can extend to get average-case l.b. for all depth-2 circuits.

Proof. $\left[O^{\prime} D \& W\right]$ The first step is to show a fact:
Fact 12. If $g$ is $s$-clause CNF, there's a CNF $g^{\prime}$ which is $\epsilon$-close to $g$, i.e.

$$
\operatorname{Pr}_{\mathbf{x} \in \mathcal{U}}\left[g(\mathbf{x})=g^{\prime}(\mathbf{x})\right] \geq 1-\epsilon
$$

s.t. $g^{\prime}$ has width of every clause being at most $\log \left(\frac{s}{\epsilon}\right)$.

Proof. [Of fact 12] Any clause of length $t$ falsifies w.p. $\frac{1}{2^{t}}$, so, removing a clause of width greater than $\log \left(\frac{s}{\epsilon}\right)$ changes $g$ on $\leq \frac{1}{2^{\log \left(\frac{s}{\epsilon}\right)}}=\frac{\epsilon}{s}$ fraction of inputs. By union bound over all such clauses removed (at most $s$ ), we have the desired upper bound.

So, in order to show $O^{\prime} D \& W$, it is sufficient to argue that:
Claim 13. Any CNF $g^{\prime}$ that 0.2 -approximates $F^{*}$ must have width $\geq \frac{1}{4} \cdot 2^{w}=\Omega\left(\frac{n}{\log n}\right)$.
Proof. [Claim $13 \Longrightarrow O^{\prime} D \& W$ ] Suppose $g$ 0.1-approximates $F^{*}$ and has $s$ clauses. Then, fact $12 \Longrightarrow \exists$ a width-log(10s) CNF $g^{\prime}$ s.t. it 0.1-approximates $g$. So, $g^{\prime}$ 0.2-approximates $F^{*}$, so claim 13 say $\log (10 s) \geq \Omega\left(\frac{n}{\log n}\right)$.

Thus, the goal of the proof for $O^{\prime} D \& W$ (theorem 11) is to show claim 13. To prove that, first observe:

Observation 14. Random restrictions / switching lemma won't help

Observation 14 is because $F^{*}$, like $g^{\prime}$, is a depth-2 circuit, so random restrictions will simplify $F^{*}$ like $g^{\prime}$. However, $F^{*}$ is a width- $w$ DNF and $g^{\prime}$ is a width- $\left(\frac{1}{4} 2^{w}\right)$ CNF, so simplifications won't work because $F^{*}$ will simplify at least as much as $g^{\prime}$ (and $F^{*}$ is much smaller than $g^{\prime}$ ). Instead, we need a way to "keep $F^{*}$ complex" while "making $g^{\prime}$ simple." This is why we introduce the method of random projections.

Definition 15 (Random Projections). A projection $\boldsymbol{\rho}$ is a mapping $\left\{x_{1}, \ldots, x_{n}\right\} \rightarrow$ $\left\{0,1, \mathbf{y}_{\mathbf{1}}, \ldots, \mathbf{y}_{\mathrm{t}}\right\}$.

The purpose of a random projection, $\rho$, is to both fix variables and identify groups of variables.

Example 16. One example for $f \upharpoonright \rho$ is:

$$
\begin{aligned}
& \boldsymbol{\rho}\left(x_{1}\right)=1 \\
& \boldsymbol{\rho}\left(x_{2}\right)=0 \\
& \boldsymbol{\rho}\left(x_{3}\right)=\boldsymbol{\rho}\left(x_{4}\right)=\mathbf{y}_{\mathbf{1}} \\
& \boldsymbol{\rho}\left(x_{5}\right)=\boldsymbol{\rho}\left(x_{6}\right)=\mathbf{y}_{\mathbf{2}}
\end{aligned}
$$

$$
\vdots
$$

The point of random projections is that they let us "carefully preserve structures" in target function $F^{*}$ so it "survives."

Now, the key to prove claim 13, is to draw uniform $n$-bit string, using random projections:
Lemma 17. Let $\boldsymbol{\rho} \sim\left\{\mathbf{y}_{\frac{1}{2}}, 1_{\frac{1}{2}}\right\}^{w} \backslash\left\{1^{w}\right\}$ and $\mathbf{y} \sim\left\{0_{1-\frac{1}{2^{w}}}, 1_{\frac{1}{2^{w}}}\right\}$ (the subscript denotes the probability that a specific position of a string is assigned a character). Doing $\boldsymbol{\rho}$ then $\mathbf{y}$ gives uniform string in $\{0,1\}^{w}$.
Proof. [Of lemma 17] If $z=1^{w}$, then we will need $\boldsymbol{\rho}$ to be all 1 or $y$ to be 1. The former has a probability of $\frac{1}{2^{w}}$ and the latter has a probability of $\frac{1}{2^{w}}$, so the total probability is just $\frac{1}{2^{w}}$.

