

# Fundamentals of Computer Systems

## Thinking Digitally

Martha A. Kim

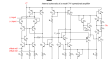
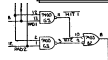
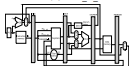
Columbia University

Fall 2014

# Computer Systems Work Because of Abstraction



```
;; voice 1 wave select
ld a, (#CH1_W_NUM)
and a
ld a, (#CH1_W_SEL)
jr nz, #00b4
ld a, (#CH1_E_TABLE0)
```



Application Software

Operating Systems

Architecture

Micro-Architecture

Logic

Digital Circuits

Analog Circuits

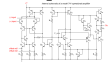
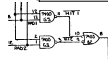
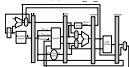
Devices

Physics

# Computer Systems Work Because of Abstraction



```
;; voice 1 wave select
ld      a, (#CH1_W_NUM)
and
ld      a, (#CH1_W_SEL)
jr      nz, #00b4
ld      a, (#CH1_E_TABLE0)
```



Application Software    COMS 3157, 4156, et al.

Operating Systems      COMS W4118

Architecture            Second Half of 3827

Micro-Architecture      Second Half of 3827

Logic                     First Half of 3827

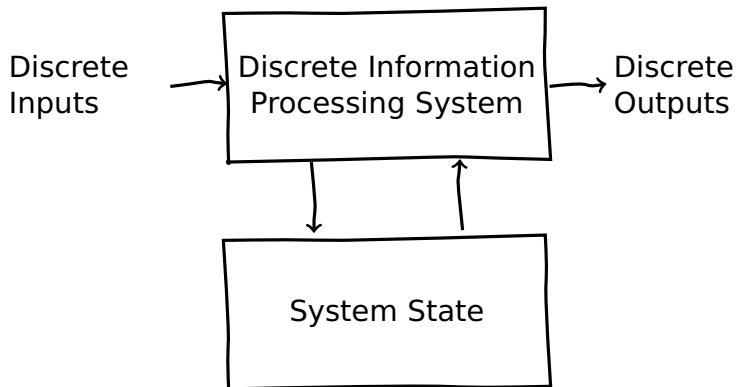
Digital Circuits         First Half of 3827

Analog Circuits         ELEN 3331

Devices                  ELEN 3106

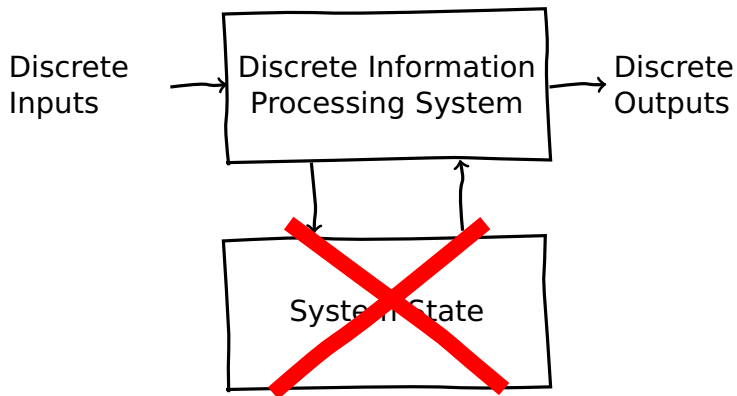
Physics                  ELEN 3106 et al.

# Simple information processing system



First half of the course

# Simple information processing system



First **quarter** of the course

# Administrative Items

<http://www.cs.columbia.edu/~martha/courses/3827/au14/>

<https://piazza.com/class/hza49pnzbdflng>

Prof. Martha A. Kim

[martha@cs.columbia.edu](mailto:martha@cs.columbia.edu)

469 Computer Science Building

Lectures 10:10–11:25 AM Tue, Thur

501 Schermerhorn Hall

Sep 2–Dec 4

Holidays: Nov 4 (Election Day), Nov 27 (Thanksgiving)

# Assignments and Grading

<b>Weight</b>	<b>What</b>	<b>When</b>
40%	Six homeworks	See Webpage
30%	Midterm exam #1	October 14th
30%	Midterm exam #2	December 4th

Homework is due at the beginning of lecture.

