



Technologie-Zentrum Informatik

# SIP Tutorial

Upperside SIP 2003

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*Dr.-Ing. Jörg Ott*

*{sip,mailto}:jo@tzi.org*



Technologie-Zentrum Informatik

## Center for Computing Technology Universität Bremen, Germany

◆ 10 professors, ~ 100 researchers, lots of students

◆ The 5 sectors of the TZI:

- BV Image processing
- BISS Dependable systems
- ISI Software ergonomics and information management
- IS Intelligent systems
- **DMN Digital Media and Networks**

[www.tzi.org](http://www.tzi.org)

# TZI Digital Media and Networks

*Architectures, Protocols and Interfaces for computer-based communication und collaboration*



- ◆ **Digital Networks (infrastructure)**
  - Internet technologies
  - Application: synchronous distributed groupware systems
  - Special interests: teleconferencing and Internet Telephony
- ◆ **Digital Media (content)**
  - Structured document communication (XML/SGML technologies)
  - Special interests: document transformation
- ◆ **IETF / ITU-T standardization**

# ipDialog™

## SIPTone IP telephone

- ◆ **SIP endpoint**
  - Speakerphone
  - Supports autoconfiguration
  - Built-in Ethernet bridge
  - Power over Ethernet
- ◆ **Supplementary services**
  - 3-way-calls, transfer, call hold, call waiting
  - message waiting, call forwarding, call return, ...
- ◆ **SIP URLs and E.164 numbers**
  - Internal phonebook (web-based)
- ◆ **Business feature set in progress**
  - simple software updates
- ◆ **Customization**
  - different cases, displays, keypads
  - more sophisticated functionality
  - Web-based configuration



## This Tutorial...

### ◆ Proposed Schedule:

|             |                                    |
|-------------|------------------------------------|
| 1000 – 1110 | Multimedia over IP, RTP, SIP Intro |
| 1110 – 1130 | Morning Break                      |
| 1130 – 1230 | SIP: Basics, Call Flows            |
| 1230 – 1330 | Lunch Break                        |
| 1330 – 1500 | Security, SIP Services             |
| 1500 – 1530 | Afternoon Break                    |
| 1530 – 1700 | Telephony, Deployment, 3GPP        |

- ◆ We know that there is nothing like a 20min coffee break...  
But please try anyway...

## Tutorial Overview

### ◆ Internet Multimedia Conferencing Architecture

### ◆ Packet A/V Basics + Real-time Transport

### ◆ SIP Introduction, History, Architecture

### ◆ SIP Basic Functionality, Call Flows

### ◆ SIP Security

### ◆ SIP Service Creation

### ◆ SIP in Telephony

### ◆ SIP in 3GPP

 **You are here**

## IP Multimedia Applications (1)

- ◆ **Packet multimedia experiments since 1980s**
  - A/V tools + protocols for A/V over IP
  - Conference control protocols

### Internet broadcasting (Mbone)

- ◆ **First IETF Audiocast (1992)**
- ◆ **Broadcasts of IETF WG sessions**
  - audio + video + whiteboard (transparencies)
  - enables remote participation (even talks)
- ◆ **Broadcasting special events**
  - talks, concerts, NASA shuttle missions, ...
- ◆ **Broadcasting “radio” and “television” programs**
  - numerous channels available today

## IP Multimedia Applications (2)

### Teleconferences

- ◆ **Traditional Internet focus: large groups**
- ◆ **Small groups supported as well**
- ◆ **Audio + video + data (whiteboards, editors, ...)**
- ◆ **Multimedia gaming sessions**
- ◆ **Examples:**
  - seminars and lectures
  - project meetings
  - work group meetings between IETFs
- ◆ **Gatewaying where needed (PSTN, ISDN, cellular, ...)**

## IP Multimedia Applications (3)

### IP Telephony

- ◆ **“Special case” of teleconferences**
  - point-to-point + conference calls
- ◆ **Gatewaying to PSTN / ISDN / GSM**
  - also H.323, MGCP, MEGACO
- ◆ **Include “Supplementary Services”**
  - what users are used to from their touch tone phone or PBX environment
- ◆ **Include “Intelligent Network (IN)” services**
  - To some degree trivial in an IP environment

## IP Multimedia Applications (4)

### Multimedia retrieval services

- ◆ **“Video on demand”-style**
  - including “VCR controls”: pause/restart/cue/review
- ◆ **Access to multimedia clips from web browsers**
  - Commercial examples: RealAudio/RealVideo, IP/TV, Microsoft
- ◆ **Often: Internet- / web-based access to live streams**
  - “Big Brother”, concerts, etc.
- ◆ **Option: recording multimedia information**

## Common Requirements

### Network infrastructure

- ◆ Multicast routing
- ◆ Real-time-capable packet forwarding
- ◆ Resource reservation

### Transport protocols

- ◆ Real-time (audio / video) information
- ◆ Non-real-time (data / control) information

### Media encoding standards

### Security

## Specific requirements

### Control protocols

- ◆ Setup / teardown of communication relationships
- ◆ Conference control
- ◆ Remote control of devices (e.g. media sources)

### Naming and addressing infrastructure

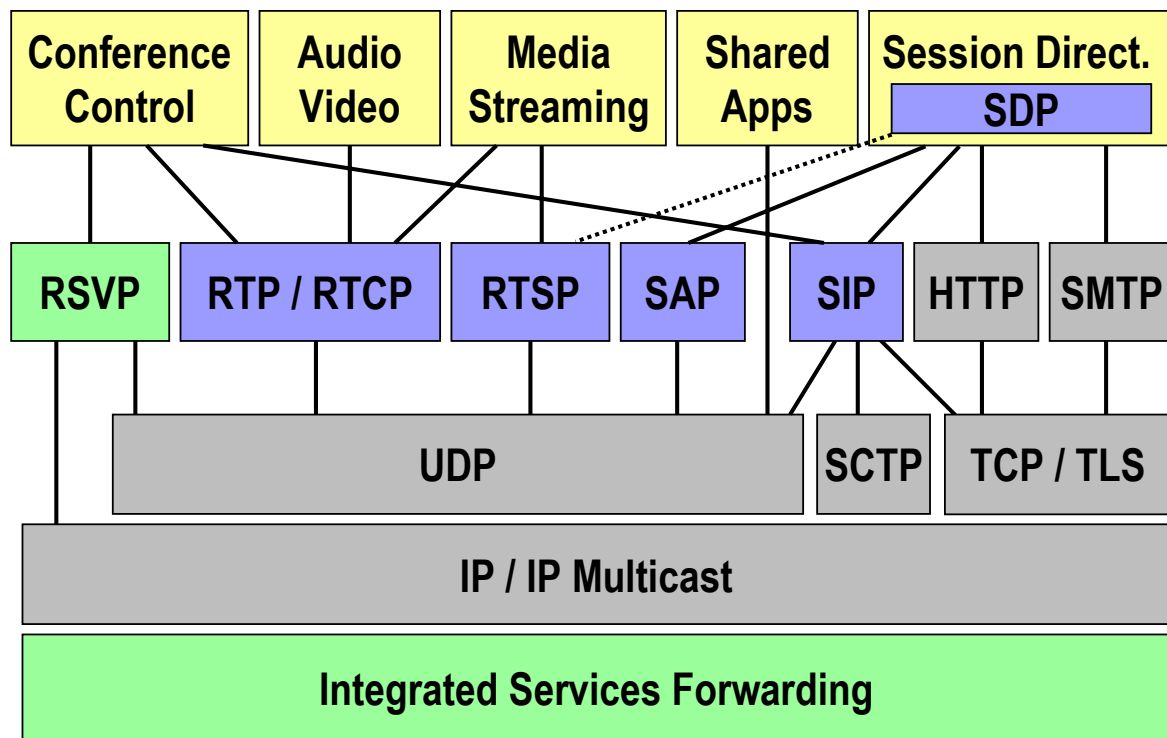
### User (and service) location

### Billing and accounting (and policing)

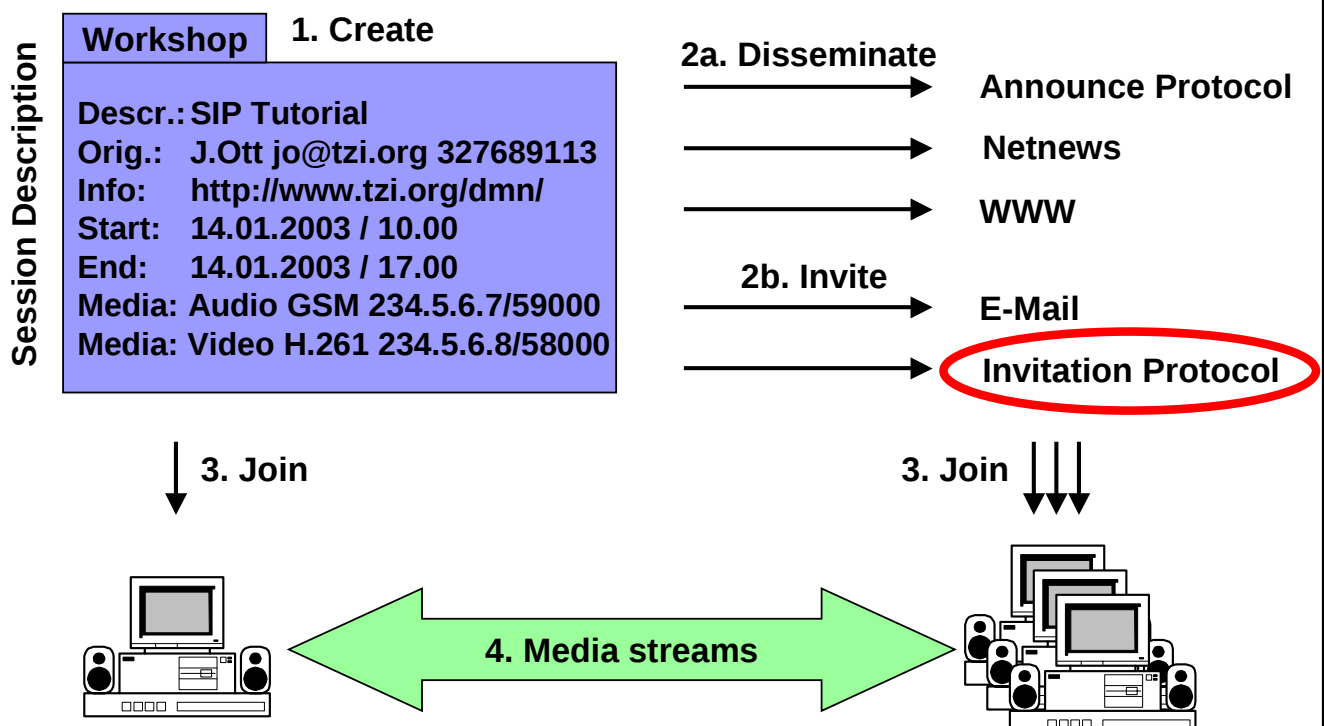
### (Legal requirements)



## Conferencing Architecture



## Conference Establishment



## Conference Description

### Session Description Protocol (SDP, RFC 2327)

- ◆ **All you need to know about a session to join**
  - who? — convener of the session + contact information
  - what about? — name and informal subject description
  - when? — date and time, rep
  - where? — multicast addresses, port numbers
  - which media? — capability requirements
  - how much? — required bandwidth
  - ...
- ◆ **Grouped into three categories**
  - 1 x session, m x time, n x media

## Conference Discovery

### Session Announcement Protocol (SAP)

- ◆ **Advertise conference description regularly**
  - announcement frequency depends on distribution scope
- ◆ **Originally: avoid conflicts in multicast addresses**
  - simple multicast address allocation (224.2.0.0/16)
- ◆ **Optional security support**
  - authentication header and encrypted payload

### Alternatives

- ◆ **Use conventional means**
  - e-mail (SMTP), web servers (HTTP), Netnews (NNTP)
  - MIME type defined for SDP specification: "application/sdp"
- ◆ **Session Invitation Protocol (SIP)**

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- ◆ SIP Introduction, History, Architecture
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- ◆ SIP Security
- ◆ SIP Service Creation
  
- ◆ SIP in Telephony
- ◆ SIP in 3GPP

You are here

## Real-time Media over Packets

- ◆ Audio / Video are continuous media
- ◆ Packet networks transport discrete units
  - digitize media
  - compression
  - packetization
- ◆ No additional multiplex (beyond UDP/IP) needed:
  - no separate lines, bit allocations, etc.
  - transport different media in different packets
  - can give different quality of service to different media
  - allows different sites to receive different subsets

## Sources of Delay

### ◆ Sender

- Capturing / digitizing delay (+ operating system)
- Encoding / compression delay
- Packetization delay

### ◆ Network (potentially highly variable!)

- Link propagation delay (order of speed of light)
- Serialization delay
- Queuing delay

### ◆ Receiver

- buffering delay + potential delay for repair
- decoding / decompression delay
- rendering / replay delay (+ operating system)

## Real-time Media over Packets

Little help needed from transport protocol:

### ◆ Retransmission would take too long (interactivity!)

End systems must buffer before playout!

### ◆ Jitter in transmission delay due to queueing

### ◆ Packet A/V rule #1:

- jitter is never a problem,
- worst-case delay is!

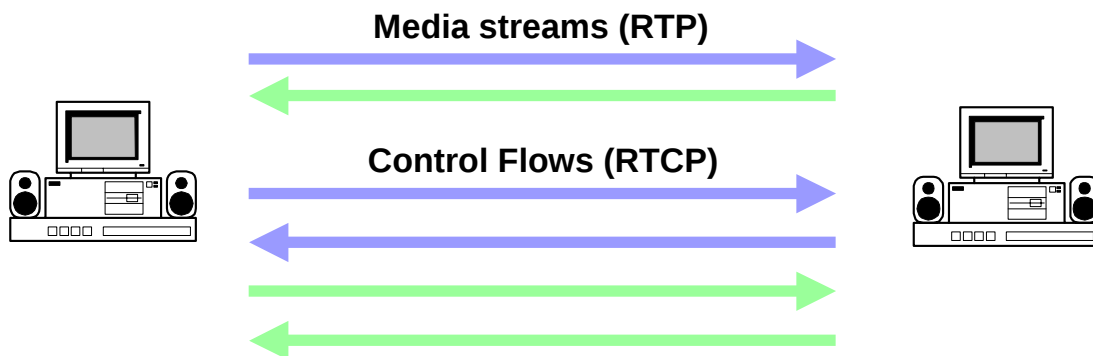
### ◆ Need a timestamp in packet to be able to play at right time

- intra-stream timing
- optionally correlate for inter-stream timing (e.g. lip-sync)

## Real-time Transport Protocol (1)

### ◆ RTP Functionality (RFC1889)

- framing for audio/video information streams
- preserve intra- and inter-stream timing
- mechanisms for awareness of others in a conference
- ➔ RTP sessions



## Real-time Transport Protocol (2)

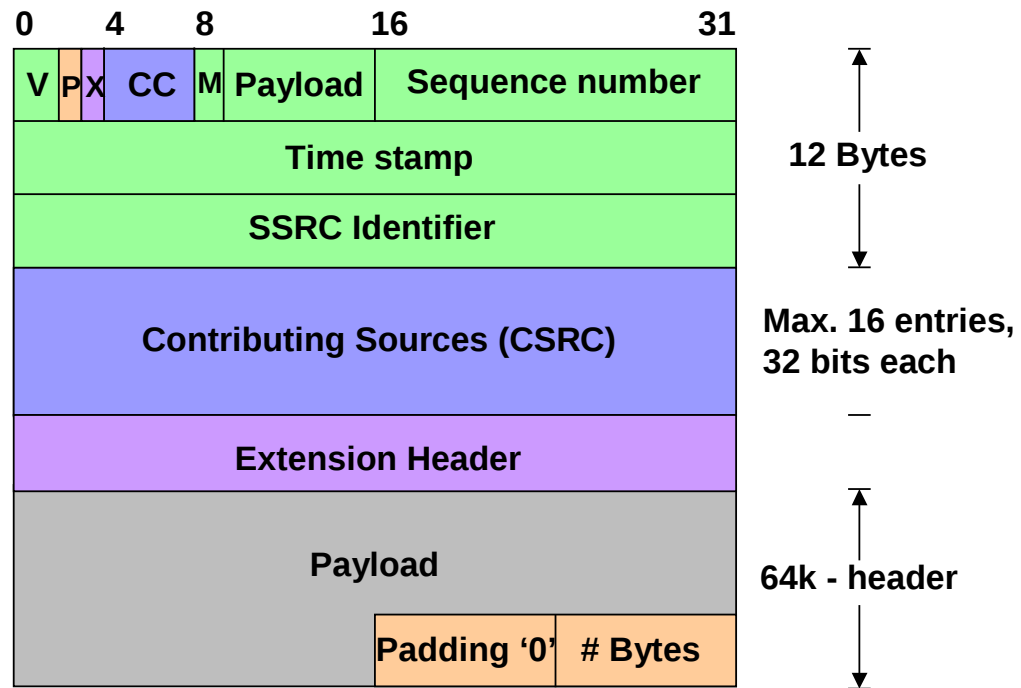
### ◆ Standard RTP packet header

- independent of *payload type*
- possibly seconded by *payload header*

### ◆ Mechanisms

- detect packet loss, cope with reordering
  - ◆ sequence number per media stream
- determine variations in transmission delays
  - ◆ media specific time stamp (e.g., 8 kHz for PCM audio)
  - ◆ allows receiver to adapt playout point for continuous replay
- source identification
  - ◆ possibly mixed from several sources
- payload type identifier