Otherwise, $z \neq 1^{w}$, then,

$$
\operatorname{Pr}[\text { get } z]=\underbrace{\frac{1}{2^{w}-1}}_{\text {get } \boldsymbol{\rho} \text { compatible w/z}} \cdot(\underbrace{1-\frac{1}{2^{w}}}_{\text {get } \mathbf{y}=0})=\frac{1}{2^{w}} \text {. }
$$

Finally, we prove the one missing piece, which is claim 13. We can do a global version of the trick described in lemma 17. Firstly, we have $\underbrace{\text { independent copies of } \rho}_{(!)}$for each of the $2^{w}$ terms of $F^{*}$. Recall $F^{*}$ from definition 10. In draw of $\boldsymbol{\rho}_{1}, \boldsymbol{\rho}_{2}, \ldots, \boldsymbol{\rho}_{2^{w}}$, for each $i \in\left[2^{w}\right]$, all surviving variables under $\boldsymbol{\rho}_{i} \leadsto \mathbf{y}_{\mathbf{i}}$.

- $F^{*}$ "stays complex and balanced under $\boldsymbol{\rho}$ ": w.p. 1 over $\boldsymbol{\rho}=\left(\boldsymbol{\rho}_{1}, \boldsymbol{\rho}_{2}, \ldots, \boldsymbol{\rho}_{2^{w}}\right)$,

$$
F^{*} \upharpoonright \boldsymbol{\rho}=\mathbf{y}_{\mathbf{1}} \vee \mathbf{y}_{\mathbf{2}} \vee \cdots \vee \mathbf{y}_{\mathbf{2}^{\mathbf{w}}}
$$

where $\mathbf{y}_{\mathbf{i}} \sim\left\{0_{1-\frac{1}{2^{w}}}, 1_{\frac{1}{2^{w}}}\right\}$, so

$$
\underset{\mathbf{y}_{\mathbf{1}}, \ldots, \mathbf{y}_{\mathbf{2}} \mathbf{w}}{\mathbb{E}}\left[F^{*} \upharpoonright \boldsymbol{\rho}(\mathbf{y})\right]=1-\left(1-\frac{1}{2^{w}}\right)^{2^{w}} \approx 1-\frac{1}{e} \approx 0.63
$$

- Any non-super-wide CNF is very biased (either towards 0 or towards 1 ) after $\boldsymbol{\rho}$ : Fix any CNF $g^{\prime}$ of width $\leq \frac{1}{4} 2^{w}$, consider any fixed outcome $g^{\prime} \upharpoonright \rho$, a CNF over $\mathbf{y}_{\mathbf{1}}, \ldots, \mathbf{y}_{\mathbf{2}^{\mathbf{w}}}$ : there are two possibilities:

1) Every clause of $g^{\prime} \upharpoonright \boldsymbol{\rho}$ has at least 1 negated variable, so

$$
g^{\prime} \upharpoonright \boldsymbol{\rho}=\left(\overline{\mathbf{y}}_{\mathbf{1}} \vee \ldots\right) \wedge\left(\overline{\mathbf{y}}_{\mathbf{7}} \vee \ldots\right) \wedge \ldots,
$$

which means that

$$
g^{\prime} \upharpoonright \rho\left(0^{2^{w}}\right)=1
$$

Each $\mathbf{y}_{\mathbf{i}}$ is 0 w.p. $1-\frac{1}{2^{w}}$, but $F^{*} \upharpoonright \rho\left(0^{2^{w}}\right)=0$. So,

$$
\operatorname{Pr}\left[y=0^{2^{w}}\right]=\left(1-\frac{1}{2^{w}}\right)^{2^{w}} \approx 0.37
$$

So, in this case,

$$
\begin{equation*}
g^{\prime} \upharpoonright \boldsymbol{\rho} \text { and } F^{*} \upharpoonright \boldsymbol{\rho} \text { disagree on } 37 \% \text { of } \mathbf{y} \text {-outcomes. } \tag{1}
\end{equation*}
$$

