Word Embeddings in Feedforward Networks; Tagging and Dependency Parsing using Feedforward Networks

Michael Collins, Columbia University

Overview

- Introduction
- Multi-layer feedforward networks
- Representing words as vectors ("word embeddings")
- The dependency parsing problem
- Dependency parsing using a shift-reduce neural-network model

Multi-Layer Feedforward Networks

- An integer d specifying the input dimension. A set \mathcal{Y} of output labels with $|\mathcal{Y}| = K$.
- An integer J specifying the number of hidden layers in the network.
- An integer m_j for $j \in \{1 \dots J\}$ specifying the number of hidden units in the *j*'th layer.
- A matrix $W^1 \in \mathbb{R}^{m_1 \times d}$ and a vector $b^1 \in \mathbb{R}^{m_1}$ associated with the first layer.
- ▶ For each $j \in \{2 \dots J\}$, a matrix $W^j \in \mathbb{R}^{m_j \times m_{j-1}}$ and a vector $b^j \in \mathbb{R}^{m_j}$ associated with the j'th layer.
- ▶ For each $j \in \{1 \dots J\}$, a transfer function $g^j : \mathbb{R}^{m_j} \to \mathbb{R}^{m_j}$ associated with the j'th layer.
- A matrix $V \in \mathbb{R}^{K \times m_J}$ and a vector $\gamma \in \mathbb{R}^K$ specifying the parameters in the output layer.

Multi-Layer Feedforward Networks (continued)

Calculate output of first layer:

$$z^{1} \in \mathbb{R}^{m_{1}} = W^{1}x^{i} + b^{1} h^{1} \in \mathbb{R}^{m_{1}} = g^{1}(z^{1})$$

► Calculate outputs of layers 2...J: For j = 2...J:

$$\begin{aligned} z^j \in \mathbb{R}^{m_j} &= W^j h^{j-1} + b^j \\ h^j \in \mathbb{R}^{m_j} &= g^j(z^j) \end{aligned}$$

Calculate output value:

$$l \in \mathbb{R}^{K} = Vh^{J} + b^{J}$$
$$q \in \mathbb{R}^{K} = \mathsf{LS}(l)$$
$$o \in \mathbb{R} = -\log q_{y^{i}}$$

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An Example: Part-of-Speech Tagging

Hispaniola/NNP quickly/RB became/VB an/DT important/JJ base/?? from which Spain expanded its empire into the rest of the Western Hemisphere .

• There are many possible tags in the position ?? {NN, NNS, Vt, Vi, IN, DT, ...}

• The task: model the distribution $p(t_j|t_1, \ldots, t_{j-1}, w_1 \ldots w_n)$ where t_j is the j'th tag in the sequence, w_j is the j'th word

• The input to the neural network will be $\langle t_1 \dots t_{j-1}, w_1 \dots w_n, j \rangle$

One-Hot Encodings of Words, Tags etc.

- ► A dictionary D with size s(D) maps each word w in the vocabulary to an integer Index(w, D) in the range 1...s(D).
 - $\begin{aligned} \mathsf{Index}(\textit{the}, D) &= 1\\ \mathsf{Index}(\textit{dog}, D) &= 2\\ \mathsf{Index}(\textit{cat}, D) &= 3\\ \mathsf{Index}(\textit{saw}, D) &= 4 \end{aligned}$

. . .

▶ For any word w, dictionary D, Onehot(w, D) maps a word w to a "one-hot vector" $u = \text{Onehot}(w, D) \in \mathbb{R}^{s(D)}$. We have

$$u_j = 1$$
 for $j = \text{Index}(w, D)$
 $u_j = 0$ otherwise

One-Hot Encodings of Words, Tags etc. (continued)

► A dictionary D with size s(D) maps each word w in the vocabulary to an integer in the range 1...s(D).

$$\begin{aligned} \mathsf{Index}(\textit{the}, D) &= 1\\ \mathsf{Index}(\textit{dog}, D) &= 2\\ \mathsf{Index}(\textit{cat}, D) &= 3 \end{aligned}$$

. . .

