

6.864 (Fall 2007) Global Linear Models

1

Techniques

- So far:
 - Smoothed estimation
 - Probabilistic context-free grammars
 - Log-linear models
 - Hidden markov models
 - The EM Algorithm
 - History-based models
- Today:
 - Global linear models

3

Overview

- A brief review of history-based methods
- A new framework: Global linear models
- Parsing problems in this framework:
Reranking problems
- Parameter estimation method 1:
A variant of the perceptron algorithm

2

Supervised Learning in Natural Language

- General task: induce a function F from members of a set \mathcal{X} to members of a set \mathcal{Y} . e.g.,

Problem	$x \in \mathcal{X}$	$y \in \mathcal{Y}$
Parsing	sentence	parse tree
Machine translation	French sentence	English sentence
POS tagging	sentence	sequence of tags

- Supervised learning:
we have a *training set* (x_i, y_i) for $i = 1 \dots n$

4

The Models so far

- Most of the models we've seen so far are **history-based models**:

- We break structures down into a **derivation**, or sequence of decisions
- Each decision has an associated conditional probability
- Probability of a structure is a product of decision probabilities
- Parameter values are estimated using variants of maximum-likelihood estimation
- Function $F : \mathcal{X} \rightarrow \mathcal{Y}$ is defined as

$$F(x) = \operatorname{argmax}_y P(y, x \mid \Theta) \quad \text{or} \quad F(x) = \operatorname{argmax}_y P(y \mid x, \Theta)$$

5

- Function $F : \mathcal{X} \rightarrow \mathcal{Y}$ is defined as

$$F(x) = \operatorname{argmax}_y P(y, x \mid \Theta)$$

Can be computed using dynamic programming

7

Example 1: PCFGs

- We break structures down into a derivation, or sequence of decisions
We have a top-down derivation, where each decision is to expand some non-terminal α with a rule $\alpha \rightarrow \beta$
- Each decision has an associated conditional probability
 $\alpha \rightarrow \beta$ has probability $P(\alpha \rightarrow \beta \mid \alpha)$
- Probability of a structure is a product of decision probabilities

$$P(T, S) = \prod_{i=1}^n P(\alpha_i \rightarrow \beta_i \mid \alpha_i)$$

where $\alpha_i \rightarrow \beta_i$ for $i = 1 \dots n$ are the n rules in the tree

- Parameter values are estimated using variants of maximum-likelihood estimation

$$P(\alpha \rightarrow \beta \mid \alpha) = \frac{\operatorname{Count}(\alpha \rightarrow \beta)}{\operatorname{Count}(\alpha)}$$

6

Example 2: Log-linear Taggers

- We break structures down into a derivation, or sequence of decisions
For a sentence of length n we have n tagging decisions, in left-to-right order
- Each decision has an associated conditional probability

$$P(t_i \mid t_{i-1}, t_{i-2}, w_1 \dots w_n)$$

where t_i is the i 'th tagging decision, w_i is the i 'th word

- Probability of a structure is a product of decision probabilities

$$P(t_1 \dots t_n \mid w_1 \dots w_n) = \prod_{i=1}^n P(t_i \mid t_{i-1}, t_{i-2}, w_1 \dots w_n)$$

- Parameter values are estimated using variants of maximum-likelihood estimation

$P(t_i \mid t_{i-1}, t_{i-2}, w_1 \dots w_n)$ is estimated using a log-linear model

8

- Function $F : \mathcal{X} \rightarrow \mathcal{Y}$ is defined as

$$F(x) = \operatorname{argmax}_y P(y | x, \Theta)$$

Can be computed using dynamic programming

9

Global Linear Models as a Framework

- We'll move away from history-based models
No idea of a “derivation”, or attaching probabilities to “decisions”
- Instead, we'll have feature vectors over entire structures
“Global features”
- First piece of motivation:
Freedom in defining features

11

A New Set of Techniques: Global Linear Models

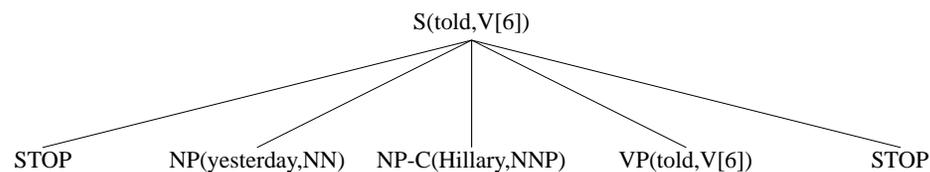
Overview of today's lecture:

- Global linear models as a framework
- Parsing problems in this framework:
 - Reranking problems
- A variant of the perceptron algorithm

10

An Example: Parsing

- In lecture 4, we described lexicalized models for parsing
- Showed how a rule can be generated in a number of steps



12

Model 2

- Step 1: generate category of head child

S(told,V[6])



S(told,V[6])
|
VP(told,V[6])

$$P_h(\mathbf{VP} \mid \mathbf{S}, \text{told}, \mathbf{V}[6])$$

13

- Step 3: generate left modifiers in a Markov chain

S(told,V[6])

?? VP(told,V[6])
{NP-C}



S(told,V[6])
NP-C(Hillary,NNP) VP(told,V[6])
{ }

$$P_h(\mathbf{VP} \mid \mathbf{S}, \text{told}, \mathbf{V}[6]) \times P_{lc}(\{\mathbf{NP-C}\} \mid \mathbf{S}, \mathbf{VP}, \text{told}, \mathbf{V}[6]) \times P_d(\mathbf{NP-C}(\mathbf{Hillary}, \mathbf{NNP}) \mid \mathbf{S}, \mathbf{VP}, \text{told}, \mathbf{V}[6], \mathbf{LEFT}, \{\mathbf{NP-C}\})$$

15

Model 2

- Step 2: choose left subcategorization frame

S(told,V[6])

|
VP(told,V[6])



S(told,V[6])
|
VP(told,V[6])
{NP-C}

$$P_h(\mathbf{VP} \mid \mathbf{S}, \text{told}, \mathbf{V}[6]) \times P_{lc}(\{\mathbf{NP-C}\} \mid \mathbf{S}, \mathbf{VP}, \text{told}, \mathbf{V}[6])$$

14

S(told,V[6])