2) Not every clause of $g^{\prime} \upharpoonright \boldsymbol{\rho}$ has at least 1 negated variable, i.e. $g^{\prime} \upharpoonright \boldsymbol{\rho}$ contains a clause

$$
C=\mathbf{y}_{\mathbf{1}} \vee \mathbf{y}_{\mathbf{2}} \vee \cdots \vee \mathbf{y}_{\mathbf{k}} \text { where } k \leq \frac{1}{4} 2^{w} \text { variables. }
$$

Then,

$$
\underset{\mathbf{y}}{\operatorname{Pr}}\left[g^{\prime} \upharpoonright \boldsymbol{\rho}(\mathbf{y})=1\right] \leq \underset{\mathbf{y}}{\operatorname{Pr}}[C(\mathbf{y})=1] \stackrel{\text { Union Bound }}{\leq} \frac{1}{4} \cdot 2^{w} \cdot \frac{1}{2^{w}}=\frac{1}{4} .
$$

But, we already concluded above that

$$
\underset{\mathbf{y}}{\operatorname{Pr}}\left[F^{*} \upharpoonright \boldsymbol{\rho}(\mathbf{y})=1\right]=\underset{\mathbf{y}}{\operatorname{Pr}}\left[\mathbf{y}_{\mathbf{1}} \vee \cdots \vee \mathbf{y}_{\mathbf{2}^{\mathbf{w}}}=1\right] \approx 0.63
$$

So, in this case

$$
\begin{equation*}
g^{\prime} \upharpoonright \rho \text { and } F^{*} \upharpoonright \rho \text { disagree on } \approx\left(0.63-\frac{1}{4}\right)=0.38 \text { of } \mathbf{y} \text {-outcomes. } \tag{2}
\end{equation*}
$$

In either case, we have

$$
\operatorname{Pr}_{\mathbf{x} \sim \mathcal{U}\left(\{0,1\}^{n}\right)}\left[F^{*}(\mathbf{x}) \neq g^{\prime}(\mathbf{x})\right]=\underset{\rho \sim(!)^{2}}{\mathbb{E}}\left[\operatorname{Pr}\left[F^{*} \upharpoonright \boldsymbol{\rho}(\mathbf{y}) \neq g^{\prime} \upharpoonright \boldsymbol{\rho}(\mathbf{y})\right]\right]^{1}
$$

$$
\geq 0.2, \text { based on (1) and (2) we have shown for two cases of } \boldsymbol{\rho}
$$

And, thus, we have shown claim 13. Then, finally, as we have pointed out as soon as we stated claim 13, showing claim 13 shows $O^{\prime} D \& W$, as desired. Thus, we have proved $O^{\prime} D \& W$ (theorem 11).

### 2.3.1 Beyond $O^{\prime} D \& W$ :

To defeat all depth-2 circuits by considering DNF as well, instead of just CNF as theorem 11 did, we simply follow the same proof by switch between the following pairs: $1.0 / 1$ and $2 . \wedge / \vee$.

Corollary 18. Any DNF $g^{\prime}$ that 0.1-approximates $n$-variable CNFTRIBES must have a size of at least $2^{\Omega(n / \log n)}$.

Proof. Also analogous to what we have already shown for the CNF and DNFTRIBES equivalent above.

[^0]
### 2.3.2 Official Homework Problem:

Consider

$$
\begin{aligned}
& f:\{0,1\}^{2 n=2 w 2^{w}} \rightarrow\{0,1\} \\
& f(a, b)=\operatorname{DNFTRIBES}(a) \vee \operatorname{CNFTRIBES}(b)
\end{aligned}
$$

It is official homework problem to show that any depth- 2 circuit that 0.01-approximates $f(a, b)$ must at least have a size of $2^{\Omega(n / \log n)}$.

### 2.3.3 Project Topic:

Other applications of random projections.

## 3 New Unit: Lower Bounds for $\mathbb{F}_{2}$-polynomials.

The following are the set-ups towards the sections (section 1.2 and section 1, respectively) on correlation bounds for polynomials over $\mathbb{F}_{2}$ in [Vio09, Vio22].