## RTP Header



## RTP Header Fields (1)

|                                   |   |                                                                      |
|-----------------------------------|---|----------------------------------------------------------------------|
| <b>V: Version</b>                 | — | version 2 defined in RFC 1889                                        |
| <b>P: Padding</b>                 | — | indicates padding<br># bytes indicated in last byte                  |
| <b>X: eXtension bit</b>           | — | extension header is present                                          |
| <b>Extension header</b>           | — | single additional header (TLV coded)                                 |
| <b>CC: CSRC count</b>             | — | # of contributing sources                                            |
| <b>CSRC: contributing sources</b> | — | which sources have been “mixed”<br>to produce this packet’s contents |

## RTP Header Fields (2)

|                                     |   |                                                                                               |
|-------------------------------------|---|-----------------------------------------------------------------------------------------------|
| <b>M: Marker bit</b>                | — | marks semantical boundaries in media stream (e.g. talk spurt)                                 |
| <b>Payload type</b>                 | — | indicates packet content type                                                                 |
| <b>Sequence #</b>                   | — | of the packet in the media stream (strictly monotonically increasing)                         |
| <b>Timestamp</b>                    | — | indicates the instant when the packet contents was sampled (measured to media-specific clock) |
| <b>SSRC: synchronization source</b> | — | identification of packet originator                                                           |

## Real-time Transport Control Protocol

### Mechanisms:

- ◆ **Receivers constantly measure transmission quality**
  - delay, jitter, packet loss
- ◆ **Regular control information exchange between senders and receivers**
  - feedback to sender (receiver report)
  - feed forward to recipients (sender report)
- ◆ **Allows applications to adapt to current QoS**
- ◆ **Overhead limited to a small fraction (default: 5 % max.) of total bandwidth per RTP session**
  - members estimate number of participants
  - adapt their own transmission rate

**Obtaining sufficient capacity: outside of RTP!**

## RTP Payload Types

### ◆ 7-bit payload type identifier

- some numbers statically assigned
- dynamic payload types identifiers for extensions – mapping to be defined outside of RTP (control protocol, e.g. SDP “a=rtpmap:”)

### Payload formats defined for many audio/video encodings

### ◆ Conferencing profile document RFC 1890

- audio: G.711, G.722, G.723.1, G.728, GSM, CD, DVI, ...

### ◆ In codec-specific RFCs

- audio: Redundant Audio, MP-3, ...
- video: JPEG, H.261, MPEG-1, MPEG-2, H.263, H.263+, BT.656
- others: DTMF, text, SONET, ...

### ◆ Generic formats

- generic FEC, (multiplexing)

## Media Packetization Schemes (1)

### General principle:

### ◆ Payload specific additional header (if needed)

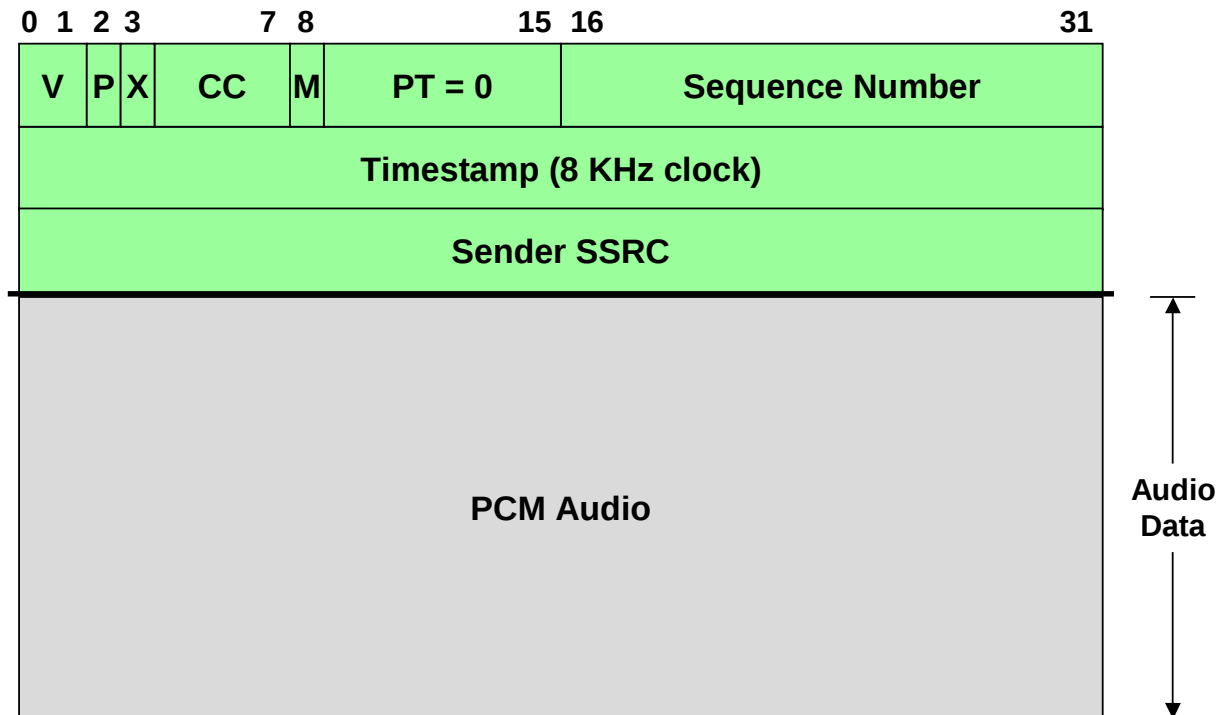
### ◆ Followed by media data

- packetized and formatted in a well-defined way
- trivial ones specified in RFC 1890
- RFC 2029, 2032, 2035, 2038, 2190, 2198, 2250, 2343, 2429, RFC 2431, RFC 2435, 2658, 2733, 2793, 2833, 2862, and many Internet Drafts
- Guidelines for writing packet formats: RFC 2736

### ◆ Functionality

- enable transmission across a packet network
- allow for semantics-based fragmentation
- provide additional information to simplify processing and decoding at the recipient
- maximize possibility of independent decoding of individual packets
- **Typically, little to do for audio!**

## Audio over RTP: PCM



## Video over RTP: H.261

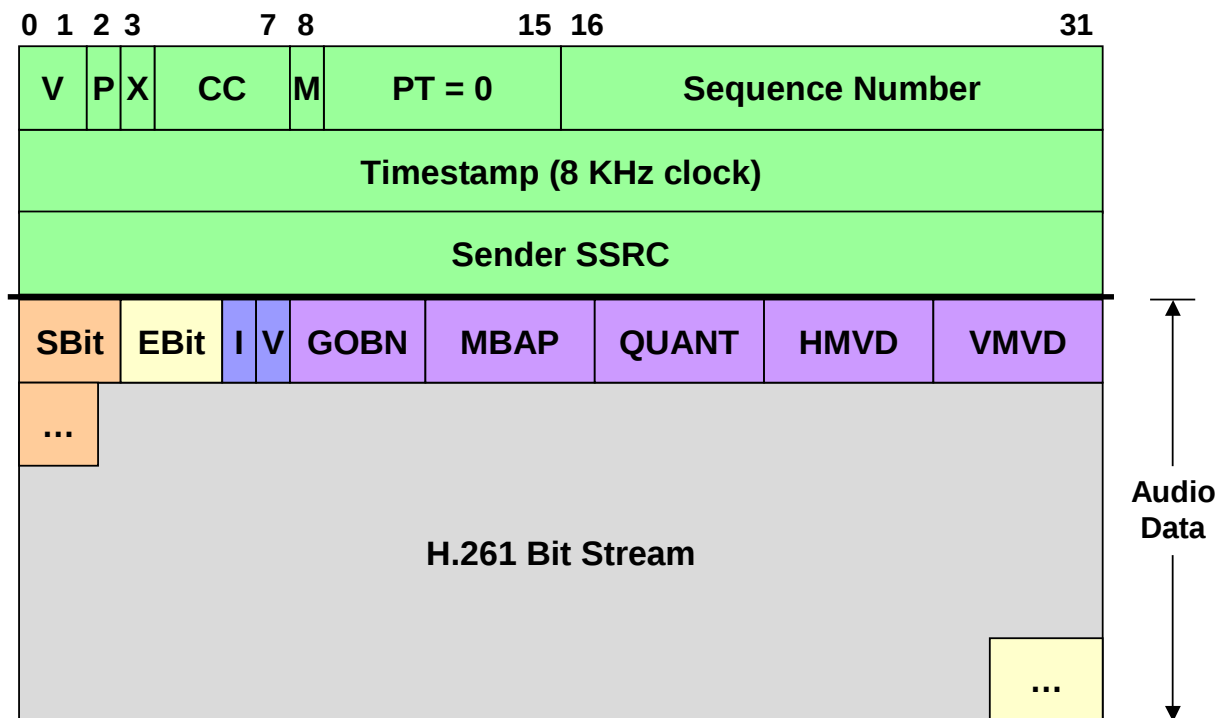
**Additional payload-specific header preceeds payload**

- ◆ **To avoid expensive bit shifting operations**
  - Indicate # invalid bits in first (SBit) and last (EBit) octet of payload
- ◆ **Indicate Intra encoding (I bit)**
- ◆ **Indicate the presence of motion vector data (V bit)**
- ◆ **Carry further H.261 header information to enable decoding in the presence of packet losses**

**Further mechanisms for video conferencing**

- ◆ **FIR: Full Intra Request**
  - Ask sender to send a full intra encoded picture
- ◆ **NACK: Negative Acknowledgement**
  - Indicate specific packet loss to sender

## Video over RTP: H.261 (2)



## Media Packetization Schemes (2)

### Error-resilience for real-time media

- ◆ **Input: Observation on packet loss characteristics**
- ◆ **Generic mechanisms (RFC 2354)**
  - Retransmissions
    - ◆ in special cases only (interactivity!)
  - Interleaving
  - **Forward Error Correction (FEC)**
    - ◆ **media-dependent vs. media-independent**
    - ◆ **Generic FEC: RFC 2733**
- ◆ **Feedback loops for senders**
  - based upon generic and specific RTCP messages
  - adapt transmission rate, coding scheme, error control, ...

## DTMF over RTP (1)

### ◆ DTMF digits, telephony tones, and telephony signals

- two payload formats
- 8 kHz clock by default
- audio redundancy coding for reliability

### ◆ Format 1: reference pre-defined events

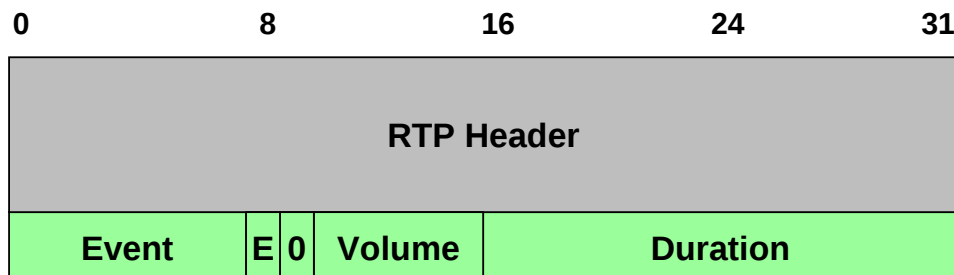
- 0 - 9 \* # A - D Flash [17]
- modem and fax tones [18]
- telephony signals and line events [43]
  - ◆ dial tones, busy, ringing, congestion, on/off hook, ...
- trunk events [44]
- specified through identifier (8-bit value), volume, duration

### ◆ Format 2: specify tones by frequency

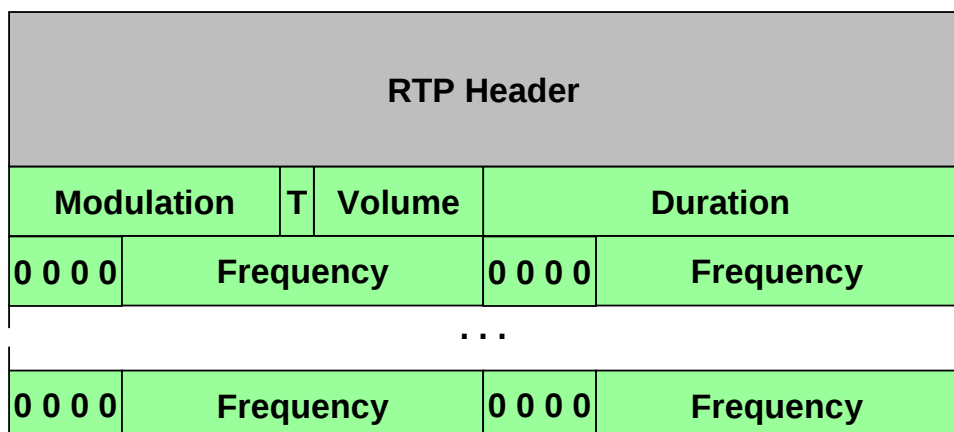
- one, two, or three frequencies
- addition, modulation
- on/off periods, duration
- specified through modulation, n x frequency, volume

## DTMF over RTP (2)

**Packet  
Format 1:  
Events**



**Packet  
Format 2:  
Tones**



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- ◆ SIP in 3GPP

You are here

## SIP: Session Initiation Protocol

From HTTP and Session Invitation  
to Setup and Control for Packet-based  
Multimedia Conferencing

## History of Mbone conference initiation

### Session Invitation Protocol

(Handley/Schooler)

- Participant location
- Conference invitation
- Capability negotiation during setup

### Simple Conference Invitation Protocol

(Schulzrinne)

- Participant location
- Conference invitation
- Capability negotiation during setup
- Changing conference parameters
- Terminate/leave conference



## Session Initiation Protocol (SIP)

### First draft in December 1996

- ◆ Joint effort to merge SIP and SCIP
- ◆ IETF WG **MMUSIC**  
(Multiparty Multimedia Session Control)

### Application-layer call signaling protocol:

- ◆ Creation, modification, termination of teleconferences
- ◆ Negotiation of used media configuration
- ◆ Re-negotiation during session
- ◆ User location → personal mobility
- ◆ Security
- ◆ Supplementary services