We will drop the lowest of your six homework scores; you can \_\_\_\_\_ one assignment with no penalty.

There will be no extensions.

# Rules and Regulations

You may collaborate with classmates on homework.

Each assignment turned in must be unique; work must ultimately be your own.

List your collaborators on your homework.

*Do not cheat.*

Tests will be closed-book with a one-page “cheat sheet” of your own devising.

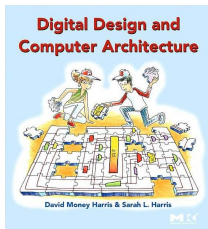


# The Text(s): Alternative #1

No required text. There are two recommended alternatives.

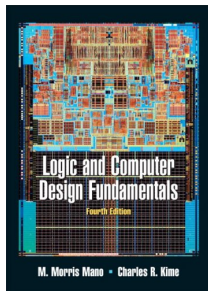
- ▶ David Harris and Sarah Harris. *Digital Design and Computer Architecture*.

Almost precisely right for the scope of this class: digital logic and computer architecture.

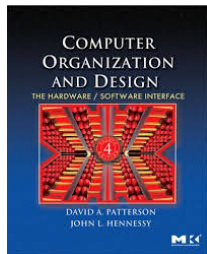


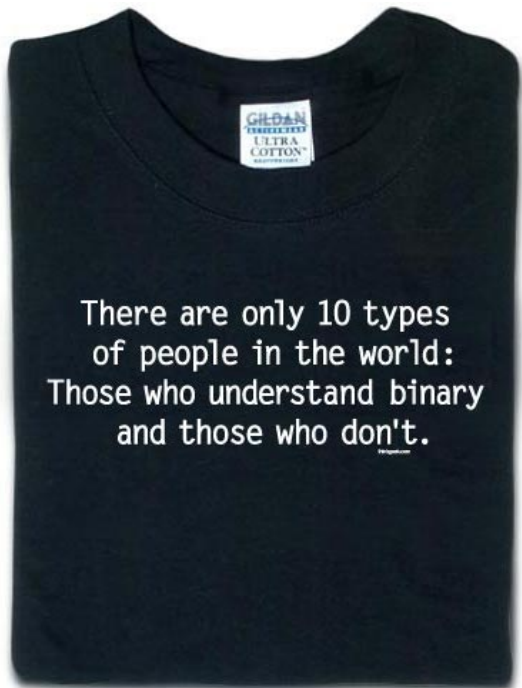
## The Text(s): Alternative #2

- ▶ M. Morris Mano and Charles Kime. *Logic and Computer Design Fundamentals, 4th ed.*



- ▶ Computer Organization and Design, The Hardware/Software Interface, 4th ed. David A. Patterson and John L. Hennessy





thinkgeek.com

# The Decimal Positional Numbering System

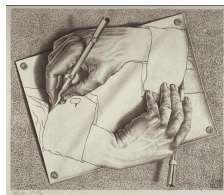


Ten figures: 0 1 2 3 4 5 6 7 8 9

$$7 \times 10^2 + 3 \times 10^1 + 0 \times 10^0 = 730_{10}$$

$$9 \times 10^2 + 9 \times 10^1 + 0 \times 10^0 = 990_{10}$$

Why base ten?