Definition $19\left(\mathbb{F}_{2}\right)$. Recall from abstract algebra that, for $\mathbb{F}_{p}$, when $p$ is prime, $\mathbb{F}_{p} \cong$ $\mathbb{Z}_{p}$. So, $\mathbb{F}_{2} \cong \mathbb{Z}_{2}=\{[0],[1]\}$, and it is a field. For example, $[0] \equiv 4(\bmod 2) ;[1] \equiv$ 123 (mod 2).
Definition 20 (Monomial over $\mathbb{F}_{2}$ ). First of all, monomials are polynomials that only have a single term (e.g., $x_{1} x_{3}^{2} x_{4}$ is a monomial but $x_{1}+x_{2}$ is not). A monomial over $\mathbb{F}_{2}$ is a monomial such that the coefficient of the monomial is an element in $\mathbb{F}_{2} \cong \mathbb{Z}_{2}$ (e.g., $x_{1} x_{3}^{2} x_{4} \cong x_{1} x_{3} x_{4} \in \mathbb{F}_{2}$ [we see here that powers greater than 1 can be erased for $\mathbb{F}_{2}$ polynomials, for a reason specified in the second bullet point]). There are a few simplifications / definitions we can make about $\mathbb{F}_{2}$ nomomials to see why they are special:

- $x_{i_{1}} x_{i_{2}} \ldots x_{i_{k}}$ is a deg-k monomial with distinct $i_{1}, \ldots$ Note that and $\left(x_{i_{1}}, x_{i_{2}}, \ldots, x_{i_{k}}\right)$ has no negations.
- Never need to consider higher powers of $x_{i}$, because $x_{i} \in\{0,1\}$ and $0^{2}=0,1^{1}$. By induction, this generalizes to a higher order finite $n$.
- All monomials over $\mathbb{F}_{2}$ are multilinear.

Definition $21\left(\mathbb{F}_{2}\right.$-Polynomial: Sum of Monomials over $\left.\mathbb{F}_{2}\right)$. Sum can be defined as:

$$
a+b \equiv a \oplus b \equiv \operatorname{PAR}(a, b)
$$

Degree of a polynomial is the highest degree of any monomial in the sum.

Notation 22. Note that there are $2^{n} n$-variable multi-linear monomials because each of the $n$ variables can take either an exponent of 0 or 1 . Then, there are $2^{2^{n}}$ multi-linear polynomials, because the following map exists between polynomials and monomials:

$$
f:\{0,1\}^{n} \rightarrow\{0,1\}
$$

(see fact 23 for details why).
Fact 23. Every $f:\left\{0, \stackrel{\mathbb{F}_{2}^{n}}{1}\right\}^{n} \rightarrow\{0,1\}$ has a unique expression as an $\mathbb{F}_{2}$-poly.
Proof. This is an easy fact that can be proved by induction on $n$.
Remark 24. Our goal, next, is to find the degree l.b.'s. However, $\operatorname{AND}\left(x_{1}, \ldots, x_{n}\right)=$ $x_{1} \cdots x_{n}$ which already has degree $n$, so worst case l.b.'s for these polynomials are easy. Next time, we will show an average-case l.b.

Notation 25. $\mathrm{DEG}_{d}=\left\{\right.$ all functions $f:\{0,1\}^{n} \rightarrow\{0,1\}$ that have deg-d $\mathbb{F}_{2}$-polys $\}$.

### 3.1 Correlation Bound

In general, correlation bounds are hard!

### 3.1.1 Open question:

Prove some $f:\{0,1\}^{n} \rightarrow\{0,1\}, f \in \mathrm{NP}$, is $\frac{1}{n}$-hard for $\mathrm{DEG}_{\log n}$ for some distribution $\mathcal{D}$ over $\{0,1\}^{n}$.

### 3.1.2 Next:

We will do 2 correlation bounds:

1) Degree $\theta(\sqrt{n})$, but correlation $=\theta(1)$.
2) Degree $\ll \log n$, but tiny correlation.

## References

[Juk12] Stasys Jukna. Boolean Function Complexity. Springer, New York, NY, 2012. $1,2,1$
[RO07] Karl Wimmer Ryan O'Donnell. https://www.cs.cmu.edu/ odonnell/papers/approx-by-dnf.pdf. 4596:195-206, 2007. 2.3
[Vio09] Emanuele Viola. On the Power of Small-Depth Computation. Now Publishers Inc, New York, 2009. 3
[Vio22] Emanuele Viola. Correlation bounds against polynomials. 2022. 3


[^0]:    ${ }^{1}$ This equality is a trick specified in the paper by O'Donnell and Wimmer in 2007, titled "Approximation by DNF: Examples and Counterexamples", link; similar approaches in chapter 12 of [Juk12]

