

## Hiero

1

## A Motivating Example from Chiang 2007

A Chinese sentence:

Aozhou shi yu Beihan you bangjiao de shaoshu guojia zhiyi  
Australia is with North Korea have dipl. rels. that few countries one of

The English translation:

Australia is one of the few countries that have diplomatic relations  
with North Korea

3

## Overview

The synchronous CFG approach to translation from Chiang (2005, 2007):

- A motivating example
- Synchronous context-free grammars (s-CFGs)
- Translation with s-CFGs
- Derivations in s-CFGs
- Learning a synchronous CFG from translation examples
- Adding probabilities
- Experiments/results from Chiang 2007

2

## Why the difference in word order?

A Chinese sentence:

Aozhou shi yu Beihan you bangjiao de shaoshu guojia zhiyi  
Australia is with North Korea have dipl. rels. that few countries one of

The English translation:

Australia is one of the few countries that have diplomatic relations  
with North Korea

shaoshu guojia zhiyi ⇔ one of the few countries

4

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The English translation:

Australia is one of the few countries that have diplomatic relations with North Korea

shaoshu guojia zhiyi ⇔ one of the few countries

yu Beihan you bangjiao de ⇔  
that have diplomatic relations with North Korea

5

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The English translation:

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Output from a phrase-based system:

[Aozhou] [shi]<sub>1</sub> [yu Beihan]<sub>2</sub> [you] [bangjiao] [de shaoshu guojia zhiyi]  
[Australia] [has] [dipl. rels.] [with North Korea]<sub>2</sub> [is]<sub>1</sub> [one of the few countries]

7

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The English translation:

Australia is one of the few countries that have diplomatic relations with North Korea

shaoshu guojia zhiyi ⇔ one of the few countries

yu Beihan you bangjiao de ⇔  
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6

## A Solution: Hierarchical Phrases

A Chinese sentence:

Aozhou shi yu Beihan you bangjiao de shaoshu guojia zhiyi  
Australia is with North Korea have dipl. rels. that few countries one of

Hierarchical phrases needed for this example:

⟨ yu 1 you 2, have 2 with 1 ⟩

⟨ 1 de 2, the 2 that 1 ⟩

⟨ 1 zhiyi, one of 1 ⟩

(We'll see how to formalize this next.)

8

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9

## Examples of s-CFG Rules (from Chiang 2007)

$$X \rightarrow \langle \text{yu } X_{[1]} \text{ you } X_{[2]}, \text{ have } X_{[2]} \text{ with } X_{[1]} \rangle$$
$$X \rightarrow \langle X_{[1]} \text{ de } X_{[2]}, \text{ the } X_{[2]} \text{ that } X_{[1]} \rangle$$
$$X \rightarrow \langle X_{[1]} \text{ zhiyi, one of } X_{[1]} \rangle$$

Note: these rules make use of a single non-terminal,  $X$

We use subscripts such as  $[1]$ ,  $[2]$  to specify which non-terminals correspond to each other.

11

## Synchronous CFGs

- Rules in a synchronous CFG (s-CFG) take the form:

$$X \rightarrow \langle \gamma, \alpha, \sim \rangle$$

where:

- $X$  is any non-terminal in the grammar
  - $\gamma$  and  $\alpha$  are strings of terminals and non-terminals
  - $\sim$  is a one-to-one correspondence between non-terminal occurrences in  $\gamma$  and  $\alpha$ .
- An important constraint: Non-terminals that correspond to each other *must be the same*.

10

## Examples of s-CFG Rules

Another valid s-CFG rule:

$$VP \rightarrow \langle PP_{[1]} \text{ you } NP_{[2]}, \text{ have } NP_{[2]} PP_{[1]} \rangle$$

In this case three non-terminals,  $NP$ ,  $PP$ , and  $VP$  are used. The above rule is perfectly valid in an s-CFG. However, Chiang's grammar only makes use of two non-terminals:  $X$  and  $S$ .