#### **RFC 3261**

- June 2002
- obsoletes **RFC 2543**

## Timeline: 1996

### Initial Internet Drafts:

**Session Invitation Protocol (SIP) – M. Handley, E. Schooler**

**Simple Conference Invitation Protocol (SCIP) – H. Schulzrinne**

**SIP: Setup +  
Caps Negotiation**

**SCIP: Setup + Caps  
Modify + Terminate**

**Merged Draft:  
SIP -01**

**Main Features set:  
TCP/UDP, Forking,  
Redirection, addrs  
INVITE, CAPABILITY  
From: To: Path:**

**Presentations  
at 35<sup>th</sup> IETF,  
Los Angeles**

22 Feb 1996

4-8 Mar 1996

2 Dec 1996

## Timeline: 1997

**Draft SIP -02**

**Formal syntax  
CAPABILITY →  
OPTIONS**

**Path: → Via:  
Ideas for Alternates:**

**IETF Action: Split SIP into  
base spec and extensions**

**Draft SIP -04**

**CONNECTED → ACK  
UNREGISTER  
Sequence: → CSeq:  
Call-Disposition:  
Require:**

**Draft SIP -03**

**SIP URL: sip://jo@...  
CONNECTED, BYE,  
REGISTER  
Call-ID: Sequence:  
Allow: Expires:**

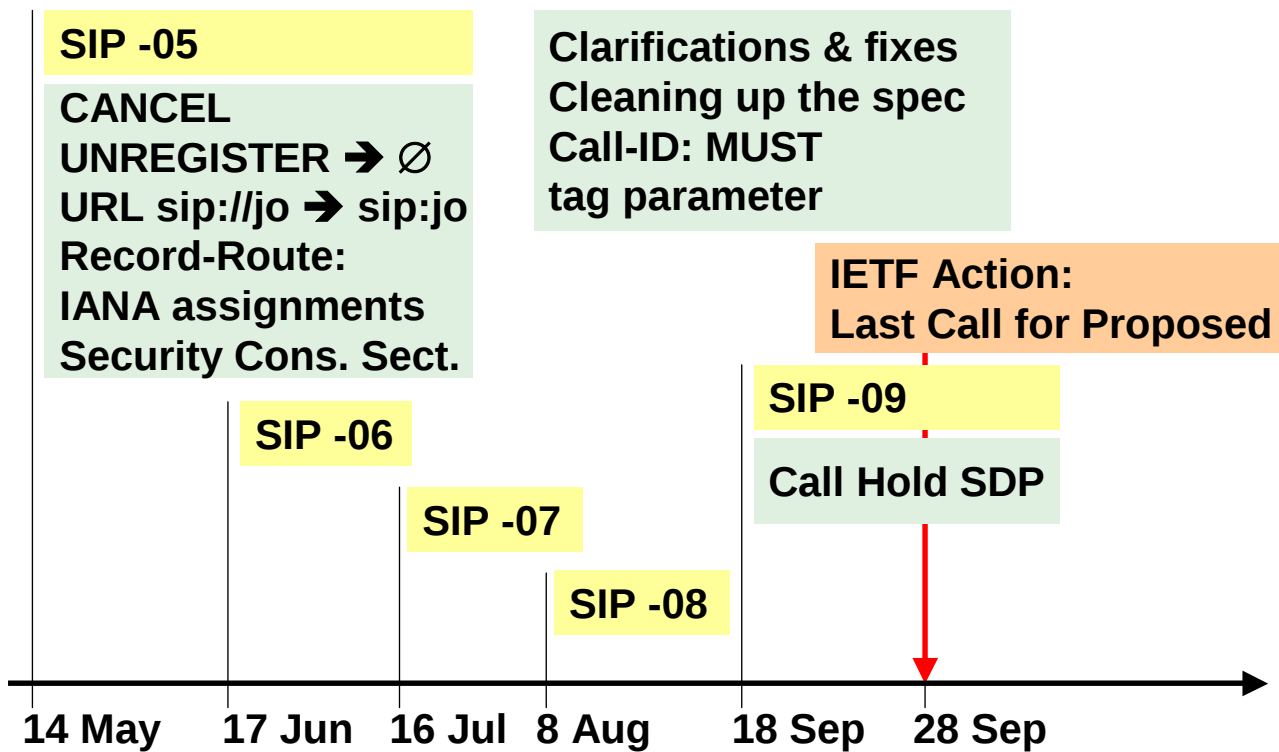
27 Mar 97

31 Jul 97

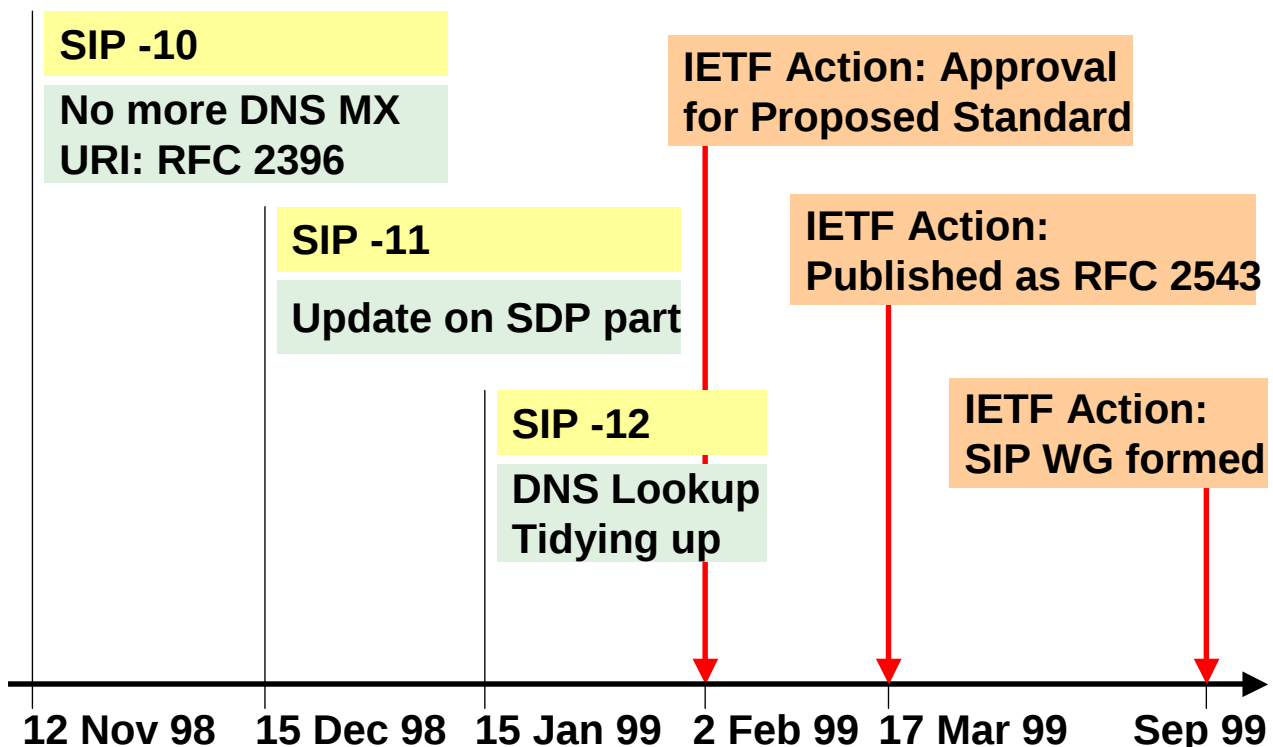
11 Nov 97

Dec 97

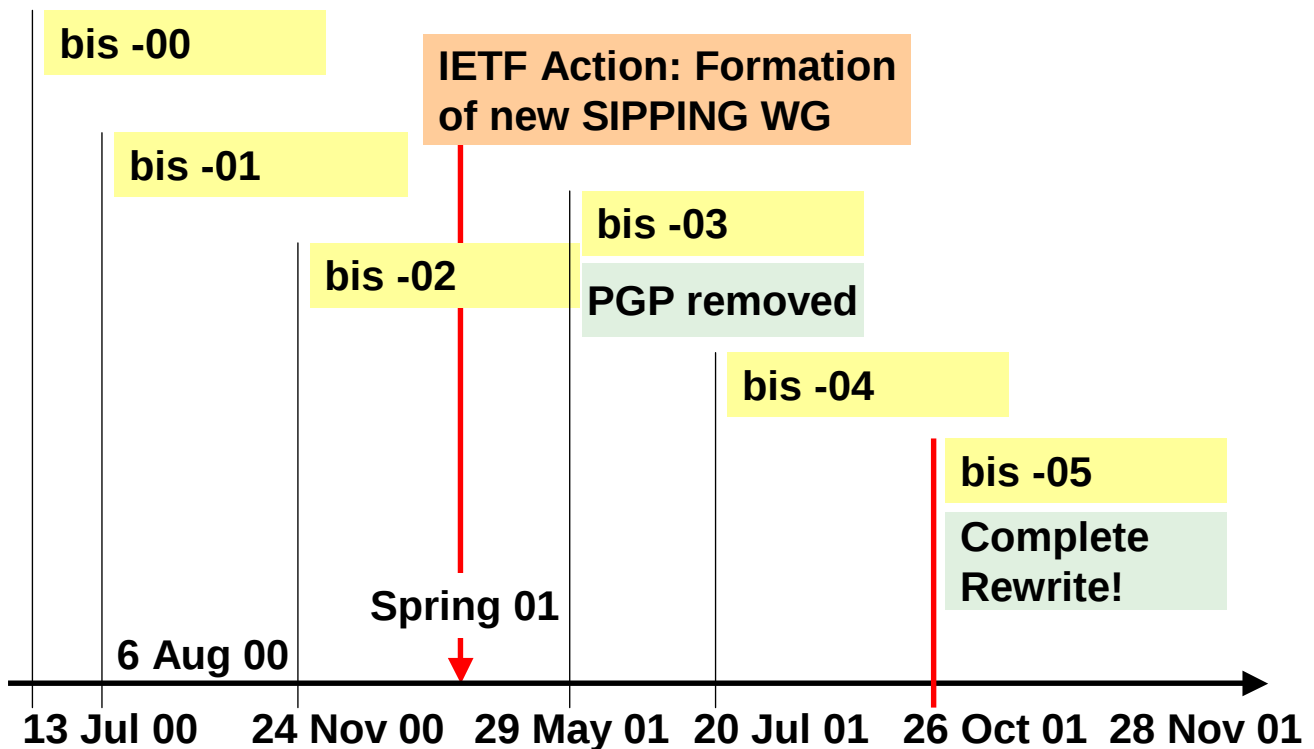
## Timeline: 1998



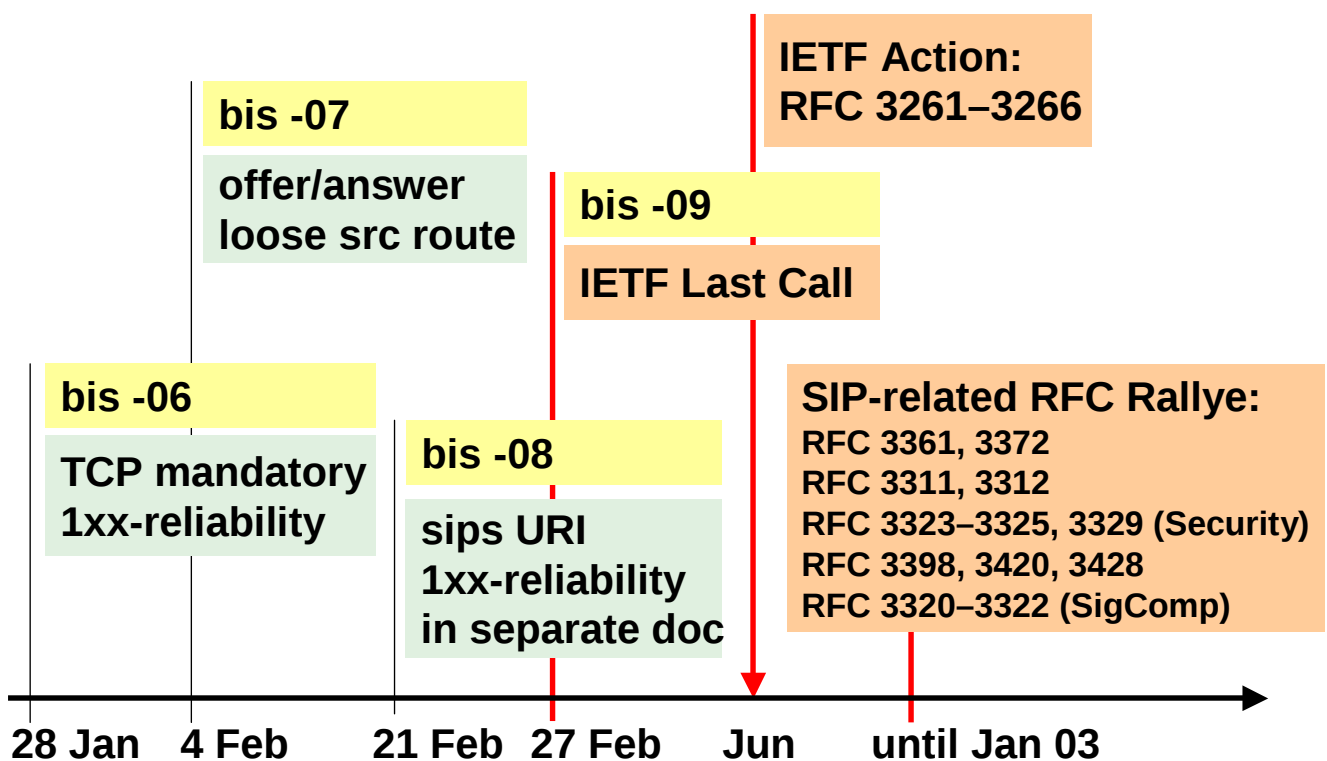
## Timeline: 1998/99



## Timeline: RFC2543bis (2000/2001)



## Timeline: RFC2543bis, RFC3261 (2002)



## RFCs related to SIP

### ◆ Base spec

- RFC 3261: SIP: Session Initiation Protocol
- RFC 3263: Locating SIP Servers
- RFC 3264: An Offer/Answer Model with SDP

### ◆ Extended Features

- RFC 2976: The SIP INFO Method
- RFC 3262: Reliability of Provisional Responses in SIP
- RFC 3265: SIP-specific Event Notification
- RFC 3311: SIP UPDATE Method
- RFC 3326: Reason Header
- RFC 3327: Registering Non-Adjacent Contacts
- RFC 3428: Instant Messaging

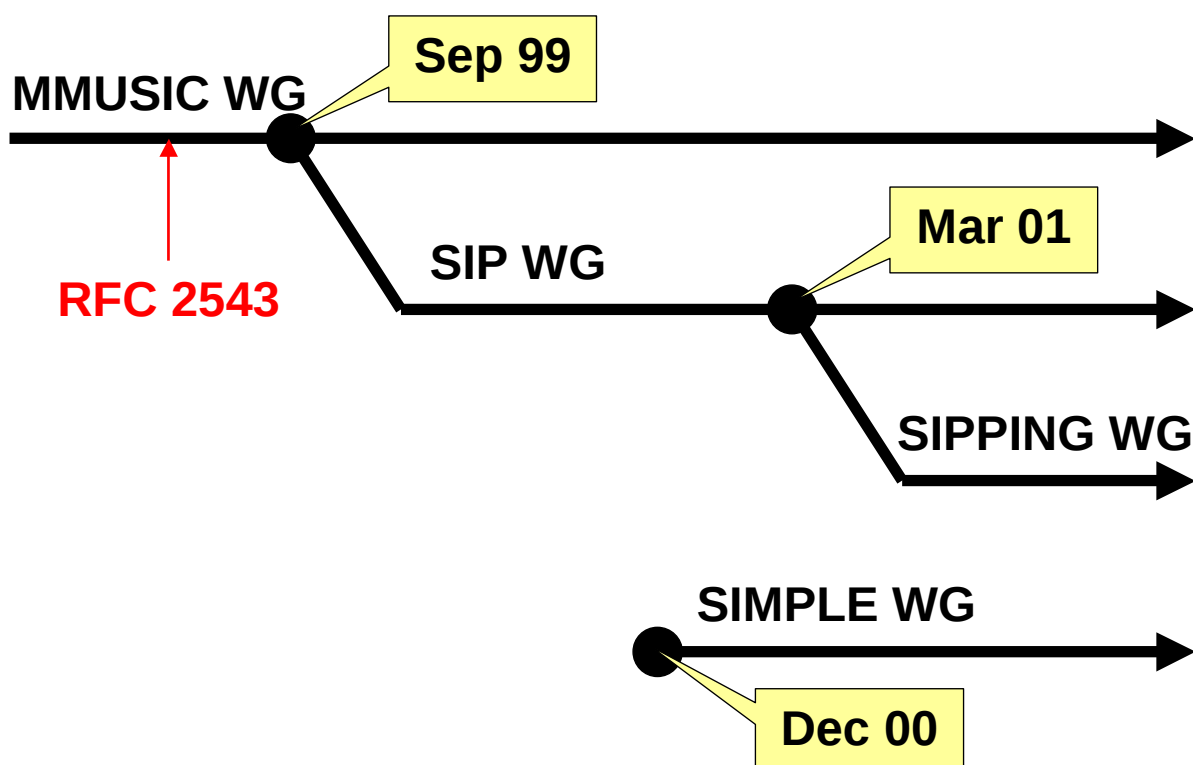
### ◆ Security

- RFC 3323: A Privacy Mechanism for SIP
- RFC 3325: Private Extension for Asserted Identity in Trusted Networks
- RFC 3329: Security-Mechanism Agreement for SIP

### ◆ Others

- RFC 3312: Integration of Resource Management and SIP
- RFC 3361: DHCP Option for SIP Servers
- RFC 3398: ISUP to SIP Mapping
- RFC 3420: Internet Media Type message/sipfrag
- SDP, RTP, ENUM, SigComp, CMS, AKA, ...

## IETF SIP Working Groups (1)



## IETF SIP Working Groups (2)

### MMUSIC WG



- SDP extensions
- SDPng

### SIP WG



- SIP core spec maintenance
- SIP protocol extensions

### SIPPING WG



- Requirements for SIP
- Specific SIP application services

### SIMPLE WG



- SIP for Presence and Instant Messaging

## SIP is not ...



### ◆ Suitable for conference control

- No floor control
- No participant lists
- No policies, voting, ...

### ◆ Designed for distribution of multimedia data

- Some extensions allow for carrying images, audio files, etc.

