

# **Statistical Parsing**

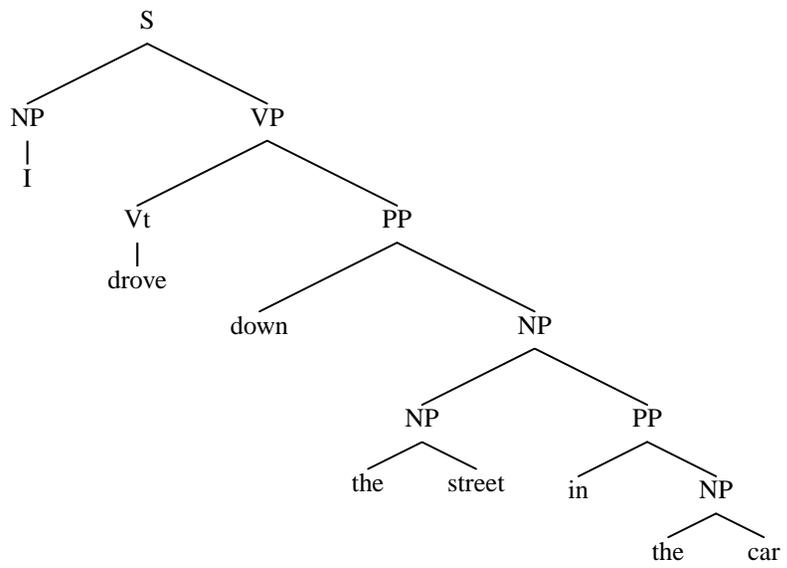
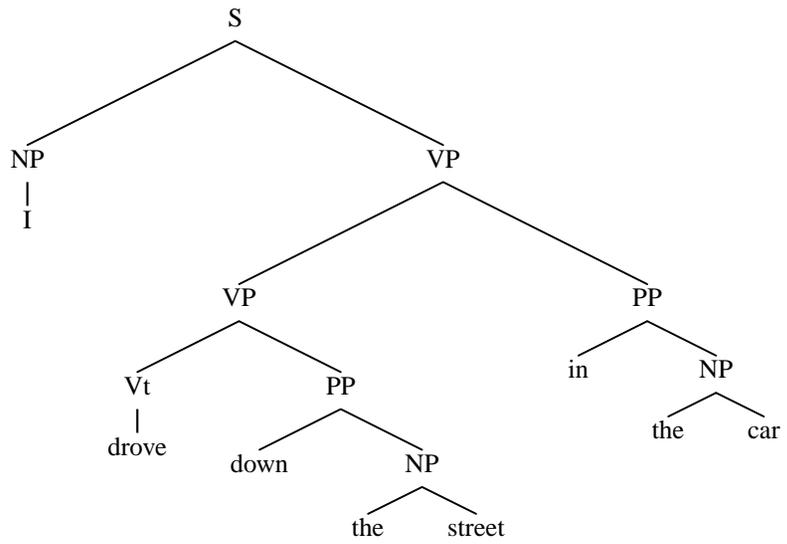
# A Context-Free Grammar

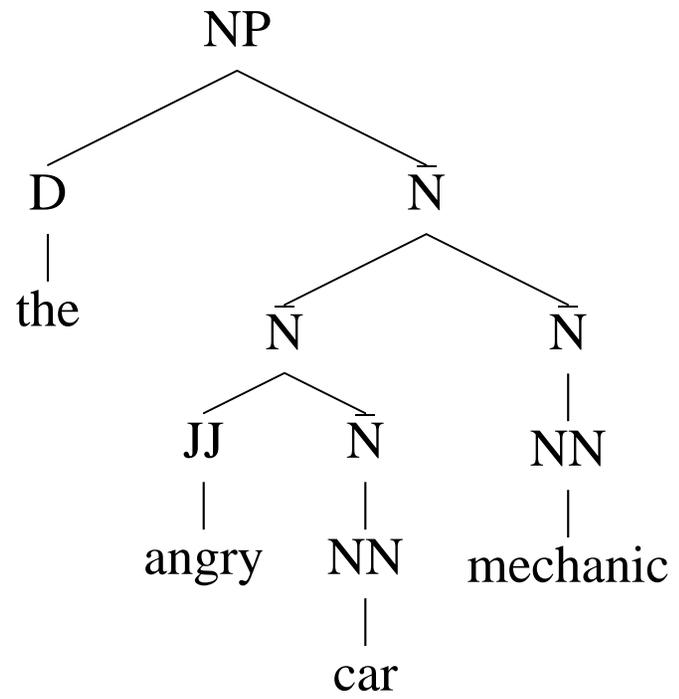
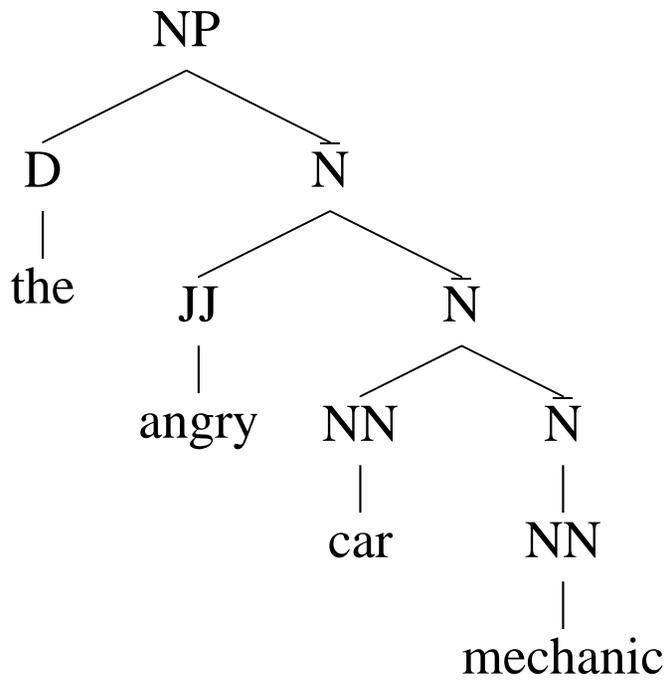
S	⇒	NP	VP
VP	⇒	Vi	
VP	⇒	Vt	NP
VP	⇒	VP	PP
NP	⇒	DT	NN
NP	⇒	NP	PP
PP	⇒	P	NP

Vi	⇒	sleeps
Vt	⇒	saw
NN	⇒	man
NN	⇒	dog
NN	⇒	telescope
DT	⇒	the
IN	⇒	with
IN	⇒	in

# Ambiguity

- A sentence of reasonable length can easily have 10s, 100s, or 1000s of possible analyses, most of which are very implausible
- Examples of sources of ambiguity:
  - Part-of-Speech ambiguity
    - NNS → walks
    - Vi → walks
  - Prepositional phrase attachment
    - I drove down the street in the car*
  - Pre-nominal modifiers
    - the angry car mechanic*





*A program to promote safety in trucks and vans*

There are at least 14 analyses for this noun phrase...

# A Probabilistic Context-Free Grammar

S	$\Rightarrow$	NP	VP	1.0
VP	$\Rightarrow$	Vi		0.4
VP	$\Rightarrow$	Vt	NP	0.4
VP	$\Rightarrow$	VP	PP	0.2
NP	$\Rightarrow$	DT	NN	0.3
NP	$\Rightarrow$	NP	PP	0.7
PP	$\Rightarrow$	P	NP	1.0

Vi	$\Rightarrow$	sleeps	1.0
Vt	$\Rightarrow$	saw	1.0
NN	$\Rightarrow$	man	0.7
NN	$\Rightarrow$	dog	0.2
NN	$\Rightarrow$	telescope	0.1
DT	$\Rightarrow$	the	1.0
IN	$\Rightarrow$	with	0.5
IN	$\Rightarrow$	in	0.5

- Probability of a tree with rules  $\alpha_i \rightarrow \beta_i$  is  $\prod_i P(\alpha_i \rightarrow \beta_i | \alpha_i)$

## DERIVATION

S  
NP VP  
DT N VP  
the N VP  
the dog VP  
the dog VB  
the dog laughs

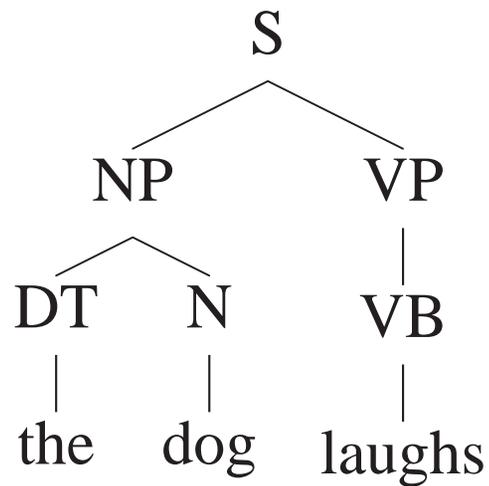
## RULES USED

$S \rightarrow NP VP$   
 $NP \rightarrow DT N$   
 $DT \rightarrow the$   
 $N \rightarrow dog$   
 $VP \rightarrow VB$   
 $VB \rightarrow laughs$

## PROBABILITY

1.0  
0.3  
1.0  
0.1  
0.4  
0.5

$$\text{PROBABILITY} = 1.0 \times 0.3 \times 1.0 \times 0.1 \times 0.4 \times 0.5$$



# Properties of PCFGs

- Say we have a sentence  $S$ , set of derivations for that sentence is  $\mathcal{T}(S)$ . Then a PCFG assigns a probability to each member of  $\mathcal{T}(S)$ . i.e., *we now have a ranking in order of probability.*
- Given a PCFG and a sentence  $S$ , we can find

$$\arg \max_{T \in \mathcal{T}(S)} P(T, S)$$

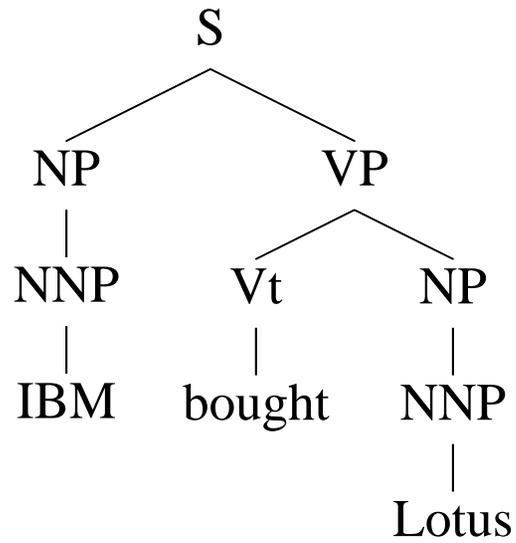
using dynamic programming (e.g., a variant of the CKY algorithm)

# Overview

- Weaknesses of PCFGs
- Heads in context-free rules
- Dependency representations of parse trees
- Two models making use of dependencies

## Weaknesses of PCFGs

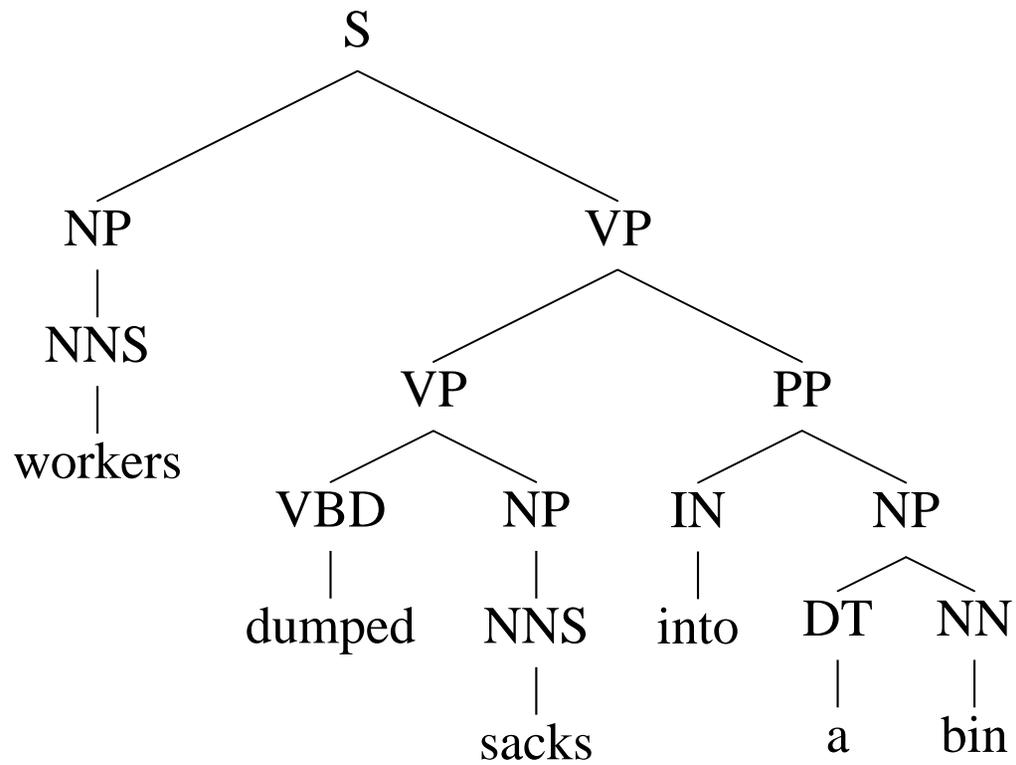
- Lack of sensitivity to lexical information
- Lack of sensitivity to structural frequencies