12

## Examples of s-CFG Rules

An **invalid** s-CFG rule:

$$VP \rightarrow \langle PP_{\boxed{1}} \text{ you } NP_{\boxed{2}}, \text{ have } NP_{\boxed{2}} X_{\boxed{1}} \rangle$$

This rule is invalid because a  $PP$  corresponds to an  $X$ . *Non-terminals that correspond to each other must be the same.*

13

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15

## More Examples of s-CFG Rules from Chiang 2007

$$X \rightarrow \langle \text{Aozhu, Australia} \rangle$$
$$X \rightarrow \langle \text{Beiham, North Korea} \rangle$$
$$X \rightarrow \langle \text{shi, is} \rangle$$
$$X \rightarrow \langle \text{bangjiao, diplomatic relations} \rangle$$
$$X \rightarrow \langle \text{shaoshu guojia, few countries} \rangle$$
$$S \rightarrow \langle S_{\boxed{1}} X_{\boxed{2}}, S_{\boxed{1}} X_{\boxed{2}} \rangle$$
$$S \rightarrow \langle X_{\boxed{1}}, X_{\boxed{1}} \rangle$$

14

## Intuition Behind Translation with an s-CFG

- *First step:* we can read off a CFG for Chinese from the s-CFG, and parse the Chinese with this CFG

- For example,

$$X \rightarrow \langle \text{yu } X_{\boxed{1}} \text{ you } X_{\boxed{2}}, \text{ have } X_{\boxed{2}} \text{ with } X_{\boxed{1}} \rangle$$

implies the Chinese-only context-free rule

$$X \rightarrow \text{yu } X \text{ you } X$$

and

$$X \rightarrow \langle \text{bangjiao, diplomatic relations} \rangle$$

implies the Chinese-only context-free rule

$$X \rightarrow \text{bangjiao}$$

16

## Intuition Behind Translation with an s-CFG

### The resulting CFG for Chinese:

$X \rightarrow \text{yu } X \text{ you } X$   
 $X \rightarrow X \text{ de } X$   
 $X \rightarrow X \text{ zhiyi}$   
 $X \rightarrow \text{Aozhu}$   
 $X \rightarrow \text{Beihan}$   
 $X \rightarrow \text{shi}$   
 $X \rightarrow \text{bangjiao}$   
 $X \rightarrow \text{shaoshu guojia}$   
 $S \rightarrow S X$   
 $S \rightarrow X$

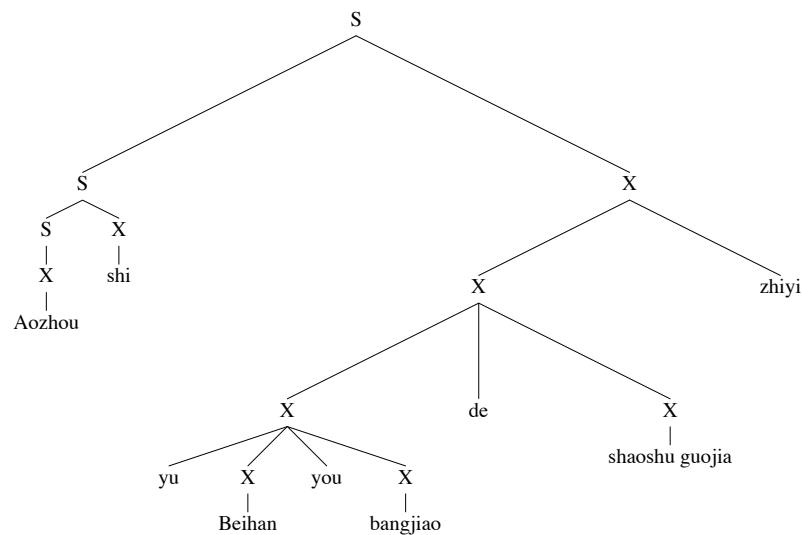
17

## Intuition Behind Translation with an s-CFG

- *First step:* we can read off a CFG for Chinese from the s-CFG, and parse the Chinese with this CFG
- *Second step:* we use the synchronous rules to map the Chinese parse tree to an English parse tree