### ◆ A generic transport protocol!

### ◆ Another RPC mechanism

- SIP has no inherent support for distributed state information

### ◆ ...

### ◆ (but proposals for “misuse” show up again and again)

## Tutorial Overview

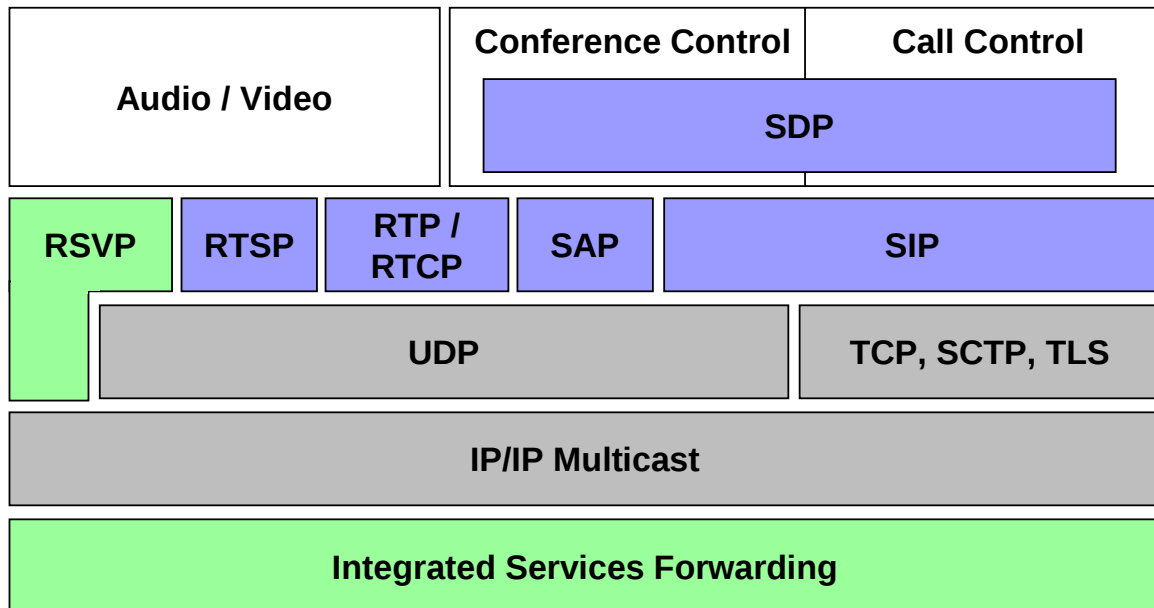
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- ◆ SIP in Telephony and Deployment Issues
- ◆ SIP in 3GPP

You are still here

## Protocol Characteristics

- ◆ Transaction oriented
  - Request–response sequences
- ◆ Independent from lower layer transport protocol
  - Works with a number of unreliable and reliable transports
    - ◆ UDP, TCP, SCTP
    - ◆ Secure transport: TLS over TCP, IPSec
  - Retransmissions to achieve reliability over UDP
  - Optionally use IP multicast → anycast service
- ◆ Independent of the session to be (re-)configured
- ◆ Re-use syntax of HTTP 1.1
  - Text-based protocol (UTF-8 encoding)
- ◆ Enable servers maintaining minimal state info
  - Stateless proxies
  - Transaction-stateful proxies
  - Dialog (call) state in endpoints (optional for proxies)

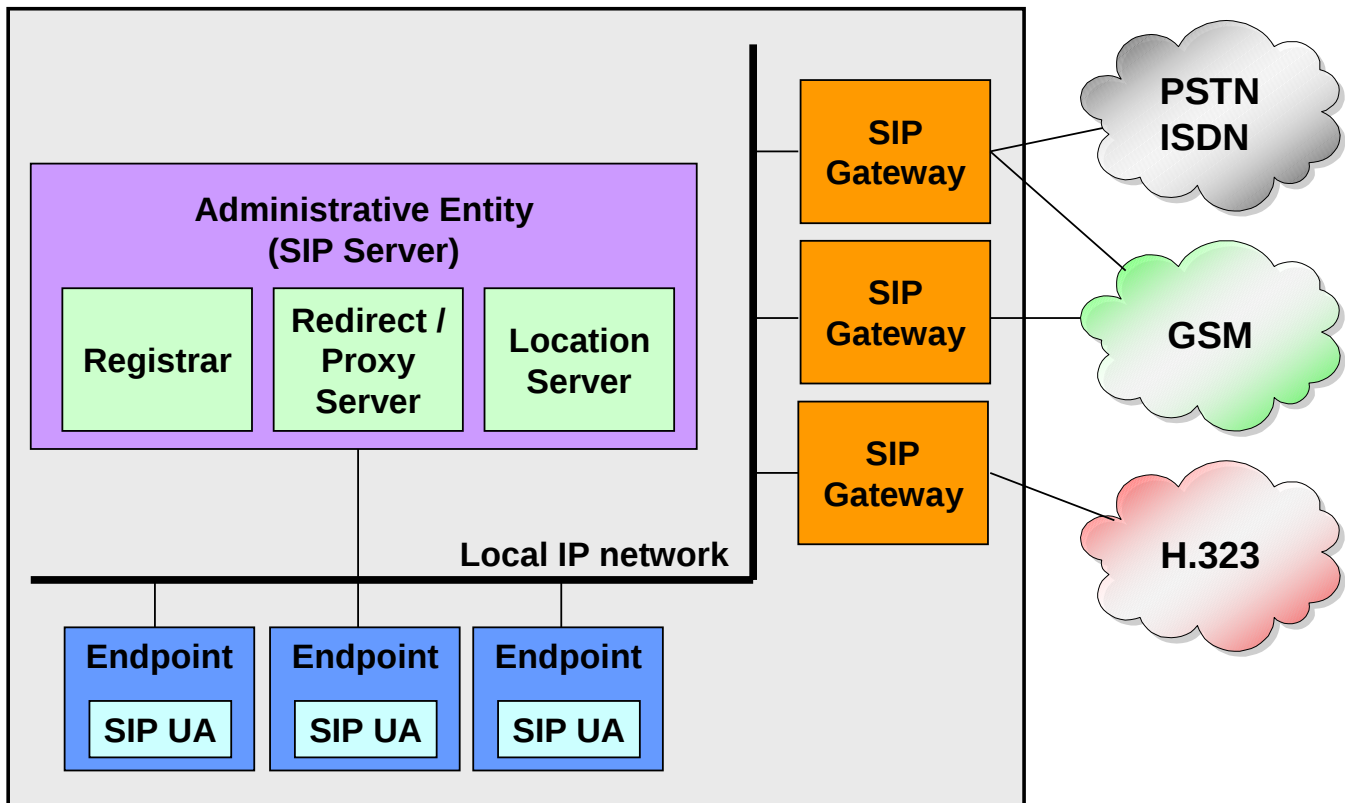
# SIP and the Multimedia Conferencing Architecture



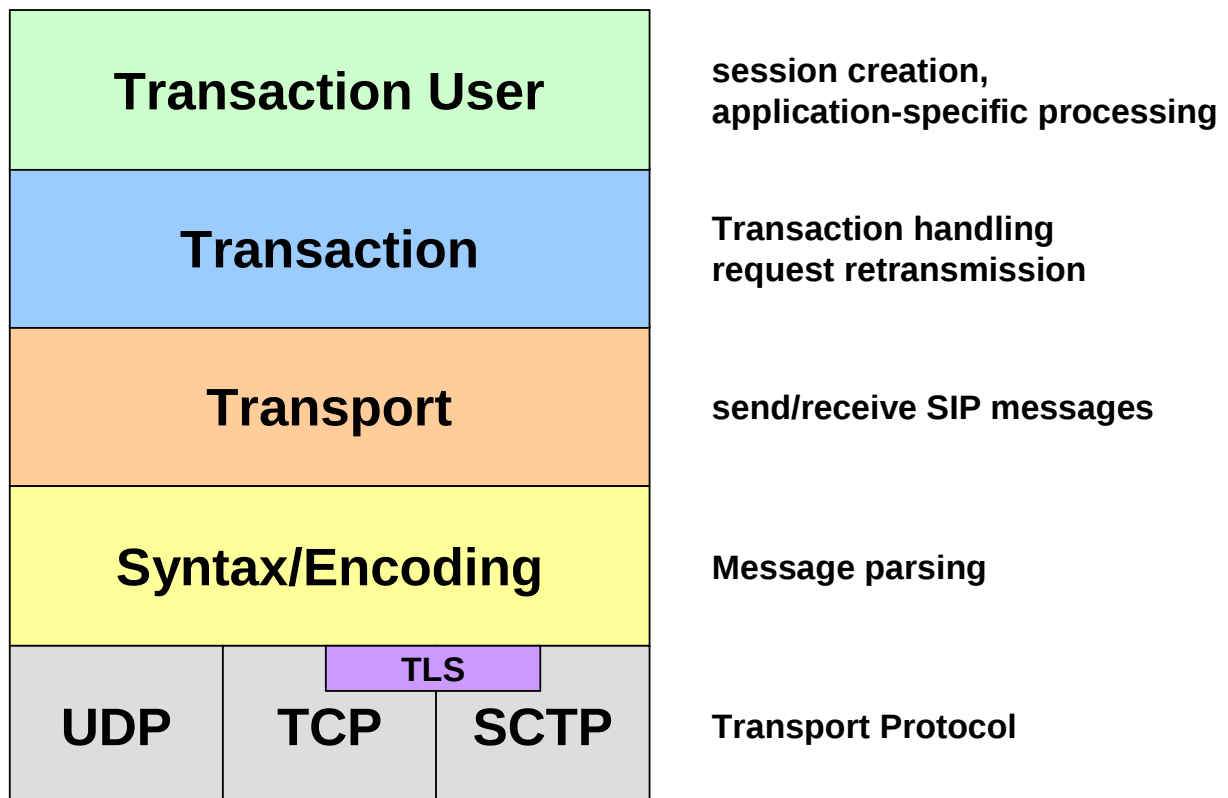
## Terminology

- ◆ **User Agent Client (UAC):**
    - Endpoint, initiates SIP transactions
  - ◆ **User Agent Server (UAS):**
    - Handles incoming SIP requests
  - ◆ **Redirect server:**
    - Retrieves addresses for callee and returns them to caller
  - ◆ **Proxy (server):**
    - UAS/UAC that autonomously processes requests  
→ forward incoming messages (probably modified)
  - ◆ **Registrar:**
    - Stores explicitly registered user addresses
  - ◆ **Location Server:**
    - Provides information about a target user's location
  - ◆ **Back-to-Back User Agent (B2BUA)**
    - Keeps call state; more powerful intervention than proxy
- } **User Agent**

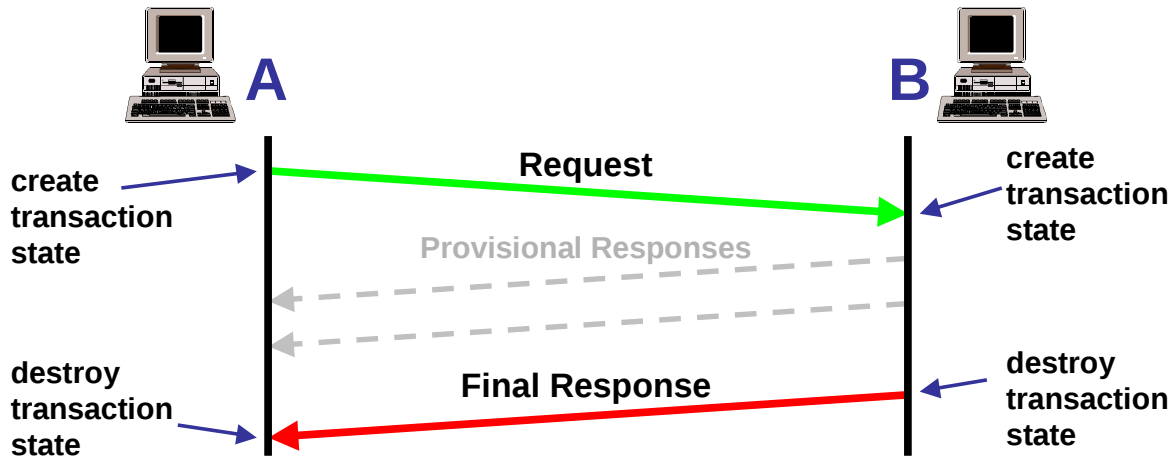
## Local SIP Architecture



## Functional Layers



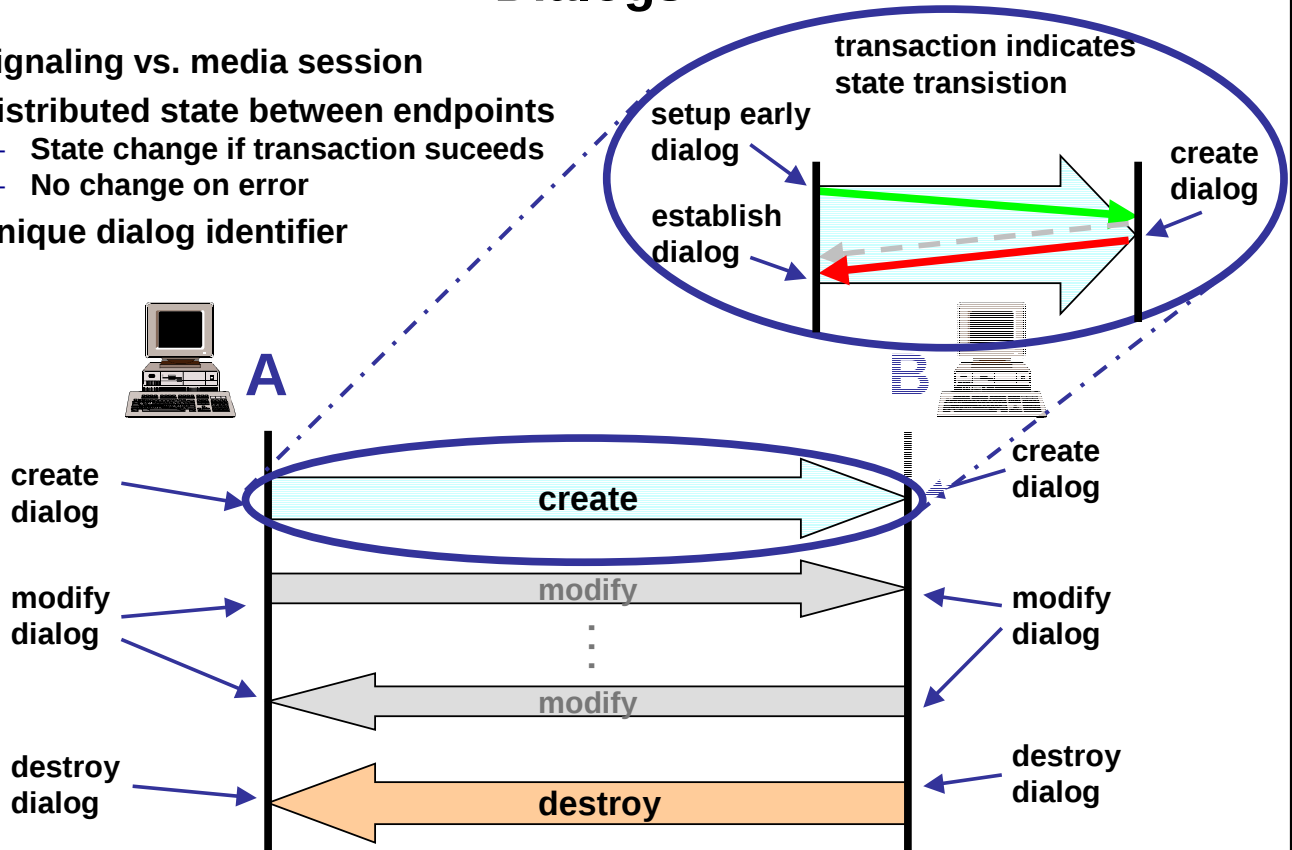
## SIP Transactions



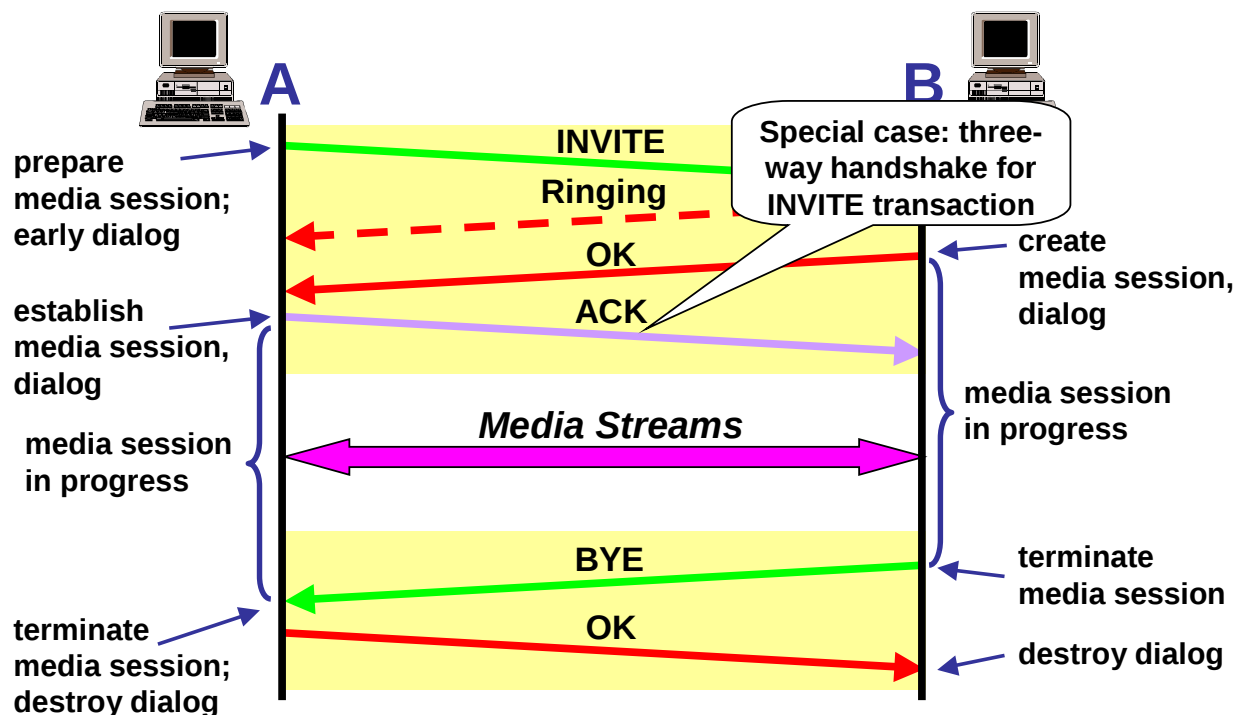
- ◆ **RPC-like approach:**
  - Initial request
  - Wait for final response
- ◆ **Unique identifier (**transaction id**)**  
(originator, recipient, unique token, sequence number, ...)
- ◆ **Provisional responses:**
  - Additional status information
  - May be unreliable
- ◆ **Independent completion**

