

Effective Dynamic Detection of Alias Analysis Errors

Jingyue Wu Gang Hu Yang Tang Junfeng Yang

Columbia University

{jingyue.ganghu,ty,junfeng}@cs.columbia.edu

Abstract

Alias analysis is perhaps one of the most crucial and widely used analyses, and has attracted tremendous research efforts over the years. Yet, advanced alias analyses are extremely difficult to get right, and the bugs in these analyses are most likely the reason that they have not been adopted to production compilers. This paper presents NEONGOBY, a system for effectively detecting errors in alias analysis implementations, improving their correctness and hopefully widening their adoption. NEONGOBY works by dynamically observing pointer addresses during the execution of a test program and then checking these addresses against an alias analysis for errors. It is explicitly designed to (1) be agnostic to the alias analysis it checks for maximum applicability and ease of use and (2) detect alias analysis errors that manifest on real-world programs and workloads. It reduces false positives and performance overhead using a practical selection of techniques. Evaluation on three popular alias analyses and real-world programs Apache and MySQL shows that NEONGOBY effectively finds 29 alias analysis bugs with only 2 false positives and reasonable overhead. To enable alias analysis builders to start using NEONGOBY today, we have released it open-source at <https://github.com/alias-checker>, along with our error detection results and proposed patches.

Categories and Subject Descriptors D.2.4 [Software Engineering]: Software/Program Verification; D.2.5 [Software Engineering]: Testing and Debugging; F.3.2 [Logics and Meanings of Programs]: Semantics of Programming Languages

General Terms Algorithms, Design, Reliability, Experimentation

Keywords Error Detection, Alias Analysis, Dynamic Analysis

1. Introduction

Alias analysis answers queries such as “whether pointers p and q may point to the same object.” It is perhaps one of the most crucial and widely used analyses, and the foundation for many advanced tools such as compiler optimizers [34], bounds checkers [14, 20, 32], and verifiers [13, 15, 16, 30, 37]. Unsurprisingly, a plethora of research [8, 25, 27, 40] over the last several decades has been devoted to improve the precision and speed of alias analysis, and PLDI and POPL alone have accepted over 37 alias analysis papers since 1998 [36]. (Most citation lists in this paragraph are seriously incomplete for space.)

Unfortunately, despite our reliance on alias analysis and the tremendous efforts to improve it, today’s production compilers still use the most rudimentary and imprecise alias analyses. For instance, the default alias analysis in LLVM for code generation, `basicaa`, simply collapses all address-taken variables into one abstract location; the default alias analysis in GCC is type-based and marks all variables of compatible types aliases. These imprecise analyses may cause compilers to generate inefficient code.

We believe the key reason hindering the adoption of advanced alias analyses is that they are extremely difficult to get right. Ad-

vanced alias analyses tend to require complex implementations to provide features such as flow sensitivity, context sensitivity, and field sensitivity and to handle corner cases such as C unions, external functions, function pointers, and wild `void*` and `int` casts. As usual, complexity leads to bugs. Buggy alias results at the very least cause research prototypes to yield misleading evaluation numbers. For instance, our evaluation shows that LLVM’s `anders-aa`, implementing an interprocedural Andersen’s algorithm, is actually *less* precise than `basicaa` (§8.1.1) after we fixed 13 `anders-aa` bugs. Worse, buggy alias results cause optimizers to generate incorrect code, commonly believed to be among the worst possible bugs to diagnose. Moreover, they compromise the safety of bounds checkers and verifiers, yet this safety is crucial because these tools often have high compilation, runtime, or manual overhead, and are applied only when safety is paramount.

This paper presents NEONGOBY,¹ a system for effectively detecting errors in alias analysis implementations, improving their correctness and hopefully vastly widening their adoption. We explicitly designed NEONGOBY to be agnostic to the alias analysis it checks: the only requirement is a standard `MayAlias(p, q)` interface that returns true if p and q may alias and false otherwise.² This minimum requirement ensures maximum applicability and ease of use. To check an alias analysis with NEONGOBY, a user additionally chooses a test program and workload at her will. For instance, she can choose a large program such as Apache and MySQL and a stressful workload that together exercise many diverse program constructs, such as the corner cases listed in the previous paragraph. This flexibility enables NEONGOBY to catch alias analysis bugs that manifest on real-world programs and workloads.

Given the test program, NEONGOBY instruments the program’s pointer definitions to track pointer addresses. The user then runs the instrumented program on the workload, and NEONGOBY dynamically observes pointer addresses and checks them against the alias analysis. It emits bug reports if the addresses contradict the alias results, *i.e.*, the pointers did alias during the test run based on the dynamically observed addresses (henceforth referred to as *addresses*) but the alias analysis states that the two pointers never alias. We use `DidAlias(p, q)` to refer to NEONGOBY’s algorithm for determining whether pointers p and q did alias. The invariant NEONGOBY checks is thus `DidAlias(p, q) \rightarrow MayAlias(p, q)`. To ease discussion, we use `DidAlias/MayAlias` to refer to both the corresponding algorithm and the set of pointer pairs on which `DidAlias/MayAlias` returns true.

Although the idea of dynamically checking alias analysis enjoys conceptual simplicity, implementing it faces a key challenge: how to reduce false positives, a major factor limiting the usefulness and

¹We name our system after the neon goby fish which helps other fish by cleaning external parasites off them.

²NEONGOBY can be easily extended to check must-alias but few alias analyses implement a more-than-shallow must-alias analysis.

```

// no alias if field-sensitive
struct {char f1; char f2;} s;
p = &s->f1;
q = &s->f2;

// no alias if flow-sensitive
for(i=0; i<2; ++i)
  p = ADDR[i];
q = ADDR[0]; // p's address is ADDR[1]

// no alias if context-sensitive
void *foo(void *arg) {
  void *p = malloc(...);
  void *q = arg; // p is freshly allocated, so doesn't alias q
  return p;
}
foo(foo(NULL));

```

Figure 1: False positive examples caused by sensitivities.

adoption of error detection tools [9]. False positives arise from two main sources:

First, a naive `DidAlias` algorithm may be less precise than the alias analysis checked. Figure 1 shows three examples on which an imprecise `DidAlias` may emit false positives. Specifically, if an imprecise `DidAlias` considers pointers with one byte apart as aliases (because they likely point to the same object), it may emit a false positive for a field-sensitive alias analysis on the first example; if it considers pointers ever assigned the same address as aliases, it may emit a false positive for a flow-sensitive alias analysis on the second example or for a context-sensitive alias analysis on the third example. To reduce such false positives while remaining agnostic to the alias analysis checked, NEONGOBY must provide a very precise `DidAlias`.

Second, the same observed address of a pointer is not always intended to refer to the same object, which occurs for two reasons. Spatially, pointers may have invalid addresses (e.g., go off bound or be assigned undefined values). For instance, an off-by-one pointer for marking the end of an array may accidentally have the same address as a pointer to the next object. Temporally, the same piece of memory may be reused for different objects. For instance, two heap memory allocations may return the same address if the first allocation is freed before the second allocation. Thus, NEONGOBY cannot simply claim that two pointers did alias if their addresses are identical; instead, it may need to track whether a pointer is valid and, if so, what object it points to. This problem appears familiar to the problem bounds checkers solve, but it is actually very different: NEONGOBY assumes a test program is largely correct and runs it to detect alias analysis errors, whereas bounds checkers are for preventing buffer overflow attacks. Thus, it is an overkill for NEONGOBY to borrow complex bounds-checking techniques such as tracking base and bounds for each pointer.

A secondary challenge facing NEONGOBY is performance overhead. NEONGOBY is designed to detect errors, so overhead is typically not a big issue. However, NEONGOBY is also designed to detect alias analysis errors that manifest on real-world programs, and large overhead may disturb the executions of these programs [29], such as triggering excessive timeouts. Moreover, different users may have different resource budgets and coverage goals when testing their alias analyses. Since users can best decide what overhead is reasonable, NEONGOBY should provide them the flexibility to make their own tradeoffs between bugs and overhead.

NEONGOBY addresses these challenges using three ideas. First, it provides two checking modes, enabling a user to select the mode best suited for her alias analysis, test program, and workload. In

the *offline* mode, NEONGOBY logs pointer definitions to disk when running a test program, and checks the log after the test run finishes. Since checking does not slow down the test run, NEONGOBY affords to check more thoroughly: it checks alias queries on pointers potentially in different functions, or *interprocedural queries*. However, the logging overhead in the offline mode may be high, so NEONGOBY offers another mode to reduce overhead. In the *online* mode, NEONGOBY checks alias queries on pointers only in the same function, or *intraprocedural queries*,³ with efficient inlined assertions, but it may miss some bugs the offline mode catches.

