# COMS 4705, Fall 2017: Analytical Problem Set 3
# Due November 27th at 5pm

## Question 1 (20 points)

Clarissa Linguistica decides to build a log-linear model for language modeling. She has a training sample $(x_i, y_i)$ for $i = 1 \ldots n$, where each $x_i$ is a prefix of a document (e.g., $x_i =$ "Yesterday, George Bush said") and $y_i$ is the next word seen after this prefix (e.g., $y_i =$ "that"). As usual in log-linear models, she defines a function $\mathbf{f}(x, y)$ that maps any $x, y$ pair to a vector in $\mathbb{R}^d$. Given parameter values $\mathbf{v} \in \mathbb{R}^d$, the model defines

$$P(y|x, \mathbf{v}) = \frac{e^{\mathbf{v} \cdot \mathbf{f}(x,y)}}{\sum_{y' \in \mathcal{V}} e^{\mathbf{v} \cdot \mathbf{f}(x,y')}}$$

where $\mathcal{V}$ is the *vocabulary*, i.e., the set of possible words; and $\mathbf{v} \cdot \mathbf{f}(x, y)$ is the inner product between the vectors $\mathbf{v}$ and $\mathbf{f}(x, y)$.

Given the training set, the training procedure returns parameters $\mathbf{v}^* = \arg\max_{\mathbf{v}} L(\mathbf{v})$, where

$$L(\mathbf{v}) = \sum_i \log P(y_i|x_i, \mathbf{v}) - C \sum_k v_k^2$$

and $C > 0$ is some constant.

Clarissa makes the following choice of her first two features in the model:

$$f_1(x, y) = \begin{cases} 1 & \text{if } y = \texttt{model} \text{ and previous word in } x \text{ is } \texttt{the} \\ 0 & \text{otherwise} \end{cases}$$

$$f_2(x, y) = \begin{cases} 1 & \text{if } y = \texttt{model} \text{ and previous word in } x \text{ is } \texttt{the} \\ 0 & \text{otherwise} \end{cases}$$

So $f_1(x, y)$ and $f_2(x, y)$ are *identical features*.

**Question (10 points)**: Show that for any training set, with $f_1$ and $f_2$ defined as above, the optimal parameters $\mathbf{v}^*$ satisfy the property that $v_1^* = v_2^*$.

**Question (10 points)**: Now say we define the optimal parameters to be $\mathbf{v}^* = \arg\max_{\mathbf{v}} L(\mathbf{v})$, where

$$L(\mathbf{v}) = \sum_i \log P(y_i|x_i, \mathbf{v}) - C \sum_k |v_k|$$

and $C > 0$ is some constant. (Here $|v_k|$ is the absolute value of the $k$'th feature.) In this case, does the property $v_1^* = v_2^*$ necessarily hold? If not, what constraints do hold for the values $v_1^*$ and $v_2^*$?

## Question 2 (15 points)

Nathan L. Pedant now decides to build a bigram language model using log-linear models. He gathers a training sample $(x_i, y_i)$ for $i = 1 \ldots n$. Given a vocabulary of words $\mathcal{V}$, each $x_i$ and each $y_i$ is a member of

$\mathcal{V}$. Each $(x_i, y_i)$ pair is a *bigram* extracted from the corpus, where the word $y_i$ is seen following $x_i$ in the corpus.

Nathan's model is similar to Clarissa's, except he chooses the optimal parameters $\mathbf{v}^*$ to be $\arg\max L(\mathbf{v})$ where

$$L(\mathbf{v}) = \sum_i \log P(y_i|x_i, \mathbf{v})$$

The features in his model are of the following form:

$$f_i(x, y) = \begin{cases} 1 & \text{if } y = \texttt{model} \text{ and } x = \texttt{the} \\ 0 & \text{otherwise} \end{cases}$$

i.e., the features track pairs of words. To be more specific, he creates one feature of the form

$$f_i(x, y) = \begin{cases} 1 & \text{if } y = w_2 \text{ and } x = w_1 \\ 0 & \text{otherwise} \end{cases}$$

for every $(w_1, w_2)$ in $\mathcal{V} \times \mathcal{V}$.

**Question (15 points)**: Assume that the training corpus contains all possible bigrams: i.e., for all $w_1, w_2 \in \mathcal{V}$ there is some $i$ such that $x_i = w_1$ and $y_i = w_2$. The optimal parameter estimates $\mathbf{v}^*$ define a probability $P(y = w_2|x = w_1, \mathbf{v}^*)$ for any bigram $w_1, w_2$. Show that for any $w_1, w_2$ pair, we have

$$P(y = w_2|x = w_1, \mathbf{v}^*) = \frac{Count(w_1, w_2)}{Count(w_1)}$$

where $Count(w_1, w_2)$ = number of times $(x_i, y_i) = (w_1, w_2)$, and $Count(w_1)$ = number of times $x_i = w_1$.

## Question 3

Nathan L. Pedant generates $(x, y)$ pairs as follows. Take $\mathcal{V}$ to be set of possible words (vocabulary), e.g., $\mathcal{V}$ = {`the, cat, dog, happy, ...`}. Take $\mathcal{V}'$ to be the set of all words in $\mathcal{V}$, **plus** the reversed string of each word, e.g., $\mathcal{V}'$ = {`the, eht, cat, tac, dog, god, happy, yppah, ...`}.

For each $x$, Nathan chooses a word from some vocabulary $\mathcal{V}$. He then does the following:

- With 0.4 probability, he chooses $y$ to be identical to $x$.
- With 0.3 probability, he chooses $y$ to be the reversed string of $x$.
- With 0.3 probability, he chooses $y$ to be some string that is neither $x$ nor the reverse of $x$. In this case he chooses $y$ from the uniform distribution over words in $\mathcal{V}'$ that are neither $x$ nor the reverse of $x$.

## Question (10 points)

Define a log-linear model that can model this distribution $P(y|x)$ perfectly (Note: you may assume that there are no palindromes in the vocabulary, i.e., no words like `eye` which stay the same when reversed.) Your model should make use of as few parameters as possible (we will give you 10 points for a correct model with 2 parameters, 8 points for a correct model with 3 parameters, 5 points for a correct model with more than 3 parameters.)

**Question (10 points)**

Write an expression for each of the probabilities

$$P(\text{the}|\text{the})$$
$$P(\text{eht}|\text{the})$$
$$P(\text{dog}|\text{the})$$

as a function of the parameters in your model.

**Question (10 points)**

What value do the parameters in your model take to give the distribution described above?