## Dialogs

- ◆ **Signaling vs. media session**
- ◆ **Distributed state between endpoints**
  - State change if transaction succeeds
  - No change on error
- ◆ **Unique dialog identifier**



## Dialogs Example: Media Sessions



## SIP Message Syntax: Request

Start line

```
INVITE sip:user@example.com SIP/2.0
```

Message headers

```
To: John Doe <sip:user@example.com>
From: sip:jo@tzi.uni-bremen.de;tag=4711
Subject: Congratulations!
Content-Length: 117
Content-Type: applicaton/sdp
Call-ID: 2342344233@134.102.218.1
CSeq: 49581 INVITE
Contact: sip:jo@134.102.224.152:5083
        ;transport=udp
Via: SIP/2.0/UDP 134.102.218.1
```

Message body  
(SDP content)

```
v=0
o=jo 75638353 98543585 IN IP4 134.102.218.1
s=SIP call
t=0 0
c=IN IP4 134.102.224.152
m=audio 47654 RTP/AVP 0 1 4
```

## SIP Message Syntax: Response

Start line

**SIP/2.0 200 OK**

Message headers

To: John Doe <sip:user@example.com>;tag=428  
From: sip:jo@tzi.uni-bremen.de;tag=4711  
Subject: Congratulations!  
Content-Length: 121  
Content-Type: applicaton/sdp  
Call-ID: 2342344233@134.102.218.1  
CSeq: 49581 INVITE  
Contact: sip:jdoe@somehost.domain  
Via: SIP/2.0/UDP 134.102.218.1

Message body  
(SDP content)

v=0  
o=jdoe 28342 98543601 IN IP4 134.102.20.22  
s=SIP call  
t=0 0  
c=IN IP4 134.102.20.38  
m=audio 61002 RTP/AVP 0 4

## SIP Addressing Scheme

- ◆ SIP URI: generic syntax specified in RFC 2396
- ◆ Two roles:
  - Naming a user; typically **sip:user@domain**
  - Contact address of a user or group; typically contains host name or IP address, port, transport protocol, ...
- ◆ May contain header fields for SIP messages
- ◆ Support for telephone subscribers instead of **user**  
→ use phone number as specified in RFC 2806

**'sip:' [ user [ ':' passwd ] '@' ] host [ ':' port ] params [ '?' headers ]**

**params ::= ( ';' name [ '=' value ] )\***

**headers ::= field '=' value? [ '&' headers ]**

## SIP Addressing Examples

`sip:tzi.org`

`sip:192.168.42.1`

`sip:john@example.com`

`sip:john@example.com:5060`

`sip:foo@example.com:12780`

} Registration domain  
or IP address

} Userinfo + domain/host  
optional port number

URI parameters may carry detailed  
information on specific URI components:

`sip:john@Example.COM;maddr=10.0.0.1`

`sip:+1555123456@tel-gw.myitsp.com;user=phone`

**Use URI scheme 'sips' to request secure transmission.**

## Service Description

### Encapsulation

`sip:sip%3Ajo%40192.168.42.5%3Bmaddr=134.102.3.99@example.com`

Need to encode reserved characters

### Service indication example

`sip:voicemail.replay=ablx817m@media-engine;msgid=78`

### Additional header fields (line breaks inserted for readability)

`sip:sales@warehouse.com;method=INVITE \`  
`?Subject=gw%20c2661&Call-ID=c239xa2-as921b%40warehouse.com`  
`sip:jo@example.com?Replaces=abcd@example.com%3B \`  
`from-tag%3D28%3Bto-tag%3D234abl&Accept-Contact= \`  
`%3Csip%3Ajo%40134.102.218.1%3C%3Bonly%3Dtrue`

Separator characters

## Further Common URI Schemes

### Telephony (RFC 2806)

`tel:+1-555-12345678`

`tel:7595;phone-context=+49421218`

### ITU-T H.323 Protocol

`h323:user@example.com`

### Instant Messaging

`im:user@example.com`

### Presence

`pres:user@example.com`

## URIs in Header Fields

### URI-parameters vs. header parameters

Contact: `sip:bob@p2.example.com:55060  
;methods="NOTIFY"  
;expires=3600`



### → angle brackets:

Contact: `< sip:bob@p2.example.com:55060  
;methods="NOTIFY" >  
;expires=3600`

URI parameter

Header parameter



### Required if

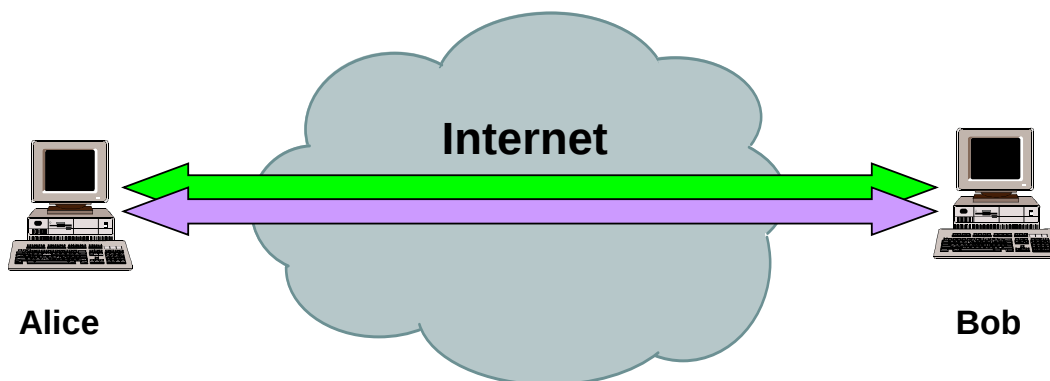
- URI contains comma, question mark or semicolon
- The header field contains a display name

## Tutorial Overview

- ◆ Internet Multimedia Conferencing Architecture
- ◆ Packet A/V Basics + Real-time Transport
- ◆ SIP Introduction, History, Architecture
- ◆ **SIP Basic Functionality, Call Flows**
- ◆ SIP Security
- ◆ SIP Service Creation
  
- ◆ SIP in Telephony
- ◆ SIP in 3GPP

You are here

## Application Scenario 1: Direct Call UA-UA



↔ Call signaling

↔ Media streams

## Direct Call

tzi.org



INVITE  
sip:bob@foo.bar.com

bar.com



100 Trying

180 Ringing

200 OK

ACK

Media Streams

BYE

200 OK

**Note:**  
Three-way handshake  
is performed only for  
INVITE requests.

- Caller knows callee's hostname or address
- Called UA reports status changes
- After Bob accepted the call, OK is signaled
- Calling UA acknowledges, call is established
- Media data are exchanged (e. g. RTP)
- Call is terminated by one participant

## Callee Declines Call

tzi.org



INVITE  
sip:bob@foo.bar.com

bar.com



180 Ringing

603 Decline

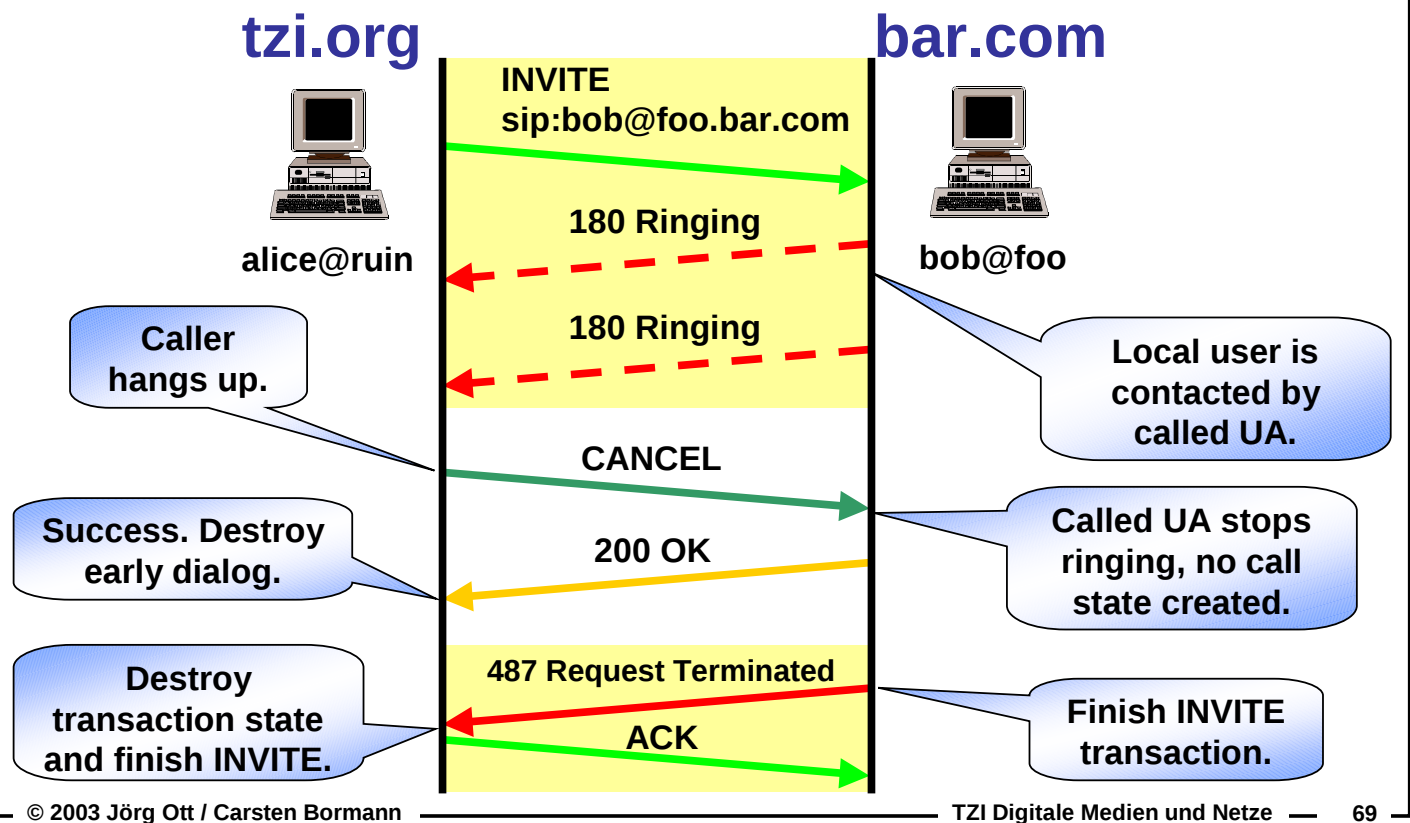
ACK

Local user is  
contacted by  
called UA.

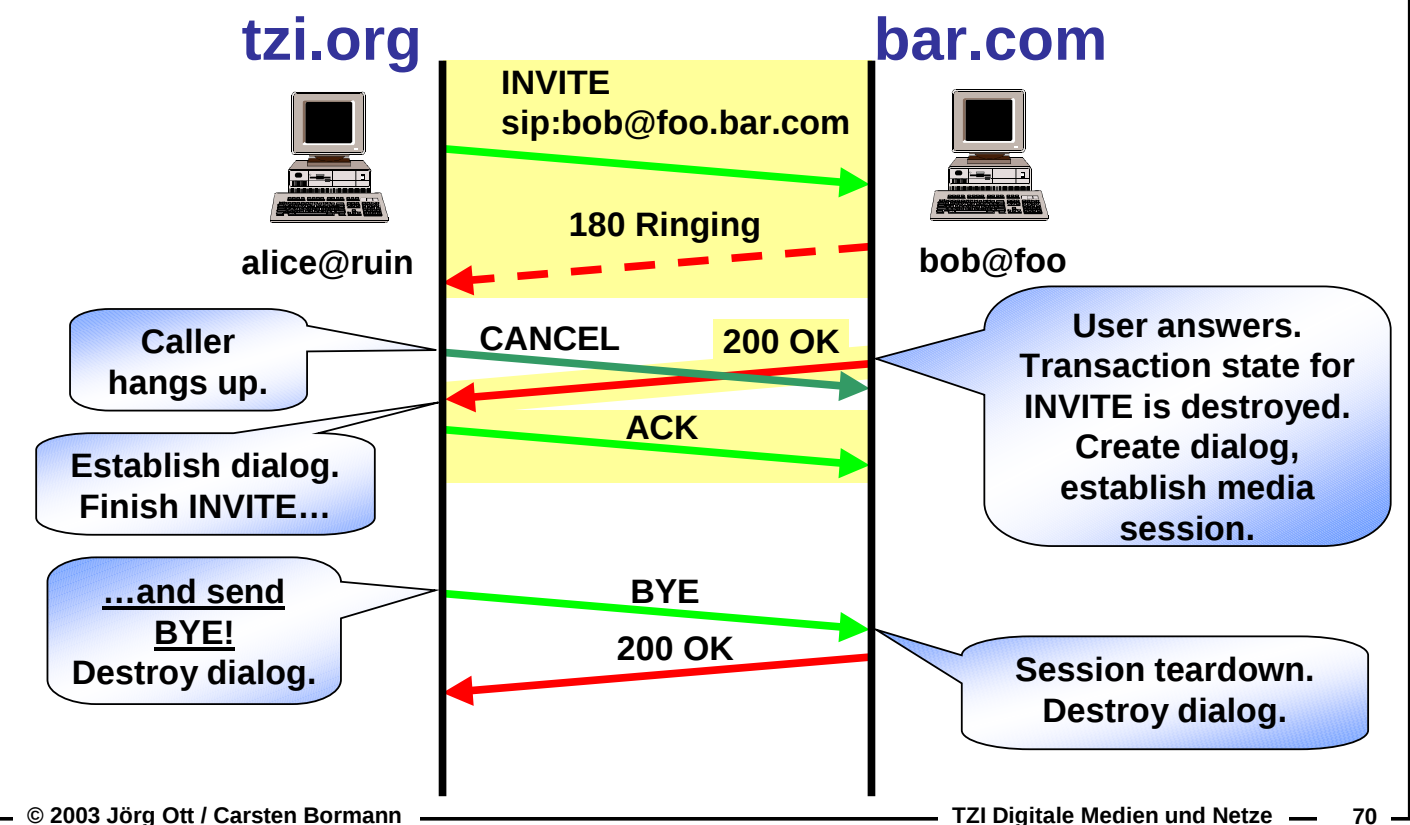
User clicks on  
"deny". UA returns  
error response.

Calling UA  
acknowledges  
the transaction.