Second, NEONGOBY further reduces performance overhead without losing bugs using an optimization we call *delta checking*. This optimization assumes a correct baseline alias analysis, such as LLVM’s `basicaa`, often simple enough to have few bugs. NEONGOBY then checks only the pointer pairs that may alias according to the baseline but not the checked alias analysis. By reducing the pointer pairs to check, NEONGOBY reduces overhead.

Third, NEONGOBY employs a practical selection of techniques to reduce false positives. For instance, NEONGOBY considers that two addresses do not alias even if they are one byte apart, avoiding false positives on a field-sensitive alias analysis. In addition, it versions memory, so if a piece of memory is reused, the addresses before and after the reuse get different versions.

We implemented NEONGOBY within the LLVM compiler [3] and checked three popular LLVM alias analyses, including (1) the aforementioned `basicaa`, LLVM’s default alias analysis; (2) the aforementioned `anders-aa`, later used as the basis for two alias analyses [27, 31]; (3) and `ds-aa`, a context-sensitive, field-sensitive algorithm with full heap cloning [25], later used by [7, 11, 15, 39]. To check these analyses, we selected two real-world programs MySQL and Apache and the workloads their developers use. NEONGOBY found 29 bugs in `anders-aa` and `ds-aa`, including 24 previously unknown bugs, with only 2 false positives and reasonable overhead. We have reported five bugs to `ds-aa` developers, one of which has been patched [5]. (Hopefully, `ds-aa` developers will have more time working on the fixes after the PLDI deadline.)

This paper makes four main contributions: (1) our formulation of an approach that dynamically checks general alias analysis with the invariant `DidAlias(p,q) → MayAlias(p,q)`; (2) NEONGOBY, a long overdue system toward improving advanced alias analyses into production quality and widening their adoption; (3) a practical selection of techniques to reduce false positives and overhead; and (4) our evaluation results, including real bugs found in two LLVM alias analyses and our proposed patches. Our key inspiration is our anecdotal struggles with some existing alias analyses in our research, so we hope that alias analysis builders can start applying NEONGOBY to improve their alias analyses into production-quality analyses today. As such, we have released it open-source at <https://github.com/alias-checker>, along with our error detection results and proposed patches.

2. An Example and Overview

Figure 2 shows an example test program. It has three pointers: `p` and `q` in function `main`, and `r` in `bar`. Among these pointers, only `q` and `r` alias. Suppose `buggyaa`, a buggy alias analysis misses this only alias pair and reports no alias for all pointers.

To check `buggyaa` with this test program using the offline mode of NEONGOBY, a user first compiles the code into `example.bc` in LLVM’s intermediate representation (IR), and runs the following three commands:

```

% neongoby --offline --instrument example.bc
% ./example.inst
% neongoby --check example.bc example.log buggyaa

```

³ Intraprocedural queries can still be answered by interprocedural analyses.

```

void bar(int *r) { *r = 1; } // r aliases q
int main() {
  int *p = (int *)malloc(sizeof(int));
  free(p);
  int *q = (int *)malloc(sizeof(int)); // memory reuse
  bar(q);
  free(q);
  return 0;
}

```

Figure 2: Example test program.

The first command instruments the program for checking: (1) it transforms the program to avoid false positives caused by memory reuse, off-bound pointers, and undefined values and (2) it inserts a logging operation after each pointer definition or memory allocation to log information for offline checking. The second command runs the instrumented program `example.inst` to generate a log of pointer definitions and memory allocations. The third command checks this log against `buggyaa` for errors. It first computes `DidAlias` for all three pairs of pointers, including pointers not in the same function. It excludes pair `p,q` and pair `p,r` from `DidAlias` even if the two `malloc()` calls return the same address because the versions of the address are different. It includes pair `q,r` in `DidAlias` because `q` and `r` share the same address and version. `NEONGOBY` then checks `DidAlias` against `buggyaa`, emitting an error report because `MayAlias(q,r)` returns false. To diagnose this error, the user can run `NEONGOBY` to dump log records or slice the log for records explaining why `q` and `r` did alias.

To check `buggyaa` with this test program using the online mode of `NEONGOBY`, a user runs the following commands:

```

% neongoby --online example.bc buggyaa
% ./example.ck

```

The first command iterates through each function in `example.bc`, queries `buggyaa` on each pair of pointers in the function, and, if `MayAlias` on the two pointers returns false, embeds an assertion that the two pointers never alias at runtime. In this example, `NEONGOBY` embeds an assertion `“assert(p!=q || p==NULL)”` after the second `malloc()`. The online mode prevents the two memory allocations from returning the same address using a simple trick of deferring memory deallocation. The second command runs the instrumented program `example.ck` to check whether this assertion may be triggered, which never happens.

Each mode of `NEONGOBY` has pros and cons. The offline mode checks more thoroughly, whereas the online mode checks only intraprocedural queries, missing the bug in `buggyaa`. The offline mode can reuse one log to check multiple alias analyses, amortizing the cost of running the tests, whereas the online mode can check only one alias analysis at a time. However, the offline mode has to log information to disk because the log may grow larger than the RAM for some real-world programs and workloads, and on-disk logging can be costly. In contrast, the inlined assertions the online mode embeds are much faster to check. By providing two modes of operations, `NEONGOBY` enables a user to select the mode that suits her purpose.

3. Offline Mode

This section describes how `NEONGOBY` operates in the offline mode. Figure 3 shows the offline mode architecture. It has three components: the *instrumenter*, *logger*, and *offline detector*. Given a program in LLVM’s intermediate representation (IR), the instrumenter transforms the program to avoid false positives and inserts logging operations to collect runtime information. When a user runs the instrumented program, the logger records pointer defini-

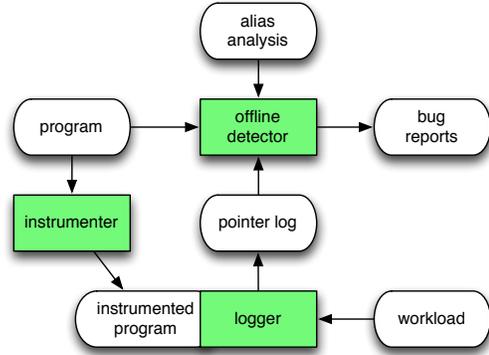


Figure 3: Architecture of the offline mode.

tions and memory allocations to disk. Since the logger runs within a test program, we explicitly designed it to be simple and stateless, reducing runtime overhead and avoiding perturbing the execution of the test program. After the run finishes, the offline detector checks the log against an alias analysis and emits error reports. Since the logger is much simpler than the other components, we focus on describing the instrumenter (§3.1) and offline detector (§3.2), and give a brief discussion at the end of this section (§3.3).

3.1 Instrumenter

The instrumenter does five main transformations, the first to ensure that the logger and the offline detector can consistently refer to the pointer variables in a program, the second to collect pointer addresses, and the last three to reduce false positives caused by memory reuse, off-bound pointers, and undefined values. We describe the five transformations below and highlight some of the differences between `NEONGOBY` and bounds checkers.

Assigning IDs to pointers. The logger and offline detector run in different phases, so they need a consistent way to refer to the pointers in a program. To identify the pointers, the instrumenter traverses the program’s control flow graph and assigns to the n^{th} visited static pointer variable a numeric ID of n . To keep IDs consistent, the offline detector uses the same deterministic algorithm (depth-first traversal in our implementation) to assign IDs to pointers. Bounds checkers need not assign IDs to pointers because they cannot defer checking to offline.

Instrumenting pointer definitions. To catch errors caused by all different types of pointers, `NEONGOBY` instruments all pointer definitions which assign addresses to pointers. Function pointers and global pointers are particularly crucial: they are widely used in real-world programs such as `MySQL` and `Apache`, yet they are often mishandled by alias analyses. Our experiments found 7 bugs caused by mishandled function and global pointers (§8.1.1).