. . .

The Concatenation Operation

• Given column vectors $v^i \in \mathbb{R}^{d_i}$ for $i = 1 \dots n$,

$$z \in \mathbb{R}^d = \mathsf{Concat}(v^1, v^2, \dots v^n)$$

where $d = \sum_{i=1}^{n} d_i$

- \blacktriangleright z is a vector formed by concatenating the vectors $v^1 \dots v^n$
- z is a column vector of dimension $\sum_i d_i$

The Concatenation Operation (continued)

• Given vectors
$$v^i \in \mathbb{R}^{d_i}$$
 for $i = 1 \dots n$,

$$z \in \mathbb{R}^d = \mathsf{Concat}(v^1, v^2, \dots v^n)$$

where $d = \sum_{i=1}^{n} d_i$ • The Jacobians:

if $j = k + \sum_{i' < i} d_{i'}$,

have entries

$$\frac{\partial z}{\partial v^i} \in \mathbb{R}^{d \times d_i}$$
$$\left[\frac{\partial z}{\partial v^i}\right]_{j,k} = 1$$
$$\left[\frac{\partial z}{\partial v^i}\right]_{i,k} = 0$$

otherwise

A Single-Layer Computational Network for Tagging Inputs: A training example $x^i = \langle t_1 \dots t_{j-1}, w_1 \dots w_n, j \rangle$, $y^i \in \mathcal{Y}$. A word dictionary D with size s(D), a tag dictionary T with size s(T). Parameters of a single-layer feedforward network. Computational Graph:

The Number of Parameters

. . .

$$t'_{-2} \in \mathbb{R}^{s(T)} = \text{Onehot}(t_{j-2}, T)$$

$$\cdots$$

$$w'_{+1} \in \mathbb{R}^{s(D)} = \text{Onehot}(w_{j+1}, D)$$

$$u = \text{Concat}(t'_{-2}, t'_{-1}, w'_{-1}, w'_{0}, w'_{+1})$$

$$z \in \mathbb{R}^{m} = Wu + b$$

▶ An example: s(T) = 50 (50 tags), s(D) = 10,000 (10,000 words), m = 1000 (1000 neurons in the single layer)

Then

$$W \in \mathbb{R}^{m \times (2s(T) + 3s(D))}$$

and $m=1000,\ 2s(T)+3s(D)=30,100,$ so there are $m\times(2s(T)+3s(D))=30,100,000$ parameters in the matrix W

An Example

Hispaniola/NNP quickly/RB became/VB an/DT important/JJ base/?? from which Spain expanded its empire into the rest of the Western Hemisphere .

Embedding Matrices

 Given a word w, a word dictionary D we can map w to a one-hot representation

 $w' \in \mathbb{R}^{s(D) \times 1} = \mathsf{Onehot}(w, D)$

- Now assume we have an *embedding dictionary* $E \in \mathbb{R}^{e \times s(D)}$ where *e* is some integer. Typical values of *e* are e = 100 or e = 200
- \blacktriangleright We can now map the one-hot representation w^\prime to

$$\underbrace{w''}_{e\times 1} = \underbrace{E}_{e\times s(D)} \underbrace{w'}_{s(D)\times 1} = E \times \mathsf{Onehot}(w, D)$$

► Equivalently, a word w is mapped to a vector E(: j) ∈ ℝ^e where j = Index(w, D) is the integer that word w is mapped to, and E(: j) is the j'th column in the matrix.