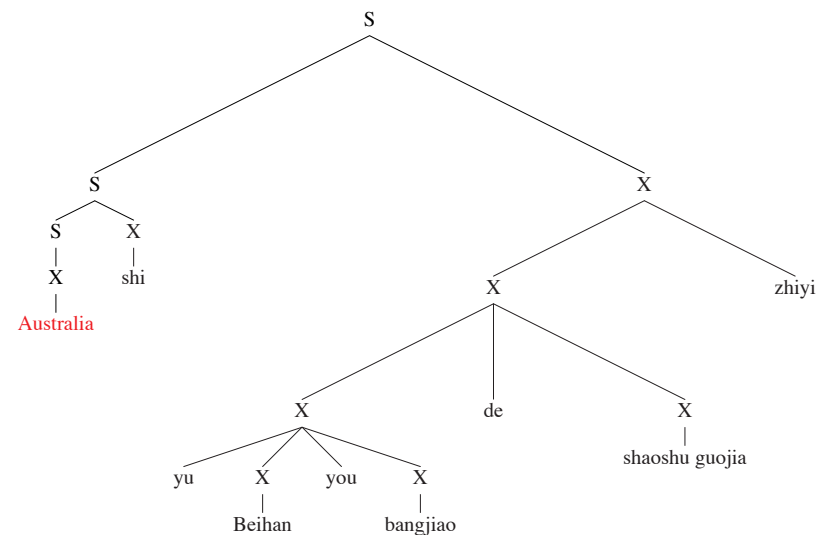
19

### A parse tree for our example:



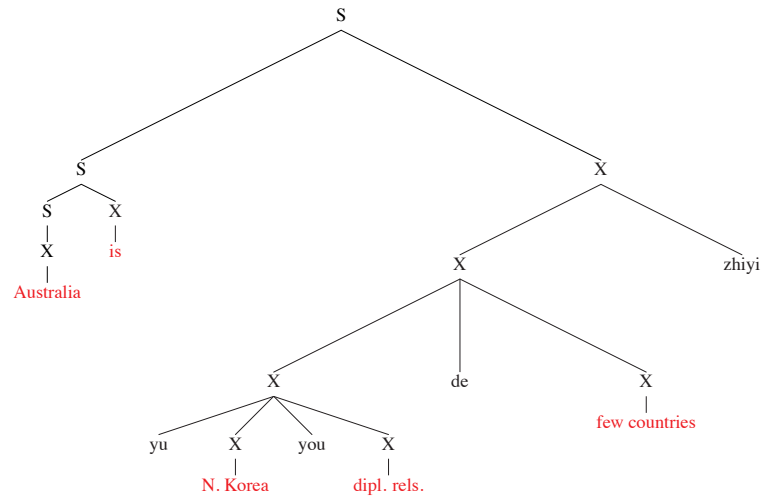
18

### Start bottom-up. For example, $X \rightarrow \langle \text{Aozhu, Australia} \rangle$ gives:



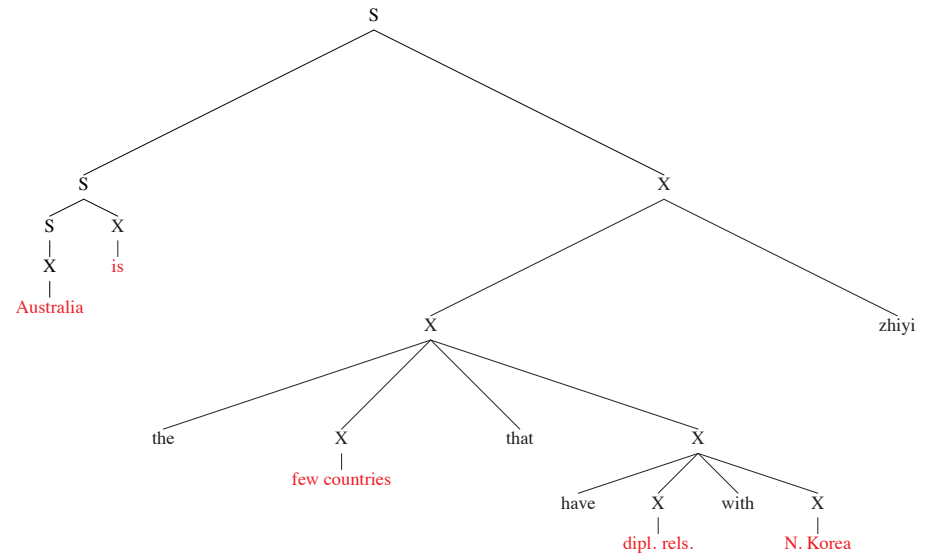
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The tree after all the lowest-level rules are applied:



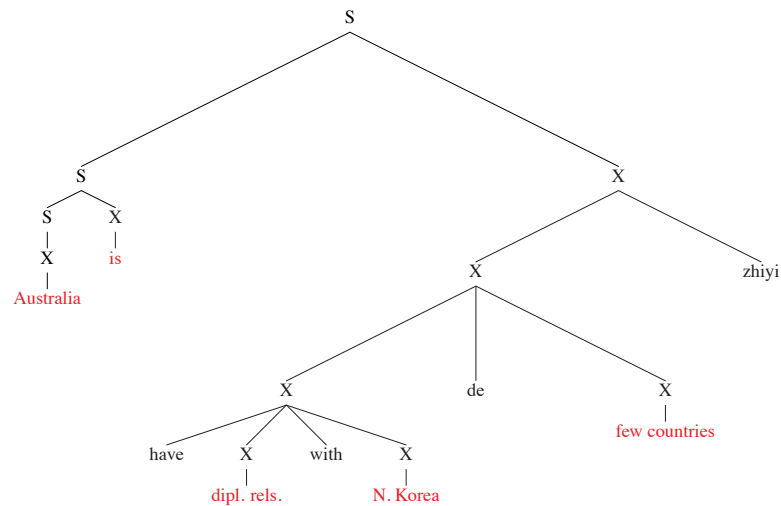
21

Use  $X \rightarrow \langle X_{[1]} \text{ de } X_{[2]}, \text{ the } X_{[2]} \text{ that } X_{[1]} \rangle$  to get:



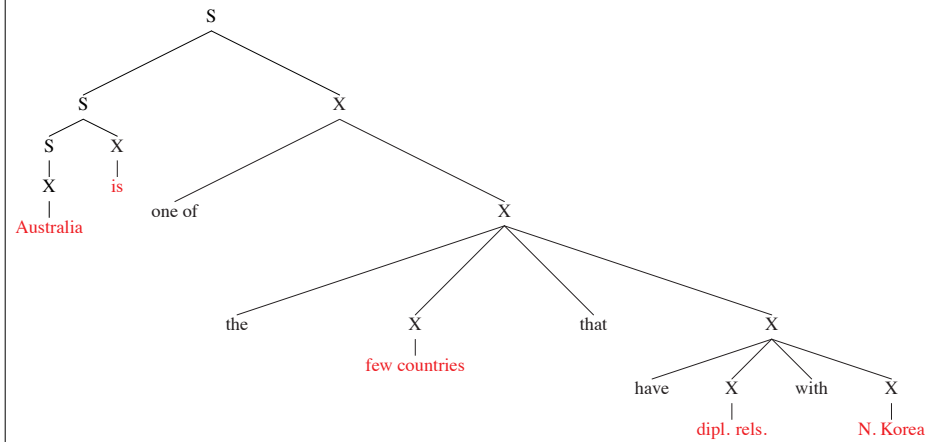
23

Next, apply higher-level rules. For example, use  $X \rightarrow \langle \text{yu } X_{[1]} \text{ you } X_{[2]}, \text{ have } X_{[2]} \text{ with } X_{[1]} \rangle$  to get:



22

Use  $X \rightarrow \langle X_{[1]} \text{ zhiyi, one of } X_{[1]} \rangle$  to get:



24

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25

## Derivations in s-CFGs

- We always start with the following pair of strings in an s-CFG derivation:

$$\langle S_{\square}, S_{\square} \rangle$$

( $S$  is the start symbol in the grammar)