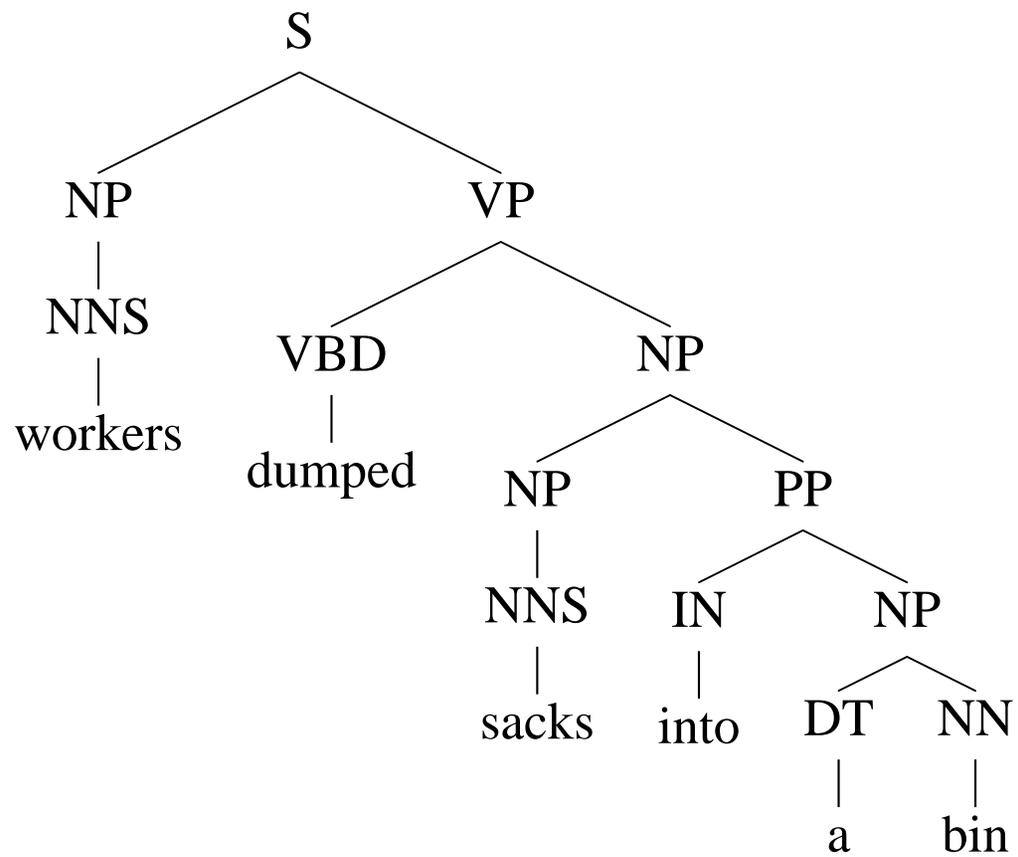
$$\begin{aligned}
 \text{PROB} = & P(S \rightarrow \text{NP VP} \mid S) && \times P(\text{NNP} \rightarrow \textit{IBM} \mid \text{NNP}) \\
 & \times P(\text{VP} \rightarrow \text{V NP} \mid \text{VP}) && \times P(\text{Vt} \rightarrow \textit{bought} \mid \text{Vt}) \\
 & \times P(\text{NP} \rightarrow \text{NNP} \mid \text{NP}) && \times P(\text{NNP} \rightarrow \textit{Lotus} \mid \text{NNP}) \\
 & \times P(\text{NP} \rightarrow \text{NNP} \mid \text{NP})
 \end{aligned}$$

# A Case of PP Attachment Ambiguity

(a)



(b)



(a)

Rules
$S \rightarrow NP VP$
$NP \rightarrow NNS$
<b><math>VP \rightarrow VP PP</math></b>
$VP \rightarrow VBD NP$
$NP \rightarrow NNS$
$PP \rightarrow IN NP$
$NP \rightarrow DT NN$
$NNS \rightarrow workers$
$VBD \rightarrow dumped$
$NNS \rightarrow sacks$
$IN \rightarrow into$
$DT \rightarrow a$
$NN \rightarrow bin$

(b)

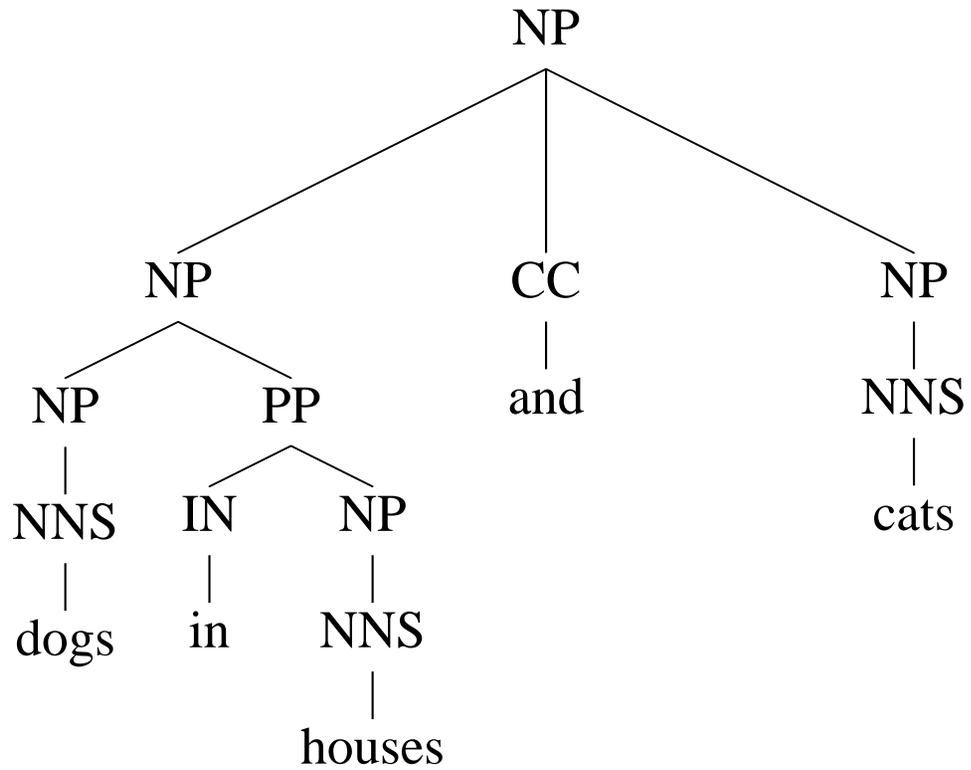
Rules
$S \rightarrow NP VP$
$NP \rightarrow NNS$
<b><math>NP \rightarrow NP PP</math></b>
$VP \rightarrow VBD NP$
$NP \rightarrow NNS$
$PP \rightarrow IN NP$
$NP \rightarrow DT NN$
$NNS \rightarrow workers$
$VBD \rightarrow dumped$
$NNS \rightarrow sacks$
$IN \rightarrow into$
$DT \rightarrow a$
$NN \rightarrow bin$

If  $P(NP \rightarrow NP PP \mid NP) > P(VP \rightarrow VP PP \mid VP)$  then (b) is more probable, else (a) is more probable.

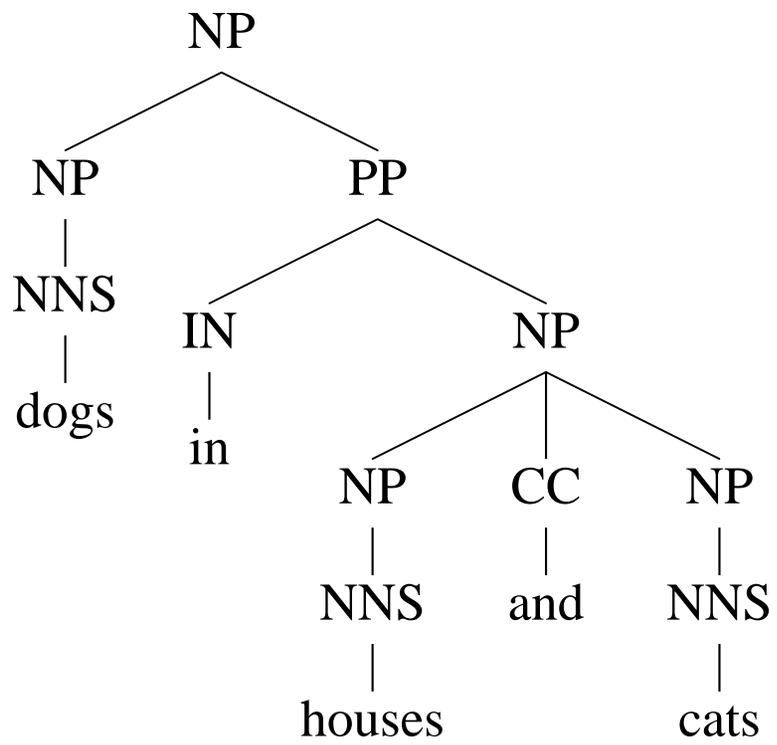
**Attachment decision is completely independent of the words**

# A Case of Coordination Ambiguity

(a)



(b)



(a)

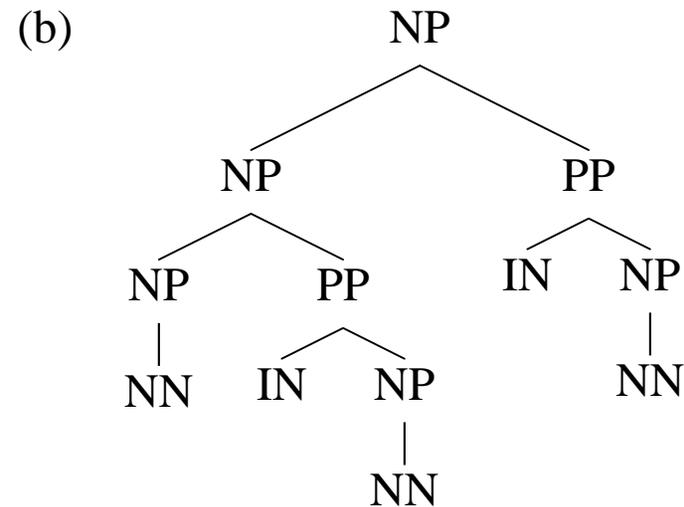
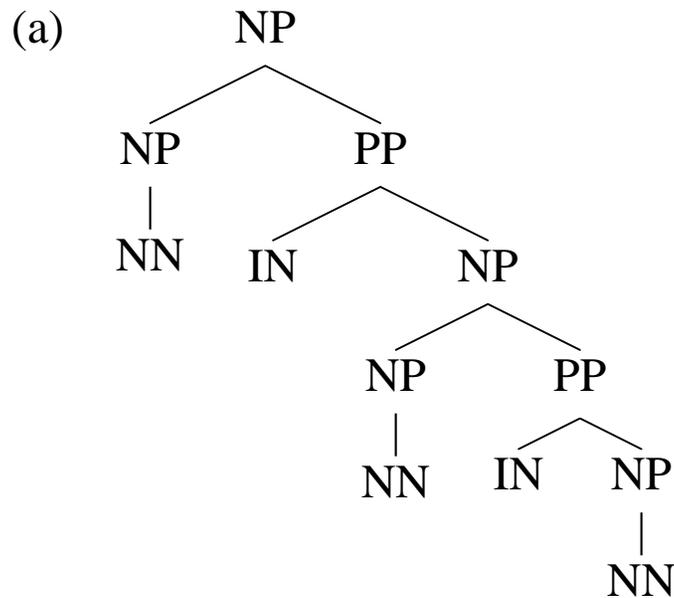
Rules
NP → NP CC NP
NP → NP PP
NP → NNS
PP → IN NP
NP → NNS
NP → NNS
NNS → dogs
IN → in
NNS → houses
CC → and
NNS → cats

(b)

Rules
NP → NP CC NP
NP → NP PP
NP → NNS
PP → IN NP
NP → NNS
NP → NNS
NNS → dogs
IN → in
NNS → houses
CC → and
NNS → cats

**Here the two parses have identical rules, and therefore have identical probability under any assignment of PCFG rule probabilities**

# Structural Preferences: Close Attachment



- Example: [president of a company in Africa](#)
- Both parses have the same rules, therefore receive same probability under a PCFG
- “Close attachment” (structure (a)) is twice as likely in Wall Street Journal text.

## Structural Preferences: Close Attachment

John was believed to have been shot by Bill

Here the low attachment analysis (Bill does the *shooting*) contains same rules as the high attachment analysis (Bill does the *believing*), so the two analyses receive same probability.

# Heads in Context-Free Rules

Add annotations specifying the “**head**” of each rule:

S	⇒	NP	<b>VP</b>
VP	⇒	<b>Vi</b>	
VP	⇒	<b>Vt</b>	NP
VP	⇒	<b>VP</b>	PP
NP	⇒	DT	<b>NN</b>
NP	⇒	<b>NP</b>	PP
PP	⇒	<b>IN</b>	NP

Vi	⇒	sleeps
Vt	⇒	saw
NN	⇒	man
NN	⇒	woman
NN	⇒	telescope
DT	⇒	the
IN	⇒	with
IN	⇒	in

Note: S=sentence, VP=verb phrase, NP=noun phrase, PP=prepositional phrase, DT=determiner, Vi=intransitive verb, Vt=transitive verb, NN=noun, IN=preposition

# Rules which Recover Heads: An Example of rules for NPs

**If** the rule contains NN, NNS, or NNP:

Choose the rightmost NN, NNS, or NNP

**Else If** the rule contains an NP: Choose the leftmost NP

**Else If** the rule contains a JJ: Choose the rightmost JJ

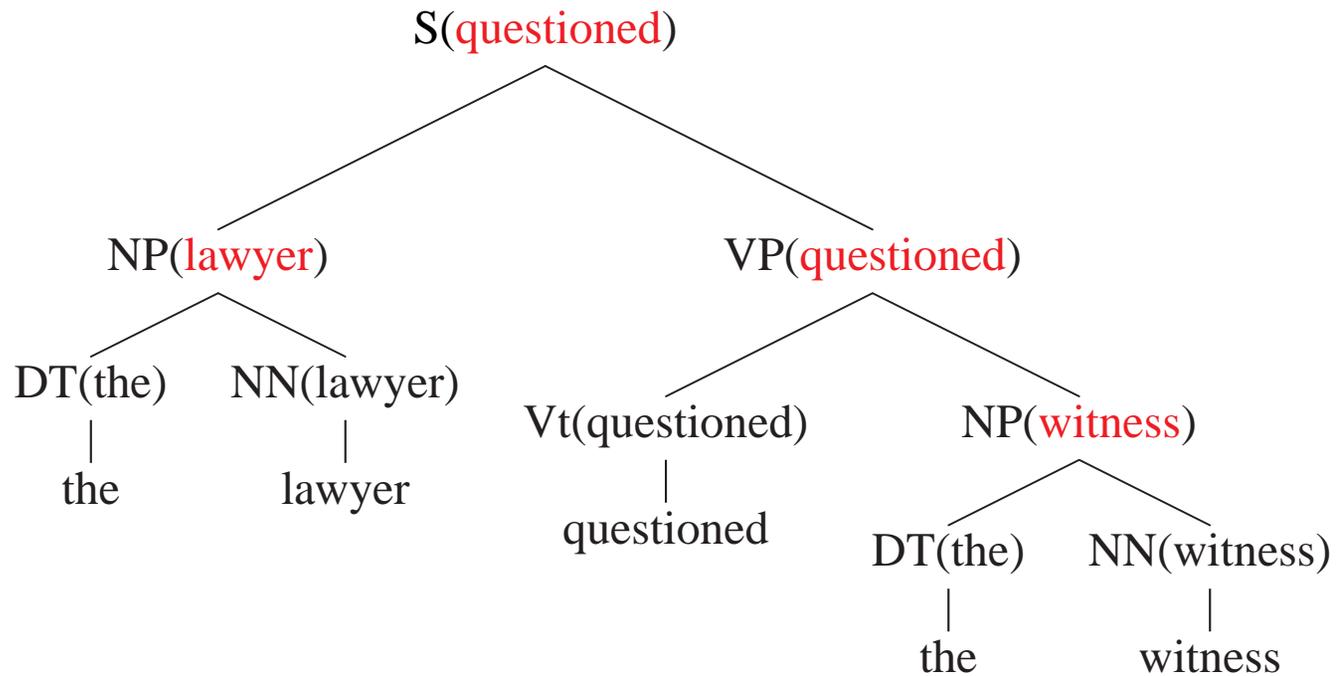
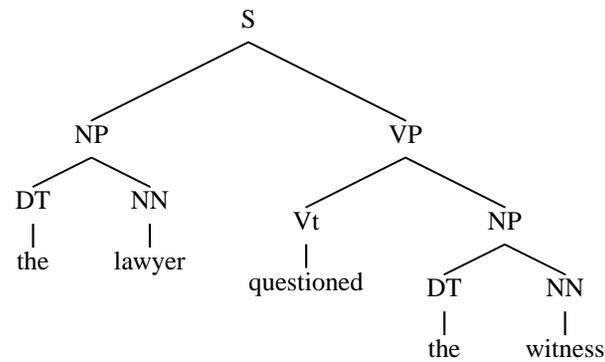
**Else If** the rule contains a CD: Choose the rightmost CD