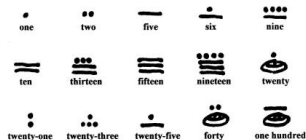


# Which Numbering System Should We Use?

## Some Older Choices:



Roman: I II III IV V VI VII VIII IX X



Mayan: base 20, Shell = 0

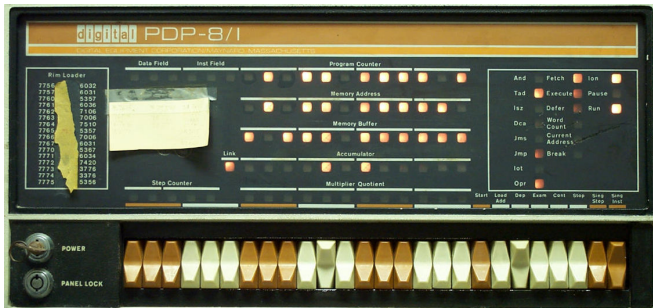
1	∩	11	∩∩	21	∩∩∩	31	∩∩∩∩	41	∩∩∩∩∩	51	∩∩∩∩∩∩
2	∩∩	12	∩∩∩	22	∩∩∩∩	32	∩∩∩∩∩	42	∩∩∩∩∩∩	52	∩∩∩∩∩∩∩
3	∩∩∩	13	∩∩∩∩	23	∩∩∩∩∩	33	∩∩∩∩∩∩	43	∩∩∩∩∩∩∩	53	∩∩∩∩∩∩∩∩
4	∩∩∩∩	14	∩∩∩∩∩	24	∩∩∩∩∩∩	34	∩∩∩∩∩∩∩	44	∩∩∩∩∩∩∩∩	54	∩∩∩∩∩∩∩∩∩
5	∩∩∩∩∩	15	∩∩∩∩∩∩	25	∩∩∩∩∩∩∩	35	∩∩∩∩∩∩∩∩	45	∩∩∩∩∩∩∩∩∩	55	∩∩∩∩∩∩∩∩∩∩
6	∩∩∩∩∩∩	16	∩∩∩∩∩∩∩	26	∩∩∩∩∩∩∩∩	36	∩∩∩∩∩∩∩∩∩	46	∩∩∩∩∩∩∩∩∩∩	56	∩∩∩∩∩∩∩∩∩∩∩
7	∩∩∩∩∩∩∩	17	∩∩∩∩∩∩∩∩	27	∩∩∩∩∩∩∩∩∩	37	∩∩∩∩∩∩∩∩∩∩	47	∩∩∩∩∩∩∩∩∩∩∩	57	∩∩∩∩∩∩∩∩∩∩∩∩
8	∩∩∩∩∩∩∩∩	18	∩∩∩∩∩∩∩∩∩	28	∩∩∩∩∩∩∩∩∩∩	38	∩∩∩∩∩∩∩∩∩∩∩	48	∩∩∩∩∩∩∩∩∩∩∩∩	58	∩∩∩∩∩∩∩∩∩∩∩∩∩
9	∩∩∩∩∩∩∩∩∩	19	∩∩∩∩∩∩∩∩∩∩	29	∩∩∩∩∩∩∩∩∩∩∩	39	∩∩∩∩∩∩∩∩∩∩∩∩	49	∩∩∩∩∩∩∩∩∩∩∩∩∩	59	∩∩∩∩∩∩∩∩∩∩∩∩∩∩
10	∩	20	∩∩	30	∩∩∩	40	∩∩∩∩	50	∩∩∩∩∩		

Babylonian: base 60

# Hexadecimal, Decimal, Octal, and Binary

Hex	Dec	Oct	Bin
0	0	0	0
1	1	1	1
2	2	2	10
3	3	3	11
4	4	4	100
5	5	5	101
6	6	6	110
7	7	7	111
8	8	10	1000
9	9	11	1001
A	10	12	1010
B	11	13	1011
C	12	14	1100
D	13	15	1101
E	14	16	1110
F	15	17	1111

# Binary and Octal



DEC PDP-8/I, c. 1968

Oct	Bin
0	000
1	001
2	010
3	011
4	100
5	101
6	110
7	111

$$\begin{aligned} \text{PC} &= 0 \times 2^{11} + 1 \times 2^{10} + 0 \times 2^9 + 1 \times 2^8 + 1 \times 2^7 + 0 \times 2^6 + \\ & 1 \times 2^5 + 1 \times 2^4 + 1 \times 2^3 + 1 \times 2^2 + 0 \times 2^1 + 1 \times 2^0 \\ &= 2 \times 8^3 + 6 \times 8^2 + 7 \times 8^1 + 5 \times 8^0 \\ &= 1469_{10} \end{aligned}$$

# Hexadecimal Numbers

Base 16: 0 1 2 3 4 5 6 7 8 9 A B C D E F

Instead of groups of 3 bits (octal), Hex uses groups of 4.