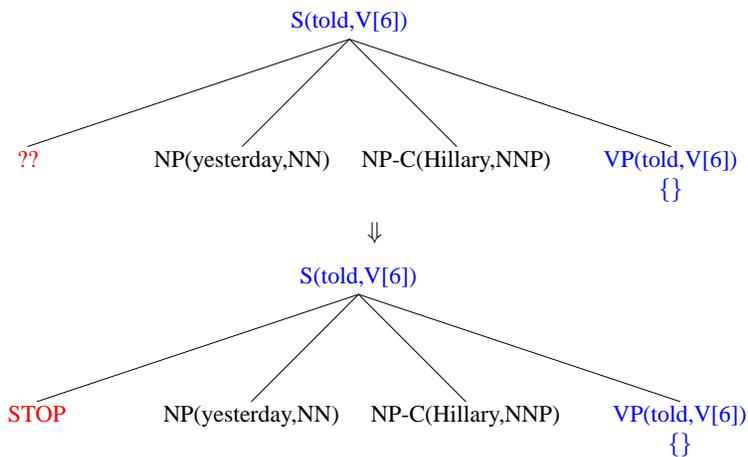
?? NP-C(Hillary,NNP) VP(told,V[6])
{ }



S(told,V[6])
NP(yesterday,NN) NP-C(Hillary,NNP) VP(told,V[6])
{ }

$$P_h(\mathbf{VP} \mid \mathbf{S}, \text{told}, \mathbf{V}[6]) \times P_{lc}(\{\mathbf{NP-C}\} \mid \mathbf{S}, \mathbf{VP}, \text{told}, \mathbf{V}[6]) \times P_d(\mathbf{NP-C}(\mathbf{Hillary}, \mathbf{NNP}) \mid \mathbf{S}, \mathbf{VP}, \text{told}, \mathbf{V}[6], \mathbf{LEFT}, \{\mathbf{NP-C}\}) \times P_d(\mathbf{NP}(\mathbf{yesterday}, \mathbf{NN}) \mid \mathbf{S}, \mathbf{VP}, \text{told}, \mathbf{V}[6], \mathbf{LEFT}, \{ \})$$

16



$$\begin{aligned}
 &P_h(\text{VP} \mid \text{S, told, V[6]}) \times P_{lc}(\{\text{NP-C}\} \mid \text{S, VP, told, V[6]}) \\
 &P_d(\text{NP-C(Hillary,NNP)} \mid \text{S, VP, told, V[6], LEFT, \{\text{NP-C}\}}) \times \\
 &P_d(\text{NP(yesterday,NN)} \mid \text{S, VP, told, V[6], LEFT, \{\}}) \times \\
 &P_d(\text{STOP} \mid \text{S, VP, told, V[6], LEFT, \{\}})
 \end{aligned}$$

17

Smoothed Estimation

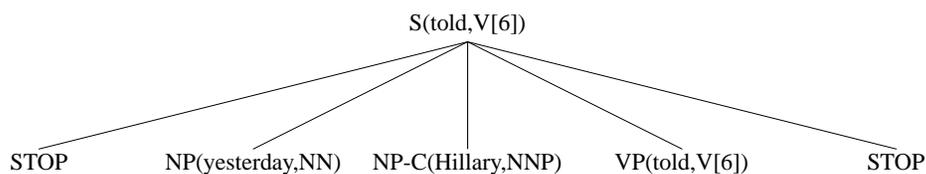
$$P(\text{NP}(_,\text{NN}) \text{ VP} \mid \text{S}(\text{questioned},\text{Vt})) =$$

$$\begin{aligned}
 &\lambda_1 \times \frac{\text{Count}(\text{S}(\text{questioned},\text{Vt}) \rightarrow \text{NP}(_,\text{NN}) \text{ VP})}{\text{Count}(\text{S}(\text{questioned},\text{Vt}))} \\
 &+ \lambda_2 \times \frac{\text{Count}(\text{S}(_,\text{Vt}) \rightarrow \text{NP}(_,\text{NN}) \text{ VP})}{\text{Count}(\text{S}(_,\text{Vt}))}
 \end{aligned}$$

- Where $0 \leq \lambda_1, \lambda_2 \leq 1$, and $\lambda_1 + \lambda_2 = 1$

19

The Probabilities for One Rule



$$\begin{aligned}
 &P_h(\text{VP} \mid \text{S, told, V[6]}) \times \\
 &P_{lc}(\{\text{NP-C}\} \mid \text{S, VP, told, V[6]}) \times \\
 &P_{rc}(\{\} \mid \text{S, VP, told, V[6]}) \times \\
 &P_d(\text{NP-C(Hillary,NNP)} \mid \text{S, VP, told, V[6], LEFT, } \Delta = 1, \{\text{NP-C}\}) \times \\
 &P_d(\text{NP(yesterday,NN)} \mid \text{S, VP, told, V[6], LEFT, } \Delta = 0, \{\}) \times \\
 &P_d(\text{STOP} \mid \text{S, VP, told, V[6], LEFT, } \Delta = 0, \{\}) \times \\
 &P_d(\text{STOP} \mid \text{S, VP, told, V[6], RIGHT, } \Delta = 1, \{\})
 \end{aligned}$$

Three parameter types:

Head parameters, Subcategorization parameters, Dependency parameters

18

Smoothed Estimation

$$P(\text{lawyer} \mid \text{S, VP, NP, NN, questioned, Vt}) =$$

$$\begin{aligned}
 &\lambda_1 \times \frac{\text{Count}(\text{lawyer} \mid \text{S, VP, NP, NN, questioned, Vt})}{\text{Count}(\text{S, VP, NP, NN, questioned, Vt})} \\
 &+ \lambda_2 \times \frac{\text{Count}(\text{lawyer} \mid \text{S, VP, NP, NN, Vt})}{\text{Count}(\text{S, VP, NP, NN, Vt})} \\
 &+ \lambda_3 \times \frac{\text{Count}(\text{lawyer} \mid \text{NN})}{\text{Count}(\text{NN})}
 \end{aligned}$$

- Where $0 \leq \lambda_1, \lambda_2, \lambda_3 \leq 1$, and $\lambda_1 + \lambda_2 + \lambda_3 = 1$

20

An Example: Parsing

- In lecture 4, we described lexicalized models for parsing
- Showed how a rule can be generated in a number of steps
- The end result:
 - We have head, dependency, and subcategorization parameters
 - Smoothed estimation means “probability” of a tree depends on counts of many different types of events
- **What if we want to add new features?**
Can be very awkward to incorporate some features in history-based models

21

Example 2 Semantic features

We might have an ontology giving properties of various nouns/verbs

⇒ how do we allow the parser to use this information?

pour the cappuccino
vs. **pour the book**

Ontology states that **cappuccino** has the +liquid feature, **book** does not.