**Else** Choose the rightmost child

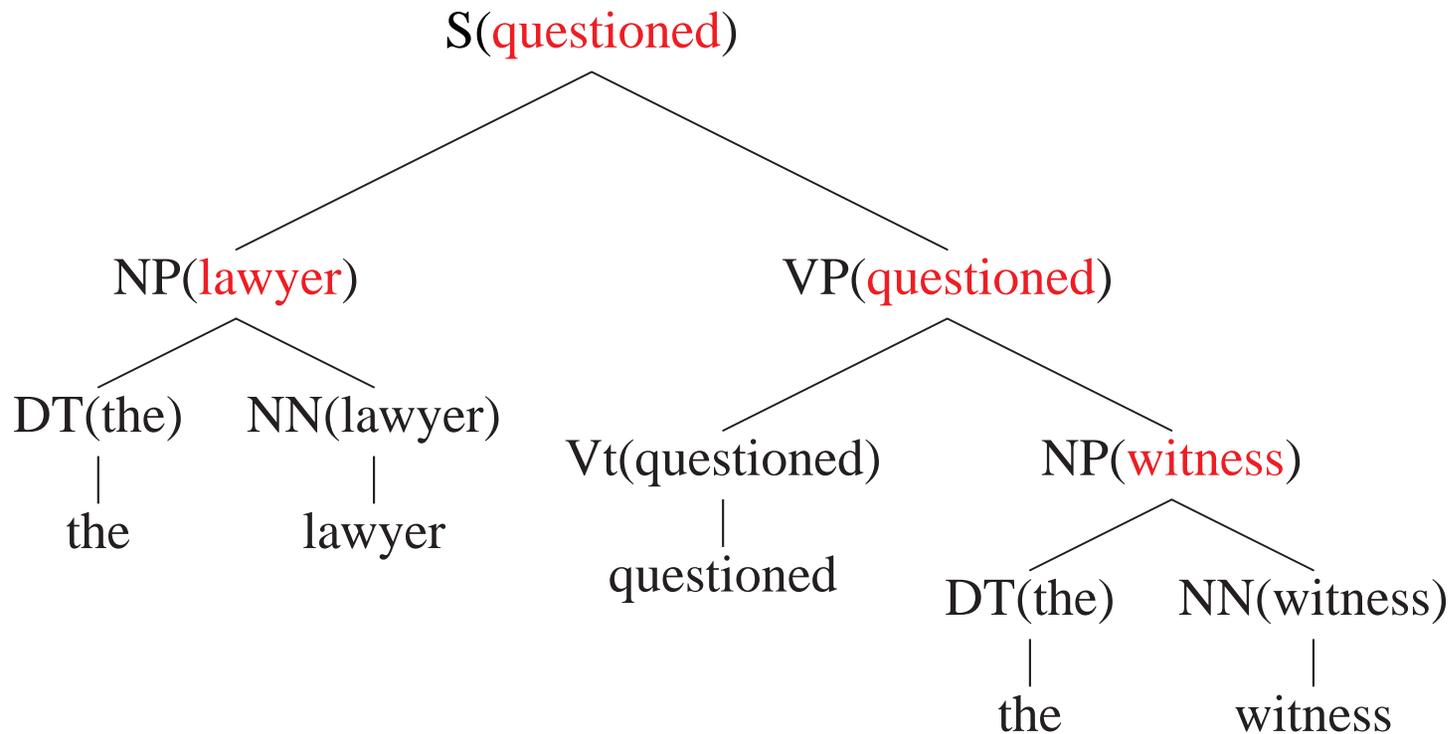
e.g.,

NP	⇒	DT	NNP	NN
NP	⇒	DT	NN	NNP
NP	⇒	NP	PP	
NP	⇒	DT	JJ	
NP	⇒	DT		

# Adding Headwords to Trees



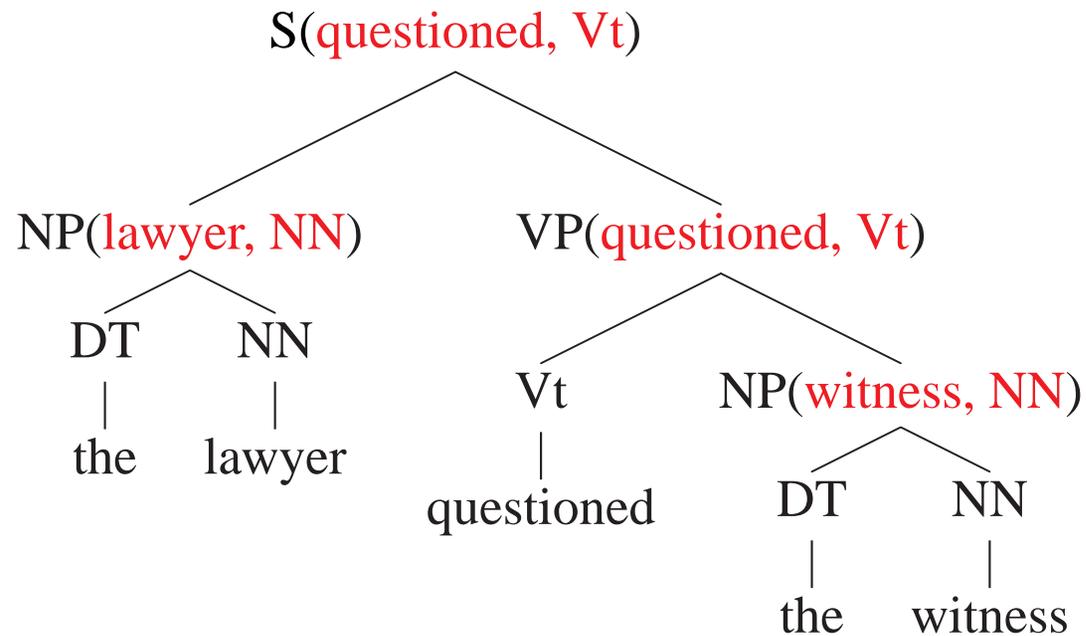
# Adding Headwords to Trees



- A constituent receives its **headword** from its **head child**.

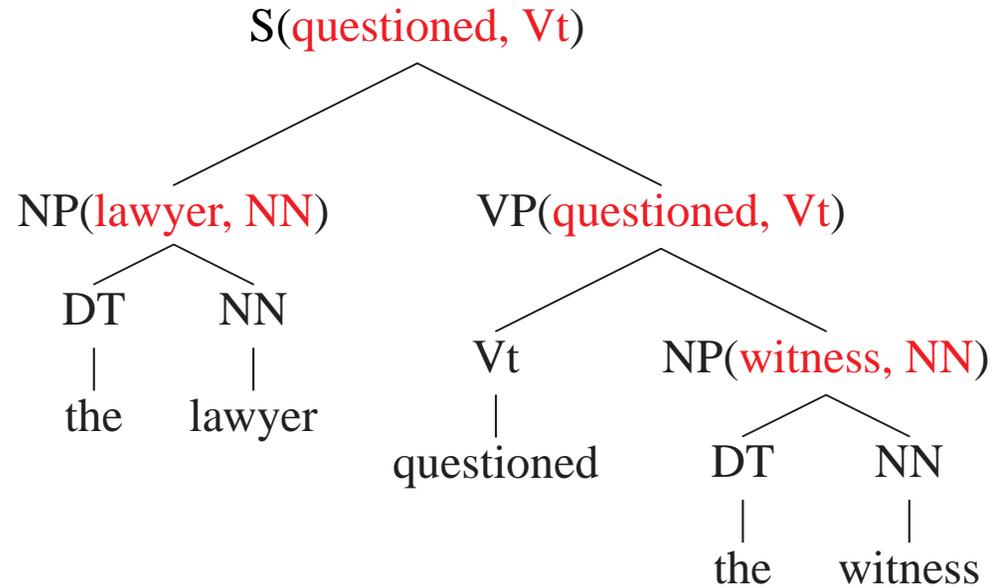
S	⇒	NP	<b>VP</b>	(S receives headword from VP)
VP	⇒	<b>Vt</b>	NP	(VP receives headword from Vt)
NP	⇒	DT	<b>NN</b>	(NP receives headword from NN)

# Adding Headtags to Trees



- 
- Also propagate **part-of-speech tags** up the trees (We'll see soon why this is useful!)

# Lexicalized PCFGs



- In PCFGs we had rules such as  $S \rightarrow NP VP$ , with probabilities such as

$$P(NP VP|S)$$

- In lexicalized PCFGs we have rules such as

$S(\text{questioned}, Vt) \rightarrow NP(\text{lawyer}, NN) VP(\text{questioned}, Vt)$

with probabilities such as

$$P(NP(\text{lawyer}, NN) VP(\text{questioned}, Vt) | S(\text{questioned}, Vt))$$

# A Model from Charniak (1997)

S(questioned, Vt)



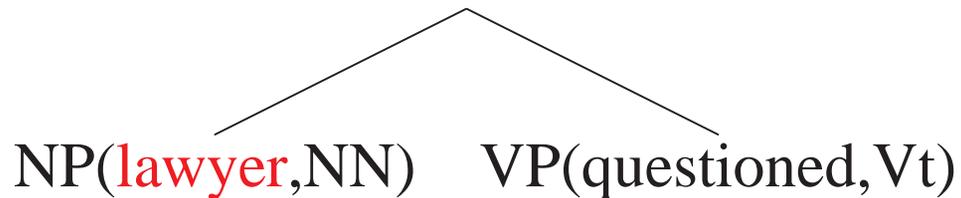
$P(\text{NP}(\_, \text{NN}) \text{ VP} \mid \text{S}(\text{questioned}, \text{Vt}))$

S(questioned, Vt)



$P(\text{lawyer} \mid \text{S}, \text{VP}, \text{NP}, \text{NN}, \text{questioned}, \text{Vt}))$

S(questioned, Vt)



## Smoothed Estimation

$$P(\text{NP}(\_, \text{NN}) \text{ VP} \mid \text{S}(\text{questioned}, \text{Vt})) =$$

$$\lambda_1 \times \frac{\text{Count}(\text{S}(\text{questioned}, \text{Vt}) \rightarrow \text{NP}(\_, \text{NN}) \text{ VP})}{\text{Count}(\text{S}(\text{questioned}, \text{Vt}))}$$

$$+ \lambda_2 \times \frac{\text{Count}(\text{S}(\_, \text{Vt}) \rightarrow \text{NP}(\_, \text{NN}) \text{ VP})}{\text{Count}(\text{S}(\_, \text{Vt}))}$$

- Where  $0 \leq \lambda_1, \lambda_2 \leq 1$ , and  $\lambda_1 + \lambda_2 = 1$

## Smoothed Estimation

$$\begin{aligned} P(\text{lawyer} \mid \text{S, VP, NP, NN, questioned, Vt}) = & \\ & \lambda_1 \times \frac{\text{Count}(\text{lawyer} \mid \text{S, VP, NP, NN, questioned, Vt})}{\text{Count}(\text{S, VP, NP, NN, questioned, Vt})} \\ & + \lambda_2 \times \frac{\text{Count}(\text{lawyer} \mid \text{S, VP, NP, NN, Vt})}{\text{Count}(\text{S, VP, NP, NN, Vt})} \\ & + \lambda_3 \times \frac{\text{Count}(\text{lawyer} \mid \text{NN})}{\text{Count}(\text{NN})} \end{aligned}$$

- Where  $0 \leq \lambda_1, \lambda_2, \lambda_3 \leq 1$ , and  $\lambda_1 + \lambda_2 + \lambda_3 = 1$

$$\begin{aligned}
& P(\text{NP}(\text{lawyer}, \text{NN}) \text{ VP} \mid \text{S}(\text{questioned}, \text{Vt})) = \\
& \left( \lambda_1 \times \frac{\text{Count}(\text{S}(\text{questioned}, \text{Vt}) \rightarrow \text{NP}(\_, \text{NN}) \text{ VP})}{\text{Count}(\text{S}(\text{questioned}, \text{Vt}))} \right. \\
& \left. + \lambda_2 \times \frac{\text{Count}(\text{S}(\_, \text{Vt}) \rightarrow \text{NP}(\_, \text{NN}) \text{ VP})}{\text{Count}(\text{S}(\_, \text{Vt}))} \right) \\
& \times \left( \lambda_1 \times \frac{\text{Count}(\text{lawyer} \mid \text{S}, \text{VP}, \text{NP}, \text{NN}, \text{questioned}, \text{Vt})}{\text{Count}(\text{S}, \text{VP}, \text{NP}, \text{NN}, \text{questioned}, \text{Vt})} \right. \\
& \left. + \lambda_2 \times \frac{\text{Count}(\text{lawyer} \mid \text{S}, \text{VP}, \text{NP}, \text{NN}, \text{Vt})}{\text{Count}(\text{S}, \text{VP}, \text{NP}, \text{NN}, \text{Vt})} \right. \\
& \left. + \lambda_3 \times \frac{\text{Count}(\text{lawyer} \mid \text{NN})}{\text{Count}(\text{NN})} \right)
\end{aligned}$$

# Motivation for Breaking Down Rules

- First step of decomposition of (Charniak 1997):

S(questioned, Vt)



$P(\text{NP}(\_, \text{NN}) \text{ VP} \mid \text{S}(\text{questioned}, \text{Vt}))$

S(questioned, Vt)

NP(\_\_\_\_, NN)    VP(questioned, Vt)

---

- Relies on counts of entire rules
- These counts are *sparse*:
  - 40,000 sentences from Penn treebank have 12,409 rules.
  - 15% of all test data sentences contain a rule never seen in training

## Motivation for Breaking Down Rules

Rule Count	No. of Rules by Type	Percentage by Type	No. of Rules by token	Percentage by token
1	6765	54.52	6765	0.72
2	1688	13.60	3376	0.36
3	695	5.60	2085	0.22
4	457	3.68	1828	0.19
5	329	2.65	1645	0.18
6 ... 10	835	6.73	6430	0.68
11 ... 20	496	4.00	7219	0.77
21 ... 50	501	4.04	15931	1.70
51 ... 100	204	1.64	14507	1.54
> 100	439	3.54	879596	93.64

Statistics for rules taken from sections 2-21 of the treebank  
(Table taken from my PhD thesis).