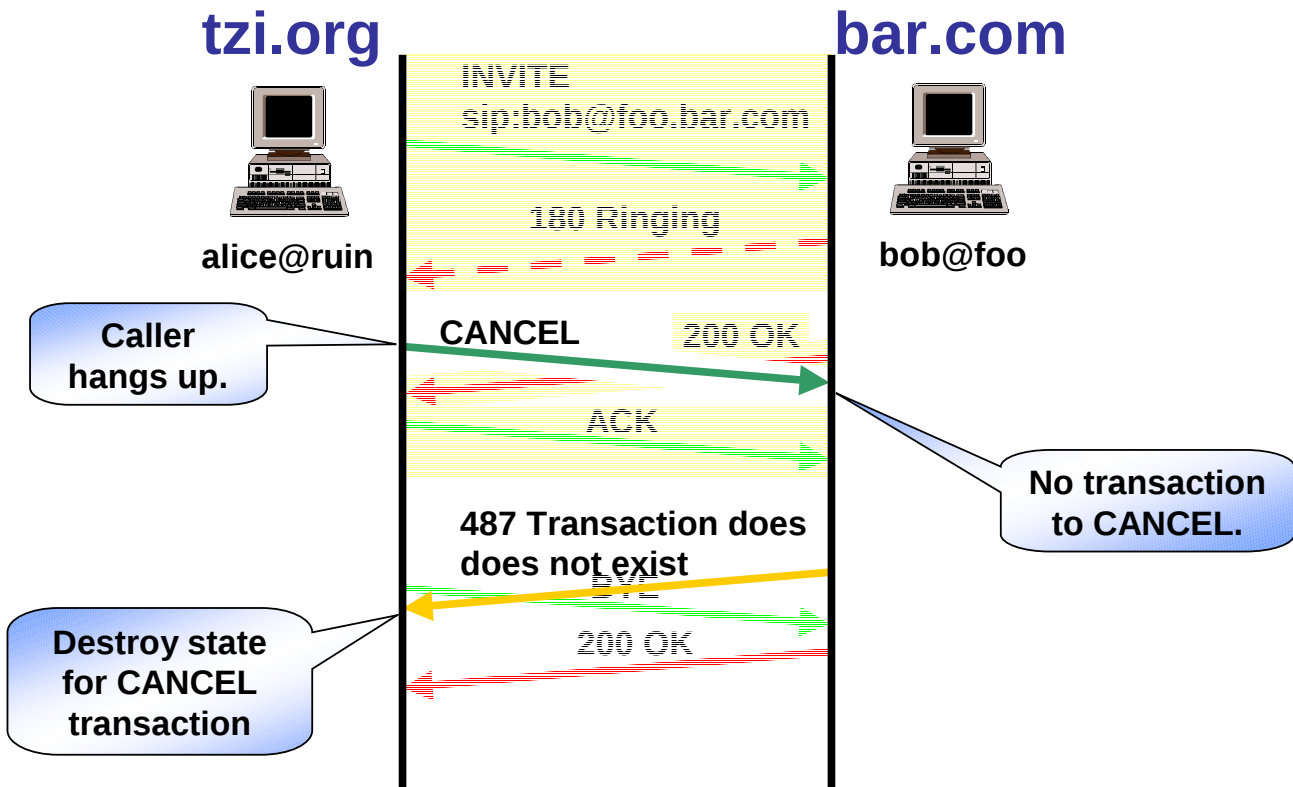
## Caller Gives Up



## Caller Gives Up While Call Established



## Caller Gives Up While Call Established



## How to Find The Callee?

- ◆ Direct calls require knowledge of callee's address
- ◆ SIP provides abstract naming scheme:

**sip:user@domain**

→ Define mapping from **SIP URI** to real locations:

- Explicit registration:  
UA registers user's name and current location
- Location service:  
Use other protocols to find potentially correct addresses

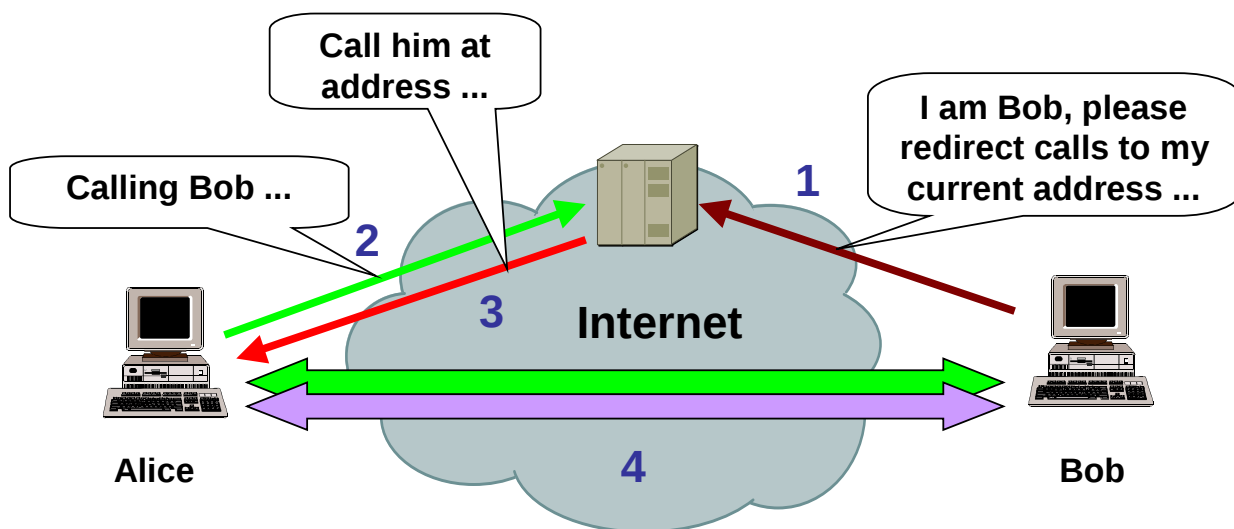
- ◆ Caller sends **INVITE** to any SIP server knowing about the callee's location
- ◆ Receiving server may either redirect, refuse or proxy

## Finding the Next Hop

- ◆ UAC may use a configured outbound proxy
- ◆ If request URI contains IP address and port, message can be sent directly
 

UDP/TCP 5060  
 TLS 5061
- ◆ Otherwise, determine far-end SIP server via DNS
  - Optionally use NAPTR RR eventually get ordered list of protocol, IP address, port
  - Query for SRV RR: `_sip._udp, _sip._tcp`
  - If entries found, try as specified in RFC 2782
- ◆ Last resort: query A or AAAA records
  - For specified domain name
  - (Deprecated: For specified *sip.domain*)

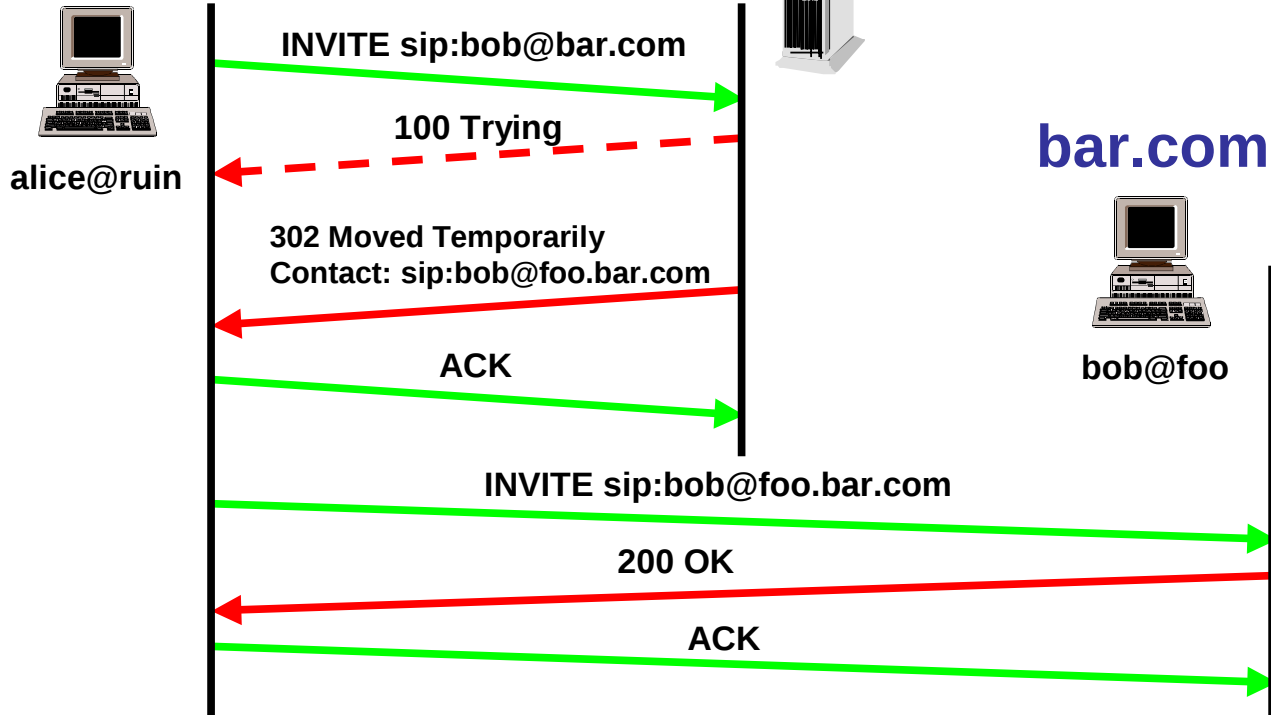
## Application Scenario 2: Redirected Call



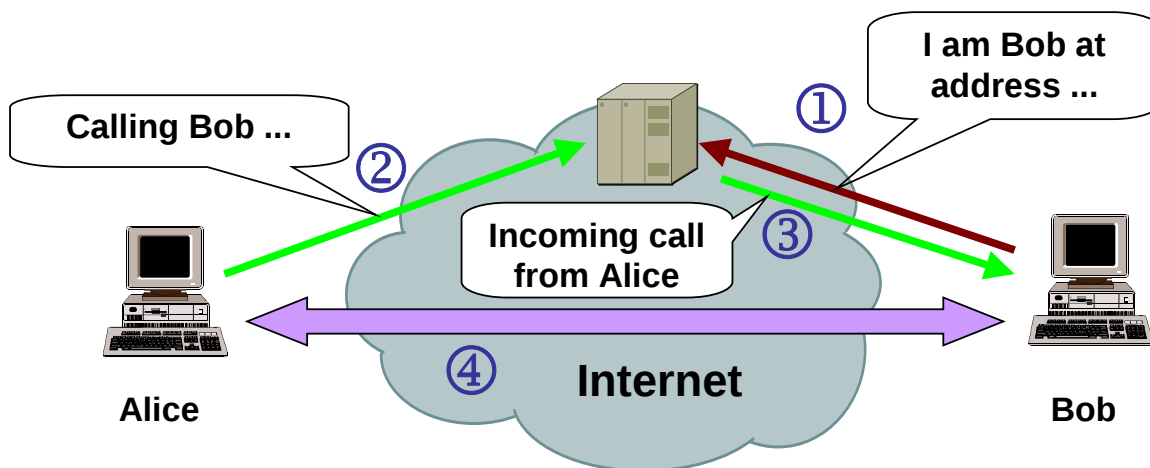
## Redirected Call

tzi.org

sip.bar.com

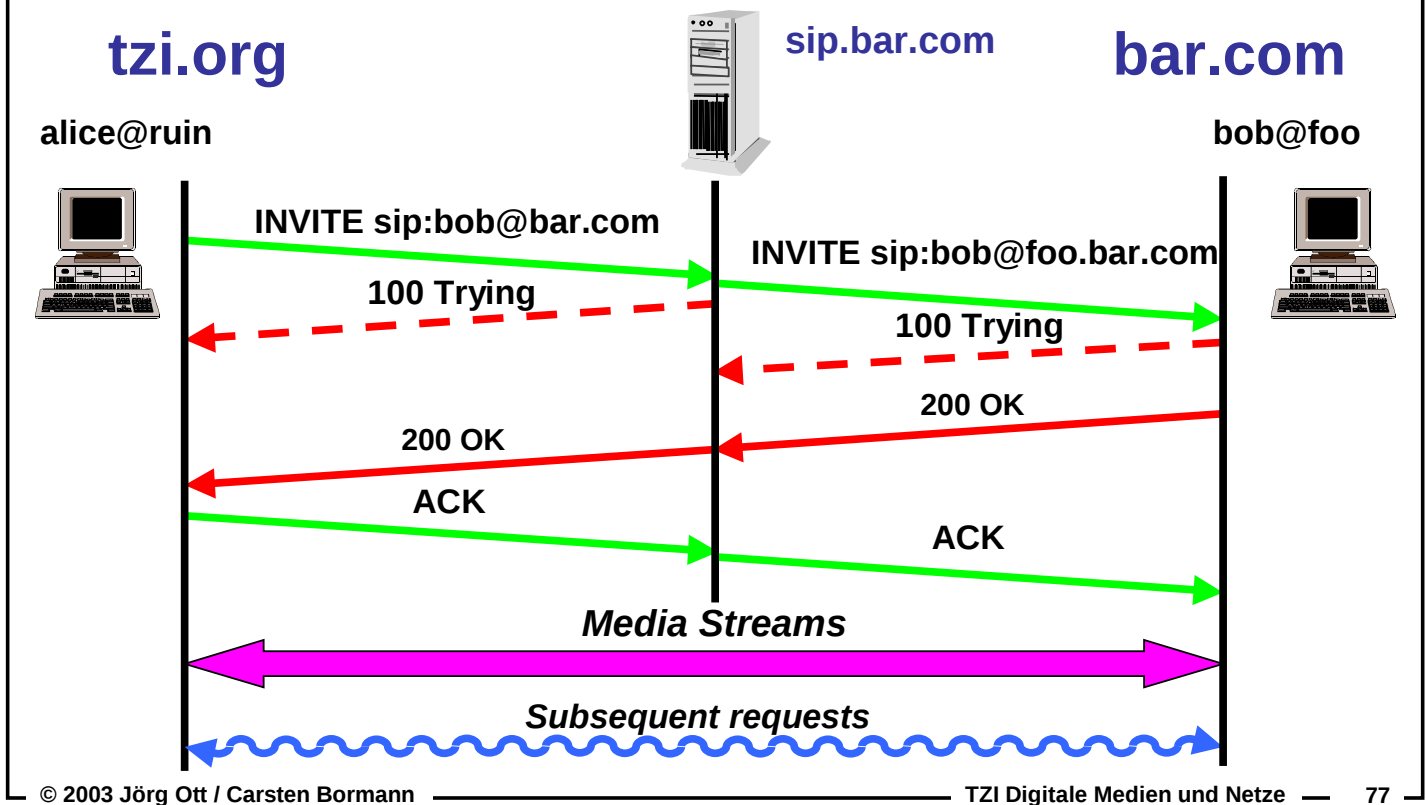


## Application Scenario 3: Proxied Call



Call signaling  
 Media streams

## Proxied Call



## SIP Proxy Functionality

### ◆ Stateless vs. stateful

- Stateless: efficient and scalable call routing
- Stateful: service provision, firewall control, ...

### Some roles for proxies

#### ◆ Outbound proxy

- Perform address resolution and call routing for endpoints
- Pre-configured for endpoint (manually, DHCP, ...)

#### ◆ Backbone proxy

- Essentially call routing functionality

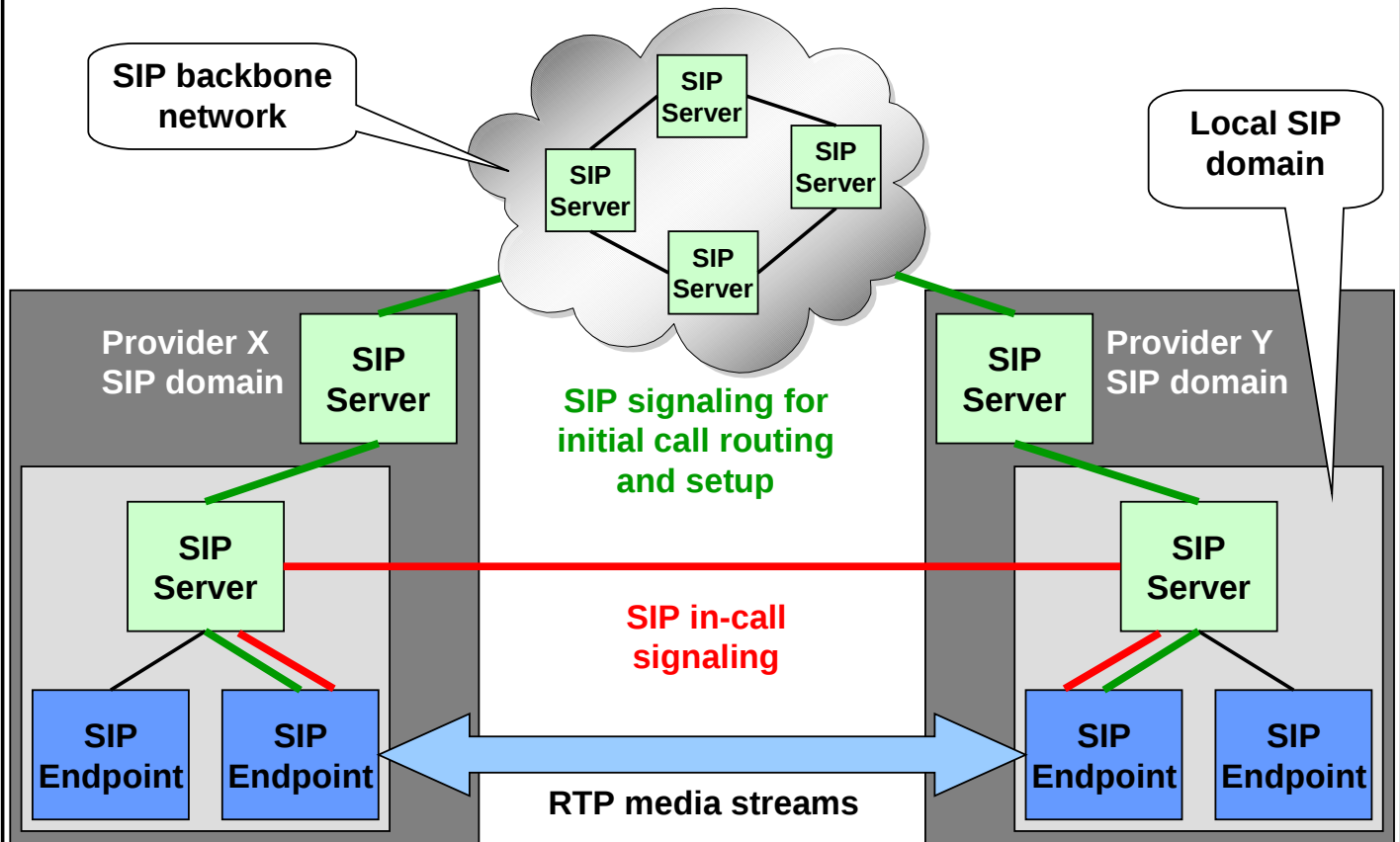
#### ◆ Access proxy

- User authentication and authorization, accounting
- Hide network internals (topology, devices, users, etc.)