23

A Need for Flexible Features

Example 1 Parallelism in coordination [Johnson et. al 1999]

Constituents with similar structure tend to be coordinated

⇒ how do we allow the parser to learn this preference?

Bars in New York and pubs in London
vs. **Bars in New York and pubs**

22

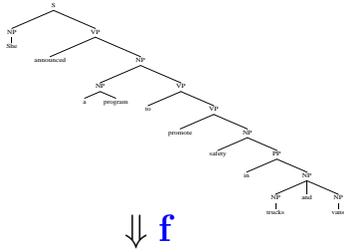
Three Components of Global Linear Models

- **f** is a function that maps a structure (x, y) to a **feature vector**
 $f(x, y) \in \mathbb{R}^d$
- **GEN** is a function that maps an input x to a set of **candidates**
 $GEN(x)$
- **W** is a parameter vector (also a member of \mathbb{R}^d)
- Training data is used to set the value of **W**

24

Component 1: f

- **f** maps a candidate to a **feature vector** $\in \mathbb{R}^d$
- **f** defines the **representation** of a candidate



\Downarrow **f**

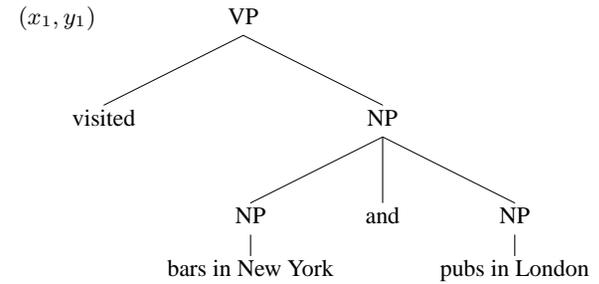
$\langle 1, 0, 2, 0, 0, 15, 5 \rangle$

25

Another Example

- A ‘feature’ is a function on a structure, e.g.,

$$h(x, y) = \begin{cases} 1 & \text{if } (x, y) \text{ has an instance of non-parallel coordination} \\ 0 & \text{otherwise} \end{cases}$$



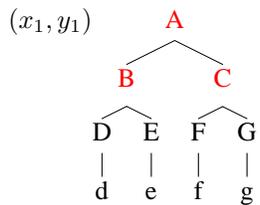
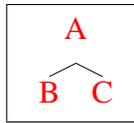
$h(x_1, y_1) = 0$

27

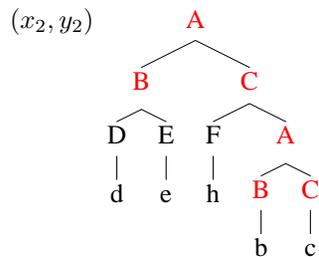
Features

- A ‘feature’ is a function on a structure, e.g.,

$h(x, y) = \text{Number of times } \boxed{\begin{matrix} A \\ B \ C \end{matrix}} \text{ is seen in } (x, y)$

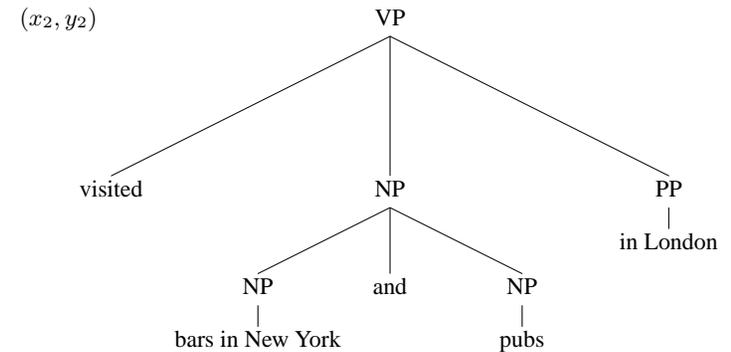


$h(x_1, y_1) = 1$



$h(x_2, y_2) = 2$

26



$h(x_2, y_2) = 1$

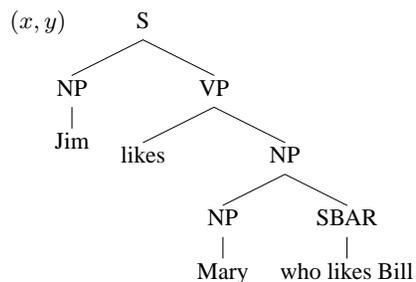
28

A Third Example

- A “feature” is a function on a structure, e.g.,

$$h_1(x, y) = \text{number of times } Mary \text{ is subject of } likes$$

$$h_2(x, y) = \text{number of times } Mary \text{ is object of } likes$$



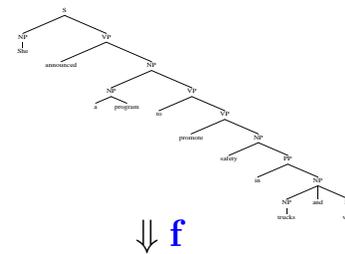
$$h_1(x, y) = 1$$

$$h_2(x, y) = 1$$

29

Component 1: f

- f maps a candidate to a **feature vector** $\in \mathbb{R}^d$
- f defines the **representation** of a candidate



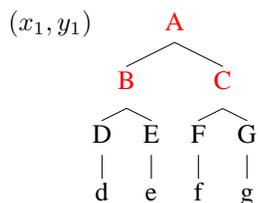
$$\langle 1, 0, 2, 0, 0, 15, 5 \rangle$$