# Modeling Rule Productions as Markov Processes

- Step 1: generate category of head child
- 

S(told, V[6])



S(told, V[6])

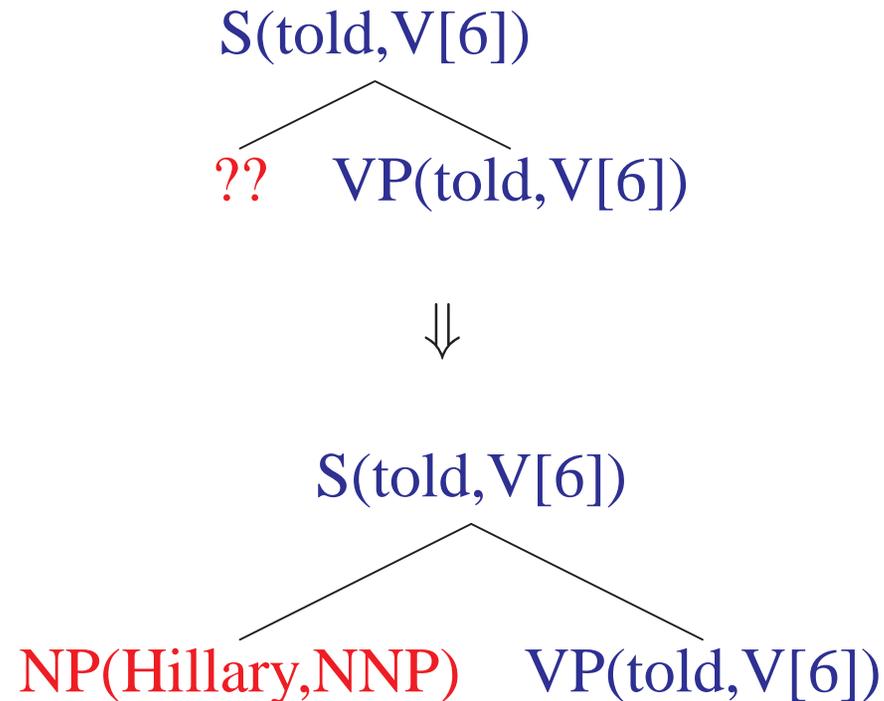


VP(told, V[6])

$P_h(\mathbf{VP} \mid S, \text{told}, V[6])$

# Modeling Rule Productions as Markov Processes

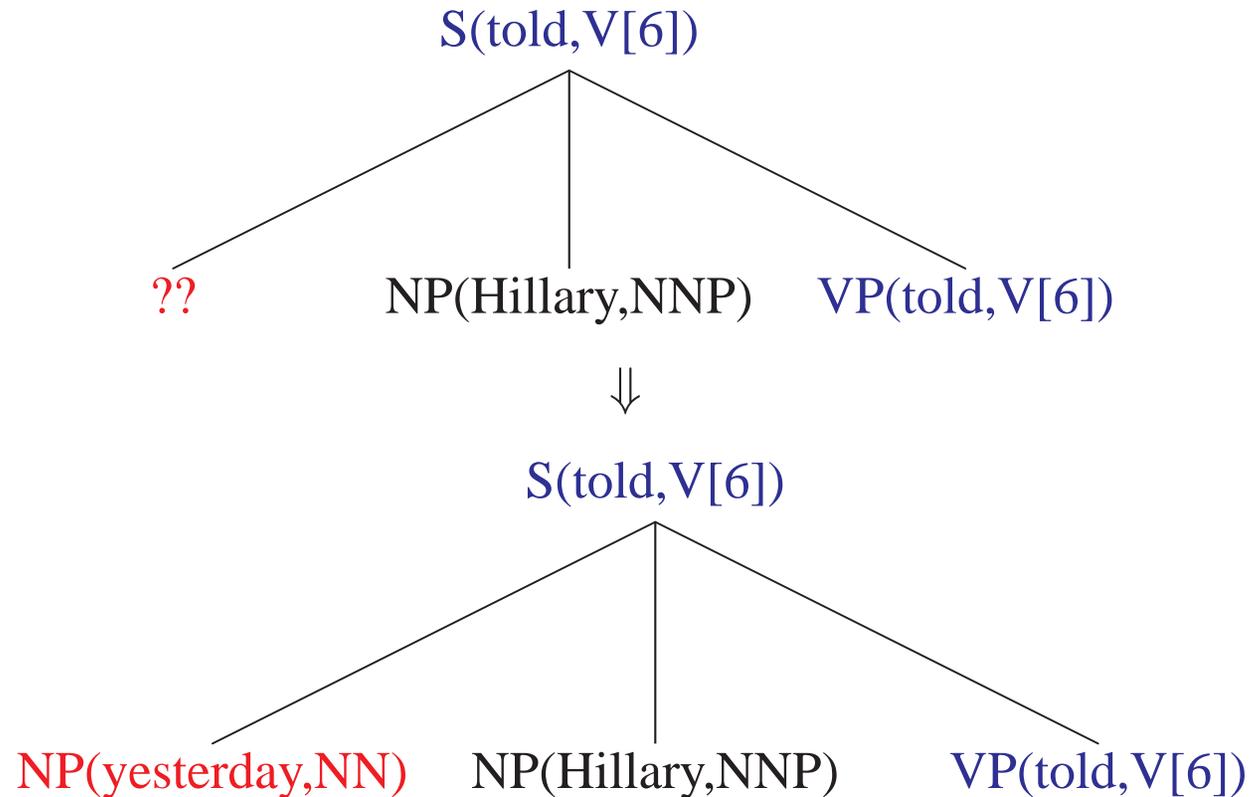
- Step 2: generate left modifiers in a Markov chain
- 



$$P_h(VP \mid S, \text{told}, V[6]) \times P_d(NP(\text{Hillary}, NNP) \mid S, VP, \text{told}, V[6], \text{LEFT})$$

# Modeling Rule Productions as Markov Processes

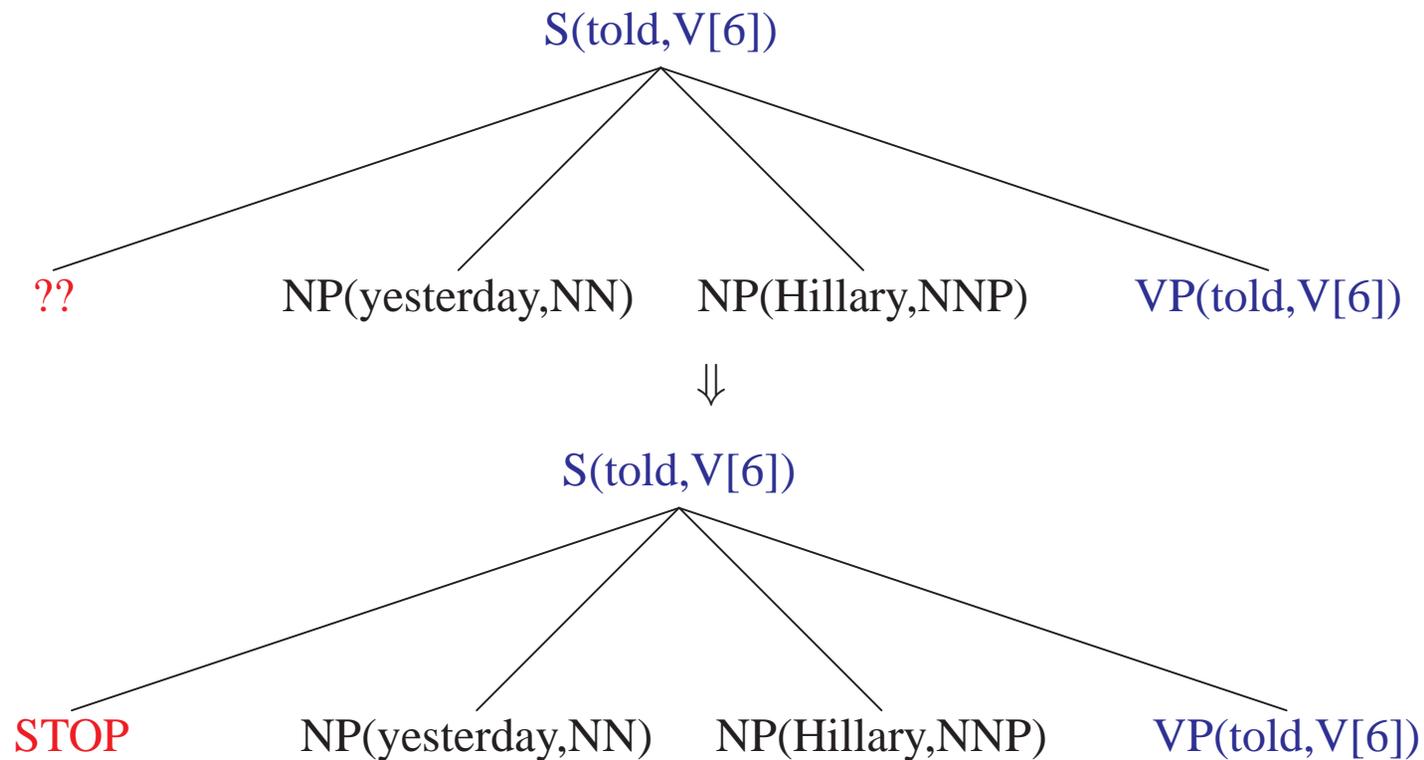
- Step 2: generate left modifiers in a Markov chain
- 



$$P_h(VP \mid S, \text{told}, V[6]) \times P_d(NP(\text{Hillary}, NNP) \mid S, VP, \text{told}, V[6], \text{LEFT}) \times P_d(NP(\text{yesterday}, NN) \mid S, VP, \text{told}, V[6], \text{LEFT})$$

# Modeling Rule Productions as Markov Processes

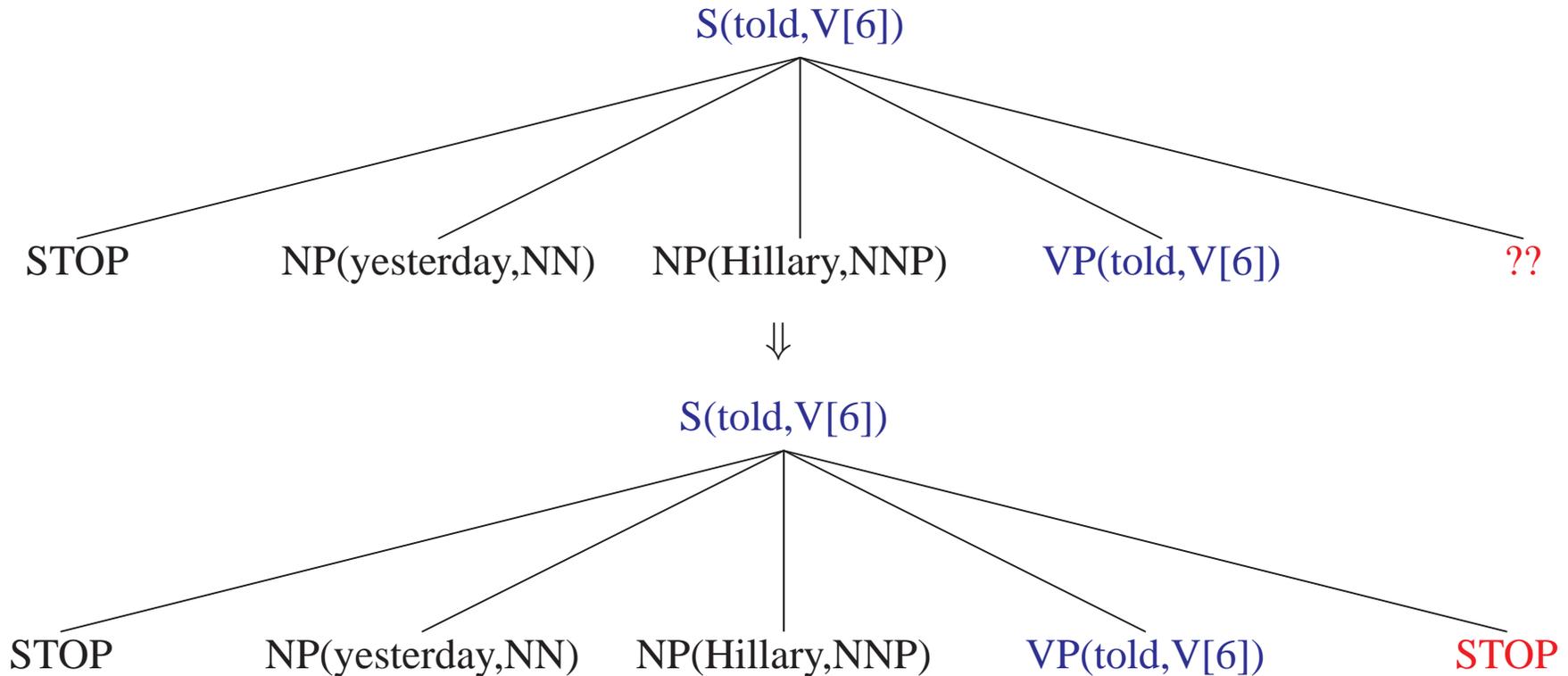
- Step 2: generate left modifiers in a Markov chain
- 



$$P_h(VP \mid S, \text{told}, V[6]) \times P_d(NP(\text{Hillary}, NNP) \mid S, VP, \text{told}, V[6], \text{LEFT}) \times \\ P_d(NP(\text{yesterday}, NN) \mid S, VP, \text{told}, V[6], \text{LEFT}) \times P_d(\text{STOP} \mid S, VP, \text{told}, V[6], \text{LEFT})$$