- We'll call the left-hand string in the derivation the *foreign* string, the right-hand string the *English* string

27

## Derivations in s-CFGs

- A derivation in a conventional CFG generates a single string, using the rules in the grammar
- A derivation in an s-CFG generates a *pair* of strings

26

## Derivations in s-CFGs

- At each step in the derivation:
  - We pick the left-most non-terminal in the foreign string
  - We find the corresponding non-terminal in the English string
  - We expand both non-terminals using some rule in the grammar

28

### An Example of a Derivation Step

- Assume our current foreign/English string pair is as follows:  
⟨Aozhou shi  $X_{[8]}$  de  $X_{[9]}$  zhiyi, Australia is one of the  $X_{[9]}$  that  $X_{[8]}$ ⟩
- In this case, the left-most non-terminal in the foreign string is  $X_{[8]}$
- We can apply the rule  
 $X \rightarrow \langle \text{yu } X_{[1]} \text{ you } X_{[2]}, \text{have } X_{[2]} \text{ with } X_{[1]} \rangle$   
to derive:  
⟨Aozhou shi **yu**  $X_{[1]}$  **you**  $X_{[2]}$  de  $X_{[9]}$  zhiyi,  
Australia is one of the  $X_{[9]}$  that **have**  $X_{[2]}$  **with**  $X_{[1]}$ ⟩
- See Figure 1 from Chiang (2007) for a full derivation

29

### An Example Derivation



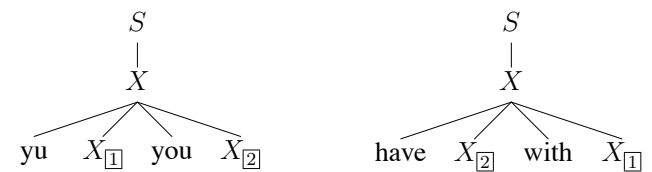
31

### An Example Derivation



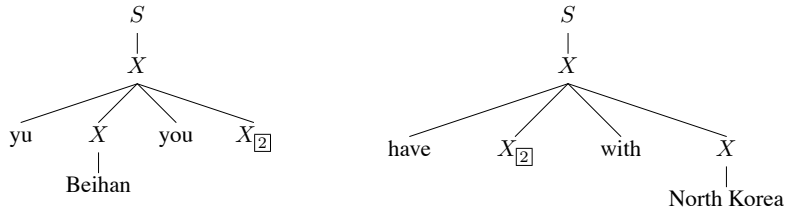
30

### An Example Derivation





### An Example Derivation



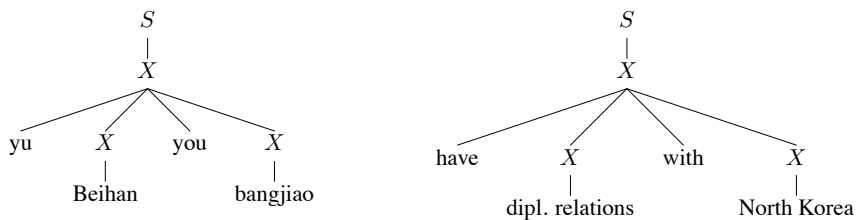
33

### A Subtlety: Re-numbering Non-terminals

- Assume our current foreign/English string pair is as follows:  
 $\langle \text{Aozhou shi } X_8 \text{ de } X_1 \text{ zhiyi, Australia is one of the } X_1 \text{ that } X_8 \rangle$
- We can apply the rule  
 $X \rightarrow \langle \text{yu } X_1 \text{ you } X_2, \text{have } X_2 \text{ with } X_1 \rangle$   
to derive:  
 $\langle \text{Aozhou shi } \text{yu } X_1 \text{ you } X_2 \text{ de } X_1 \text{ zhiyi,}$   
Australia is one of the  $X_1$  that **have**  $X_2$  **with**  $X_1$   $\rangle$
- This is a mistake: we now have two non-terminals of the form  $X_1$ .

