

Here are some practice problems to work through in preparation for Exam 1.

**Problem 1 (0 points)** At some point in learning a byte pair encoding tokenizer as we saw in class, the following token pair:

$$(t_1, t_2) \tag{1}$$

has the maximal number of counts out of all pairs of tokens, and is added to the vocabulary as usual as the concatenation  $t_1t_2$ . When documents are now encoded with the new tokenizer for the next iteration of tokenizer training, can we see the pair  $(t_1, t_2)$  in the newly tokenized document? Why or why not?

**Problem 2 (0 points)** I've got the following neural network language model.

Consider a sequence  $w_{1:t} \in \mathcal{V}^*$ . We will overload each  $w$  as a one-hot vector in  $\mathbb{R}^{|\mathcal{V}|}$ . Let  $E \in \mathbb{R}^{d \times |\mathcal{V}|}$  be an embedding matrix (learnable parameters.) Let  $F \in \mathbb{R}^{d \times (dT)}$ , that is, a matrix where the first axis is  $d$  dimensions, and the second is  $dT$  dimensions.

$$p(\cdot | w_{1:t}) = \text{softmax}(E^\top h) \tag{2}$$

$$h = \sigma(Fg) \tag{3}$$

$$g = [Ew_1; \dots; Ew_{t-1}; \mathbf{0}_t \dots; \mathbf{0}_T] \tag{4}$$

The definition of  $g$  here is a bit odd; we're using the  $[\cdot; \cdot]$  notation to mean that we're concatenating the embeddings of all of our word embeddings up through  $t-1$ . Then we concatenate  $d$ -dimensional vectors of 0 values in the same way, up through  $T$  vectors, each of dimensionality  $d$ . This is to say that we concatenate the first  $t-1$  word embeddings, and then for the future embeddings (those of the words we're trying to predict and those that could come after that,) we use the zero vectors so that our matrix shapes line up with  $F$  but we don't accidentally peak into the future.

Think about the expressivity limitations we've seen in lecture and in assignment 0. State similar expressivity constraints (types of word interactions, position information) of this model if there are any, and if not, explain why.

**Problem 3 (0 points)** I have matrices  $G \in \mathbb{R}^{n \times m}$ ,  $Q \in \mathbb{R}^{m \times p}$ ,  $L \in \mathbb{R}^{d \times n}$ . Write out the ordering of these matrices by which they can multiply.

**Problem 4 (0 points)** I have matrices  $G \in \mathbb{R}^{n \times m}$ ,  $Q \in \mathbb{R}^{m \times p}$ ,  $L \in \mathbb{R}^{d \times n}$ .

They can multiply in a single order (see above.) However, it's also the case that for matrices  $A, B, C$  that can multiply as  $ABC$ , one can compute their product as any of:

$$ABC = (AB)C = A(BC) \tag{5}$$

For the matrices  $G, Q, L$ , when they're multiplied in the order that's the solution to the problem above, what is the way of computing the product through two matrix multiplies (like  $(AB)C$  vs  $A(BC)$  by which one minimizes the number of scalar multiplications if we *further* assume that<sup>1</sup>

$$d = p \gg n > m \tag{6}$$

That is,  $d$  is equal to  $p$ , which are much greater than  $n$ , which is greater than  $m$ .

<sup>1</sup>While we're now setting  $d = p$ , don't use that to change the answer to the question above.

**Problem 5 (0 points)** I have an embedding matrix  $E \in \mathbb{R}^{d \times |\mathcal{V}|}$ , and a neural network that provides representations  $h$  of prefixes  $w_{1:t}$ . One of my rows  $E_{:,1}$  is equal to  $2x$  of  $E_{:,2}$ . Another one of my rows  $E_{:,3}$  is equal to  $-E_{:,2}$ . Probabilities in the network are modeled as:

$$P(\cdot | w_{<t}) = \text{softmax}(Eh) \tag{7}$$

What can we say about the relationships of the probabilities of words 1, 2, 3 in this model? Specify which (all, or any subset) of words 1, 2, 3 can be the maximum-probability word for *some* prefix  $w_{1:t}$ .