# Modeling Rule Productions as Markov Processes

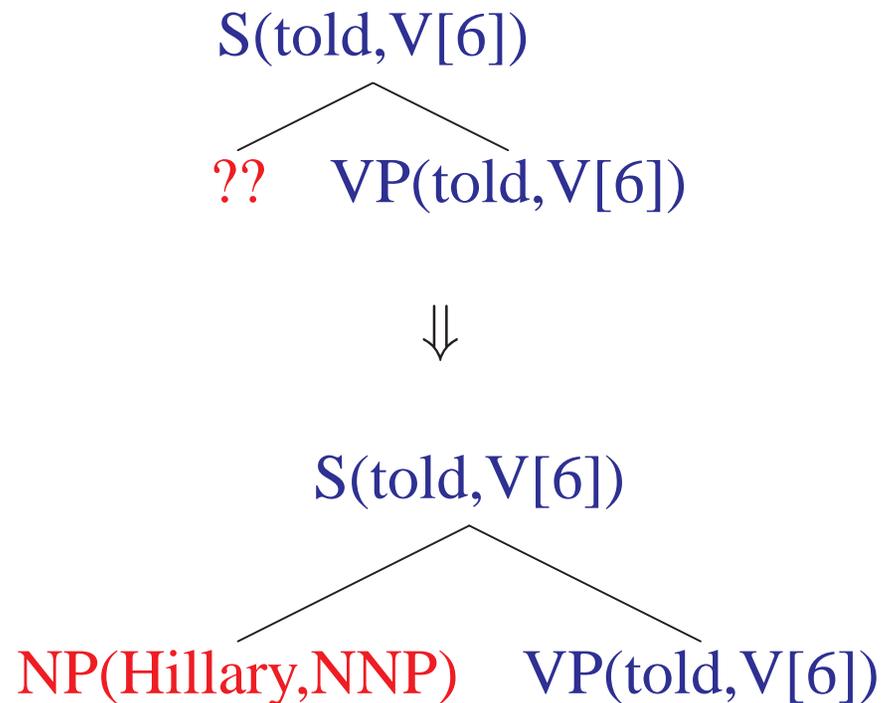
- Step 3: generate right modifiers in a Markov chain



$$P_h(\text{VP} \mid S, \text{told}, V[6]) \times P_d(\text{NP}(\text{Hillary}, \text{NNP}) \mid S, \text{VP}, \text{told}, V[6], \text{LEFT}) \times \\ P_d(\text{NP}(\text{yesterday}, \text{NN}) \mid S, \text{VP}, \text{told}, V[6], \text{LEFT}) \times P_d(\text{STOP} \mid S, \text{VP}, \text{told}, V[6], \text{LEFT}) \times \\ P_d(\text{STOP} \mid S, \text{VP}, \text{told}, V[6], \text{RIGHT})$$

# A Refinement: Adding a Distance Variable

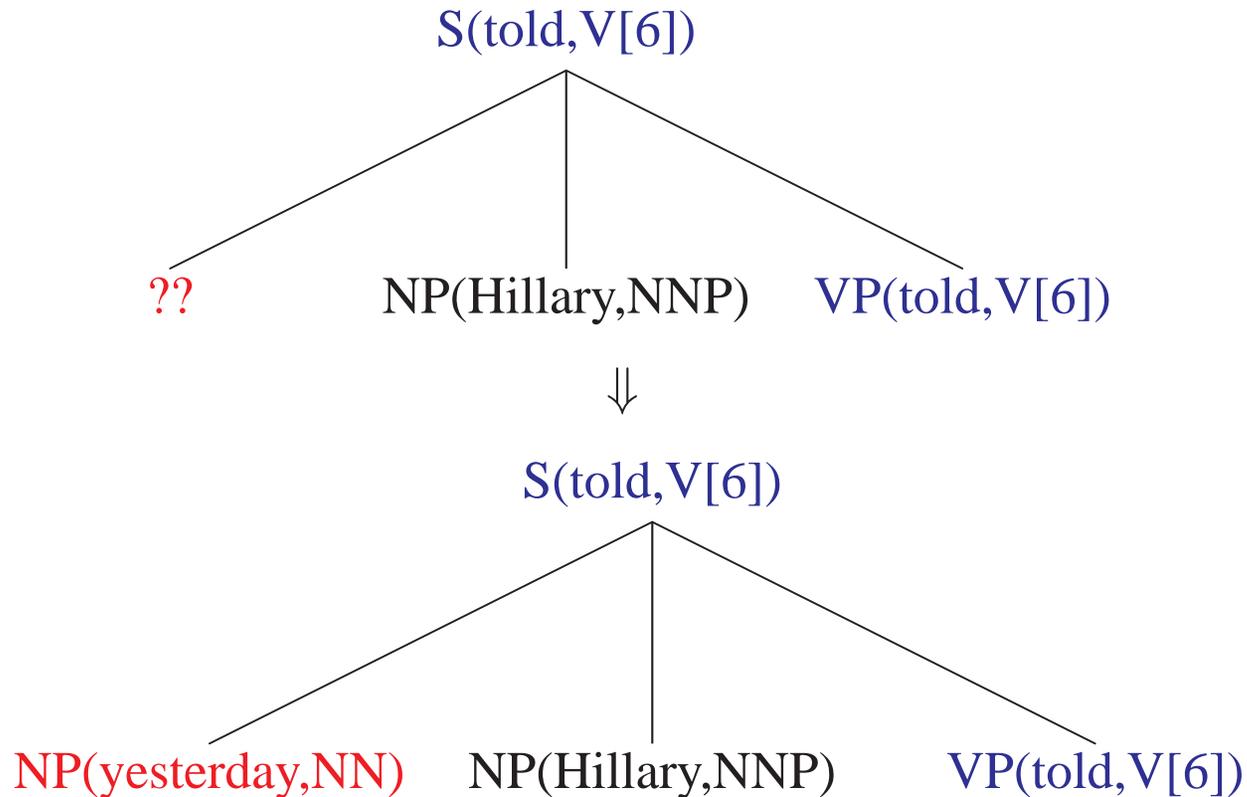
- $\Delta = 1$  if position is adjacent to the head.
- 



$$P_h(\text{VP} \mid \text{S}, \text{told}, V[6]) \times \\ P_d(\text{NP}(\text{Hillary}, \text{NNP}) \mid \text{S}, \text{VP}, \text{told}, V[6], \text{LEFT}, \Delta = 1)$$

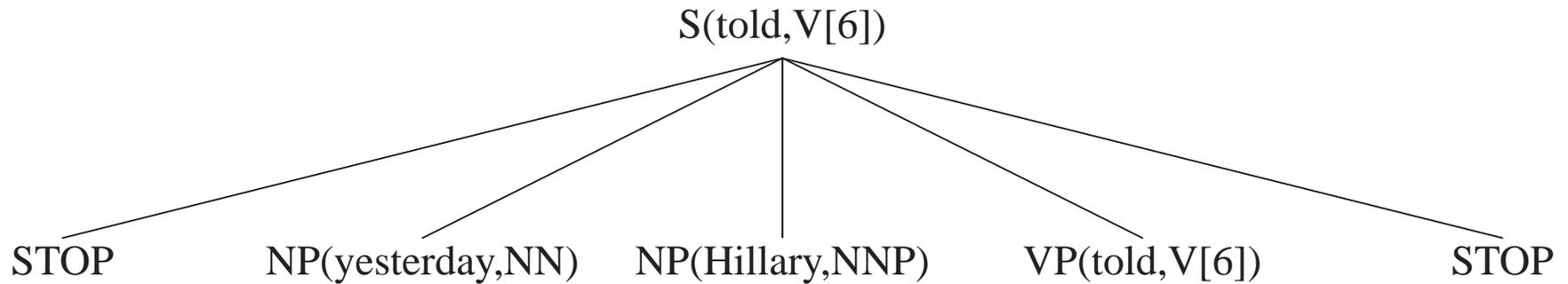
# A Refinement: Adding a Distance Variable

- $\Delta = 1$  if position is adjacent to the head.
- 



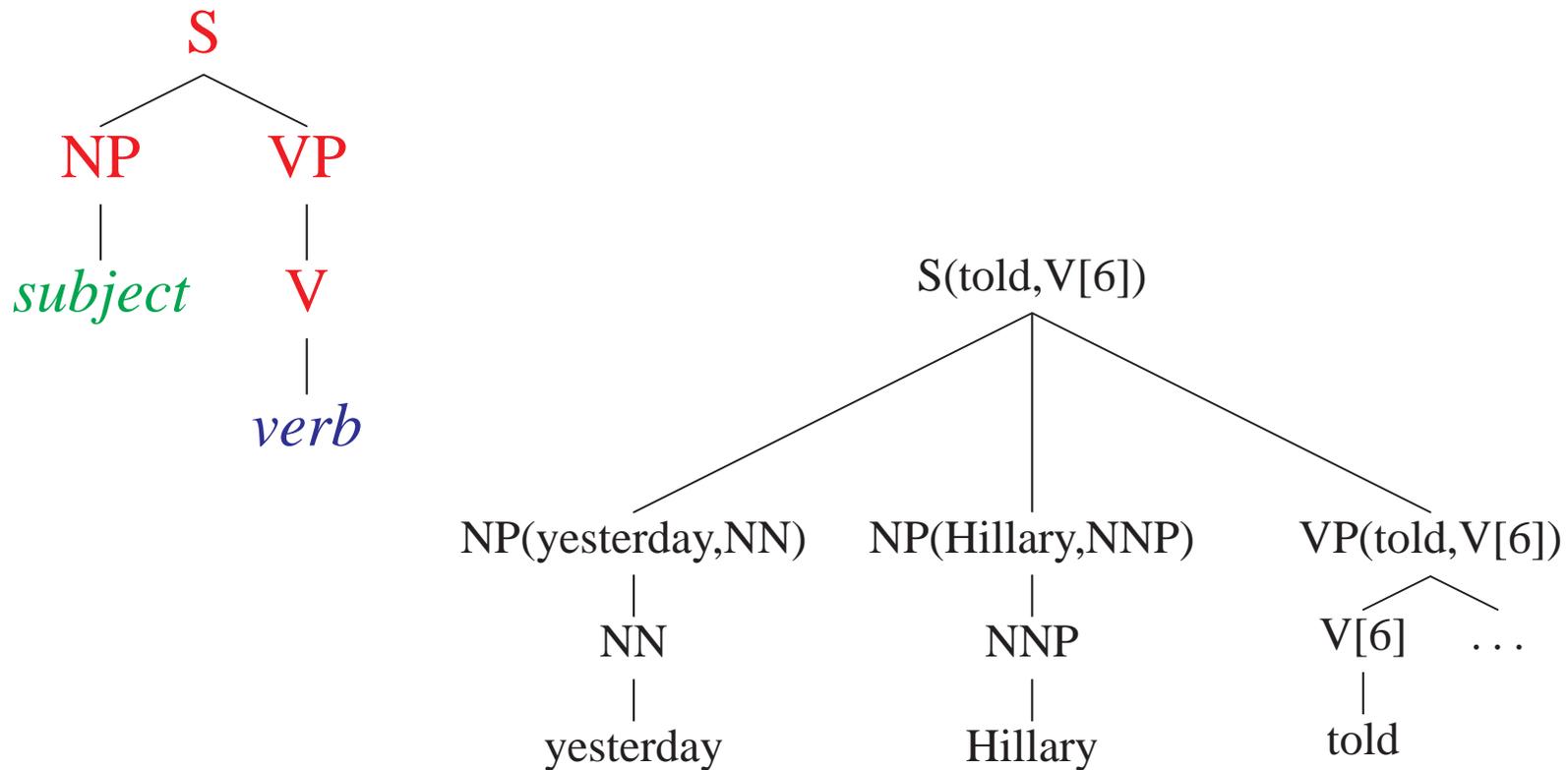
$$P_h(VP \mid S, \text{told}, V[6]) \times P_d(NP(\text{Hillary}, NNP) \mid S, VP, \text{told}, V[6], \text{LEFT}) \times P_d(NP(\text{yesterday}, NN) \mid S, VP, \text{told}, V[6], \text{LEFT}, \Delta = 0)$$

# The Final Probabilities



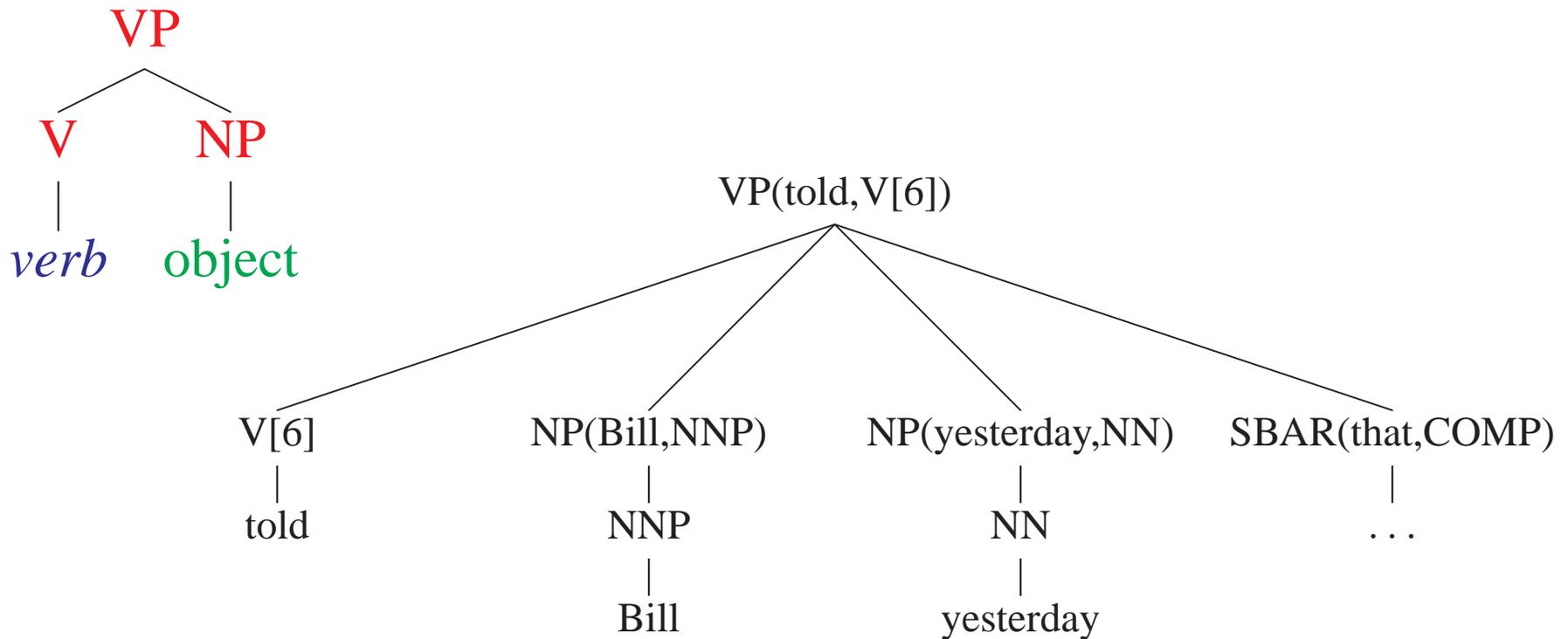
$$\begin{aligned} &P_h(\text{VP} \mid \text{S, told, V[6]}) \times \\ &P_d(\text{NP(Hillary, NNP)} \mid \text{S, VP, told, V[6], LEFT, } \Delta = 1) \times \\ &P_d(\text{NP(yesterday, NN)} \mid \text{S, VP, told, V[6], LEFT, } \Delta = 0) \times \\ &P_d(\text{STOP} \mid \text{S, VP, told, V[6], LEFT, } \Delta = 0) \times \\ &P_d(\text{STOP} \mid \text{S, VP, told, V[6], RIGHT, } \Delta = 1) \end{aligned}$$