$$\begin{aligned} \text{CAFEF00D}_{16} &= 12 \times 16^7 + 10 \times 16^6 + 15 \times 16^5 + 14 \times 16^4 + \\ &\quad 15 \times 16^3 + 0 \times 16^2 + 0 \times 16^1 + 13 \times 16^0 \\ &= 3,405,705,229_{10} \end{aligned}$$

	C		A		F		E		F		0		0		D		Hex						
	11001010111111101111000000001101																Binary						
	3		1		2		7		7		5		7		0		0		1		5		Octal



# Computers Rarely Manipulate True Numbers

Infinite memory still very expensive

Finite-precision numbers typical

32-bit processor: naturally manipulates 32-bit numbers

64-bit processor: naturally manipulates 64-bit numbers

How many different numbers can you

represent with 5 

binary	
octal	
decimal	digits?
hexadecimal	

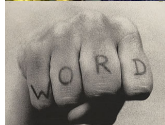
# Jargon



Bit Binary digit: 0 or 1



Byte Eight bits



Word Natural number of bits for the processor, e.g., 16, 32, 64



LSB Least Significant Bit (“rightmost”)



MSB Most Significant Bit (“leftmost”)

# Decimal Addition Algorithm

$$\begin{array}{r} 434 \\ +628 \\ \hline \end{array}$$

$$4 + 8 = 12$$

+	0	1	2	3	4	5	6	7	8	9
0	0	1	2	3	4	5	6	7	8	9
1	1	2	3	4	5	6	7	8	9	10
2	2	3	4	5	6	7	8	9	10	11
3	3	4	5	6	7	8	9	10	11	12
4	4	5	6	7	8	9	10	11	12	13
5	5	6	7	8	9	10	11	12	13	14
6	6	7	8	9	10	11	12	13	14	15
7	7	8	9	10	11	12	13	14	15	16
8	8	9	10	11	12	13	14	15	16	17
9	9	10	11	12	13	14	15	16	17	18
10	10	11	12	13	14	15	16	17	18	19

# Decimal Addition Algorithm

$$\begin{array}{r} 1 \\ 434 \\ +628 \\ \hline 2 \end{array}$$

$$4 + 8 = 12$$

$$1 + 3 + 2 = 6$$

+	0	1	2	3	4	5	6	7	8	9
0	0	1	2	3	4	5	6	7	8	9
1	1	2	3	4	5	6	7	8	9	10
2	2	3	4	5	6	7	8	9	10	11
3	3	4	5	6	7	8	9	10	11	12
4	4	5	6	7	8	9	10	11	12	13
5	5	6	7	8	9	10	11	12	13	14
6	6	7	8	9	10	11	12	13	14	15
7	7	8	9	10	11	12	13	14	15	16
8	8	9	10	11	12	13	14	15	16	17
9	9	10	11	12	13	14	15	16	17	18
10	10	11	12	13	14	15	16	17	18	19

# Decimal Addition Algorithm

$$\begin{array}{r} 1 \\ 434 \\ +628 \\ \hline 62 \end{array}$$

$$4 + 8 = 12$$

$$1 + 3 + 2 = 6$$

$$4 + 6 = 10$$

+	0	1	2	3	4	5	6	7	8	9
0	0	1	2	3	4	5	6	7	8	9
1	1	2	3	4	5	6	7	8	9	10
2	2	3	4	5	6	7	8	9	10	11
3	3	4	5	6	7	8	9	10	11	12
4	4	5	6	7	8	9	10	11	12	13
5	5	6	7	8	9	10	11	12	13	14
6	6	7	8	9	10	11	12	13	14	15
7	7	8	9	10	11	12	13	14	15	16
8	8	9	10	11	12	13	14	15	16	17
9	9	10	11	12	13	14	15	16	17	18
10	10	11	12	13	14	15	16	17	18	19