Embedding Matrices vs. One-hot Vectors

One-hot representation:

 $w' \in \mathbb{R}^{s(D) \times 1} = \mathsf{Onehot}(w, D)$

This representation is high-dimensional, sparse

Embedding representation:

$$\underbrace{w''}_{e \times 1} = \underbrace{E}_{e \times s(D)} \underbrace{w'}_{s(D) \times 1} = E \times \mathsf{Onehot}(w, D)$$

This representation is **low-dimensional**, dense

- The embedding matrices can be learned using stochastic gradient descent and backpropagation (each entry of E is a new parameter in the model)
- Critically, embeddings allow shared information between words: e.g., words with similar meaning or syntax get mapped to "similar" embeddings

A Single-Layer Computational Network for Tagging Inputs: A training example $x^i = \langle t_1 \dots t_{j-1}, w_1 \dots w_n, j \rangle$, $y^i \in \mathcal{Y}$. A word dictionary D with size s(D), a tag dictionary T with size s(T). A word embedding matrix $E \in \mathbb{R}^{e \times s(D)}$. A tag embedding matrix $A \in \mathbb{R}^{a \times s(D)}$. Parameters of a single-layer feedforward network. Computational Graph:

$$\begin{split} t'_{-2} \in \mathbb{R}^a &= A \times \operatorname{Onehot}(t_{j-2}, T) \\ t'_{-1} \in \mathbb{R}^a &= A \times \operatorname{Onehot}(t_{j-1}, T) \\ w'_{-1} \in \mathbb{R}^e &= E \times \operatorname{Onehot}(w_{j-1}, D) \\ w'_{0} \in \mathbb{R}^e &= E \times \operatorname{Onehot}(w_{j}, D) \\ w'_{+1} \in \mathbb{R}^e &= E \times \operatorname{Onehot}(w_{j+1}, D) \\ u \in \mathbb{R}^{2a+3e} &= \operatorname{Concat}(t'_{-2}, t'_{-1}, w'_{-1}, w'_{0}, w'_{+1}) \\ z &= Wu + b, \ h = g(z), \ l = Vh + \gamma, \ q = \mathsf{LS}(l) \\ o &= q_{y^i} \end{split}$$

An Example

Hispaniola/NNP quickly/RB became/VB an/DT important/JJ base/?? from which Spain expanded its empire into the rest of the Western Hemisphere .

$$\begin{split} t'_{-2} \in \mathbb{R}^a &= A \times \mathsf{Onehot}(t_{j-2},T) \\ t'_{-1} \in \mathbb{R}^a &= A \times \mathsf{Onehot}(t_{j-1},T) \\ w'_{-1} \in \mathbb{R}^e &= E \times \mathsf{Onehot}(w_{j-1},D) \\ w'_{0} \in \mathbb{R}^e &= E \times \mathsf{Onehot}(w_{j},D) \\ w'_{+1} \in \mathbb{R}^e &= E \times \mathsf{Onehot}(w_{j+1},D) \\ u \in \mathbb{R}^{2a+3e} &= \mathsf{Concat}(t'_{-2},t'_{-1},w'_{-1},w'_{0},w'_{+1}) \end{split}$$

Calculating Jacobians

$$w'_0 \in \mathbb{R}^e = E \times \mathsf{Onehot}(w, D)$$

Equivalently:

$$(w'_0)_j = \sum_k E_{j,k} \times \mathsf{Onehot}_k(w, D)$$

Need to calculate the Jacobian

$$\frac{\partial w_0'}{E}$$

This has entries

$$\left[\frac{\partial w_0'}{E}\right]_{j,(j',k)} = 1 \text{ if } j = j' \text{ and } \operatorname{Onehot}_k(w,E) = 1, \ 0 \text{ otherwise}$$