# Adding the Complement/Adjunct Distinction



- *Hillary* is the subject
- *yesterday* is a temporal modifier
- **But nothing to distinguish them.**

# Adding the Complement/Adjunct Distinction



- *Bill* is the object
- *yesterday* is a temporal modifier
- **But nothing to distinguish them.**

## Complements vs. Adjuncts

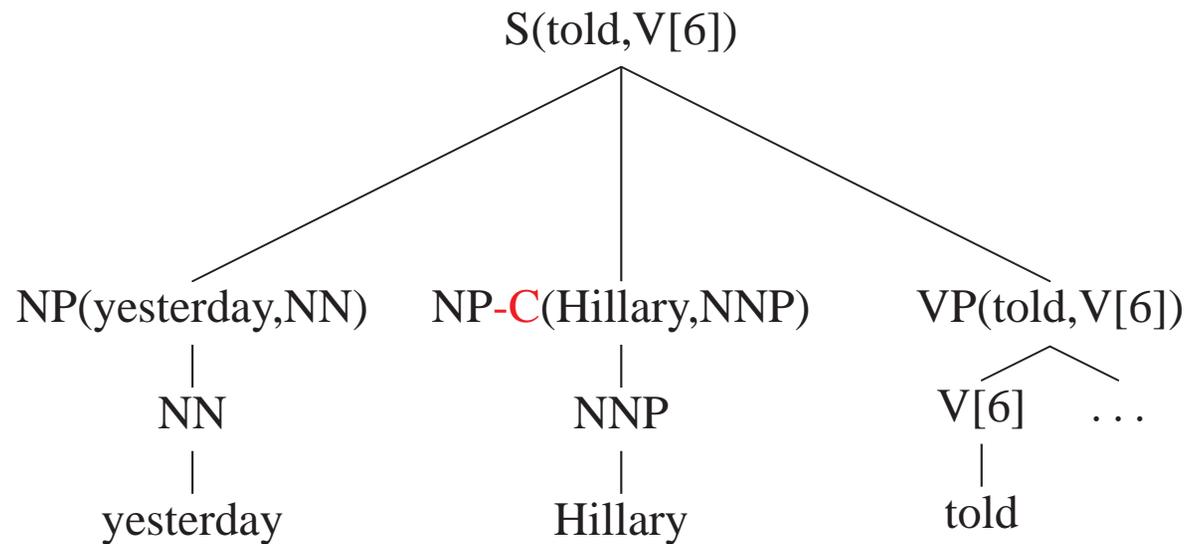
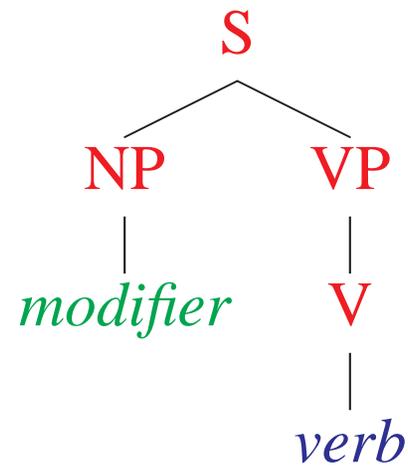
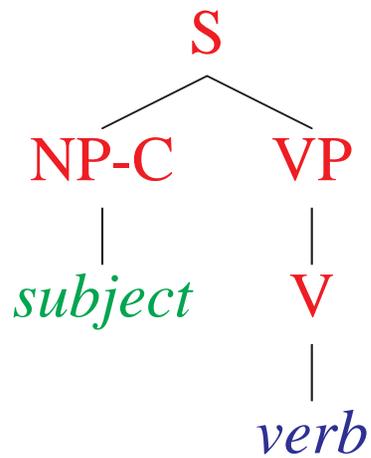
- Complements are closely related to the head they modify, adjuncts are more indirectly related
- Complements are usually arguments of the thing they modify  
yesterday Hillary told ...  $\Rightarrow$  *Hillary* is doing the *telling*
- Adjuncts add modifying information: time, place, manner etc.  
yesterday Hillary told ...  $\Rightarrow$  *yesterday* is a *temporal modifier*
- Complements are usually required, adjuncts are optional

yesterday Hillary told ... (grammatical)

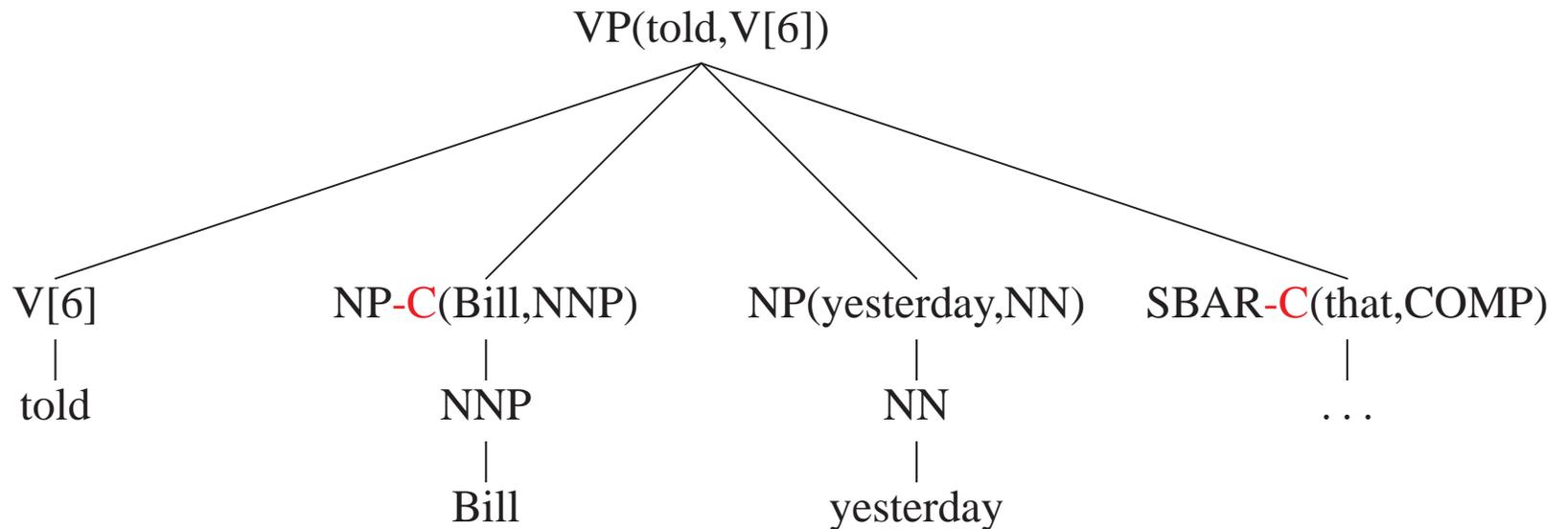
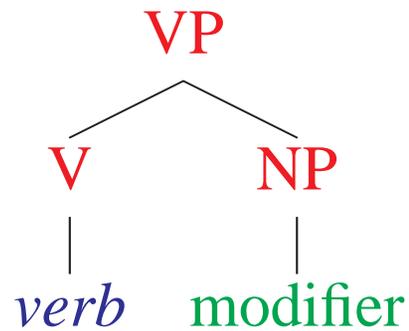
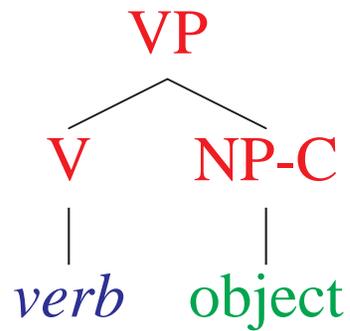
vs. Hillary told ... (grammatical)

vs. yesterday told ... (ungrammatical)

# Adding Tags Making the Complement/Adjunct Distinction



# Adding Tags Making the Complement/Adjunct Distinction



# Adding Subcategorization Probabilities

- Step 1: generate category of head child
- 

S(told, V[6])



S(told, V[6])

VP(told, V[6])

$P_h(\mathbf{VP} \mid S, \text{told}, V[6])$

# Adding Subcategorization Probabilities

- Step 2: choose left **subcategorization frame**
- 

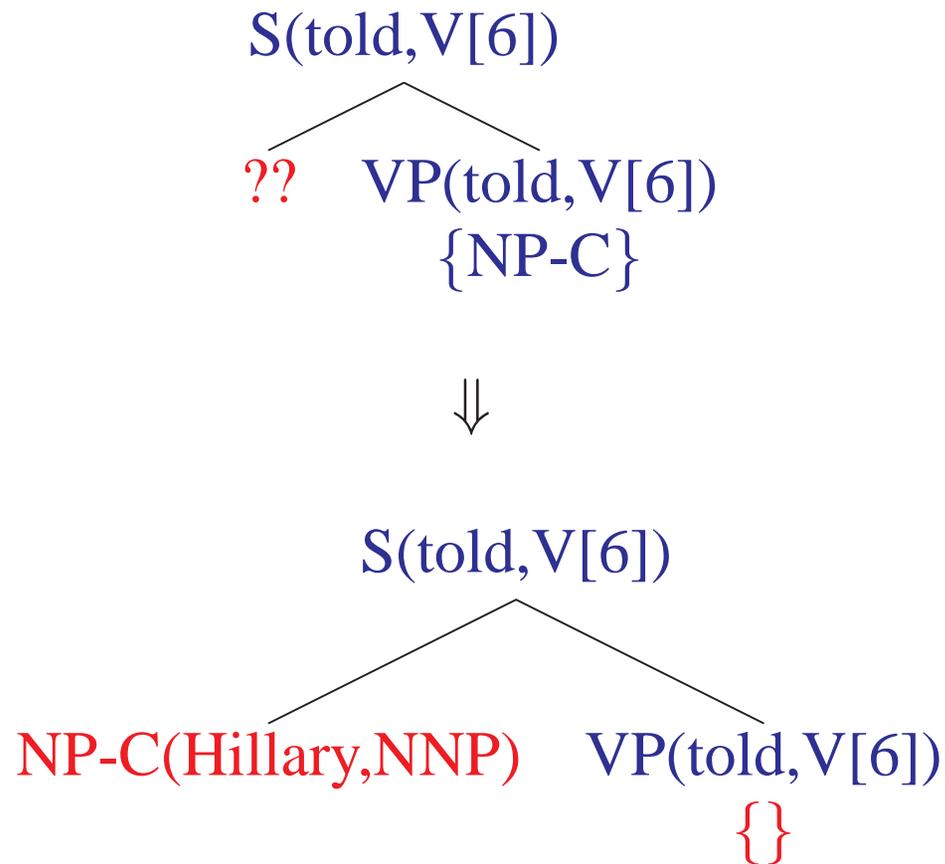
S(told, V[6])  
|  
VP(told, V[6])



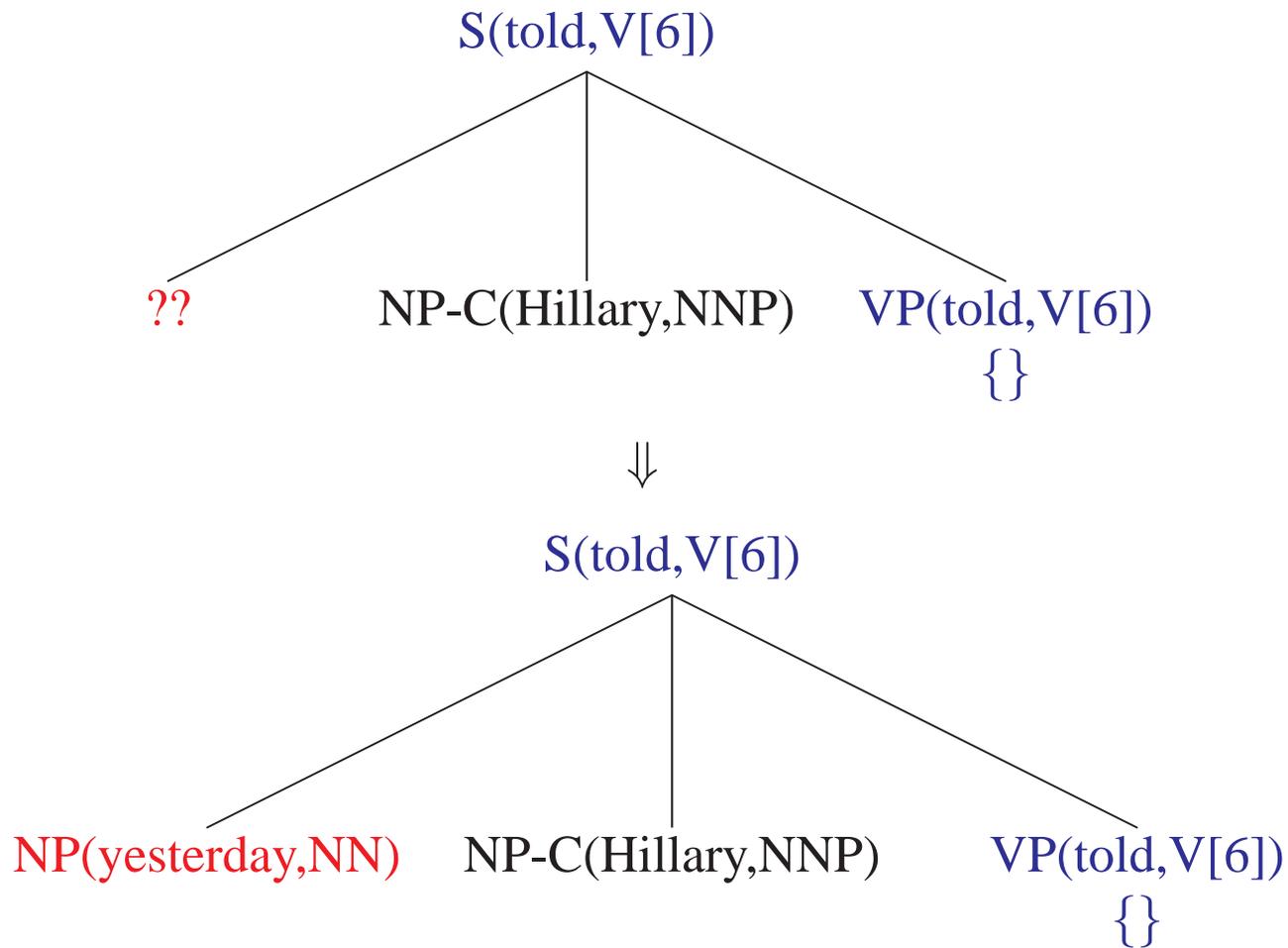
S(told, V[6])  
|  
VP(told, V[6])  
{NP-C}

$$P_h(\text{VP} \mid \text{S, told, V[6]}) \times P_{lc}(\{\text{NP-C}\} \mid \text{S, VP, told, V[6]})$$