`NEONGOBY` logs the following four types of pointer definitions: pointer assignment (`p = addr`), pointer load (`p = *addr`), function argument passing, and global variable initialization. `NEONGOBY` instruments a pointer assignment or load by inserting `“log_ptr(ptr, addr)”` right after the definition where `ptr` is `p`’s statically assigned ID, `addr` is the address assigned, and `log_ptr` is a logging operation that, when executed, appends the ID and the assigned address to the current on-disk log. `NEONGOBY` adds calls to `log_ptr` similarly for function arguments at function entries and for global variables at the entry of the `main` function. Bounds checkers must also track these pointer definitions to propagate pointer base and bound information.

Instrumenting memory allocations. As discussed in §1, NEONGOBY cannot use only addresses to compute `DidAlias` because the same address may point to different objects if memory is reused. To enable the offline detector to handle memory reuse, NEONGOBY instruments all memory allocations, including the allocations of heap, stack, and global variables. (Although global memory cannot be reused, NEONGOBY also instruments it to handle all memory allocations uniformly.) For each allocation, it inserts `log_alloc(addr, size)` to record the allocation address and size. For stack variables, NEONGOBY inserts `log_alloc` at the function entry. For global variables, NEONGOBY inserts `log_alloc` at the entry of `main`. For heap variables, NEONGOBY inserts `log_alloc` after a call to one of the following functions:

1. C memory allocation functions: `malloc`, `calloc`, `valloc`, `realloc`, and `memalign`;
2. C++ `new` (mangled names `_Znwj`, `_Znwm`, `_Znaj`, and `_Znam`);
3. Other library functions: `strdup`, `_strdup`, and `getline`.

Users can easily add more heap allocation functions. (One tricky point is that a memory allocation such as “`p = malloc(...)`” is also a pointer definition, and NEONGOBY must insert `log_alloc` before `log_ptr` for the offline detector to correctly handle memory reuse (§3.2). Another point is that LLVM shares memory between two constant strings for space if one is the suffix of the other; to avoid these false aliases, NEONGOBY disables this optimization.)

To reduce logging overhead, NEONGOBY does not instrument memory deallocations, such as `free()` calls, and relies on the offline detector to lazily discover when memory is freed. Thus, a corner case such as “`free(q); p = q;`” may cause NEONGOBY to emit a false positive on a flow-sensitive alias analysis that understands `free`: although `p` and `q` have the same address, they technically point to nothing. However, such a case almost never occurs in real programs. No false positives of this type occurred in our experiments on real-world programs (§8.1.2). Bounds checkers in contrast must handle memory deallocations if they want to catch use-after-free errors.

Handling off-bound pointers. Pointers may be assigned off-bound addresses that accidentally alias other pointers, causing false positives. Fortunately, most programs use off-bound pointers only to mark the ends of arrays, and these pointers are off by only one byte. This type of off-bound pointer is also the only type allowed by the ANSI C standard. To eliminate false positives caused by off-by-one pointers, NEONGOBY transforms a program to add one extra byte for each memory allocation, a technique borrowed from [24]. NEONGOBY currently does not handle other off-bound pointers because they occur very rarely, and we experienced no false positives caused by these pointers in our experiments (§8.1.2). Bounds checkers in contrast may have to handle these pointers because their false positives are fatal and abort executions.

Handling undefined values. Variables may be uninitialized and have undefined pointer values that accidentally look like addresses of other pointers. These values may further propagate through assignments, such as assignments of a `struct` with an uninitialized pointer field to another `struct`, causing NEONGOBY to log bogus addresses and emit false positives. NEONGOBY handles undefined pointer values by setting them to `NULL` because `NULL` aliases nothing. It does so for (1) global variable initializations and (2) LLVM SSA’s ϕ -instructions⁴ because these constructs frequently contain undefined values. To reduce performance overhead, NEONGOBY does not reset stack and heap variables’ undefined values which rarely occur in assignments. Bounds checkers in contrast may have to handle all undefined pointer values to avoid fatal false positives.

⁴An LLVM ϕ -instruction uses an undefined value to indicate that the variable is not initialized along an incoming edge to the basic block.

3.2 Offline Detector

Given a log of pointer definitions and memory allocations, NEONGOBY’s offline detector finds alias analysis errors in two steps. First, it scans the log to compute the `DidAlias` results. Second, it checks `DidAlias` against an alias analysis and emits error reports.

From a high level, NEONGOBY computes `DidAlias` results as follows. It maintains two maps: a (conceptual) map V from an address to a version number for handling memory reuse, and a map P from a pointer’s unique ID to an address and version for tracking where pointers point to. We use a *location* to refer to an address-version pair. Given a log, NEONGOBY scans the records sequentially from the beginning. Upon a memory allocation record, NEONGOBY updates V to assign a new version number for the addresses within the allocated range, so the same addresses get different versions before and after this allocation. Upon a pointer definition record l with pointer ptr and address $addr$, NEONGOBY searches V for $l.addr$ ’s current version and updates P to make $l.ptr$ point to location $\langle l.addr, V[l.addr] \rangle$. It then searches P for pointers that point to the same location; for each such pointer p , it adds $\langle l.ptr, p \rangle$ and $\langle p, l.ptr \rangle$ to `DidAlias`. (Note that $\langle l.ptr, l.ptr \rangle$ is in `DidAlias` because a pointer aliases itself.)

As discussed in §1, NEONGOBY must be very precise when computing `DidAlias` to avoid false positives. The algorithm described above is field-sensitive because it considers that two pointers did alias only when their locations are identical, which requires their addresses to be identical. It is flow-sensitive because its pointer map P maintains only the current location of a pointer. This technique also makes it largely context-sensitive because P almost always contains consistent pointer-to-location mappings from the latest call of a function. In rare cases, P may contain mappings from different calls of a function, causing false positives. Fixing these false positives is easy: simply log function calls and returns. However, based on our evaluation (§8.1.2), these false positives hardly occurs, so we opted not to log function calls or returns to reduce logging overhead.

Once NEONGOBY computes the `DidAlias` results, it checks an alias analysis as follows. It iterates through each pointer pair in `DidAlias`, and checks that the pair is also in `MayAlias`. It emits an error report otherwise. Since the `DidAlias` results do not depend on the alias analysis checked, NEONGOBY can reuse them to check multiple alias analyses, amortizing the cost of logging and computing `DidAlias`.

Our actual algorithm to detect errors offline, shown in Algorithm 1, does two optimizations for space and speed. The first optimization implements the address-to-version map V with an interval tree [10] whose key is an address range and value the version number of the entire address range (lines 4 and 10). An interval tree is much more space-efficient than a version number per address. Upon a memory allocation record, NEONGOBY removes V ’s existing address ranges that overlap with the allocated range (because these ranges must have been freed), increments a global version number, and inserts the new range and version to V . Second, instead of scanning the pointer map P for pointers that point to the same location, NEONGOBY maintains a reverse map Q from a location back to pointers (lines 3, 13, and 18). With these optimizations, the space complexity of our algorithm is $O(|P| + |M| + |DidAlias|)$, and the time complexity is $O(|L|(\log |M| + \log |P| + N \log |DidAlias|))$, where $|M|$ is the number of memory allocations in the log, and N is the maximum size of $Q[location]$. In our experiments, N never exceeds 400, $|M|$ is typically 1% of $|L|$, and the size of `DidAlias` is less than 10^6 .

3.3 Discussion

Some of the problems NEONGOBY addresses, such as tracking pointer definitions and handling memory reuse, off-bound point-

Algorithm 1: Offline detection algorithm

Input: program $Prog$, alias analysis A , and log L

```

1 OfflineDetection( $Prog, A, L$ )
2    $P[\forall pointer] \leftarrow \langle null, 0 \rangle$  // pointer-to-location map
3    $Q[\forall location] \leftarrow \emptyset$  // location-to-pointers map
4    $V[\forall address] \leftarrow 0$  // address-to-version map
5    $V_G \leftarrow 0$  // global version number
6    $DidAlias \leftarrow \emptyset$  // pointer pairs that did alias
7   foreach record  $l \in L$  do
8     if  $l$  is MemAllocRecord then
9        $V_G \leftarrow V_G + 1$ 
10       $V[l.start \dots l.end] \leftarrow V_G$ 
11     else if  $l$  is PointerRecord then
12       if  $P[l.ptr] \neq \langle null, 0 \rangle$  then
13          $Q[P[l.ptr]] \leftarrow Q[P[l.ptr]] \setminus l.ptr$ 
14          $P[l.ptr] \leftarrow \langle null, 0 \rangle$ 
15       if  $l.addr$  is not null then
16         let  $location \triangleq \langle l.addr, V[l.addr] \rangle$  in
17            $P[l.ptr] \leftarrow location$ 
18            $Q[location] \leftarrow Q[location] \cup l.ptr$ 
19           foreach pointer  $p \in Q[location]$  do
20              $DidAlias \leftarrow DidAlias \cup \langle l.ptr, p \rangle$ 
21              $DidAlias \leftarrow DidAlias \cup \langle p, l.ptr \rangle$ 
22       foreach pointer pair  $\langle p, q \rangle \in DidAlias$  do
23         if not  $A.MayAlias(p, q)$  then // MayAlias uses  $Prog$ 
24           ReportError( $\langle p, q \rangle$ )