35

### An Example Derivation



34

### A Subtlety: Re-numbering Non-terminals

- Assume our current foreign/English string pair is as follows:  
 $\langle \text{Aozhou shi } X_8 \text{ de } X_1 \text{ zhiyi, Australia is one of the } X_1 \text{ that } X_8 \rangle$
- We can apply the rule  
 $X \rightarrow \langle \text{yu } X_1 \text{ you } X_2, \text{have } X_2 \text{ with } X_1 \rangle$   
to derive:  
 $\langle \text{Aozhou shi } \text{yu } X_2 \text{ you } X_3 \text{ de } X_1 \text{ zhiyi,}$   
Australia is one of the  $X_1$  that **have**  $X_3$  **with**  $X_2$   $\rangle$
- **This is the correct way to do things:** we've renumbered the non-terminals in the applied rule to ensure there are no duplicated indices.

36

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37

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A Chinese sentence:

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Australia is with North Korea have dipl. rels. that few countries one of

The English translation:

Australia is one of the few countries that have diplomatic relations with North Korea

39

## Learning an s-CFG from Translation Examples

- Basic idea: leverage/generalize methods from phrase-based systems
- *First step*: use standard methods for phrase-based systems to learn a set of *initial* phrase pairs (see Machine Translation, Part 3, lecture).

38

## An Example of Initial Phrase Pairs

< Aozhu, Australia >  
< Beihan, North Korea >  
< shi, is >  
< bangjiao, diplomatic relations >  
< shaoshu guojia, few countries >  
< yu Beihan you bangjiao, have dipl. rels. with North Korea >  
< yu Beihan you bangjiao de, that have dipl. rels. with North Korea >  
< yu Beihan you bangjiao de shaoshu guojia,  
the few countries that have dipl. rels. with North Korea >  
...

40

## Learning an s-CFG from Translation Examples

The set of rules  $R$  is defined as follows:

1. If  $\langle f_i^j, e_{i'}^{j'} \rangle$  is an initial phrase pair, then

$$X \rightarrow \langle f_i^j, e_{i'}^{j'} \rangle$$

is a rule in  $R$

2. If  $X \rightarrow \langle \gamma, \alpha \rangle$  is a rule in  $R$ , and  $\langle f_i^j, e_{i'}^{j'} \rangle$  is an initial phrase pair such that  $\gamma = \gamma_1 f_i^j \gamma_2$  and  $\alpha = \alpha_1 e_{i'}^{j'} \alpha_2$ , then

$$X \rightarrow \langle \gamma_1 X_{[k]} \gamma_2, \alpha_1 X_{[k]} \alpha_2 \rangle$$

where  $k$  is an index not used in  $\gamma$  and  $\alpha$ , is a rule in  $R$ .

41

## An Example of Case 2

- We have the rules

$$X \rightarrow \langle \text{yu Beihan you bangjiao,} \\ \text{have dipl. rels. with North Korea} \rangle$$

$$X \rightarrow \langle \text{Beihan, North Korea} \rangle$$

- We can generate a new rule

$$X \rightarrow \langle \text{yu } X_{[1]} \text{ you bangjiao, have dipl. rels. with } X_{[1]} \rangle$$

43

## An Example

The first case generates rules such as the following:

$$X \rightarrow \langle \text{Aozhu, Australia} \rangle$$

$$X \rightarrow \langle \text{Beihan, North Korea} \rangle$$

$$X \rightarrow \langle \text{shi, is} \rangle$$

$$X \rightarrow \langle \text{bangjiao, diplomatic relations} \rangle$$

$$X \rightarrow \langle \text{shaoshu guojia, few countries} \rangle$$

$$X \rightarrow \langle \text{yu Beihan you bangjiao, have dipl. rels. with North Korea} \rangle$$

$$X \rightarrow \langle \text{yu Beihan you bangjiao de, that have dipl. rels. with North Korea} \rangle$$

$$X \rightarrow \langle \text{yu Beihan you bangjiao de shaoshu guojia,} \\ \text{the few countries that have dipl. rels. with North Korea} \rangle$$

...