31

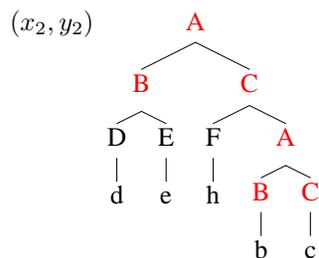
A Final Example

- A “feature” is a function on a structure, e.g.,

$$h(x, y) = \log \text{ probability of } (x, y) \text{ under Model 2}$$



$$h(x_1, y_1) = -1.56$$



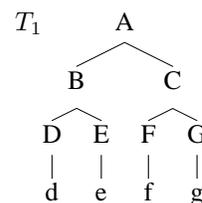
$$h(x_2, y_2) = -1.98$$

30

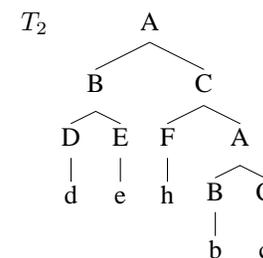
Feature Vectors

- A set of functions $h_1 \dots h_d$ define a **feature vector**

$$\mathbf{f}(x) = \langle h_1(x), h_2(x) \dots h_d(x) \rangle$$



$$\mathbf{f}(T_1) = \langle 1, 0, 0, 3 \rangle$$



$$\mathbf{f}(T_2) = \langle 2, 0, 1, 1 \rangle$$

32

Feature Vectors

- Our goal is to come up with learning methods which allow us to define any features over parse trees
- Avoids the intermediate steps of a history-based model: defining a **derivation** which takes the features into account, and then attaching probabilities to **decisions**
Our claim is that this can be an unwieldy, indirect way of getting desired features into a model
- Problem of **representation** now boils down to the choice of the function $f(x, y)$

33

Component 2: GEN

- **GEN** enumerates a set of **candidates** for an input x
- Some examples of how **GEN**(x) can be defined:
 - Parsing: **GEN**(x) is the set of parses for x under a grammar
 - Any task: **GEN**(x) is the top N most probable parses under a history-based model
 - Tagging: **GEN**(x) is the set of all possible tag sequences with the same length as x
 - Translation: **GEN**(x) is the set of all possible English translations for the French sentence x

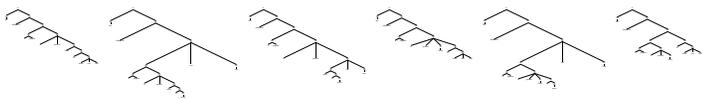
35

Component 2: GEN

- **GEN** enumerates a set of **candidates** for a sentence

She announced a program to promote safety in trucks and vans

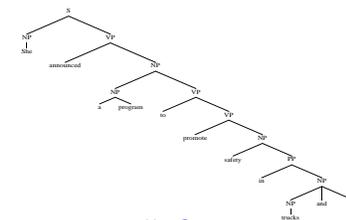
↓ **GEN**



34

Component 3: W

- **W** is a **parameter vector** $\in \mathbb{R}^d$
- **f** and **W** together map a candidate to a real-valued score



↓ **f**

$\langle 1, 0, 2, 0, 0, 15, 5 \rangle$

↓ **f · W**

$$\langle 1, 0, 2, 0, 0, 15, 5 \rangle \cdot \langle 1.9, -0.3, 0.2, 1.3, 0, 1.0, -2.3 \rangle = 5.8$$

36

Putting it all Together

- \mathcal{X} is set of sentences, \mathcal{Y} is set of possible outputs (e.g. trees)
- Need to learn a function $F : \mathcal{X} \rightarrow \mathcal{Y}$
- **GEN**, f , W define

$$F(x) = \arg \max_{y \in \text{GEN}(x)} f(x, y) \cdot W$$

Choose the highest scoring candidate as the most plausible structure

- Given examples (x_i, y_i) , how to set W ?

37

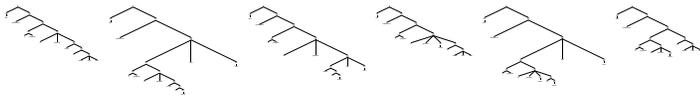
Overview

- A brief review of history-based methods
- A new framework: Global linear models
- **Parsing problems in this framework:**
Reranking problems
- Parameter estimation method 1:
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39

She announced a program to promote safety in trucks and vans

↓ **GEN**

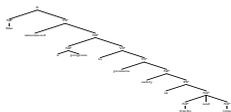


↓ f ↓ f ↓ f ↓ f ↓ f ↓ f

$\langle 1, 1, 3, 5 \rangle$ $\langle 2, 0, 0, 5 \rangle$ $\langle 1, 0, 1, 5 \rangle$ $\langle 0, 0, 3, 0 \rangle$ $\langle 0, 1, 0, 5 \rangle$ $\langle 0, 0, 1, 5 \rangle$

↓ $f \cdot W$ ↓ $f \cdot W$
13.6 12.2 12.1 3.3 9.4 11.1

↓ arg max



38

Reranking Approaches to Parsing

- Use a **baseline** parser to produce top N parses for each sentence in training and test data
GEN(x) is the top N parses for x under the baseline model
- One method: use Model 2 to generate a number of parses (in our experiments, around 25 parses on average for 40,000 training sentences, giving ≈ 1 million training parses)
- **Supervision:** for each x_i take y_i to be the parse that is “closest” to the treebank parse in **GEN**(x_i)

40

The Representation f

- Each component of f could be essentially *any* feature over parse trees

- For example:

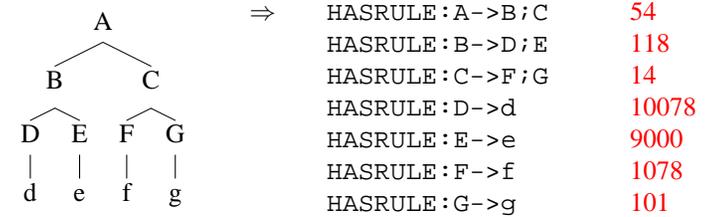
$f_1(x, y) = \log$ probability of (x, y) under the baseline model

$$f_2(x, y) = \begin{cases} 1 & \text{if } (x, y) \text{ includes the rule VP} \rightarrow \text{PP VBD NP} \\ 0 & \text{otherwise} \end{cases}$$

41

Practical Issues in Creating f

- Step 2: hash the strings to integers



- In our experiments, tree is then represented as log probability under the baseline model, plus a sparse feature array:

$f_1(x, y) = \log$ probability of (x, y) under the baseline model

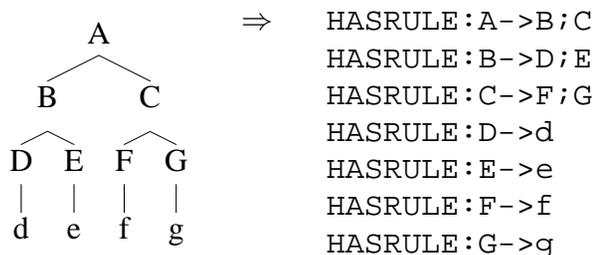
$$f_i(x, y) = 1 \text{ for } i = \{54, 118, 14, 10078, 9000, 1078, 101\}$$

$$f_i(x, y) = 0 \text{ for all other } i$$

43

Practical Issues in Creating f

- Step 1: map a tree to a number of “strings” representing features



42

The Score for Each Parse

- In our experiments, tree is then represented as log probability under the baseline model, plus a sparse feature array:

$f_1(x, y) = \log$ probability of (x, y) under the baseline model

$$f_i(x, y) = 1 \text{ for } i = \{54, 118, 14, 10078, 9000, 1078, 101\}$$

$$f_i(x, y) = 0 \text{ for all other } i$$

- Score for the tree (x, y) :

$$\begin{aligned} & \mathbf{f}(x, y) \cdot \mathbf{W} \\ &= \sum_i f_i(x, y) \mathbf{W}_i \\ &= \mathbf{W}_1 f_1(x, y) + \mathbf{W}_{54} + \mathbf{W}_{118} + \mathbf{W}_{14} + \mathbf{W}_{10078} + \mathbf{W}_{9000} + \mathbf{W}_{1078} + \mathbf{W}_{101} \end{aligned}$$

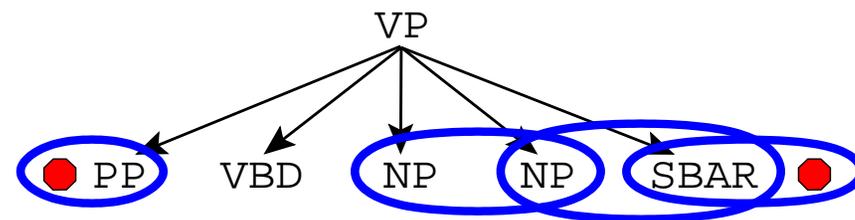
44

From [Collins and Koo, 2005]:

The following types of features were included in the model. We will use the rule $VP \rightarrow PP\ VBD\ NP\ NP\ SBAR$ with head VBD as an example. Note that the output of our baseline parser produces syntactic trees with headword annotations.

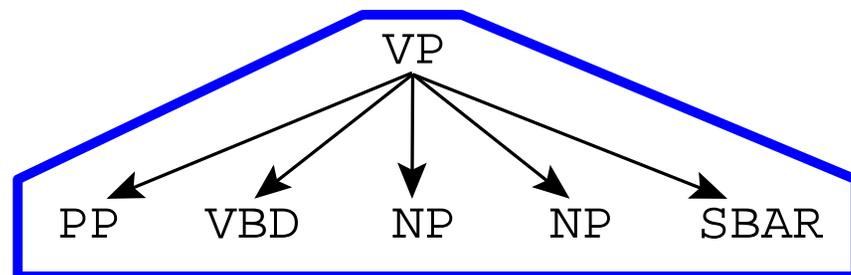
45

Bigrams These are adjacent pairs of non-terminals to the left and right of the head. As shown, the example rule would contribute the bigrams $(Right, VP, NP, NP)$, $(Right, VP, NP, SBAR)$, $(Right, VP, SBAR, STOP)$, and $(Left, VP, PP, STOP)$ to the left of the head.



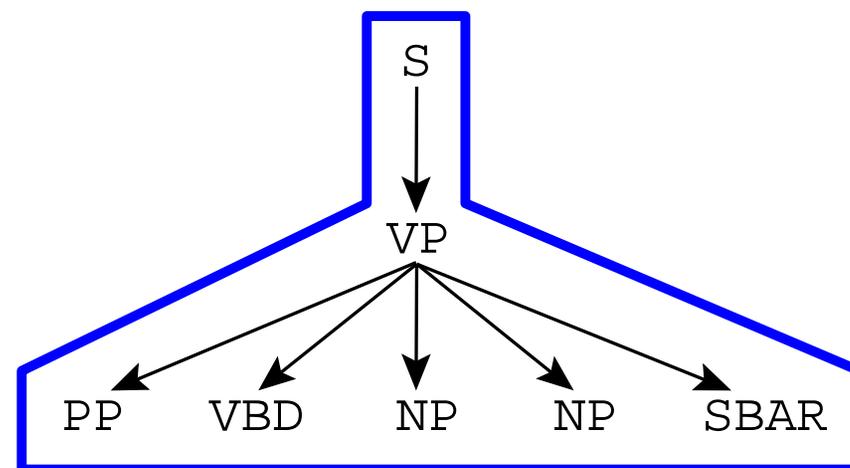
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Rules These include all context-free rules in the tree, for example $VP \rightarrow PP\ VBD\ NP\ NP\ SBAR$.



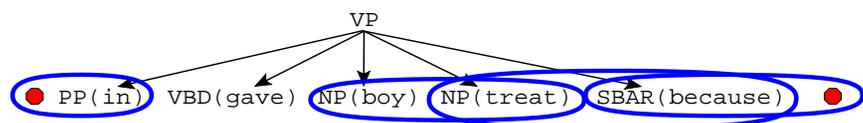
46

Grandparent Rules Same as **Rules**, but also including the non-terminal above the rule.