#### ◆ Local IP telephony server (IP PBX)

#### ◆ Service creation in general...

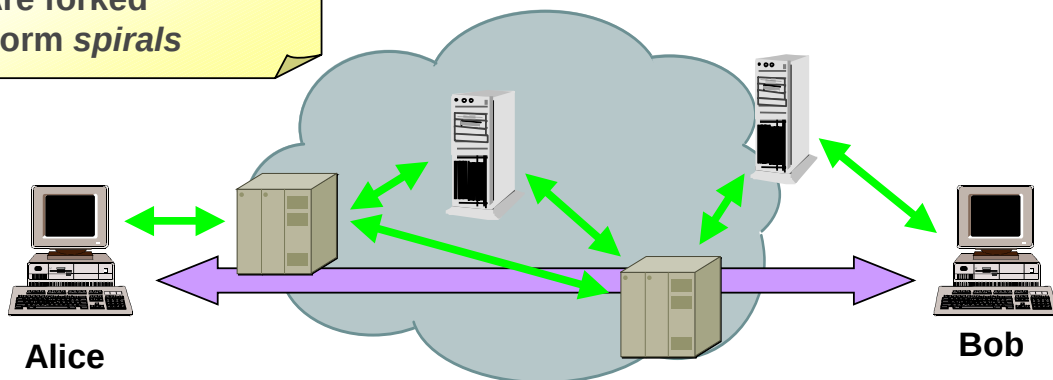
## Global SIP Architecture



## Application Scenario 4: Proxied Call (Real World)

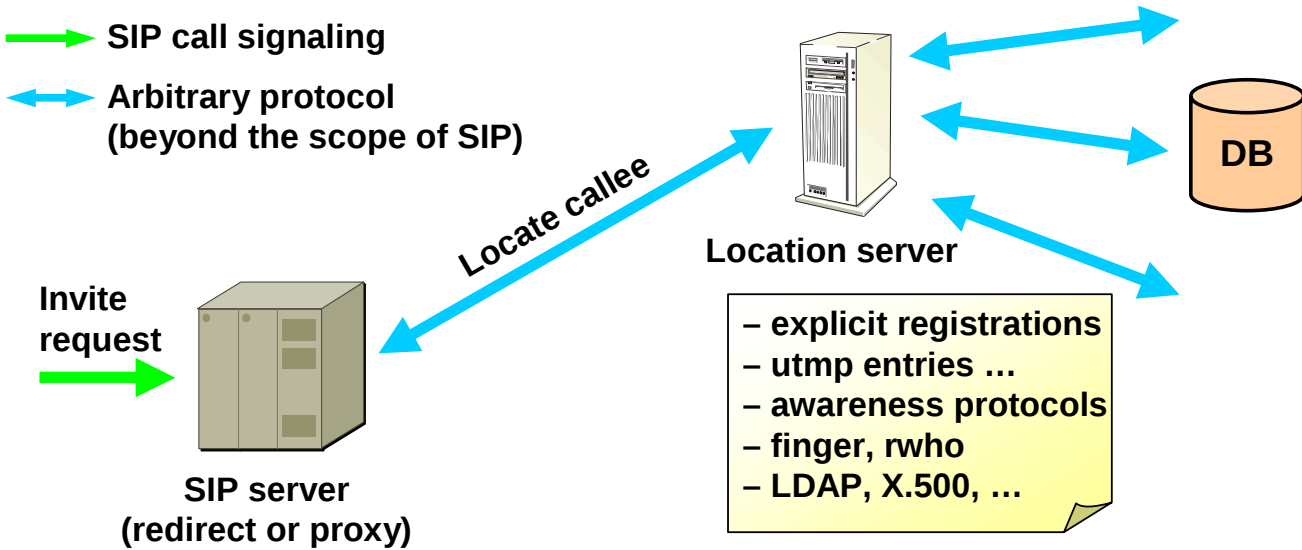
**Requests typically**

- Take different paths
- Are forked
- Form *spirals*



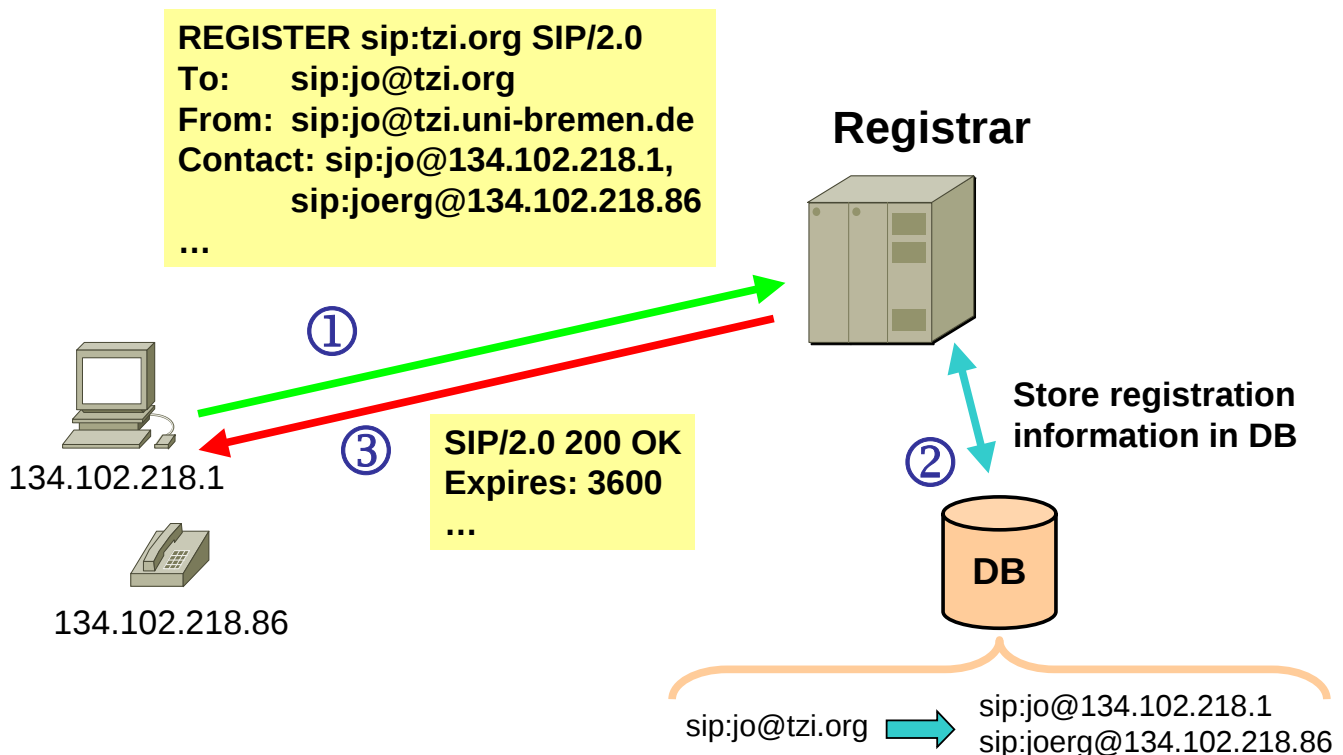
↔ Call signaling  
↔ Media streams

## User Location



- ◆ SIP server asks location server where to find callee
- ◆ Location server returns list of contact addresses
- ◆ SIP server proxies or redirects request according to address list

## User Registration (1)



## User Registration (2)

- Send REGISTER request to registrar

```
REGISTER sip:tzi.org SIP/2.0
To: sip:jo@tzi.org
From: sip:jo@tzi.uni-bremen.de
Contact: sip:jo@134.102.218.1,
        sip:joerg@134.102.218.86
...
```

- Request URI **sip:domain**  
→ registrar may refuse requests for foreign domains
- **To:** canonic name for registered user (usually **sip:user@domain**)
- **From:** responsible person  
(may vary from To: for third party registration)
- **Contact:** contact information for the registered user  
→ address, transport parameters, redirect/proxy
- Specified addresses are merged with existing registrations
- Registrar denotes expiration time in **Expires:** header
- Client refreshes registration before expiry

## Registration Expiry

- ◆ **Client requests lifetime**
  - Contact:-header parameter **expires**
  - SIP message header field **Expires:**
  - Relative duration (seconds) or absolute date (RFC 1123, only GMT)
  - Default if no expiry time requested: 3600 seconds
- ◆ **Registrar may use lower or higher value, indicated in OK response**
  - Registrar must not increase expiry interval, may decline request with “423 Registration Too Brief” and **Min-Expiry:** header
- ◆ **After expiration, registrar silently discards corresponding database entries**

## Add/update Registration Entries

- ◆ Retrieve all entries for **user@domain**  
(specified in To:-header) from database
- ◆ Compare with Contact:-addresses according to  
scheme-specific rules:
  - Add addresses for which no entries exist
  - Entries being equal to a contact address are updated
- ◆ Otherwise return 200 OK response
  - Include all entries for user@domain

## Lookup and Delete

- ◆ Lookup entries:
  - No Contact:-header in request  
→ Current registrations are returned in OK response
  - For further processing:
    - ◆ Client uses **q**-parameter to determine relative order
- ◆ Delete entries:
  - Expires: 0
    - ◆ Delete URIs specified in Contact:-Header from  
database
    - ◆ Delete all entries for user: **Contact: \***

## Finding a Local Registrar Server

### ◆ Multicast REGISTER request

- Send link-local request to [sip.mcast.net](http://sip.mcast.net) (224.0.1.75)
- Use address of first server that responds with OK
- If other OK responses, use sender addresses as fallback

### ◆ Alternatively, use configured registrar address

Other mechanisms out-of-scope of core spec. Examples:

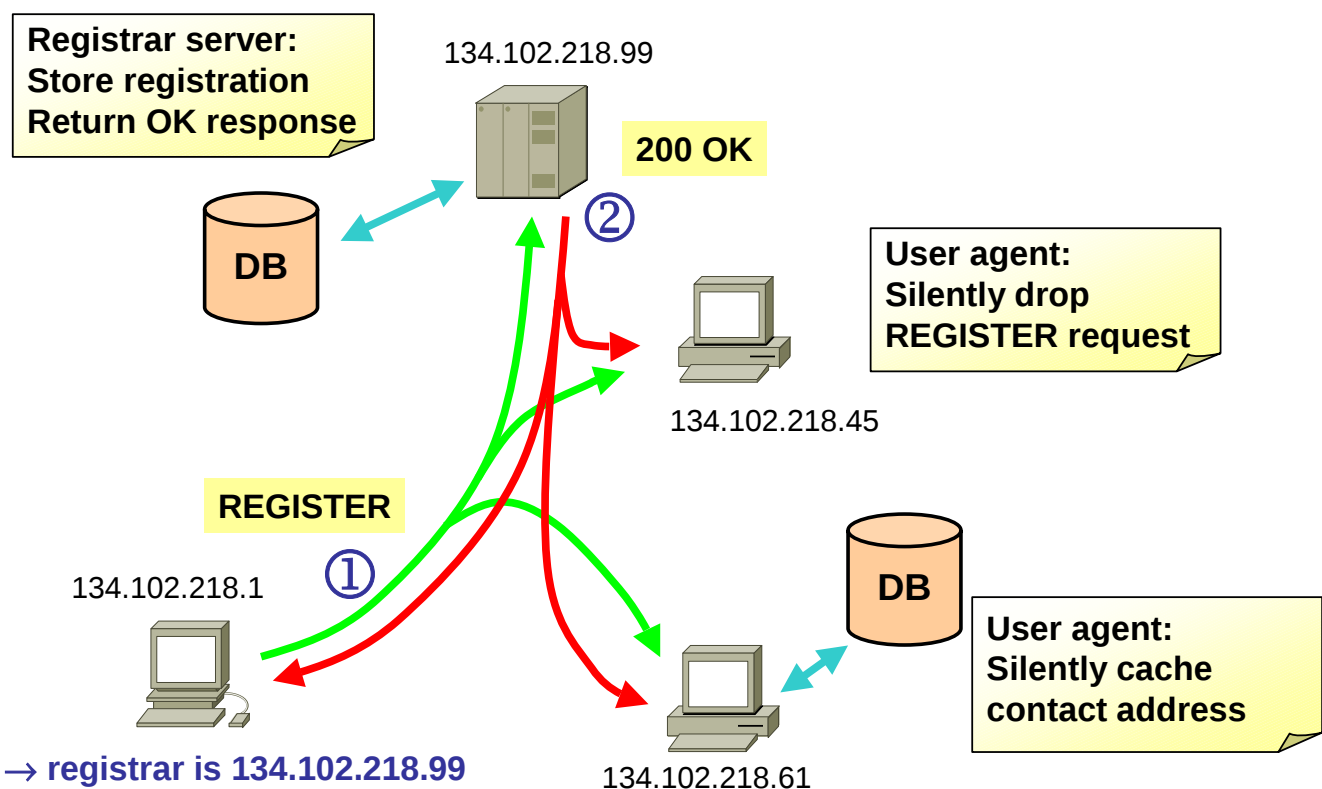
### ◆ DHCP

- DNS SRV lookup on domain name obtained via DHCP
- If no SIP server found, query A or AAAA record

### ◆ Service Location Protocol (SLP)

◆ ...

## Link-local Multicast Registration

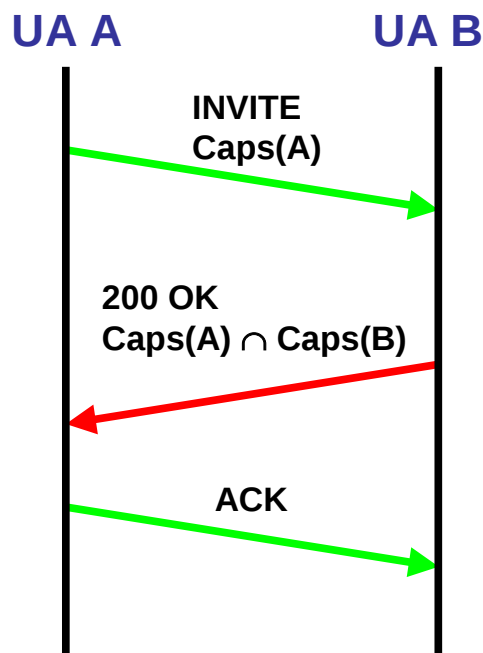


## Capability Negotiation

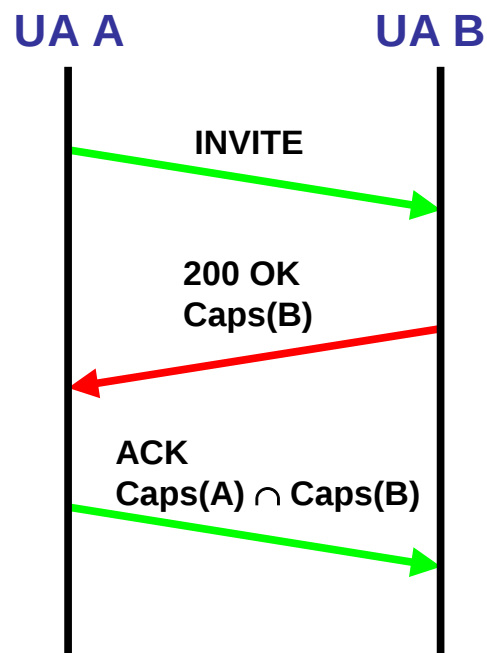
- ◆ **SDP: Session Description Protocol, RFC 2327**
- ◆ **Caller includes SDP capability description in INVITE**
  - Time information may be set to “t=0 0” or omitted
  - For RTP/AVT, use of *rtptime* mappings is encouraged
- ◆ **For each media stream (*m*-part of SDP message), callee returns own configuration in response**
  - Indicate destination address in *c*=-field
  - Indicate port and selected media parameters in *m*=-field
  - Set port to zero to suppress media streams
- ◆ **UA may return user’s capability set in 200 OK response when receiving an OPTIONS request**