42

## Another Example of Case 2

- We have the rules

$$X \rightarrow \langle \text{yu } X_{[1]} \text{ you bangjiao, have dipl. rels. with } X_{[1]} \rangle$$

$$X \rightarrow \langle \text{bangjiao, diplomatic relations} \rangle$$

- We can generate a new rule

$$X \rightarrow \langle \text{yu } X_{[1]} \text{ you } X_{[2]}, \text{ have } X_{[2]} \text{ with } X_{[1]} \rangle$$

44

### Additional Constraints on Rules

The 2 cases generate a very large number of rules. Examples of additional criteria that Chiang (2007) uses, in an effort to reduce the number of rules:

1. Initial phrases are limited to a length of 10 words on either side.
2. Rules are limited to 5 non-terminals plus terminals on the foreign side.
3. Rules can have at most 2 non-terminals (this simplifies the translation algorithm).
4. It is prohibited for non-terminals to be adjacent on the foreign side.
5. A rule must have at least one pair of aligned words, so that translation decisions are always based on some lexical evidence.

45

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47

### Two Final Rules

The following rules are also always included by Chiang:

$$S \rightarrow \langle S_{\lfloor} X_{\lfloor}, S_{\lfloor} X_{\rfloor} \rangle$$

$$S \rightarrow \langle X_{\lfloor}, X_{\lfloor} \rangle$$

46

### Adding Probabilities

- Each rule of the form

$$X \rightarrow \langle \gamma, \alpha \rangle$$

has an associated probability

$$P(\gamma|\alpha) = \frac{\text{Count}(\gamma, \alpha)}{\text{Count}(\alpha)}$$

48

## Scoring Translations

- Define  $c$  to be a Chinese sentence,  $e$  to be an English sentence,  $T$  to be a parse tree under an s-CFG, which generates the pair of strings  $(c, e)$

- A simple model defines

$$\text{Score}(c, e, T) = \prod_{X \rightarrow \langle \gamma, \alpha \rangle \in T} P(\gamma | \alpha)$$

- The best translation for  $c$  is

$$\arg \max_{e, T} \text{Score}(c, e, T)$$

49

## Scoring Translations: Adding a Language Model

- Define  $c$  to be a Chinese sentence,  $e$  to be an English sentence,  $T$  to be a parse tree under an s-CFG, which generates the pair of strings  $(c, e)$

- A model with a language model defines

$$\text{Score}(c, e, T) = P_l(e) \prod_{X \rightarrow \langle \gamma, \alpha \rangle \in T} P(\gamma | \alpha)$$

where  $P_l(e)$  is the score under a language model (typically a trigram language model).

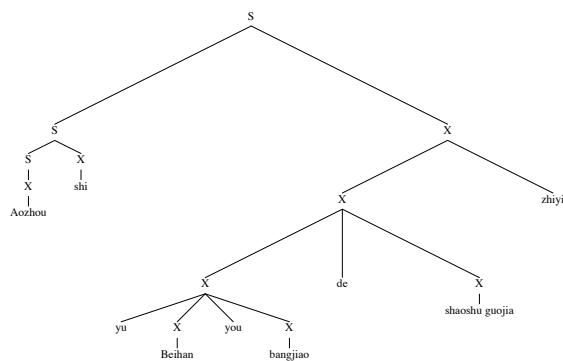
- The best translation for  $c$  is again

$$\arg \max_{e, T} \text{Score}(c, e, T)$$

*Dynamic programming can still be used to find the arg max, but it's much more complicated—we won't get into this.*

51

## Scoring Translations



- The parse tree has a score that is a product of rule probabilities
- We can search for the highest scoring parse tree for a given Chinese sentence using dynamic programming (basically the same algorithm as parsing with a PCFG). Can then transform the Chinese parse tree to its English translation (as we saw earlier).

50

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52

## Results from Chiang 2007

	MT03	MT04	MT05
ATA	30.84	31.74	30.50
Hiero	33.72	34.57	31.79

- Results are for translation from Chinese to English. MT03, MT04, and MT05 are 3 different test sets. All scores are Bleu scores.
- ATS is a (state-of-the-art) phrase-based system
- Hiero is the synchronous grammar