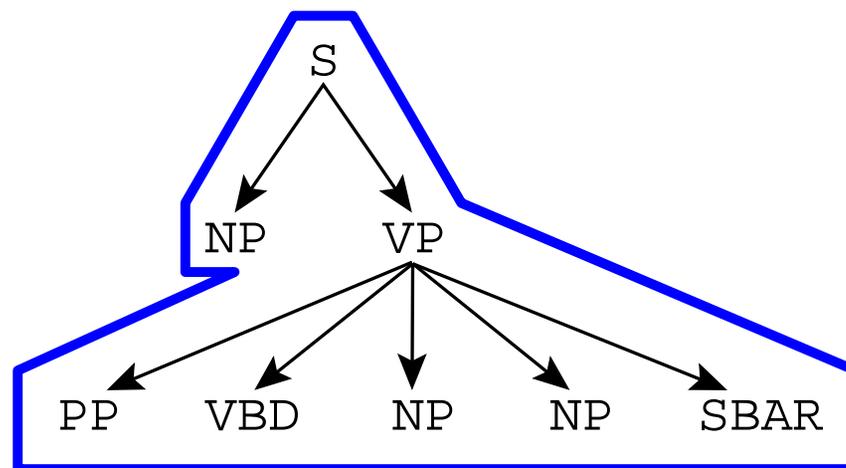
48

Lexical Bigrams Same as **Bigrams**, but with the lexical heads of the two non-terminals also included.



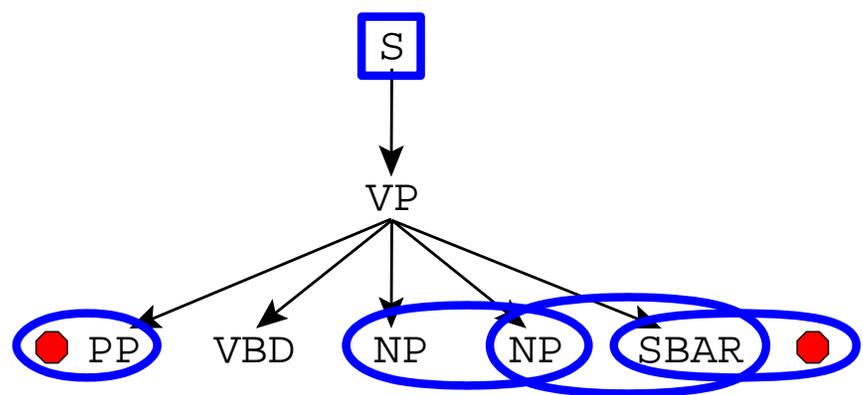
49

Two-level Rules Same as **Rules**, but also including the entire rule above the rule.



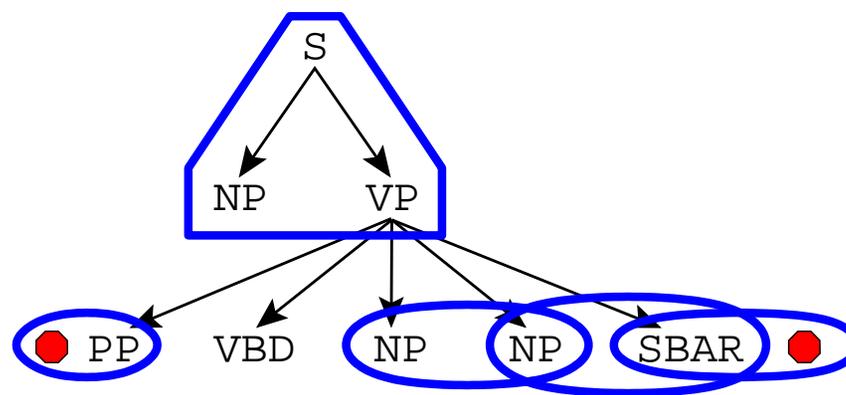
51

Grandparent Bigrams Same as **Bigrams**, but also including the non-terminal above the bigrams.



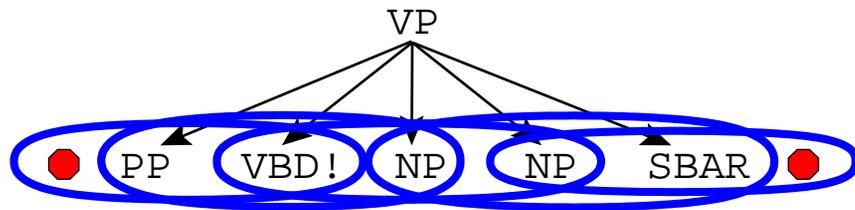
50

Two-level Bigrams Same as **Bigrams**, but also including the entire rule above the rule.



52

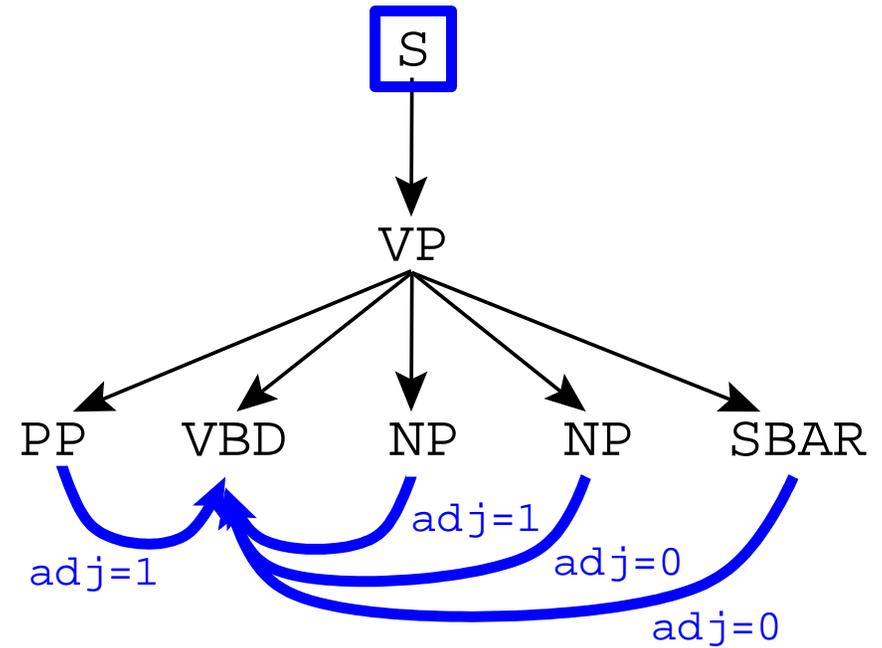
Trigrams All trigrams within the rule. The example rule would contribute the trigrams (VP,STOP,PP,VBD!), (VP,PP,VBD!,NP), (VP,VBD!,NP,NP), (VP,NP,NP,SBAR) and (VP,NP,SBAR,STOP) (! is used to mark the head of the rule)



53

Head-Modifiers All head-modifier pairs, with the grandparent non-terminal also included. An adj flag is also included, which is 1 if the modifier is adjacent to the head, 0 otherwise. As an example, say the non-terminal dominating the example rule is S. The example rule would contribute (Left,S,VP,VBD,PP,adj=1), (Right,S,VP,VBD,NP,adj=1), (Right,S,VP,VBD,NP,adj=0), and (Right,S,VP,VBD,SBAR,adj=0).