# Decimal Addition Algorithm

$$\begin{array}{r} 1\ 1 \\ 434 \\ +628 \\ \hline 062 \end{array}$$

$$4 + 8 = 12$$

$$1 + 3 + 2 = 6$$

$$4 + 6 = 10$$

+	0	1	2	3	4	5	6	7	8	9
0	0	1	2	3	4	5	6	7	8	9
1	1	2	3	4	5	6	7	8	9	10
2	2	3	4	5	6	7	8	9	10	11
3	3	4	5	6	7	8	9	10	11	12
4	4	5	6	7	8	9	10	11	12	13
5	5	6	7	8	9	10	11	12	13	14
6	6	7	8	9	10	11	12	13	14	15
7	7	8	9	10	11	12	13	14	15	16
8	8	9	10	11	12	13	14	15	16	17
9	9	10	11	12	13	14	15	16	17	18
10	10	11	12	13	14	15	16	17	18	19

# Decimal Addition Algorithm

$$\begin{array}{r} 1\ 1 \\ 434 \\ +628 \\ \hline 1062 \end{array}$$

$$4 + 8 = 12$$

$$1 + 3 + 2 = 6$$

$$4 + 6 = 10$$

+	0	1	2	3	4	5	6	7	8	9
0	0	1	2	3	4	5	6	7	8	9
1	1	2	3	4	5	6	7	8	9	10
2	2	3	4	5	6	7	8	9	10	11
3	3	4	5	6	7	8	9	10	11	12
4	4	5	6	7	8	9	10	11	12	13
5	5	6	7	8	9	10	11	12	13	14
6	6	7	8	9	10	11	12	13	14	15
7	7	8	9	10	11	12	13	14	15	16
8	8	9	10	11	12	13	14	15	16	17
9	9	10	11	12	13	14	15	16	17	18
10	10	11	12	13	14	15	16	17	18	19

# Binary Addition Algorithm

$$\begin{array}{r} 10011 \\ +11001 \\ \hline \end{array}$$

$$1 + 1 = 10$$

+	0	1
0	00	01
1	01	10
10	10	11



# Binary Addition Algorithm

$$\begin{array}{r} \phantom{0}1 \\ 10011 \\ +11001 \\ \hline 0 \end{array}$$

$$\begin{aligned} 1 + 1 &= 10 \\ 1 + 1 + 0 &= 10 \end{aligned}$$

+	0	1
0	00	01
1	01	10
10	10	11

# Binary Addition Algorithm

$$\begin{array}{r} \phantom{00}11 \\ 10011 \\ +11001 \\ \hline \phantom{00}00 \end{array}$$

$$\begin{array}{l} 1 + 1 = 10 \\ 1 + 1 + 0 = 10 \\ 1 + 0 + 0 = 01 \end{array}$$

+	0	1
0	00	01
1	01	10
10	10	11

# Binary Addition Algorithm

$$\begin{array}{r} 011 \\ 10011 \\ +11001 \\ \hline 100 \end{array}$$

$$\begin{aligned} 1 + 1 &= 10 \\ 1 + 1 + 0 &= 10 \\ 1 + 0 + 0 &= 01 \\ 0 + 0 + 1 &= 01 \end{aligned}$$

+	0	1
0	00	01
1	01	10
10	10	11

# Binary Addition Algorithm

$$\begin{array}{r} 0011 \\ 10011 \\ +11001 \\ \hline 1100 \end{array}$$

$$\begin{array}{l} 1 + 1 = 10 \\ 1 + 1 + 0 = 10 \\ 1 + 0 + 0 = 01 \\ 0 + 0 + 1 = 01 \\ 0 + 1 + 1 = 10 \end{array}$$

+	0	1
0	00	01
1	01	10
10	10	11

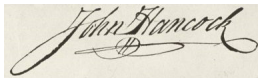
# Binary Addition Algorithm

$$\begin{array}{r} 10011 \\ 10011 \\ +11001 \\ \hline 101100 \end{array}$$

$$\begin{array}{l} 1 + 1 = 10 \\ 1 + 1 + 0 = 10 \\ 1 + 0 + 0 = 01 \\ 0 + 0 + 1 = 01 \\ 0 + 1 + 1 = 10 \end{array}$$

+	0	1
0	00	01
1	01	10
10	10	11

# Signed Numbers: Dealing with Negativity



How should both positive and negative numbers be represented?