```

	Bounds	NEONGOBY
online only	Yes	No
use alias analysis	Maybe	No
pointer definition	Yes	Yes
pointer metadata	Yes	No
pointer dereference	Yes	No
allocation	Yes	Yes
deallocation	Yes	No
off-bound-pointer	Yes	Only off-by-one
undefined value	Yes	Only global init & ϕ -instruction

Table 1: *Different techniques in bounds checkers and NEONGOBY.*

ers, and undefined values, overlap with what bounds checkers must handle. However, NEONGOBY has very different assumptions and goals than bounds checkers: it assumes a test program is largely correct, and uses the program to detect errors in alias analyses, whereas bounds checkers prevent buffer overflow attacks to a program. False negatives in bounds checkers may lead to exploits, and false positives wrongly abort executions. In contrast, the effects of NEONGOBY’s false positives and negatives are much less serious. Because of these differences, it is an overkill for NEONGOBY to borrow complex bounds-checking techniques.

Table 1 summarizes the different techniques in typical bounds checkers and NEONGOBY. Bounds checkers must check buffer overflows online to stop exploits, whereas NEONGOBY can defer costly detection completely offline. Bounds checkers may assume a correct alias analysis (and other static analyses) and use them to remove unnecessary checks, whereas NEONGOBY is intended to detect errors in alias analyses. Bounds checkers need to maintain pointer base and bound information with fat pointers, maps, or trees [14, 24], which break backward compatibility or have high overhead. In contrast, NEONGOBY maintains no pointer metadata. Bounds checkers check pointer dereferences and track memory deallocations to catch bugs, whereas NEONGOBY does

Algorithm 2: Online mode

Input: program $Prog$ and alias analysis A

```

1 OnlineInstrumentation( $Prog, A$ )
2   foreach function  $F \in Prog$  do
3     foreach pointer definition pair  $\langle p, q \rangle \in F$  do
4       if  $p$  reaches  $q$  and not  $A.MayAlias(p, q)$  then
5         insert “assert( $p \neq q$  or  $p$  is null)” after  $q$ 
6       foreach external function call  $C$  freeing a heap object do
7         replace  $C$  with “call deferred_free”

```

neither. Bounds checkers may need to accurately handle pointers off by more than one bytes and undefined values in stack and heap variables to avoid wrongly aborting executions, whereas NEONGOBY ignores these cases.

4. Online Mode

NEONGOBY’s offline mode checks interprocedural alias queries to find more bugs, but its logging may be costly. Thus, NEONGOBY provides an online mode to reduce performance overhead. This section describes how NEONGOBY operates in the online mode.

The online mode focuses on checking intraprocedural queries because they are often considered more crucial than interprocedural queries. For instance, compiler optimizations tend to issue mostly intraprocedural queries. To check intraprocedural queries, NEONGOBY embeds the alias analysis checks as regular program assertions into a test program. NEONGOBY reports an alias analysis bug if one of the assertions fails when a user runs the test program. These assertions are much cheaper than costly on-disk logging at runtime, as shown in our experiments (§8.3).

Algorithm 2 shows the algorithm to embed the checks. It iterates through each pair of point definitions p and q of a function (line 3), and inserts an assertion “**assert**($p \neq q \mid \mid p = \text{NULL}$)” (line 5) if $A.MayAlias(p, q)$ returns false (line 4). One issue is that the inserted assertion requires that both p and q are defined. NEONGOBY solves this issue with a standard control flow reachability analysis (line 4), and inserts the assertion only if p ’s definition reaches q . (If pointer p is undefined along some incoming edges to q ’s basic block, NEONGOBY creates a new ϕ -instruction using LLVM’s SSA transformation, not shown in Algorithm 2.)

To avoid false positives caused by memory reuse, off-bound pointers, and undefined values, the online mode borrows the techniques from the offline mode, with one refinement: it no longer versions memory. The insight is that NEONGOBY checks only intraprocedural queries in the online mode, so it need handle only heap memory reuse, which can be handled in a much simpler way. Specifically, it defers heap memory deallocations so the allocations almost always return different addresses. To do so, it replaces functions that free heap memory, including C’s `free` and C++’s `delete` (mangled names `_Zd1Pv` and `_ZdaPv`), with a function that queues the free request without actually freeing memory. When the queue is full, NEONGOBY processes half of the queued requests, ensuring that heap memory reuse occurs after at least $n/2$ free operations where n is the queue capacity. By default, n is 20K, large enough that no false positives of this type occurred in our experiments.

One additional advantage of the online mode is that the embedded assertions explicitly inform us what to check, enabling NEONGOBY to leverage symbolic execution tools such as KLEE and WOODPECKER [12] to generate inputs that cause the assertions to fail. We leave this for future work.

If a function has an extremely large number (denoted n) of pointers that do not alias each other, the online mode need insert $O(n^2)$ assertions, which may run slower than the $O(n)$ logging operations inserted by the offline mode. To avoid high overhead

caused by such pathological cases, NEONGOBY bounds the number of assertions it inserts to 10^6 for each function, and switches to the offline mode for the function otherwise. In our experiments, we did encounter one such case: a yacc-generated function called `MYSQLparse` in MySQL needs much more than 10^6 assertions, so NEONGOBY always checks this function offline (§8.2).

5. Delta Checking

NEONGOBY provides an optimization called *delta checking* to speed up both online and offline modes without losing any error. The insight is that not all pointer pairs are equally hard to handle by an alias analysis, so NEONGOBY can focus on checking the hard-to-handle pairs and skip the easy ones. To compute what pairs are easy, NEONGOBY takes a user-specified baseline alias analysis assumed to be simple enough to be correct. It then skips checking all pointer pairs p and q on which the baseline’s `MayAlias(p, q)` returns false. Intuitively, if an imprecise baseline alias analysis can infer that two pointers do not alias, then most likely they never alias in any execution, so `DidAlias` would return false and NEONGOBY would not find any error on the pointers.

We envision two ways this optimization can be used. First, a user specifies an alias analysis she trusts, such as `basicaa` which computes very conservative alias results, then enjoys speedup without losing errors when applying NEONGOBY to check an advanced alias analysis. Second, an alias analysis builder incrementally checks each precision improvement she makes to her alias analysis. For instance, if her alias analysis reports 10 pointer pairs that each do not alias prior to the improvement and 50 pairs after, she can use NEONGOBY to check this difference of 40 pairs each indeed never alias on some test programs and workloads.

To implement delta checking for the offline mode, we simply change line 23 in Algorithm 1 to

```
if  $B.$ MayAlias( $p, q$ ) and not  $A.$ MayAlias( $p, q$ )
```

where B is the baseline alias analysis. To implement delta checking for the online mode, we simply change line 4 in Algorithm 2 to

```
if  $p$  reaches  $q$  and  $B.$ MayAlias( $p, q$ ) and not  $A.$ MayAlias( $p, q$ )
```

Our results using `basicaa` as baseline show delta checking reduces compilation time, offline detection time, and runtime overhead.

6. Implementation

We implemented NEONGOBY in LLVM. It works with version 3.0 and above. It consists of 5,403 lines of C++ code, with 909 for the instrumenter, 168 for the logger, 875 for the offline detector, 642 for the online mode, and the remaining 2,809 for common utilities.

In the remaining of this section, we describe three additional techniques within NEONGOBY: the first to further reduce overhead (§6.1), the second to help users diagnose error reports (§6.2), and the third to support multiprocess or multithreaded programs (§6.3).

6.1 Detecting Errors Using Dereferenced Pointers Only

Dereferenced pointers are presumably more crucial than the ones not dereferenced, so are the alias results on dereferenced pointers. Thus, NEONGOBY provides users an option to detect alias analysis errors using only dereferenced pointers, including the pointers used in load and store instructions and those passed to external functions because NEONGOBY conservatively assumes that these functions dereference their pointer arguments. Although NEONGOBY with this option may lose some alias analysis errors, it enjoys two benefits. First, the error reports are of higher quality because they are on the more crucial pointers. Second, NEONGOBY runs faster when checking fewer pointer pairs in both offline and online modes. We evaluate this bugs v.s. overhead tradeoff in §8.3.