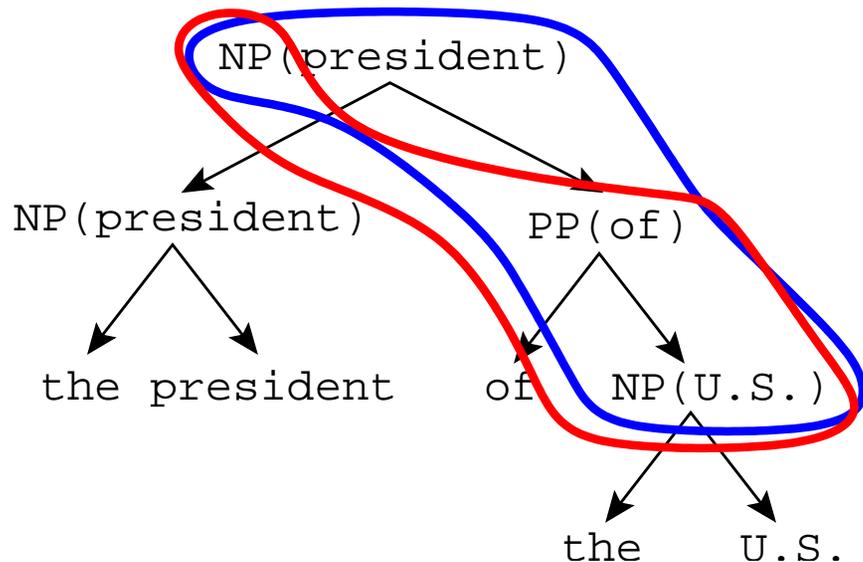
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55

PPs Lexical trigrams involving the heads of arguments of prepositional phrases. The example shown at right would contribute the trigram (NP,NP,PP,NP,president,of,U.S.), in addition to the more general trigram relation (NP,NP,PP,NP,of,U.S.).

56



57

Distance Head-Modifiers Features involving the distance between head words. For example, assume $dist$ is the number of words between the head words of the VBD and SBAR in the $(VP, VBD, SBAR)$ head-modifier relation in the above rule. This relation would then generate features $(VP, VBD, SBAR, = dist)$, and $(VP, VBD, SBAR, \leq x)$ for all $dist \leq x \leq 9$ and $(VP, VBD, SBAR, \geq x)$ for all $1 \leq x \leq dist$.

Further Lexicalization In order to generate more features, a second pass was made where all non-terminals were augmented with their lexical heads when these headwords were closed-class words. All features apart from **Head-Modifiers**, **PPs** and **Distance Head-Modifiers** were then generated with these augmented non-terminals.

58

Overview

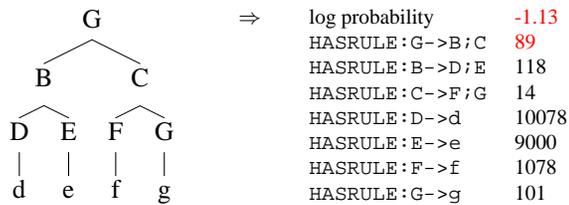
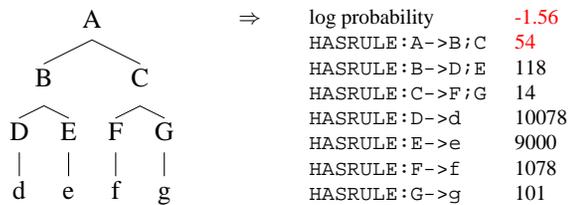
- A brief review of history-based methods
- A new framework: Global linear models
- Parsing problems in this framework: Reranking problems
- Parameter estimation method 1: A variant of the perceptron algorithm

59

A Variant of the Perceptron Algorithm

- Inputs:** Training set (x_i, y_i) for $i = 1 \dots n$
- Initialization:** $\mathbf{W} = 0$
- Define:** $F(x) = \operatorname{argmax}_{y \in \text{GEN}(x)} \mathbf{f}(x, y) \cdot \mathbf{W}$
- Algorithm:** For $t = 1 \dots T, i = 1 \dots n$
 $z_i = F(x_i)$
 If $(z_i \neq y_i)$ $\mathbf{W} = \mathbf{W} + \mathbf{f}(x_i, y_i) - \mathbf{f}(x_i, z_i)$
- Output:** Parameters \mathbf{W}

60

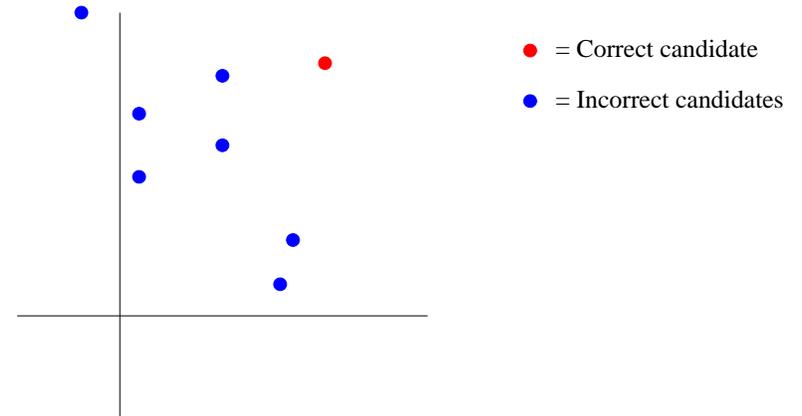


Say first tree is correct, but second tree has higher $\mathbf{W} \cdot \mathbf{f}(x, y)$ under current parameters: Then $\mathbf{W} = \mathbf{W} + \mathbf{f}(x_i, y_i) - \mathbf{f}(x_i, z_i)$ implies

$$\begin{aligned} \mathbf{W}_1 &+ = -1.56 - (-1.13) = -0.43 \\ \mathbf{W}_{54} &+ = 1 \\ \mathbf{W}_{89} &+ = -1 \end{aligned}$$