# Signed Magnitude Numbers

You are most familiar with this:  
negative numbers have a leading –

In binary, a  
leading 1 means  
negative:

$$0000_2 = 0$$

$$0010_2 = 2$$

$$1010_2 = -2$$

$$1111_2 = -7$$

$$1000_2 = -0?$$

Can be made to work, but addition is  
annoying:

If the signs match, add the magnitudes  
and use the same sign.

If the signs differ, subtract the smaller  
number from the larger; return the  
sign of the larger.

# One's Complement Numbers

Like Signed Magnitude, a leading 1 indicates a negative One's Complement number.

To negate a number, complement (flip) each bit.

$$0000_2 = 0$$

$$0010_2 = 2$$

$$1101_2 = -2$$

$$1000_2 = -7$$

$$1111_2 = -0?$$

Addition is nicer: just add the one's complement numbers as if they were normal binary.

Really annoying having a  $-0$ : two numbers are equal if their bits are the same or if one is 0 and the other is  $-0$ .





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## Two's Complement Numbers



Really neat trick: make the most significant bit represent a *negative* number instead of positive:

$$1101_2 = -8 + 4 + 1 = -3$$

$$1111_2 = -8 + 4 + 2 + 1 = -1$$

$$0111_2 = 4 + 2 + 1 = 7$$

$$1000_2 = -8$$

Easy addition: just add in binary and discard any carry.

Negation: complement each bit (as in one's complement) then add 1.

Very good property: no  $-0$

Two's complement numbers are equal if all their bits are the same.

# Number Representations Compared

Bits	Binary	Signed Mag.	One's Comp.	Two's Comp.
0000	0	0	0	0
0001	1	1	1	1
⋮				
0111	7	7	7	7
1000	8	-0	-7	-8
1001	9	-1	-6	-7
⋮				
1110	14	-6	-1	-2
1111	15	-7	-0	-1

Smallest number

Largest number

# Fixed-point Numbers



How to represent fractional numbers? In decimal, we continue with negative powers of 10:

$$31.4159 = 3 \times 10^1 + 1 \times 10^0 + 4 \times 10^{-1} + 1 \times 10^{-2} + 5 \times 10^{-3} + 9 \times 10^{-4}$$

The same trick works in binary:

$$\begin{aligned} 1011.0110_2 &= 1 \times 2^3 + 0 \times 2^2 + 1 \times 2^1 + 1 \times 2^0 + \\ &\quad 0 \times 2^{-1} + 1 \times 2^{-2} + 1 \times 2^{-3} + 0 \times 2^{-4} \\ &= 8 + 2 + 1 + 0.25 + 0.125 \\ &= 11.375 \end{aligned}$$

## Need a bigger range? Try Floating Point Representation.

Floating point can represent very large numbers in a compact way.

A lot like scientific notation,  $-7.776 \times 10^3$ , where you have the *mantissa* ( $-7.776$ ) and *exponent* (3).

But for this course, think in binary:  $-1.10 \times 2^{0111}$

The bits of a 32-bit word are separated into fields. The IEEE 754 standard specifies

- ▶ which bits represent which fields (bit 31 is sign, bits 30-23 are 8-bit exponent, bits 22-00 are 23-bit fraction)
- ▶ how to interpret each field

# Characters and Strings? ASCII.

## The ASCII code

American Standard Code for Information Interchange

[www.theasciicode.com.ar](http://www.theasciicode.com.ar)

ASCII control characters		
DEC	HEX	Símbolo ASCII
00	00h	NULL (carácter nulo)
01	01h	SOH (inicio encabezado)
02	02h	STX (inicio texto)
03	03h	ETX (fin de texto)
04	04h	EOT (fin transmisión)
05	05h	ENQ (enquiry)
06	06h	ACK (acknowledgement)
07	07h	BEL (timbre)
08	08h	BS (retroceso)
09	09h	HT (tab horizontal)
10	0Ah	LF (salto de línea)
11	0Bh	VT (tab vertical)
12	0Ch	FF (form feed)
13	0Dh	CR (retorno de carro)
14	0Eh	SO (shift Out)
15	0Fh	SI (shift In)
16	10h	DL (data link escape)
17	11h	DC1 (device control 1)
18	12h	DC2 (device control 2)
19	13h	DC3 (device control 3)
20	14h	DC4 (device control 4)
21	15h	NAK (negative acknowledge)
22	16h	SYN (synchronous idle)
23	17h	ETB (end of trans. block)
24	18h	CAN (cancel)
25	19h	EM (end of medium)
26	1Ah	SUB (substitute)
27	1Bh	ESC (escape)
28	1Ch	FS (file separator)
29	1Dh	GS (group separator)
30	1Eh	RS (record separator)
31	1Fh	US (unit separator)
127	20h	DEL (delete)