6.2 Simplifying Error Diagnosis

When NEONGOBY reports an error, it emits two pointers that did alias yet are not marked as aliases by the checked alias analysis. To diagnose such a report, it may be time consuming to manually inspect all records in the log, so NEONGOBY provides a diagnosis tool to slice the log into a small subset of records that explains why two pointers did alias. The core idea is to trace data dependencies of the two pointers back to a common parent pointer from which both pointers are derived. NEONGOBY traces only direct data dependencies on pointers. For instance, given “ $p = q + x$ ” where p and q are both pointers, NEONGOBY only traces p ’s dependency on q , not x . Similarly, given “ $p = *q$,” NEONGOBY only traces p ’s dependency on the previous instruction that stores to the address of q , and ignores p ’s dependency on q . NEONGOBY stops tracing back when it finds the common parent pointer or it cannot trace the dependencies further due to (for example) external functions whose source is not available to NEONGOBY. To use this tool on an error report, a user needs to (re)run NEONGOBY’s logger (§3) to log more operations than pointer definitions and memory allocations, including store instructions that store pointer values and call and return instructions of functions that return pointers.

6.3 Supporting Multiprocess and Multithreaded Programs

As discussed in §1, NEONGOBY is explicitly designed to detect alias analysis bugs that manifest on real-world programs such as Apache and MySQL. These programs often use multiple threads and processes for performance and ease of programming, so NEONGOBY must handle threads and processes. It needs to do so only in the offline mode because the online mode checks intraprocedural queries. Specifically, NEONGOBY shares one log over all threads in a process, and protects the log using a mutex. It assigns one log to each process. When a process forks, NEONGOBY creates a new log for the child process. NEONGOBY can then check each log in isolation. NEONGOBY assumes race freedom as most compilers do, and data races in the worst case may cause some false positives. Fortunately, data races occur so rarely that no false positives of this type occurred in our experiments (§8.1.2).

7. Limitation

False positives. NEONGOBY assumes that test programs are largely correct and may emit false positives on buggy test programs. For instance, NEONGOBY may emit false positives on pointers off bound by many bytes (§3.1). Moreover, NEONGOBY works within a compiler, so external functions may cause false positives. For instance, if an external function frees and reallocates heap memory, NEONGOBY would miss this memory reuse.

False negatives. NEONGOBY is a dynamic tool, and detects only alias analysis errors that manifest on the executions it checks. Moreover, we explicitly designed NEONGOBY to be general to check many alias analyses with low false positives, but this generality comes at a cost: NEONGOBY cannot easily find bugs that violate a specific precision guarantee intended by an alias analysis. In our future work, we plan to specialize NEONGOBY’s checking toward specific precision guarantees by varying the precision of its `DidAlias`. In addition, although NEONGOBY checks that `DidAlias(p, q) → MayAlias(p, q)`, it cannot dynamically check that if `MayAlias(p, q)`, then there exists an execution s.t. `DidAlias(p, q)`, for the following reasons: (1) `MayAlias` may conservatively return true even if the two pointers never alias in any execution; and (2) even if the pointers do alias in some execution, the given program and workload may not trigger this execution.

8. Evaluation

We evaluated NEONGOBY on three popular LLVM alias analyses:

#	AA	File	Description
1	ds-aa	TopDownClosure.cpp:207	incomplete call graph traversal in the top-down analysis stage
2	ds-aa	StdLibPass.cpp:703	matched formal argument n to actual argument $n + 1$
3	ds-aa	n/a	symptom: missed aliases between actual parameters and the return value of an indirect call
4	ds-aa	Local.cpp:833	mishandled variable length arguments
5	ds-aa	Local.cpp:551	mishandled <code>inttoptr</code> and <code>ptrtoint</code> instructions
6	ds-aa	StdLibPass.cpp	did not handle <code>errno</code> ; pointers returned from <code>errno</code> may alias
7	ds-aa	StdLibPass.cpp	did not handle <code>getpwuid_r</code> and <code>getpwnam_r</code> , whose argument and return value alias
8	ds-aa	StdLibPass.cpp	did not handle <code>gmtime_r</code> -like functions whose return value and the 2nd argument alias
9	ds-aa	StdLibPass.cpp	did not handle <code>realpath</code> whose value and the 2nd argument alias
10	ds-aa	StdLibPass.cpp	did not handle <code>getenv</code> whose return value aliases for the same environmental variable
11	ds-aa	StdLibPass.cpp	did not handle <code>tzname</code> , an external global variable
12	ds-aa	StdLibPass.cpp	did not handle <code>getservbyname</code> whose return values may alias
13	ds-aa	StdLibPass.cpp	did not handle <code>pthread_getspecific</code> and <code>pthread_setspecific</code> ; the value stored via <code>pthread_setspecific</code> aliases that loaded via <code>pthread_getspecific</code> with the same key
14*	ds-aa	StdLibPass.cpp	did not handle <code>strtol1</code> ; the dereference of the 2nd argument may alias the 1st argument
15*	ds-aa	StdLibPass.cpp	did not handle the <code>ctype</code> family of functions; the return value of <code>__ctype_b_loc</code> -like function may alias
16*	ds-aa	StdLibPass.cpp	did not handle <code>freopen</code> whose return value may alias <code>stdin</code> , <code>stdout</code> , or <code>stderr</code>
17	anders-aa	Andersens.cpp:1882	<code>HUVa1Num</code> incorrectly marked a pointer as pointing to nothing.
18	anders-aa	Andersens.cpp:2588	mishandled indirect call arguments; points-to edge to argument n may be attached to argument $n \pm 1$
19	anders-aa	Andersens.cpp:2585	points-to nodes representing indirect calls are swapped, but argument info is not updated accordingly
20	anders-aa	Andersens.cpp:764	queries on a function pointer and a function always return no alias, even though they do alias
21*	anders-aa	Andersens.cpp	did not handle <code>inttoptr</code> and <code>ptrtoint</code> instructions
22*	anders-aa	Andersens.cpp	did not handle <code>extractvalue</code> and <code>insertvalue</code> instructions
23	anders-aa	Andersens.cpp:924	incorrect summary for <code>freopen</code> whose return value may alias the 3rd argument
24	anders-aa	Andersens.cpp	did not handle <code>__cxa_atexit</code>
25	anders-aa	Andersens.cpp	mishandled variable length arguments
26	anders-aa	Andersens.cpp	did not handle <code>pthread_create</code>
27	anders-aa	Andersens.cpp	did not handle <code>pthread_getspecific</code> and <code>pthread_setspecific</code>
28	anders-aa	Andersens.cpp	did not handle <code>strcpy</code> , <code>stpcpy</code> and <code>strcat</code> whose return value aliases the 1st arguments
29	anders-aa	Andersens.cpp	did not handle <code>getcwd</code> and <code>realpath</code>

Table 2: *Descriptions of the bugs found.* Starred bugs were either already reported by others or mentioned in the comments of the code. **File** indicates the file (and the line if there is a clear place to add the fix) containing the bug.

1. **basicaa**: LLVM’s default alias analysis, an intraprocedural, flow-insensitive analysis that collapses all address-taken variables. We chose the version of **basicaa** in LLVM 3.1.
2. **ds-aa**: a context-sensitive, field-sensitive alias analysis with full heap cloning [25], actively maintained by LLVM developers. **ds-aa** is used by [7, 11, 15]. We chose revision 160292 from **ds-aa**’s SVN repo [2].
3. **anders-aa**: an interprocedural Andersen’s alias analysis with three constraint optimizations: hash-based value numbering [18], HU [18], and hybrid cycle detection [17]. We ported the version of **anders-aa** in LLVM 2.6 to LLVM 3.1.⁵

Both **anders-aa** and **ds-aa** have much better quality than typical research-grade analyses; **ds-aa** in particular is used by many researchers, regularly tested, and actively maintained.

Our test programs are MySQL and Apache, two widespread server programs. Our workloads are benchmarks used by the server developers themselves: **SysBench** [4] for MySQL, which randomly selects, updates, deletes and inserts database records; and **ApacheBench** [1] for Apache, which repeatedly downloads a webpage. We compiled these programs and benchmarks with Clang 3.1 and `-O3`. Since MySQL and Apache are server programs, we quantified NEONGOBY’s overhead on them by measuring throughput.