61

GEOMETRIC INTUITION BEHIND SEPARATION



63

Theory Underlying the Algorithm

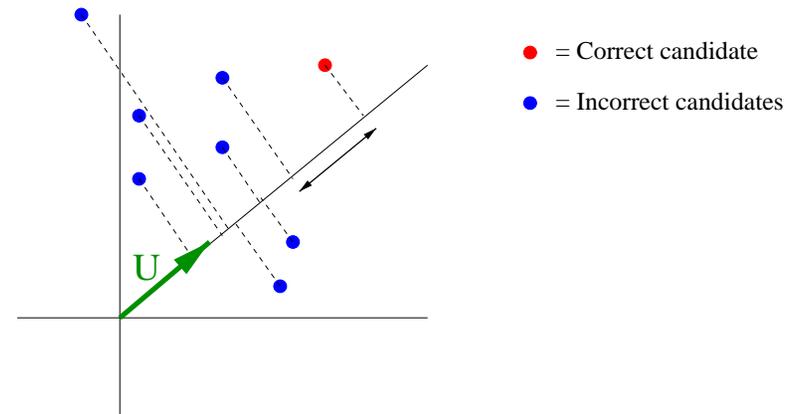
• **Definition:** $\overline{\text{GEN}}(x_i) = \text{GEN}(x_i) - \{y_i\}$

• **Definition:** The training set is **separable with margin δ** , if there is a vector $\mathbf{U} \in \mathbb{R}^d$ with $\|\mathbf{U}\| = 1$ such that

$$\forall i, \forall z \in \overline{\text{GEN}}(x_i) \quad \mathbf{U} \cdot \mathbf{f}(x_i, y_i) - \mathbf{U} \cdot \mathbf{f}(x_i, z) \geq \delta$$

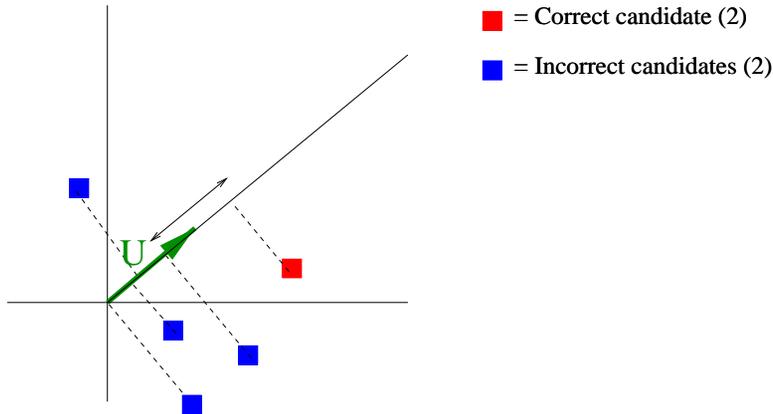
62

GEOMETRIC INTUITION BEHIND SEPARATION



64

ALL EXAMPLES ARE SEPARATED



65

Proof:

Let \mathbf{W}^k be the weights before the k 'th mistake. $\mathbf{W}^1 = 0$

If the k 'th mistake is made at i 'th example, and $z_i = \operatorname{argmax}_{y \in \overline{\text{GEN}}(x_i)} \mathbf{f}(x_i, y) \cdot \mathbf{W}^k$, then

$$\begin{aligned} \mathbf{W}^{k+1} &= \mathbf{W}^k + \mathbf{f}(x_i, y_i) - \mathbf{f}(x_i, z_i) \\ \Rightarrow \mathbf{U} \cdot \mathbf{W}^{k+1} &= \mathbf{U} \cdot \mathbf{W}^k + \mathbf{U} \cdot \mathbf{f}(x_i, y_i) - \mathbf{U} \cdot \mathbf{f}(x_i, z_i) \\ &\geq \mathbf{U} \cdot \mathbf{W}^k + \delta \\ &\geq k\delta \\ \Rightarrow \|\mathbf{W}^{k+1}\| &\geq k\delta \end{aligned}$$

Also,

$$\begin{aligned} \|\mathbf{W}^{k+1}\|^2 &= \|\mathbf{W}^k\|^2 + \|\mathbf{f}(x_i, y_i) - \mathbf{f}(x_i, z_i)\|^2 + 2\mathbf{W}^k \cdot (\mathbf{f}(x_i, y_i) - \mathbf{f}(x_i, z_i)) \\ &\leq \|\mathbf{W}^k\|^2 + R^2 \\ \Rightarrow \|\mathbf{W}^{k+1}\|^2 &\leq kR^2 \\ \Rightarrow k^2\delta^2 &\leq \|\mathbf{W}^{k+1}\|^2 \leq kR^2 \\ \Rightarrow k &\leq R^2/\delta^2 \end{aligned}$$

67

THEORY UNDERLYING THE ALGORITHM

Theorem: For any training sequence (x_i, y_i) which is separable with margin δ , then for the perceptron algorithm

$$\text{Number of mistakes} \leq \frac{R^2}{\delta^2}$$

where R is a constant such that $\forall i, \forall z \in \overline{\text{GEN}}(x_i)$

$$\|\mathbf{f}(x_i, y_i) - \mathbf{f}(x_i, z)\| \leq R$$

Proof: Direct modification of the proof for the classification case.

66

Perceptron Experiments: Parse Reranking

Parsing the Wall Street Journal Treebank

Training set = 40,000 sentences, test = 2,416 sentences

Generative model (Collins 1999): 88.2% F-measure

Reranked model: 89.5% F-measure (11% relative error reduction)

Boosting: 89.7% F-measure (13% relative error reduction)

• Results from Charniak and Johnson, 2005:

- Improvement from 89.7% (baseline generative model) to 91.0% accuracy
- Uses a log-linear model
- Gains from improved n-best lists, better features

68

Summary

- A new framework: **global linear models**
GEN, **f**, **W**
- There are several ways to train the parameters **W**:
 - Perceptron
 - Boosting
 - Log-linear models (maximum-likelihood)
- Applications:
 - Reranking models
 - LFG parsing
 - Generation
 - Machine translation
 - Tagging problems
 - Speech recognition