## For the following two questions, write TRUE or FALSE below the question. PLEASE GIVE JUSTIFICATION FOR YOUR ANSWERS: AT MOST 50% CREDIT WILL BE GIVEN FOR ANSWERS WITH NO JUS-TIFICATION.

For all questions in this section we assume as usual that a language model consists of a vocabulary  $\mathcal{V}$ , and a function  $p(x_1 \dots x_n)$  such that for all sentences  $x_1 \dots x_n \in \mathcal{V}^{\dagger}$ ,  $p(x_1 \dots x_n) \geq 0$ , and in addition  $\sum_{x_1 \dots x_n \in \mathcal{V}^{\dagger}} p(x_1 \dots x_n) = 1$ . Here  $\mathcal{V}^{\dagger}$  is the set of all sequences  $x_1 \dots x_n$  such that  $n \geq 1$ ,  $x_i \in \mathcal{V}$  for  $i = 1 \dots (n-1)$ , and  $x_n = \text{STOP}$ .

We assume that we have a bigram log-linear language model, with

$$p(x_1 \dots x_n) = \prod_{i=1}^n p(x_i | x_{i-1}; \theta)$$

where the bigram probabilities  $p(x_i|x_{i-1};\theta)$  are defined using a log-linear model. Specifically, the model makes use of a feature vector definition f(x, y), that maps each bigram (x, y) to a feature vector  $f(x, y) \in \mathbb{R}^d$ , and a parameter vector  $\theta \in \mathbb{R}^d$ , with

$$p(y|x;\theta) = \frac{\exp\left(\theta \cdot f(x,y)\right)}{\sum_{y' \in \mathcal{V} \cup \{\text{STOP}\}} \exp\left(\theta \cdot f(x,y')\right)}$$

Question 6 (4 points) Given a training corpus consisting of bigrams  $(x^{(j)}, y^{(j)})$  for  $j = 1 \dots n$ , the parameters are chosen to be

$$\theta^* = \arg\max L(\theta)$$

where

$$L(\theta) = \sum_{j=1}^{n} \log p(y^{(j)} | x^{(j)}; \theta) - \frac{\lambda}{2} \sum_{k=1}^{d} (\theta_k)^2$$

Here  $\lambda > 0$  is a positive constant.

True or false? For any test corpus such that every word in the test corpus is in the set  $\mathcal{V}$ , the perplexity under the parameters  $\theta^*$  is less than  $\infty$ .

**Question 7** (4 points) True or false? For any test corpus such that every word in the test corpus is in the set  $\mathcal{V}$ , there are parameters  $\theta$  such that the perplexity on the test corpus is N + 1 where  $N = |\mathcal{V}|$ .

Question 8 (10 points) If we again define  $N = |\mathcal{V}|$ , show that it is possible to define a log-linear language model with a single feature (i.e., d = 1) such that

$$p(y|x;\theta) = 0.8$$
 if  $x = y$ 

and

$$p(y|x;\theta) = \frac{0.2}{N}$$
 if  $x \neq y$ 

You should write down your definition for the single feature  $f_1(x, y)$ , and show the value for the parameter  $\theta_1$  that gives the above distribution.