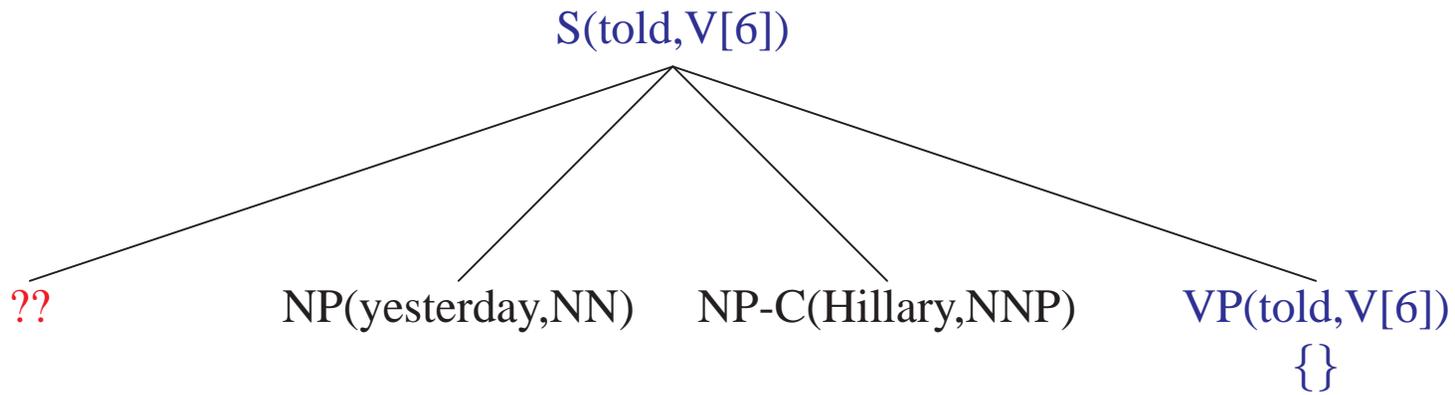
- Step 3: generate left modifiers in a Markov chain
- 



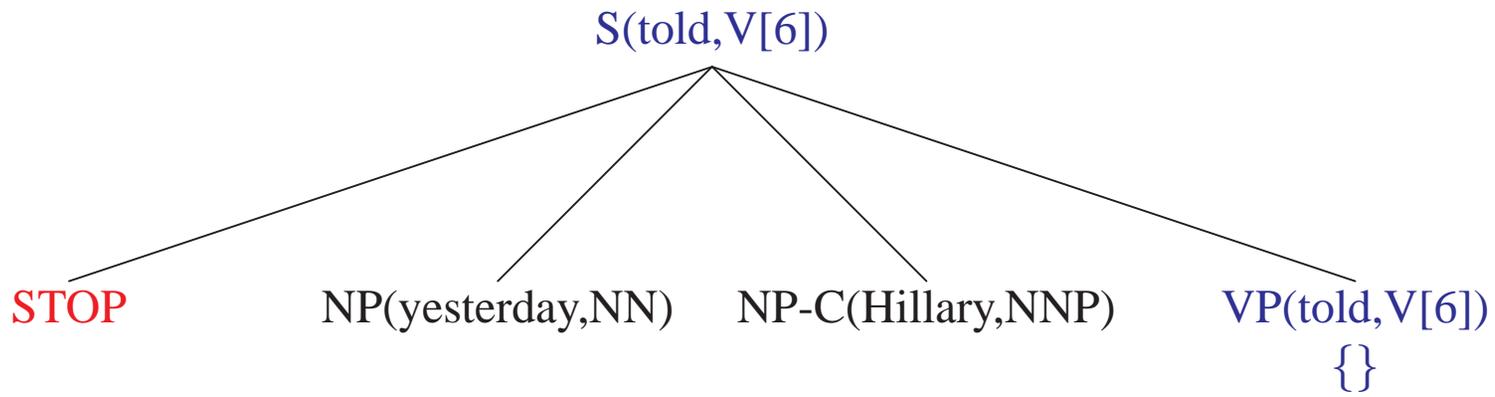
$$P_h(\text{VP} \mid \text{S}, \text{told}, \text{V}[6]) \times P_{lc}(\{\text{NP-C}\} \mid \text{S}, \text{VP}, \text{told}, \text{V}[6]) \times P_d(\text{NP-C(Hillary, NNP)} \mid \text{S}, \text{VP}, \text{told}, \text{V}[6], \text{LEFT}, \{\text{NP-C}\})$$



$$\begin{aligned}
 &P_h(VP \mid S, \text{told}, V[6]) \times P_{lc}(\{NP-C\} \mid S, VP, \text{told}, V[6]) \\
 &P_d(NP-C(\text{Hillary}, NNP) \mid S, VP, \text{told}, V[6], \text{LEFT}, \{NP-C\}) \times \\
 &P_d(NP(\text{yesterday}, NN) \mid S, VP, \text{told}, V[6], \text{LEFT}, \{\})
 \end{aligned}$$

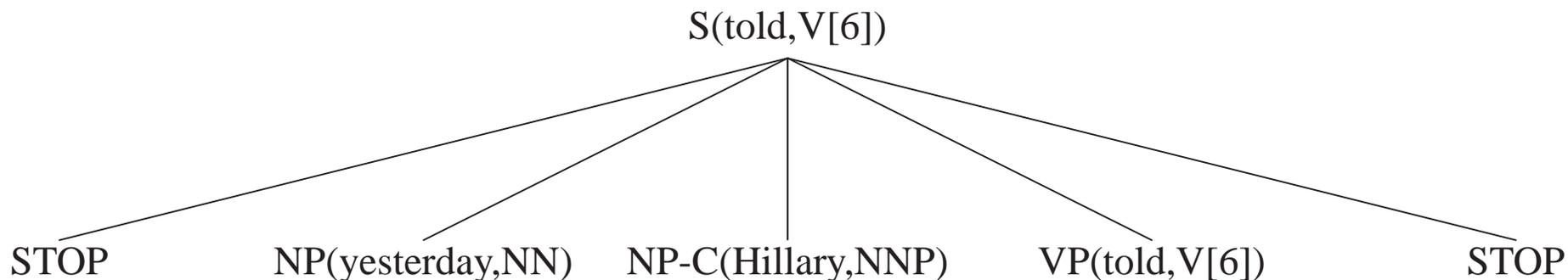


⇓



$$\begin{aligned}
 &P_h(\text{VP} \mid \text{S, told, V[6]}) \times P_{lc}(\{\text{NP-C}\} \mid \text{S, VP, told, V[6]}) \\
 &P_d(\text{NP-C(Hillary, NNP)} \mid \text{S, VP, told, V[6], LEFT, \{\text{NP-C}\}}) \times \\
 &P_d(\text{NP(yesterday, NN)} \mid \text{S, VP, told, V[6], LEFT, \{\}}) \times \\
 &P_d(\text{STOP} \mid \text{S, VP, told, V[6], LEFT, \{\}})
 \end{aligned}$$

# The Final Probabilities



$P_h(\text{VP} \mid \text{S}, \text{told}, \text{V}[6]) \times$

$P_{lc}(\{\text{NP-C}\} \mid \text{S}, \text{VP}, \text{told}, \text{V}[6]) \times$

$P_d(\text{NP-C(Hillary, NNP)} \mid \text{S}, \text{VP}, \text{told}, \text{V}[6], \text{LEFT}, \Delta = 1, \{\text{NP-C}\}) \times$

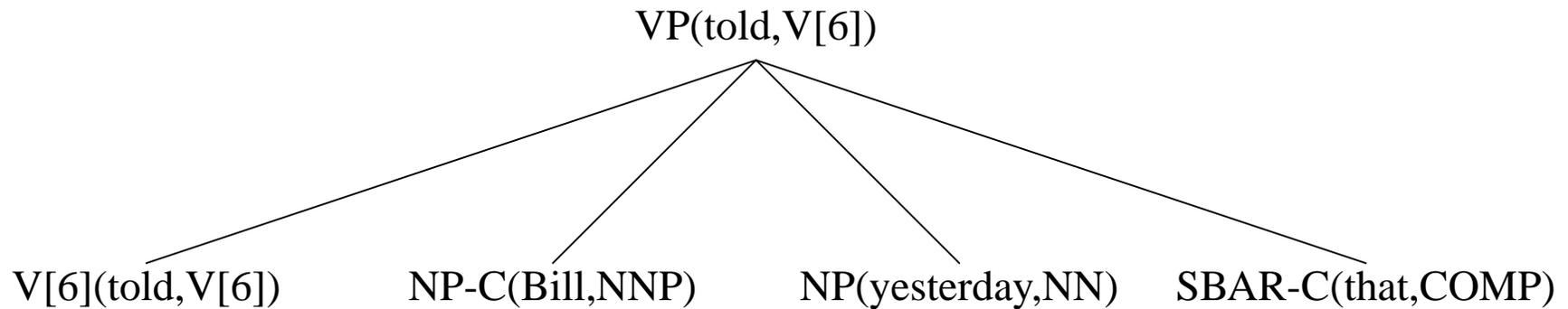
$P_d(\text{NP(yesterday, NN)} \mid \text{S}, \text{VP}, \text{told}, \text{V}[6], \text{LEFT}, \Delta = 0, \{\}) \times$

$P_d(\text{STOP} \mid \text{S}, \text{VP}, \text{told}, \text{V}[6], \text{LEFT}, \Delta = 0, \{\}) \times$

$P_{rc}(\{\} \mid \text{S}, \text{VP}, \text{told}, \text{V}[6]) \times$

$P_d(\text{STOP} \mid \text{S}, \text{VP}, \text{told}, \text{V}[6], \text{RIGHT}, \Delta = 1, \{\})$

# Another Example



$P_h(\mathbf{V[6]} \mid \mathbf{VP}, \text{told}, \mathbf{V[6]}) \times$

$P_{lc}(\{\} \mid \mathbf{VP}, \mathbf{V[6]}, \text{told}, \mathbf{V[6]}) \times$

$P_d(\mathbf{STOP} \mid \mathbf{VP}, \mathbf{V[6]}, \text{told}, \mathbf{V[6]}, \mathbf{LEFT}, \Delta = 1, \{\}) \times$

$P_{rc}(\{\mathbf{NP-C}, \mathbf{SBAR-C}\} \mid \mathbf{VP}, \mathbf{V[6]}, \text{told}, \mathbf{V[6]}) \times$

$P_d(\mathbf{NP-C(Bill, NNP)} \mid \mathbf{VP}, \mathbf{V[6]}, \text{told}, \mathbf{V[6]}, \mathbf{RIGHT}, \Delta = 1, \{\mathbf{NP-C}, \mathbf{SBAR-C}\}) \times$

$P_d(\mathbf{NP(yesterday, NN)} \mid \mathbf{VP}, \mathbf{V[6]}, \text{told}, \mathbf{V[6]}, \mathbf{RIGHT}, \Delta = 0, \{\mathbf{SBAR-C}\}) \times$

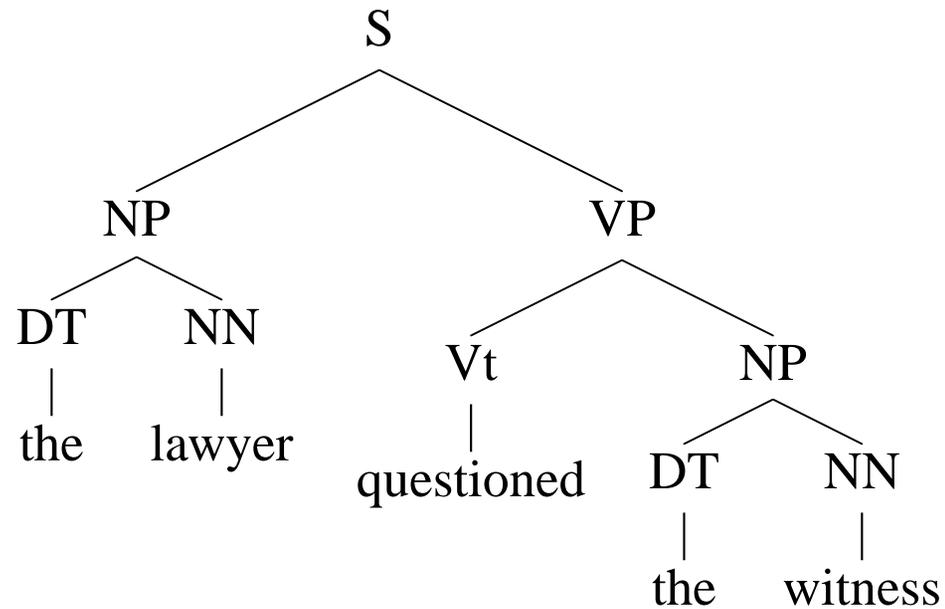
$P_d(\mathbf{SBAR-C(that, COMP)} \mid \mathbf{VP}, \mathbf{V[6]}, \text{told}, \mathbf{V[6]}, \mathbf{RIGHT}, \Delta = 0, \{\mathbf{SBAR-C}\}) \times$

$P_d(\mathbf{STOP} \mid \mathbf{VP}, \mathbf{V[6]}, \text{told}, \mathbf{V[6]}, \mathbf{RIGHT}, \Delta = 0, \{\})$

# Summary

- Identify heads of rules  $\Rightarrow$  dependency representations
- Presented two variants of PCFG methods applied to *lexicalized grammars*.
  - Break generation of rule down into small (markov process) steps
  - Build dependencies back up (distance, subcategorization)

# Evaluation: Representing Trees as Constituents



Label	Start Point	End Point
NP	1	2
NP	4	5
VP	3	5
S	1	5

# Precision and Recall

Label	Start Point	End Point
NP	1	2
NP	4	5
NP	4	8
PP	6	8
NP	7	8
VP	3	8
S	1	8

Label	Start Point	End Point
NP	1	2
NP	4	5
PP	6	8
NP	7	8
VP	3	8
S	1	8

- $G$  = number of constituents in **gold standard** = 7
- $P$  = number in **parse output** = 6
- $C$  = number correct = 6

$$\text{Recall} = 100\% \times \frac{C}{G} = 100\% \times \frac{6}{7}$$

$$\text{Precision} = 100\% \times \frac{C}{P} = 100\% \times \frac{6}{6}$$

# Results

Method	Recall	Precision
PCFGs (Charniak 97)	70.6%	74.8%
Conditional Models – Decision Trees (Magerman 95)	84.0%	84.3%
Lexical Dependencies (Collins 96)	85.3%	85.7%
Conditional Models – Logistic (Ratnaparkhi 97)	86.3%	87.5%
Generative Lexicalized Model (Charniak 97)	86.7%	86.6%
Model 1 (no subcategorization)	87.5%	87.7%
Model 2 (subcategorization)	88.1%	88.3%

## Effect of the Different Features

MODEL	A	V	R	P
Model 1	NO	NO	75.0%	76.5%
Model 1	YES	NO	86.6%	86.7%
Model 1	YES	YES	87.8%	88.2%
Model 2	NO	NO	85.1%	86.8%
Model 2	YES	NO	87.7%	87.8%
Model 2	YES	YES	88.7%	89.0%

Results on Section 0 of the WSJ Treebank. Model 1 has no subcategorization, Model 2 has subcategorization. A = YES, V = YES mean that the adjacency/verb conditions respectively were used in the distance measure. **R/P** = recall/precision.