⁵ **anders-aa** was maintained up to LLVM 2.6, so we ported it to LLVM 3.1 with a patch that removes 67 lines and adds 115. This patch is included in our release of NEONGOBY. It does not change **anders-aa**’s functionality; it merely fixes compatibility issues between LLVM 2.6 and 3.1: it replaces debug output `dout` with `dbg`s; migrates **anders-aa**’s handling of an allocation instruction because LLVM 3.1 replaces this instruction with other instructions; adds code to handle a new type of constant (`ConstantDataSequential`); and changes the alias query interface to include sizes. For each bug found in our port, we verified that the bug also exists in the original **anders-aa**.

Our evaluation machine is a 2.80 GHz Intel dual-CPU 12-core machine with 64 GB memory running 64-bit Linux 3.2.0. We made both **SysBench** and **ApacheBench** CPU bound by fitting the database or web contents in memory; we ran both the client and the server on the same machine to avoid masking NEONGOBY’s overhead with network delay; we used four threads for the server and client, and split the total eight threads on different cores to avoid CPU contention.

The remainder of this section focuses on three questions:

- §8.1: can NEONGOBY detect many bugs with low false positives?
- §8.2: what is NEONGOBY’s overhead?
- §8.3: what are the bugs v.s. overhead tradeoffs with different NEONGOBY techniques?

8.1 Bug Detection Results

This subsection shows the bugs (§8.1.1) and false positives (§8.1.2) NEONGOBY found.

8.1.1 Bugs Found

NEONGOBY found total 29 bugs, 16 in **ds-aa** and 13 in **anders-aa**. Of the 29 bugs, 24 are previously unknown, and one **ds-aa** bug has been fixed by the developers [5]. Table 2 shows all bugs. Of the 29 bugs, seven (1, 2, 3, 17, 18, 19, and 20) are logical bugs; three (5, 21, and 22) mishandle LLVM instructions; the remaining nineteen mishandle external functions or global variables.

We pinpointed the root causes of all bugs in Table 2 to the source except bug 3. Since **anders-aa** is relatively simple, we fixed all its detected bugs. Bug 3 causes **ds-aa** to miss aliases between certain indirect calls’ actual parameters and return values when they indeed alias. We reproduced it with a 22-line C testcase, and sent the testcase to **ds-aa** developers [6]. (Our testcase differs only by one line from the running example in the paper describing **ds-aa** [25].)

	basicaa	anders-aa	fixed anders-aa
Apache	10.9%	24.3%	10.5%
MySQL	3.7%	5.1%	2.7%

Table 3: *Alias analysis precision*. Percentages are no-alias ratios.

Next we elaborate on two most interesting bugs: bug 1 in `ds-aa` and bug 17 in `anders-aa`, both cause the points-to graphs to miss edges, and they require tricky fixes.

Bug 1 is caused by an incomplete call graph traversal in `ds-aa`. `ds-aa` constructs its point-to graph in three stages: constructing a local point-to graph for each function, a bottom-up analysis to clone each callee’s point-to graph into the caller, and a top-down analysis to merge each caller’s point-to graph into the callees. The bottom-up stage computes an unsound call graph G_b , and the top-down stage computes a sound graph G_t based on G_b by merging nodes and adding missing edges. Suppose the top-down stage merges node A and B of G_b into node C of G_t . When the top-down stage traverses G_t , it needs to traverse both A and B within node C . However, the code incorrectly traverses only one of them. We reported this bug to `ds-aa` developers and they have fixed this bug.

Bug 17 is caused by an incomplete depth-first search (DFS) of the constraint graph in `anders-aa`’s implementation of the HU algorithm. `anders-aa` answers alias queries by collecting and solving load, store, assignment, and address-of constraints. It organizes these constraints in a constraint graph. It runs HU to identify the points-to sets of pointers and unify the pointers with the same points-to sets. To do so, it runs a DFS over all nodes. It keeps a visited flag per node (`Node2Visited`), and sets the flag to true when it first reaches the node. As an optimization, when visiting a node representing $*p$, if the points-to set of the node representing p is already determined to be empty, `anders-aa` simply sets the points-to set of $*p$ to be empty. The bug lies in `anders-aa`’s logic to determine when the points-to set of p is already determined: it wrongly believes the set is determined when p ’s visited flag is true, even though it has not finished exploring p ’s descendants or even initialized p ’s points-to set. We fixed this bug by adding a new flag per node to indicate when DFS has finished exploring the node.

How bugs affect precision. As discussed in §1, alias analysis bugs may cause tools to mistakenly believe that pointers do not alias when they indeed do, invalidating research findings and compromising safety. To illustrate, we measured how bugs affect alias analysis precision using LLVM’s `AliasAnalysisEvaluator`, which statically queries an alias analysis with all intraprocedural pointer pairs and computes statistics of the results. We define precision as the percentage of queries with no-alias results over all queries. Table 3 shows the precision of `basicaa`, `anders-aa`, and the `anders-aa` after we fixed all its detected bugs. Although `anders-aa` appears more precise than `basicaa` on both MySQL and Apache, the fixed `anders-aa` is actually *less* precise than the supposedly very imprecise `basicaa`. This results illustrates that buggy alias results can indeed invalidate evaluation numbers.

8.1.2 False Positives

To evaluate NEONGOBY’s false positive rate, we ran it in the most thorough way: the offline mode without any optimization; this configuration ensures that NEONGOBY finds the most number of bugs and false positives. (§8.3 shows how the number of bugs or false positives varies with different modes and optimizations.)

We classified NEONGOBY’s reports into true and false positives as follows. For `anders-aa`, NEONGOBY emitted many reports. Fortunately, one bug typically causes thousands of reports, so we classified the reports as follows. We diagnosed one report, produced a patch, re-ran NEONGOBY on the patched `anders-aa` to regenerate reports, classified the reports that disappeared as true posi-

	ds-aa		anders-aa	
	MySQL	Apache	MySQL	Apache
True +	508	217	57,533	10,198
False +	2	0	0	0
Bugs	10*	7*	13	9

Table 4: *True positives, false positives, and bugs*. The last row shows the number of bugs found from the true positive reports. The numbers of `ds-aa` bugs may be significantly larger than those shown in the table (starred) because we did not count a true positive report as a bug if we could not pinpoint its root cause in the source or reproduce it with a simple testcase.

tives, and repeated. After about 10 iterations, NEONGOBY emitted no more reports. For `ds-aa`, NEONGOBY emitted a relatively small number of reports, so we manually inspected each report. Some reports are fairly simple to diagnose, such as incorrect external function summaries. For more complex ones, we created small testcases to reproduce the problems or applied our diagnosis tool (§6.2) to compute a slice of relevant log records to simplify diagnosis. We confirmed all `ds-aa` reports into true or false positives, and pinpointed the root causes in `ds-aa`’s code for about half of the reports. We could not pinpoint the other reports or reproduce them with small testcases, so we conservatively excluded them from our bug count. Thus, the actual number of `ds-aa` bugs found may be significantly larger than what we report. We released our classification results together with NEONGOBY.

Table 4 shows the results on `ds-aa` and `anders-aa` with our test programs and workloads. (We did not include `basicaa` because NEONGOBY emitted no reports on it.) For `ds-aa`, NEONGOBY emitted 508 true positives on MySQL and 217 on Apache. For `anders-aa`, NEONGOBY emitted 57,533 true positives on MySQL and 10,198 on Apache. NEONGOBY emitted only two false positives, both of which occurred when checking `ds-aa` on MySQL. These false positives are caused by the same specific code pattern shown in Figure 4. `ds-aa` is context-sensitive, so it distinguishes `foo`’s two calls and computes that p and q do not alias. However, when running this code, NEONGOBY logs four pointer definitions: $p=ADDR0$, $q=ADDR1$, $p=ADDR1$, $q=ADDR0$. Since NEONGOBY does not log function calls or returns, its offline detection algorithm does not know that the 2nd and 3rd definitions are from different calls, and adds them to `DidAlias`. NEONGOBY could have logged calls or returns to avoid these false positives, but the additional logging overhead is not worthwhile given how rarely this specific pattern occurs and that NEONGOBY emitted only two false positives on `ds-aa` with real-world programs and workloads.