ASCII printable characters					
DEC	HEX	Símbolo	DEC	HEX	Símbolo
32	20h	espacio	64	40h	@
33	21h	!	65	41h	A
34	22h	"	66	42h	B
35	23h	#	67	43h	C
36	24h	\$	68	44h	D
37	25h	%	69	45h	E
38	26h	&	70	46h	F
39	27h	'	71	47h	G
40	28h	(	72	48h	H
41	29h	)	73	49h	I
42	2Ah	*	74	4Ah	J
43	2Bh	+	75	4Bh	K
44	2Ch	,	76	4Ch	L
45	2Dh	.	77	4Dh	M
46	2Eh	-	78	4Eh	N
47	2Fh	_	79	4Fh	O
48	30h	0	80	50h	P
49	31h	1	81	51h	Q
50	32h	2	82	52h	R
51	33h	3	83	53h	S
52	34h	4	84	54h	T
53	35h	5	85	55h	U
54	36h	6	86	56h	V
55	37h	7	87	57h	W
56	38h	8	88	58h	X
57	39h	9	89	59h	Y
58	3Ah	:	90	5Ah	Z
59	3Bh	;	91	5Bh	[
60	3Ch	<	92	5Ch	\
61	3Dh	=	93	5Dh	}
62	3Eh	>	94	5Eh	^
63	3Fh	?	95	5Fh	_

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Extended ASCII characters								
DEC	HEX	Símbolo	DEC	HEX	Símbolo	DEC	HEX	Símbolo
128	80h	Ç	160	A0h	á	192	C0h	À
129	81h	ü	161	A1h	â	193	C1h	Á
130	82h	é	162	A2h	í	194	C2h	Â
131	83h	à	163	A3h	ó	195	C3h	Ã
132	84h	á	164	A4h	ü	196	C4h	Ä
133	85h	ä	165	A5h	ñ	197	C5h	Å
134	86h	å	166	A6h	*	198	C6h	Æ
135	87h	ç	167	A7h	°	199	C7h	ß
136	88h	ê	168	A8h	¸	200	C8h	À
137	89h	ë	169	A9h	¸	201	C9h	Á
138	8Ah	è	170	AAh	¸	202	CAh	Â
139	8Bh	ì	171	ABh	¸	203	CBh	Ã
140	8Ch	í	172	ACH	¸	204	CCh	Ä
141	8Dh	î	173	ADh	¸	205	CDh	Å
142	8Eh	ã	174	AEh	¸	206	CEh	Æ
143	8Fh	ä	175	AFh	¸	207	CFh	ß
144	90h	Å	176	B0h	¸	208	DOh	À
145	91h	æ	177	B1h	¸	209	D1h	Á
146	92h	Æ	178	B2h	¸	210	D2h	Â
147	93h	ó	179	B3h	¸	211	D3h	Ã
148	94h	ô	180	B4h	¸	212	D4h	Ä
149	95h	õ	181	B5h	¸	213	D5h	Å
150	96h	ù	182	B6h	¸	214	D6h	Æ
151	97h	ú	183	B7h	¸	215	D7h	À
152	98h	ý	184	B8h	¸	216	D8h	Á
153	99h	Û	185	B9h	¸	217	D9h	Â
154	9Ah	Ü	186	BAh	¸	218	DAh	Ã
155	9Bh	Û	187	Bbh	¸	219	DBh	Ä
156	9Ch	£	188	BCh	¸	220	DCh	Å
157	9Dh	ø	189	Bdh	¸	221	DDh	Æ
158	9Eh	x	190	BEh	¸	222	DEh	À
159	9Fh	f	191	Bfh	¸	223	Dfh	Á