An Additional Perspective

$$t'_{-2} \in \mathbb{R}^{a} = \operatorname{Onehot}(t_{j-2}, T)$$

$$\dots$$

$$w'_{+1} \in \mathbb{R}^{e} = \operatorname{Onehot}(w_{j+1}, D)$$

$$u = \operatorname{Concat}(t'_{-2} \dots w'_{+1})$$

$$z \in \mathbb{R}^{m} = Wu + b$$

$$t'_{-2} \in \mathbb{R}^{a} = A \times \operatorname{Onehot}(t_{j-2}, T)$$

$$\dots$$

$$w'_{+1} \in \mathbb{R}^{e} = E \times \operatorname{Onehot}(w_{j+1}, D)$$

$$\bar{u} = \operatorname{Concat}(t'_{-2} \dots w'_{+1})$$

$$\bar{z} \in \mathbb{R}^{m} = \bar{W}\bar{u} + b$$

► If we set

$$\underbrace{W}_{m \times (2s(T)+3s(E))} = \underbrace{\bar{W}}_{m \times (2a+3e)} \times \underbrace{\mathsf{Diag}(A, A, E, E, E)}_{(2a+3e) \times (2s(T)+3s(D))}$$

then $Wu + b = \bar{W}\bar{u} + b$ hence $z = \bar{z}$

An Additional Perspective (continued)

If we set

$$\underbrace{W}_{m \times (2s(T)+3s(E))} = \underbrace{\bar{W}}_{m \times (2a+3e)} \times \underbrace{\mathsf{Diag}(A, A, E, E, E)}_{(2a+3e) \times (2s(T)+3s(D))}$$

then $Wu + b = \overline{W}\overline{u} + b$ hence $z = \overline{z}$

- An example: s(T) = 50 (50 tags), s(D) = 10,000 (10,000 words), a = e = 100 (recall a, e are size of embeddings for tags and words respectively), m = 1000 (1000 neurons)
- Then we have parameters



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Unlabeled Dependency Parses



- root is a special root symbol
- Each dependency is a pair (h, m) where h is the index of a head word, m is the index of a modifier word. In the figures, we represent a dependency (h, m) by a directed edge from h to m.
- Dependencies in the above example are (0,2), (2,1), (2,4), and (4,3). (We take 0 to be the root symbol.)

The (Unlabeled) Dependency Parsing Problem



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Conditions on Dependency Structures



 The dependency arcs form a *directed tree*, with the root symbol at the root of the tree.
 (Definition: A directed tree rooted at *root* is a tree, where for

every word w other than the root, there is a directed path from *root* to w.)

 There are no "crossing dependencies".
 Dependency structures with no crossing dependencies are sometimes referred to as **projective** structures. All Dependency Parses for John saw Mary



The Labeled Dependency Parsing Problem



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Shift-Reduce Dependency Parsing: Configurations

- A configuration consists of:
 - 1. A stack σ consisting of a sequence of words, e.g.,

 $\sigma = [\mathsf{root}_0,\mathsf{I}_1,\mathsf{live}_2]$

2. A buffer β consisting of a sequence of words, e.g.,

 $\beta = [in_3, New_4, York_5, city_6, ._7]$

3. A set α of labeled dependencies, e.g.,

$$\alpha = \{\{1 \rightarrow^{nsubj} 2\}, \{6 \rightarrow^{nn} 5\}$$

The Initial Configuration

$$\sigma = [\mathsf{root}_0], \quad \beta = [\mathsf{I}_1, \mathsf{live}_2, \mathsf{in}_3, \mathsf{New}_4, \mathsf{York}_5, \mathsf{city}_6, ._7], \quad \alpha = \{\}$$

Shift-Reduce Actions: The Shift Action

The shift action takes the first word in the buffer, and adds it to the end of the stack.

$$\sigma = [\mathsf{root}_0], \quad \beta = [\mathsf{I}_1, \mathsf{live}_2, \mathsf{in}_3, \mathsf{New}_4, \mathsf{York}_5, \mathsf{city}_6, ._7], \quad \alpha = \{\}$$

SHIFT ↓

$$\sigma = [\mathsf{root}_0, \mathsf{I}_1], \quad \beta = [\mathsf{live}_2, \mathsf{in}_3, \mathsf{New}_4, \mathsf{York}_5, \mathsf{city}_6, ._7], \quad \alpha = \{\}$$