The last row of Table 4 shows the number of bugs found. NEONGOBY found at least 10 `ds-aa` bugs with MySQL and 7 with Apache. Interestingly, these two sets of bugs only overlap by one bug, illustrating NEONGOBY’s benefit of using real-world programs with diverse programming constructs as testing programs. NEONGOBY found 13 `anders-aa` bugs with MySQL and 9 with Apache, and the Apache bugs are a subset of the MySQL ones.

8.2 Overhead

To quantify NEONGOBY’s overhead, we ran it in the most optimized way: the online mode with all optimizations. (§8.3 shows how the overhead varies with different modes and optimizations.) Table 5 shows the results on `basicaa`, `anders-aa`, the fixed

```
void foo(int *p, int *q) {
    ... // p and q do not alias
}
foo(ADDR0, ADDR1);
foo(ADDR1, ADDR0);
```

Figure 4: *A simplified example causing NEONGOBY to emit a false positive.*

	MySQL					Apache				
	basicaa	anders-aa	fixed	anders-aa	ds-aa	basicaa	anders-aa	fixed	anders-aa	ds-aa
Compile	130.65	421.59	738.43		1714.02	19.21	41.73	22.46		39.37
AA	8.53	213.35	656.83		1493.30	0.53	3.93	7.01		1.90
Insert	65.30	89.60	47.72		113.26	10.35	18.88	10.49		19.07
Codegen	56.82	118.64	33.88		107.46	8.33	18.92	4.96		18.40
TPUT	59.47%	33.32%	75.16%		34.78%	68.05%	41.58%	81.95%		45.62%
Detect	48.02	244.08	679.47		1536.63	n/a	n/a	n/a		n/a

Table 5: NEONGOBY’s overhead. **Compile** shows the total compilation time including the time to query the checked alias analysis (**AA**), insert alias checks (**Insert**), and generate the executable from the transformed bitcode (**Codegen**). **TPUT** shows the relative throughput with NEONGOBY over without. **Detect** shows the offline detection time for function `MYSQLparse`; NEONGOBY checks it offline because this yacc-generated function has too many pointers (§4). All times are in seconds.

`anders-aa`, and `ds-aa`. The compilation time of Apache for every checked alias analysis is within 50s. The compilation time of MySQL is relatively longer mostly because `anders-aa` and `ds-aa` are slower on MySQL. The throughput highly depends on the precision of the alias analysis. For instance, the throughput for `ds-aa` is smaller than that for `basicaa`, because `ds-aa` is more precise. Interestingly, the bugs in `anders-aa` made it appear very “precise,” so its throughput is also small. However, after we fixed all its bugs, its throughput almost doubled. NEONGOBY checks function `MYSQLparse` offline (§4), so we also measured this time. Since NEONGOBY logged only operations from `MYSQLparse`, the log was very small, and most of the offline detection time was spent on querying the checked alias analysis.

8.3 Bugs and Overhead Tradeoffs

NEONGOBY provides both the offline and online modes and several optimizations to enable users to flexibly trade bugs for low overhead. This subsection evaluates these tradeoffs using `anders-aa` because we have understood and fixed all its bugs. We chose Apache as the test program. Table 6 shows the results.

Offline v.s. online. Columns **base** show that the online mode trades compilation time and a few bugs for significantly increased throughput and reduced detection time. With less than 230s compilation time, the online mode improves the throughput of Apache by about three times, and eliminates the offline detection time of about 1400s. It emits 62% fewer true positives (all reports are true positives), but misses only one bug. A bug often triggers many reports, so NEONGOBY can still catch a bug as long as some of its reports are emitted.

Delta checking. This optimization improves performance for both the offline and online modes without losing bugs (§5). We chose `basicaa` as the baseline. Columns **delta** show that delta checking reduces the detection time by 12.29% in the offline mode; it reduces compilation time by 16.46% and increases the throughput by 4.19% in the online mode. The improvements would be even larger if a user incrementally checks her refinements to her alias analysis.

	offline			online		
	base	delta	delta+deref	base	delta	delta+deref
Compile	3.82	3.82	2.92	226.97	189.62	41.73
TPUT	4.83%	4.83%	11.56%	15.52%	16.17%	41.58%
Detect	1373.6	1204.8	579.08	n/a	n/a	n/a
True +	10198	10198	2784	3861	3861	2068
Bugs	9	9	8	8	8	6

Table 6: Bugs and overhead tradeoffs. The **base** columns represent the baseline of the offline and online modes; **delta** with delta checking (§5); **delta+deref** with both delta checking and using dereferenced pointers only (§6.1). The row titles match Table 4 and Table 5. There is no **False +** row because NEONGOBY emitted no false positives on `anders-aa`. To collect all (true positive) reports in the online mode, we changed the online mode to emit a report upon an error instead of aborting the current execution.

Detecting errors using dereferenced pointers only. This optimization improves the performance of both modes, but may lose bugs (§6.1). Columns **delta+deref** show that this optimization reduces the compilation time by 77.99% for the online mode; it increases the throughput for both offline and online modes by 139.46% and 157.14% respectively; it reduces the offline detection time by 51.94%; and it misses 1 out of 9 bugs in the offline mode, and 2 out of 8 in the online mode.

9. Related Work

Previous sections have discussed how NEONGOBY is related to bounds checkers (or general memory safety tools); this section discusses other related work.

Alias analysis. A plethora of work has been devoted to creating faster, more precise alias analyses [8, 25, 27, 40]. This previous work is complimentary to ours because our goal is to effectively detect errors in alias analysis implementations. There have been several studies on alias analyses, though their focus is on precision and overhead, not correctness. Specifically, LLVM’s `AliasAnalysisEvaluator` collects statistics about an alias analysis, such as how many pointer pairs do not alias and how many may alias. Hind and Pioli [21] implemented six context-insensitive alias analysis algorithms and compared their precision and time and memory consumption on 24 programs up to 30 K lines of code. Jablin et al. [23] compared the performance of their system using different alias analyses, and found that the combination of research grade alias analyses [19, 25, 27] sometimes performs worse than the production-quality alias analysis in LLVM.

Software error detection. A plethora of work has also been devoted to software error detection or verification (e.g., [9, 13, 22, 28, 33, 41, 42, 44]). Most of these systems target general programs, whereas NEONGOBY targets alias analyses. These analyses take programs as inputs, do complex computations, and compute abstract results with difficult-to-specify guarantees. Thus, prior systems are not directly applicable to detect alias analysis errors. Testing [35, 43] and verifying compilers [26, 38] has also been an important topic for programming language researchers, though, to the best of our knowledge, we are not aware of any prior system for effectively detecting alias analysis errors.

10. Conclusion

We have presented NEONGOBY, a system for effectively finding alias analysis bugs. NEONGOBY dynamically observes pointer addresses and emits errors if the addresses contradict an alias analysis. Our key inspiration of this work is our anecdotal struggles with some existing alias analyses, so we hope that NEONGOBY can help improve advanced alias analyses into production-quality analyses and vastly widen their adoption. As such, we have released it open-source at <https://github.com/alias-checker>, along with our error detection results and proposed patches.