# Weaknesses of Precision and Recall

Label	Start Point	End Point
NP	1	2
NP	4	5
NP	4	8
PP	6	8
NP	7	8
VP	3	8
S	1	8

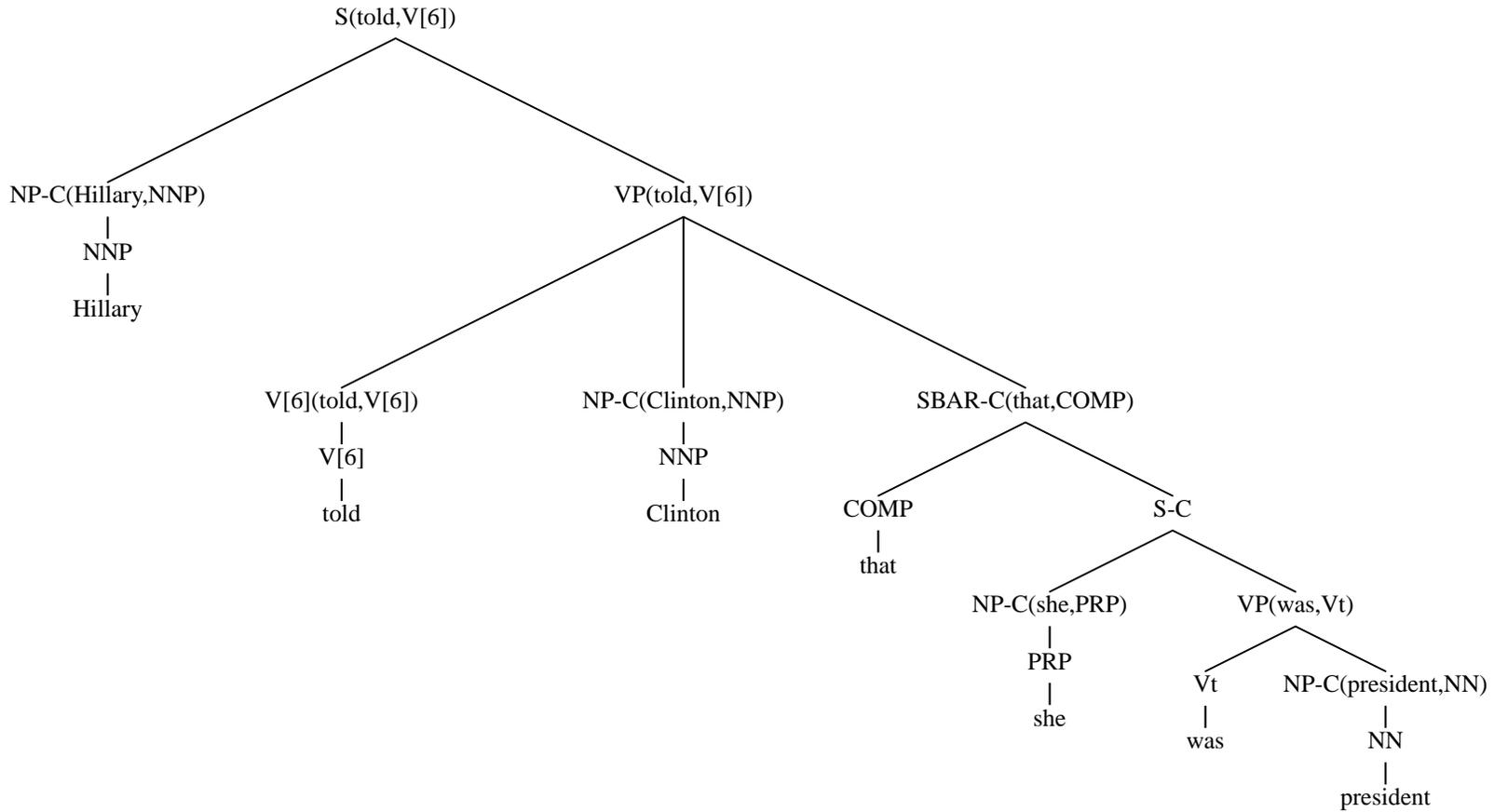
Label	Start Point	End Point
NP	1	2
NP	4	5
PP	6	8
NP	7	8
VP	3	8
S	1	8

## **NP attachment:**

(S (NP The men) (VP dumped (NP (NP sacks) (PP of (NP the substance))))))

## **VP attachment:**

(S (NP The men) (VP dumped (NP sacks) (PP of (NP the substance))))



(--	--	told	V[6]	TOP	S	--	SPECIAL)
(told	V[6]	Hillary	NNP	S	VP	NP-C	LEFT)
(told	V[6]	Clinton	NNP	VP	V[6]	NP-C	RIGHT)
(told	V[6]	that	COMP	VP	V[6]	SBAR-C	RIGHT)
(that	COMP	was	Vt	SBAR-C	COMP	S-C	RIGHT)
(was	Vt	she	PRP	S-C	VP	NP-C	LEFT)
(was	Vt	president	NN	VP	Vt	NP-C	RIGHT)

## Dependency Accuracies

- All parses for a sentence with  $n$  words have  $n$  dependencies  
*Report a single figure, dependency accuracy*
- Model 2 with all features scores 88.3% dependency accuracy  
(91% if you ignore non-terminal labels on dependencies)
- Can calculate precision/recall on particular dependency **types**  
e.g., look at all subject/verb dependencies  $\Rightarrow$   
all dependencies with label **(S,VP,NP-C,LEFT)**

$$\text{Recall} = \frac{\text{number of subject/verb dependencies correct}}{\text{number of subject/verb dependencies in gold standard}}$$

$$\text{Precision} = \frac{\text{number of subject/verb dependencies correct}}{\text{number of subject/verb dependencies in parser's output}}$$

R	CP	P	Count	Relation	Rec	Prec
1	29.65	29.65	11786	NPB TAG TAG L	94.60	93.46
2	40.55	10.90	4335	PP TAG NP-C R	94.72	94.04
3	48.72	8.17	3248	S VP NP-C L	95.75	95.11
4	54.03	5.31	2112	NP NPB PP R	84.99	84.35
5	59.30	5.27	2095	VP TAG NP-C R	92.41	92.15
6	64.18	4.88	1941	VP TAG VP-C R	97.42	97.98
7	68.71	4.53	1801	VP TAG PP R	83.62	81.14
8	73.13	4.42	1757	TOP TOP S R	96.36	96.85
9	74.53	1.40	558	VP TAG SBAR-C R	94.27	93.93
10	75.83	1.30	518	QP TAG TAG R	86.49	86.65
11	77.08	1.25	495	NP NPB NP R	74.34	75.72
12	78.28	1.20	477	SBAR TAG S-C R	94.55	92.04
13	79.48	1.20	476	NP NPB SBAR R	79.20	79.54
14	80.40	0.92	367	VP TAG ADVP R	74.93	78.57
15	81.30	0.90	358	NPB TAG NPB L	97.49	92.82
16	82.18	0.88	349	VP TAG TAG R	90.54	93.49
17	82.97	0.79	316	VP TAG SG-C R	92.41	88.22

Accuracy of the 17 most frequent dependency types in section 0 of the treebank, as recovered by model 2. R = rank; CP = cumulative percentage; P = percentage; Rec = Recall; Prec = precision.



Type	Sub-type	Description	Count	Recall	Precision
Complement to a verb 6495 = 16.3% of all cases	S VP NP-C L	Subject	3248	95.75	95.11
	VP TAG NP-C R	Object	2095	92.41	92.15
	VP TAG SBAR-C R		558	94.27	93.93
	VP TAG SG-C R		316	92.41	88.22
	VP TAG S-C R		150	74.67	78.32
	S VP S-C L		104	93.27	78.86
	S VP SG-C L		14	78.57	68.75
	...				
	<b>TOTAL</b>		<b>6495</b>	<b>93.76</b>	<b>92.96</b>
Other complements 7473 = 18.8% of all cases	PP TAG NP-C R		4335	94.72	94.04
	VP TAG VP-C R		1941	97.42	97.98
	SBAR TAG S-C R		477	94.55	92.04
	SBAR WHNP SG-C R		286	90.56	90.56
	PP TAG SG-C R		125	94.40	89.39
	SBAR WHADVP S-C R		83	97.59	98.78
	PP TAG PP-C R		51	84.31	70.49
	SBAR WHNP S-C R		42	66.67	84.85
	SBAR TAG SG-C R		23	69.57	69.57
	PP TAG S-C R		18	38.89	63.64
	SBAR WHPP S-C R		16	100.00	100.00
	S ADJP NP-C L		15	46.67	46.67
	PP TAG SBAR-C R		15	100.00	88.24
	...				
	<b>TOTAL</b>		<b>7473</b>	<b>94.47</b>	<b>94.12</b>

Type	Sub-type	Description	Count	Recall	Precision
PP modification  4473 = 11.2% of all cases	NP NPB PP R		2112	84.99	84.35
	VP TAG PP R		1801	83.62	81.14
	S VP PP L		287	90.24	81.96
	ADJP TAG PP R		90	75.56	78.16
	ADVP TAG PP R		35	68.57	52.17
	NP NP PP R		23	0.00	0.00
	PP PP PP L		19	21.05	26.67
	NAC TAG PP R		12	50.00	100.00
	...				
	<b>TOTAL</b>		<b>4473</b>	<b>82.29</b>	<b>81.51</b>
Coordination  763 = 1.9% of all cases	NP NP NP R		289	55.71	53.31
	VP VP VP R		174	74.14	72.47
	S S S R		129	72.09	69.92
	ADJP TAG TAG R		28	71.43	66.67
	VP TAG TAG R		25	60.00	71.43
	NX NX NX R		25	12.00	75.00
	SBAR SBAR SBAR R		19	78.95	83.33
	PP PP PP R		14	85.71	63.16
	...				
	<b>TOTAL</b>		<b>763</b>	<b>61.47</b>	<b>62.20</b>

Type	Sub-type	Description	Count	Recall	Precision
Mod'n within BaseNPs  12742 = 29.6% of all cases	NPB TAG TAG L		11786	94.60	93.46
	NPB TAG NPB L		358	97.49	92.82
	NPB TAG TAG R		189	74.07	75.68
	NPB TAG ADJP L		167	65.27	71.24
	NPB TAG QP L		110	80.91	81.65
	NPB TAG NAC L		29	51.72	71.43
	NPB NX TAG L		27	14.81	66.67
	NPB QP TAG L		15	66.67	76.92
	...				
	<b>TOTAL</b>		12742	93.20	92.59
Mod'n to NPs  1418 = 3.6% of all cases	NP NPB NP R	Appositive	495	74.34	75.72
	NP NPB SBAR R	Relative clause	476	79.20	79.54
	NP NPB VP R	Reduced relative	205	77.56	72.60
	NP NPB SG R		63	88.89	81.16
	NP NPB PRN R		53	45.28	60.00
	NP NPB ADVP R		48	35.42	54.84
	NP NPB ADJP R		48	62.50	69.77
	...				
	<b>TOTAL</b>		1418	73.20	75.49

Type	Sub-type	Description	Count	Recall	Precision
Sentential head 1917 = 4.8% of all cases	TOP TOP S R		1757	96.36	96.85
	TOP TOP SINV R		89	96.63	94.51
	TOP TOP NP R		32	78.12	60.98
	TOP TOP SG R		15	40.00	33.33
	...				
	<b>TOTAL</b>		1917	94.99	94.99
Adjunct to a verb 2242 = 5.6% of all cases	VP TAG ADVP R		367	74.93	78.57
	VP TAG TAG R		349	90.54	93.49
	VP TAG ADJP R		259	83.78	80.37
	S VP ADVP L		255	90.98	84.67
	VP TAG NP R		187	66.31	74.70
	VP TAG SBAR R		180	74.44	72.43
	VP TAG SG R		159	60.38	68.57
	S VP TAG L		115	86.96	90.91
	S VP SBAR L		81	88.89	85.71
	VP TAG ADVP L		79	51.90	49.40
	S VP PRN L		58	25.86	48.39
	S VP NP L		45	66.67	63.83
	S VP SG L		28	75.00	52.50
	VP TAG PRN R		27	3.70	12.50
	VP TAG S R		11	9.09	100.00
	...				
	<b>TOTAL</b>		2242	75.11	78.44

## Some Conclusions about Errors in Parsing

- “Core” sentential structure (complements, NP chunks) recovered with over 90% accuracy.
- Attachment ambiguities involving adjuncts are resolved with much lower accuracy ( $\approx 80\%$  for PP attachment,  $\approx 50 - 60\%$  for coordination).