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SHIFT ↓

$$\sigma = [\mathsf{root}_0, \mathsf{I}_1, \mathsf{live_2}], \quad \beta = [\mathsf{in}_3, \mathsf{New}_4, \mathsf{York}_5, \mathsf{city}_6, ._7], \quad \alpha = \{\}$$

Shift-Reduce Actions: The Left-Arc Action

The LEFT-ARC^{nsubj} action takes the top two words on the stack, adds a dependency between them in the left direction with label nsubj, and removes the modifier word from the stack. There is a LEFT-ARC^l action for each possible dependency label l.

$$\sigma = [\mathsf{root}_0, \mathsf{I}_1, \mathsf{live}_2], \quad \beta = [\mathsf{in}_3, \mathsf{New}_4, \mathsf{York}_5, \mathsf{city}_6, ._7], \quad \alpha = \{\}$$

LEFT-ARC^{$$nsubj$$}

 $\sigma = [\mathsf{root}_0, \mathsf{live}_2], \quad \beta = [\mathsf{in}_3, \mathsf{New}_4, \mathsf{York}_5, \mathsf{city}_6, ._7], \quad \alpha = \{\{2 \to \mathsf{nsubj} 1\}\}$

Shift-Reduce Actions: The Right-Arc Action

The RIGHT-ARC^{*prep*} action takes the top two words on the stack, adds a dependency between them in the right direction with label *prep*, and removes the modifier word from the stack. There is a RIGHT-ARC^l action for each possible dependency label l.

$$\sigma = [\operatorname{root}_0, \operatorname{live}_2, \operatorname{in}_3], \quad \beta = [.7], \quad \alpha = \{\{2 \to^{nsubj} 1\}, \}$$

RIGHT-ARC
$prep$

$$\sigma = [\mathsf{root}_0, \mathsf{live}_2], \quad \beta = [.7], \quad \alpha = \{\{2 \to^{nsubj} 1\}, \{2 \to^{prep} 3\}\}$$

Each Dependency Parse is Mapped to a Sequence of Actions

| Action | σ | β | $h \xrightarrow{l} d$ |
|--|---|--|---------------------------|
| Shift | [root ₀] | $[I_1, Iive_2, in_3, New_4, York_5, city_6,7]$ | |
| Shift | $[root_0, I_1]$ | [live $_2$, in $_3$, New $_4$, York $_5$, city $_6$, .7] | |
| ${\sf Left}{\sf -}{\sf Arc}^{nsubj}$ | $[root_0, I_1, live_2]$ | $[in_3, New_4, York_5, city_6,7]$ | $2 \xrightarrow{nsubj} 1$ |
| Shift | [root ₀ , live ₂] | $[in_3, New_4, York_5, city_6,7]$ | |
| Shift | $[root_0, live_2, in_3]$ | [New ₄ , York ₅ , city ₆ , $.7$] | |
| Shift | $[root_0, live_2, in_3, New_4]$ | $[York_5, city_6,7]$ | |
| Shift | $[root_0, live_2, in_3, New_4, York_5]$ | [city ₆ , . ₇] | |
| ${\sf Left}{\operatorname{-Arc}}^{nn}$ | $[root_0, live_2, in_3, New_4, York_5, city_6]$ | [.7] | $6 \xrightarrow{nn} 5$ |
| ${\sf Left}{\operatorname{-Arc}}^n$ | $[root_0, live_2, in_3, New_4, city_6]$ | [.7] | $6 \xrightarrow{nn} 4$ |
| $Right	ext{-}Arc^{pobj}$ | $[root_0, live_2, in_3, city_6]$ | [.7] | $3 \xrightarrow{pobj} 6$ |
| $Right	ext{-}Arc^{prep}$ | $[root_0, live_2, in_3]$ | [.7] | $2 \xrightarrow{prep} 3$ |
| Shift | [root ₀ , live ₂] | [.7] | |
| $Right-Arc^{punct}$ | $[root_0, live_2,7]$ | [] | $2 \xrightarrow{punct} 7$ |
| $Right\operatorname{-Arc}^{root}$ | [root ₀ , live ₂] | [] | $0 \xrightarrow{root} 2$ |
| Terminal | [root ₀] | [] | |