References

- [1] ab - Apache HTTP server benchmarking tool. <http://httpd.apache.org/docs/2.2/programs/ab.html>.
- [2] ds-aa's svn repository, revision 160292. <http://llvm.org/svn/llvm-project/poolalloc/trunk>.
- [3] The LLVM compiler framework. <http://llvm.org>.
- [4] SysBench: a system performance benchmark. <http://sysbench.sourceforge.net>.
- [5] Bug 12744 - Missing Call Edges, LLVM Bugzilla. http://llvm.org/bugs/show_bug.cgi?id=12744, May 2012.
- [6] Bug 14190 - Handling Function Pointers, LLVM Bugzilla. http://llvm.org/bugs/show_bug.cgi?id=14190, Oct. 2012.
- [7] T. Bergan, O. Anderson, J. Devietti, L. Ceze, and D. Grossman. CoreDet: a compiler and runtime system for deterministic multithreaded execution. In *Fifteenth International Conference on Architecture Support for Programming Languages and Operating Systems (ASPLOS '10)*, pages 53–64, Mar. 2010.
- [8] M. Berndt, O. Lhoták, F. Qian, L. Hendren, and N. Umanee. Points-to analysis using BDDs. In *Proceedings of the ACM SIGPLAN 2003 conference on Programming language design and implementation, PLDI '03*, pages 103–114, 2003.
- [9] A. Bessey, K. Block, B. Chelf, A. Chou, B. Fulton, S. Hallem, C. Henri-Gros, A. Kamsky, S. McPeak, and D. Engler. A few billion lines of code later: using static analysis to find bugs in the real world. *Commun. ACM*, 53:66–75, February 2010.
- [10] T. H. Cormen, C. E. Leiserson, R. L. Rivest, and C. Stein. *Introduction to Algorithms*. McGraw-Hill Higher Education, 2nd edition, 2001.
- [11] J. Criswell, A. Lenharth, D. Dhurjati, and V. Adve. Secure virtual architecture: a safe execution environment for commodity operating systems. In *SOSP '07: Proceedings of twenty-first ACM SIGOPS symposium on Operating systems principles*, pages 351–366, 2007.
- [12] H. Cui, G. Hu, J. Wu, and J. Yang. Verifying systems rules using rule-directed symbolic execution. In *Eighteenth International Conference on Architecture Support for Programming Languages and Operating Systems (ASPLOS '13)*, 2013.
- [13] M. Das, S. Lerner, and M. Seigle. Esp: path-sensitive program verification in polynomial time. In *Proceedings of the ACM SIGPLAN 2002 Conference on Programming Language Design and Implementation (PLDI '02)*, pages 57–68, June 2002.
- [14] D. Dhurjati and V. Adve. Backwards-compatible array bounds checking for C with very low overhead. In *Proceedings of the 28th International Conference on Software Engineering (ICSE '06)*, 2006.
- [15] D. Dhurjati, S. Kowshik, and V. Adve. SAFECode: enforcing alias analysis for weakly typed languages. In *Proceedings of the 2006 ACM SIGPLAN conference on Programming language design and implementation, PLDI '06*, pages 144–157, 2006.
- [16] I. Dillig, T. Dillig, and A. Aiken. Sound, complete and scalable path-sensitive analysis. In *Proceedings of the ACM SIGPLAN 2008 Conference on Programming Language Design and Implementation (PLDI '08)*, 2008.
- [17] B. Hardekopf and C. Lin. The Ant and the Grasshopper: fast and accurate pointer analysis for millions of lines of code. In *Proceedings of the 2007 ACM SIGPLAN conference on Programming language design and implementation, PLDI '07*, pages 290–299, 2007.
- [18] B. Hardekopf and C. Lin. Exploiting pointer and location equivalence to optimize pointer analysis. In *Proceedings of the 14th international conference on Static Analysis, SAS'07*, pages 265–280, 2007.
- [19] B. Hardekopf and C. Lin. Semi-sparse flow-sensitive pointer analysis. In *Proceedings of the 36th Annual Symposium on Principles of Programming Languages (POPL '09)*, pages 226–238, 2009.
- [20] N. Hasabnis, A. Misra, and R. Sekar. Light-weight bounds checking. In *Proceedings of the Tenth International Symposium on Code Generation and Optimization*, 2012.
- [21] M. Hind and A. Pioli. Evaluating the effectiveness of pointer alias analyses. In *Science of Computer Programming*, pages 31–55, 1999.
- [22] D. Hovemeyer and W. Pugh. Finding bugs is easy. *SIGPLAN Notices*, 39(12):92–106, Dec. 2004.
- [23] T. B. Jablin, J. A. Jablin, P. Prabhur, F. Liu, and D. I. August. Dynamically managed data for CPU-GPU architectures. In *Proceedings of the Tenth International Symposium on Code Generation and Optimization, CGO '12*, pages 165–174, 2012.
- [24] R. W. M. Jones and P. H. J. Kelly. Backwards-compatible bounds checking for arrays and pointers in C programs. In *Proceedings of The Third International Workshop on Automatic Debugging, AADEBUG '97*, pages 13–26, 1997.
- [25] C. Lattner, A. Lenharth, and V. Adve. Making context-sensitive points-to analysis with heap cloning practical for the real world. In *Proceedings of the ACM SIGPLAN 2007 Conference on Programming Language Design and Implementation (PLDI '07)*, 2007.
- [26] X. Leroy. Formal verification of a realistic compiler. *Communications of the ACM*, 52(7):107–115, July 2009.
- [27] O. Lhoták and K.-C. A. Chung. Points-to analysis with efficient strong updates. In *Proceedings of the 38th Annual Symposium on Principles of Programming Languages (POPL '11)*, pages 3–16, 2011.
- [28] V. B. Livshits and M. S. Lam. Finding security errors in Java programs with static analysis. In *Proceedings of the 14th Usenix Security Symposium*, pages 271–286, Aug. 2005.
- [29] D. Marino, M. Musuvathi, and S. Narayanasamy. Literace: Effective sampling for lightweight data-race detection. In *Proceedings of the ACM SIGPLAN 2009 Conference on Programming Language Design and Implementation (PLDI '09)*, June 2009.
- [30] M. Naik and A. Aiken. Conditional must not aliasing for static race detection. In *POPL '07: Proceedings of the 34th annual ACM SIGPLAN-SIGACT symposium on Principles of programming languages*, pages 327–338, 2007.
- [31] R. Nasre and R. Govindarajan. Prioritizing constraint evaluation for efficient points-to analysis. In *Proceedings of the 9th Annual IEEE/ACM International Symposium on Code Generation and Optimization, CGO '11*, pages 267–276, 2011.
- [32] G. C. Necula, S. McPeak, and W. Weimer. CCured: type-safe retrofitting of legacy code. In *Proceedings of the 29th Annual Symposium on Principles of Programming Languages (POPL '02)*, pages 128–139, 2002.
- [33] B. Petrov, M. Vechev, M. Sridharan, and J. Dolby. Race detection for web applications. In *Proceedings of the 33rd ACM SIGPLAN conference on Programming Language Design and Implementation, PLDI '12*, pages 251–262, 2012.
- [34] D. Prountzos, R. Manevich, K. Pingali, and K. S. McKinley. A shape analysis for optimizing parallel graph programs. In *Proceedings of the 38th Annual Symposium on Principles of Programming Languages (POPL '11)*, 2011.
- [35] J. Regehr, Y. Chen, P. Cuoq, E. Eide, C. Ellison, and X. Yang. Test-case reduction for C compiler bugs. In *Proceedings of the 33rd ACM SIGPLAN conference on Programming Language Design and Implementation, PLDI '12*, pages 335–346, 2012.
- [36] L. Shang. Pointer analysis in pldi/popl from 1998. <http://www.cse.unsw.edu.au/~shangl/topconf.htm>.
- [37] T. Shpeisman, V. Menon, A.-R. Adl-Tabatabai, S. Balensiefer, D. Grossman, R. L. Hudson, K. F. Moore, and B. Saha. Enforcing isolation and ordering in STM. In *Proceedings of the 2007 ACM SIGPLAN conference on Programming language design and implementation, PLDI '07*, pages 78–88, 2007.
- [38] Z. Tatlock and S. Lerner. Bringing extensibility to verified compilers. In *Proceedings of the 2010 ACM SIGPLAN conference on Programming language design and implementation, PLDI '10*, pages 111–121, 2010.
- [39] H. Vandierendonck, S. Rul, and K. De Bosschere. The paralax infrastructure: automatic parallelization with a helping hand. In *Proceedings of the 19th international conference on Parallel architectures and compilation techniques, PACT '10*, pages 389–400, 2010.
- [40] J. Whaley and M. S. Lam. Cloning-based context-sensitive pointer alias analysis using binary decision diagrams. In *Proceedings of the ACM SIGPLAN 2004 Conference on Programming Language Design*

and Implementation (PLDI '04), pages 131–144, June 2004.

- [41] Y. Xie and A. Aiken. Saturn: A scalable framework for error detection using boolean satisfiability. *ACM Trans. Program. Lang. Syst.*, 29(3), May 2007.
- [42] J. Yang, C. Sar, and D. Engler. Explode: a lightweight, general system for finding serious storage system errors. In *Proceedings of the Seventh Symposium on Operating Systems Design and Implementation (OSDI '06)*, pages 131–146, Nov. 2006.
- [43] X. Yang, Y. Chen, E. Eide, and J. Regehr. Finding and understanding bugs in C compilers. In *Proceedings of the 32nd ACM SIGPLAN conference on Programming language design and implementation, PLDI '11*, pages 283–294, 2011.
- [44] W. Zhang, J. Lim, R. Olichandran, J. Scherpelz, G. Jin, S. Lu, and T. Reps. ConSeq: detecting concurrency bugs through sequential errors. In *Sixteenth International Conference on Architecture Support for Programming Languages and Operating Systems (ASPLOS '11)*, pages 251–264, Mar. 2011.