Each Dependency Parse is Mapped to a Sequence of Actions

- Input $w_1 \dots w_n = I$ live in New York city.
- Dependency parse requires actions $a_1 \dots a_m$, e.g.,

$$a_1 \dots a_m = \langle \text{Shift}, \text{Shift}, \text{LEFT-ARC}^{nsubj}, \text{Shift}, \text{Shift}, \text{Shift}, \text{Shift}, \text{Shift}, \\ \text{LEFT-ARC}^{nn}, \text{LEFT-ARC}^{nn}, \text{RIGHT-ARC}^{pobj}, \text{RIGHT-ARC}^{prep}, \\ \text{Shift}, \text{RIGHT-ARC}^{punc}, \text{RIGHT-ARC}^{root} \rangle$$

We use a feedforward neural network to model

$$p(a_1 \dots a_m | w_1 \dots w_n) = \prod_{i=1}^m p(a_i | a_1 \dots a_{i-1}, w_1 \dots w_n)$$

Feature Extractors

► We use a feedforward neural network to model

$$p(a_1 \dots a_m | w_1 \dots w_n) = \prod_{i=1}^m p(a_i | a_1 \dots a_{i-1}, w_1 \dots w_n)$$

- ▶ Note that the action sequence $a_1 \dots a_{i-1}$ maps to a configuration $c_i = \langle \sigma_i, \beta_i, \alpha_i \rangle$
- ► A feature extractor maps a (c_i, w₁...w_n) pair to either a word, part-of-speech tag, or dependency label
- Weiss et al. 2015 (see also Chen and Manning 2014) have 20 word-based feature extractors, 20 tag-based feature extractors, 12 dependency label feature extractors
- ► This gives 20 + 20 + 12 = 52 one-hot vectors as input to a neural network that estimates p(a|c, w₁...w_n)

Word-Based Feature Extractors

- ► A feature extractor maps a (c_i, w₁...w_n) pair to either a word, part-of-speech tag, or dependency label
- s_i for i = 1...4 is the index of the i'th element on the stack.
 b_i for i = 1...4 is the index of the i'th element on the buffer. lc1(s_i) is the first left-child of word s_i, lc2(s_i) is the second left-child. rc1(s_i) and rc2(s_i) are the first and second right-children of s_i.
- We then have features:

word(s1) word(s2) word(s3) word(s4) word(b1) word(b2) word(b3)
word(b4) word(lc1(s1)) word(lc1(s2)) word(lc2(s1)) word(lc2(s2))
word(rc1(s1)) word(rc1(s2)) word(rc2(s1)) word(rc2(s2))
word(lc1(lc1(s1)) word(lc1(lc1(s2)) word(rc1(rc1(s1)) word(rc1(rc1(s2)))

Some Results

| Method | Unlabeled Dep. Accuracy | |
|--|-------------------------|--|
| Global linear model 1 | 92.9% | |
| Neural network, greedy 2 | 93.0% | |
| Neural network, beam ³ | 93.6% | |
| Neural network, beam, global training 4 | 94.6% | |

1. Hand-constructed features very similar to features in log-linear models. Uses beam search in conjunction with a global linear model. *Transition-based Dependency Parsing with Rich Non-local Features, Zhang and Nivre 2011.*

2, 3: feedforward neural network with greedy search, or beam search. Globally normalized transition-based neural networks. Andor et al., ACL 2016. See also A Fast and Accurate Dependency Parser using Neural Network Chen and Manning, ACL 2014.

4: Neural network with global training, related to training of global linear models (but with word embeddings, and non-linearities from a neural network). See Andor et al. 2016.