## Media Negotiation During Call Setup

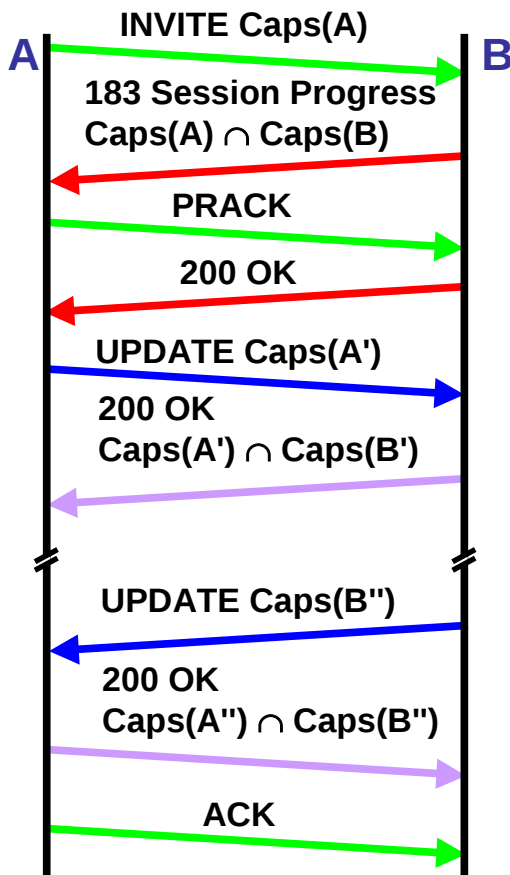
### “Normal” operation



### Delayed description



## Use of UPDATE in Offer/Answer Model



- ◆ Offerer sends session description
- ◆ Answerer must match against local capabilities
- ◆ Mid-dialog UPDATE request:
  - establish dialog state first (final or reliable provisional response)
  - No effect on dialog state
- ◆ No offer allowed as long as pending offers exist
- ◆ Any party may send offer

## SDP Example

### Length of Time represented by Media in a single Packet

(in SIP: address where originator wants to receive data)

```

v=0
o=llynch 3117798688 3117798739 IN IP4 128.223.214.23
s=UO Presents KWAX Classical Radio
i=University of Oregon sponsored classical radio station KWAX-FM
u=http://darkwing.uoregon.edu/~uocomm/
e=UO Multicastors multicast@lists.uoregon.edu
p=Lucy Lynch (University of Oregon) (541) 346-1774
t=0 0
a=tool:sdr v2.4a6
a=type:test
m=audio 30554 RTP/AVP 0
c=IN IP4 224.2.246.13/127
a=ptime:40
    
```

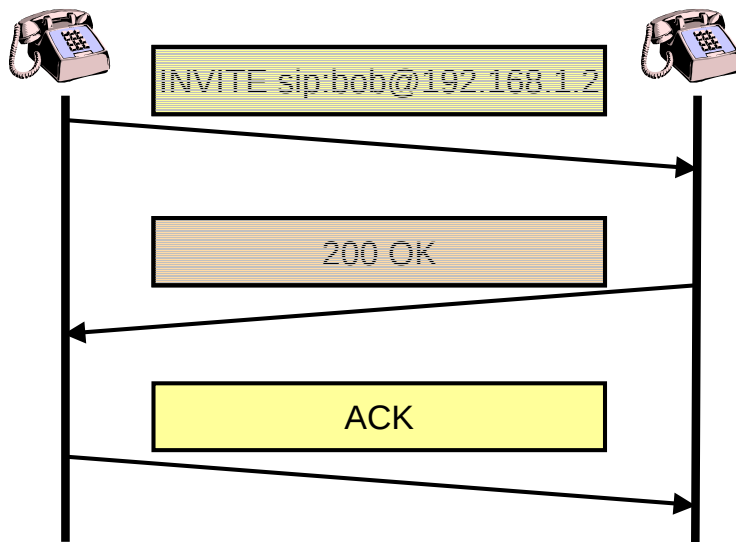
Session  
Level

Media  
Level

## SDP in SIP Applications

alice@192.168.1.1

bob@192.168.1.2



Second media session not supported

No SDP content

## Example SDP Alignment

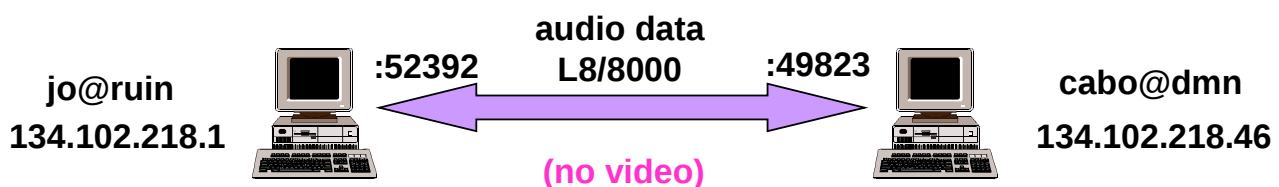
```

v=0
o=jo 7849 2873246 IN IP4 ruin.inf...
s=SIP call
t=0 0
c=IN IP4 134.102.218.1
m=audio 52392 RTP/AVP 98 99
a=rtpmap:98 L8/8000
a=rtpmap:99 L16/8000
m=video 59485 RTP/AVP 31
a=rtpmap:31 H261/90000
    
```

```

v=0
o=cabo 82347 283498 IN IP4 dmn.inf...
s=SIP call
t=0 0
c=IN IP4 134.102.218.46
m=audio 49823 RTP/AVP 98
a=rtpmap:98 L8/8000
m=video 0 RTP/AVP 31
    
```

Resulting configuration:



## Send/Receive Only

- ◆ **Media streams may be unidirectional**
  - Indicated by *a=sendonly*, *a=recvonly*
- ◆ **Attributes are interpreted from sender's view**
- ◆ **Sendonly**
  - Recipient of SDP description should not send data
  - Connection address indicates where to send RTCP receiver reports
  - Multicast session: recipient sends to specified address
- ◆ **Recvonly**
  - Sender lists supported codecs
  - Receiver chooses the subset he intends to use
  - Multicast session: recipient listens on specified address
- ◆ **Inactive**
  - To pause a media stream (rather than deleting it)

## Change Session Parameters

- ◆ **Either party of a call may send a re-INVITE that contains a new session description**
    - Use other connection address, port
    - Add/remove codecs
    - Append new media streams at the message's end
  - ◆ **Recipient re-aligns session description with current values**
    - Change media parameters
    - Delete media streams (port has zero-value)
    - Add new streams
- Gateways may use re-INVITE when session parameters are unknown during call setup

## UPDATES for Session State

### ◆ UPDATE as generic mechanism to modify session state

- Align different proposals: early media, resource reservation, ...
- Issues with heterogeneous error responses (HERFP) addressed
- Even useful to establish security associations
- **Dialog state** vs. **session state**

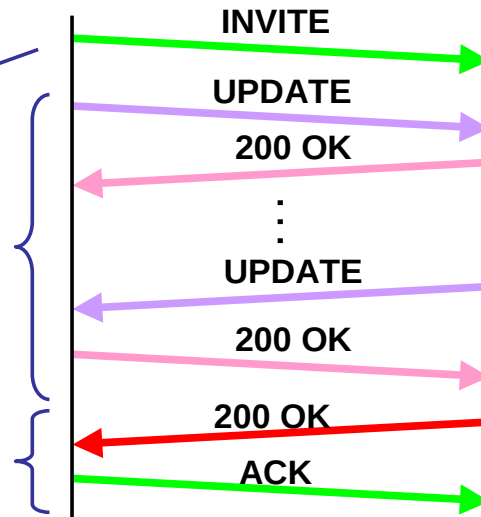
### ◆ Offer/Answer-Model

Request dialog state update

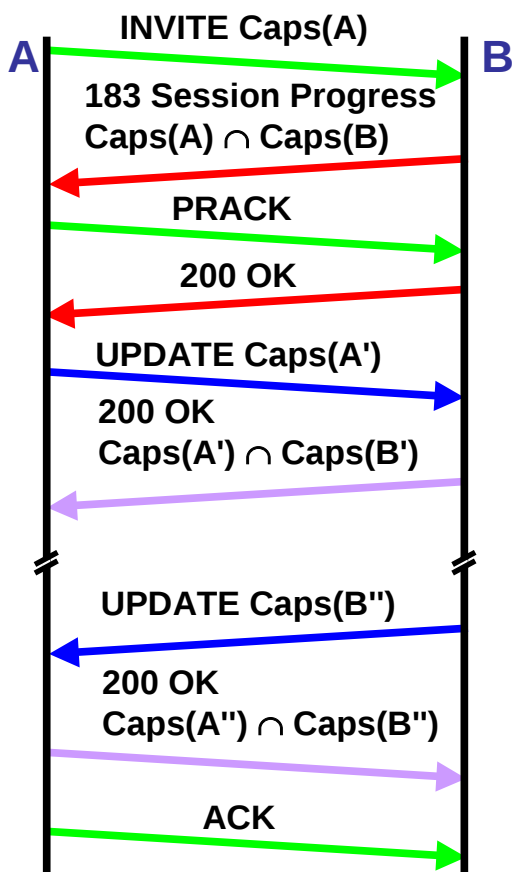
Request session state update

Multiple O/A cycles possible  
No overlapping offers  
O/A in 183, PRACK etc. allowed  
→ Tight relationship between  
offer and answer necessary

Finish dialog state update



## Use of UPDATE in Offer/Answer Model



- ◆ Offerer sends session description
- ◆ Answerer must match against local capabilities
- ◆ Mid-dialog UPDATE request:
  - establish dialog state first (final or reliable provisional response)
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- ◆ SIP Introduction, History, Architecture
- ◆ SIP Basic Functionality, Call Flows
- ◆ **SIP Security** ← You are here
- ◆ SIP Service Creation
- ◆ SIP in Telephony
- ◆ SIP in 3GPP

## SIP and Security

SIP entities are potential target of a number of attacks, e.g.

- ◆ Spoofing identity
- ◆ Eavesdropping
  - Media streams
  - Call signaling
- ◆ Traffic analysis
- ◆ Theft of service
- ◆ Denial of service (DoS)

Typical threats for  
distributed applications  
using the public Internet

Some countermeasures:

- ◆ Client and server authentication
- ◆ Request authorization
- ◆ Encryption
- ◆ Message integrity checks + replay protection

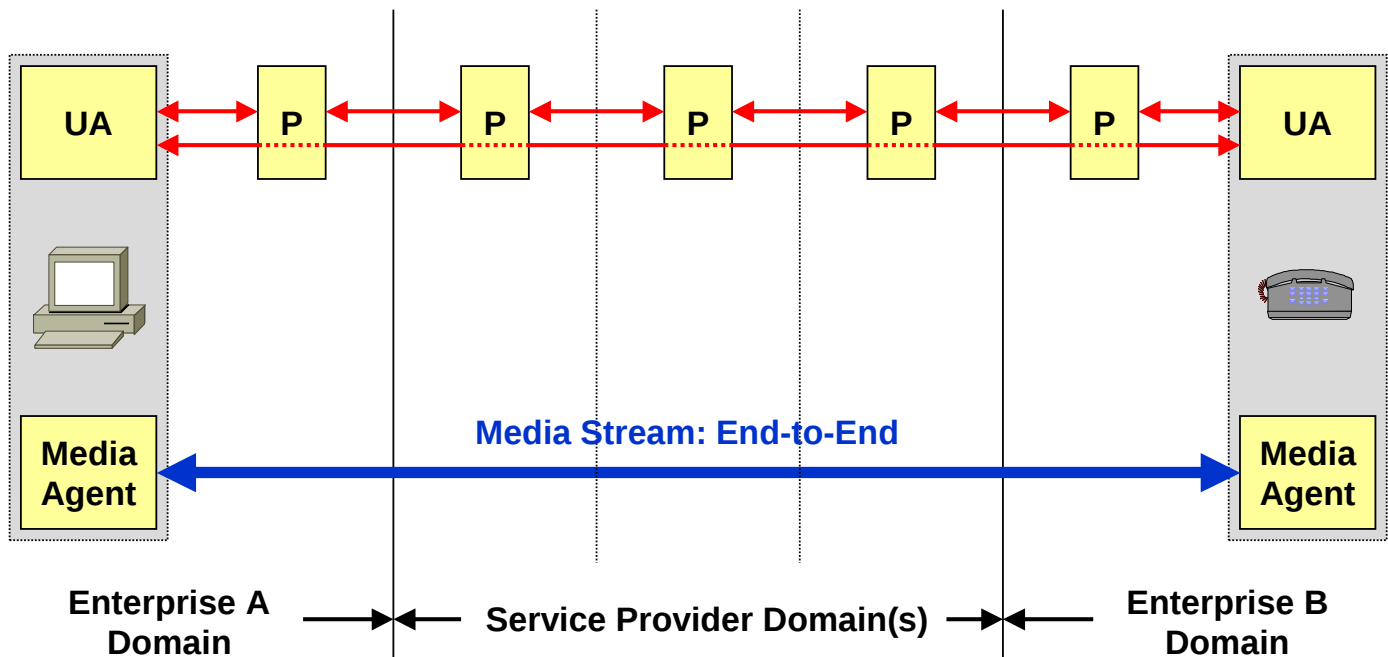
Reuse existing  
security  
mechanisms.

## Why Carriers Need SIP Security

- ◆ **Ensure privacy**  
(media encryption, anonymous calls, personalized services, ...)
- ◆ **Billing and accounting**  
(probably pay for assured bandwidth etc.)
- ◆ **Regulatory requirements**
  - Call id blocking
  - Prosecute abuse (call tracing facility)
  - Emergency call service
  - Multi-Level Priorization and Preemption

## SIP Security Overview

**Call Signaling: Hop-by-Hop, End-to-End**



# Hop-by-hop Encryption of SIP Messages

## ◆ Lower layer mechanisms

- Applicability depends on link layer technology

## ◆ VPN-like tunnel using IPSec

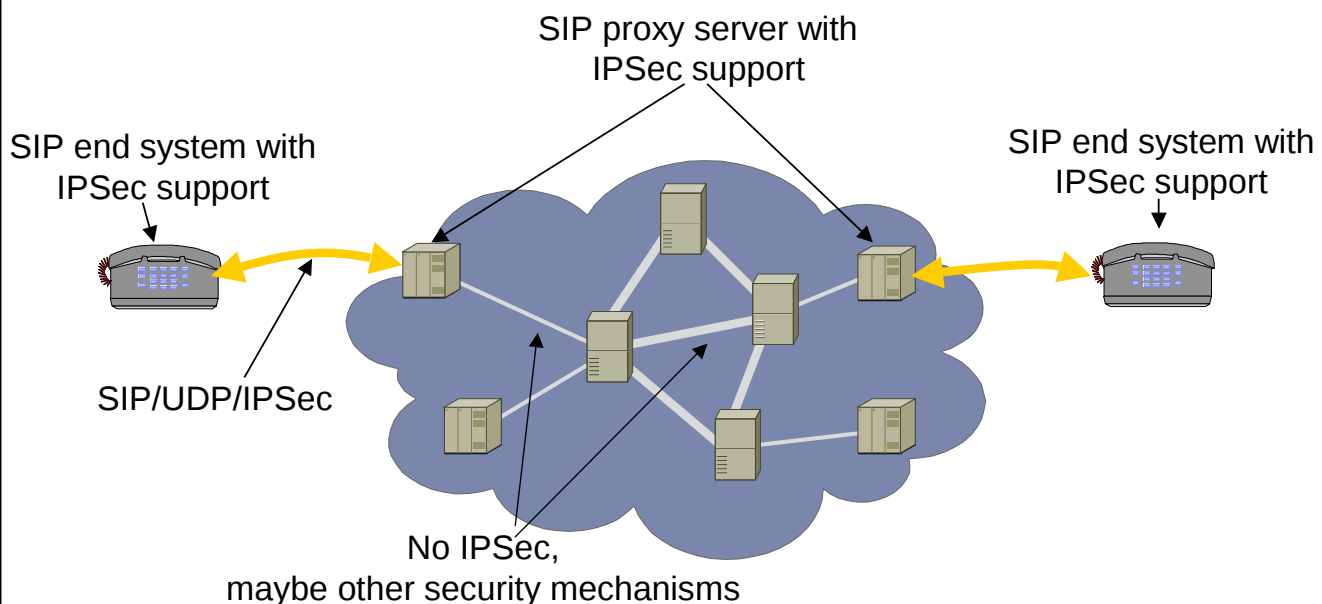
- Suitable e.g. for coupling sites of a company
- Need OS-support (required for IPv6 anyway)

## ◆ SIP over TLS (Transport Layer Security)

- Access to outbound proxy
- Call routing to ITSP
- Call routing between neighboring ITSPs (agreements!)
- In most cases, only servers have certificates

## ◆ Chain of trust: suitable also for authentication

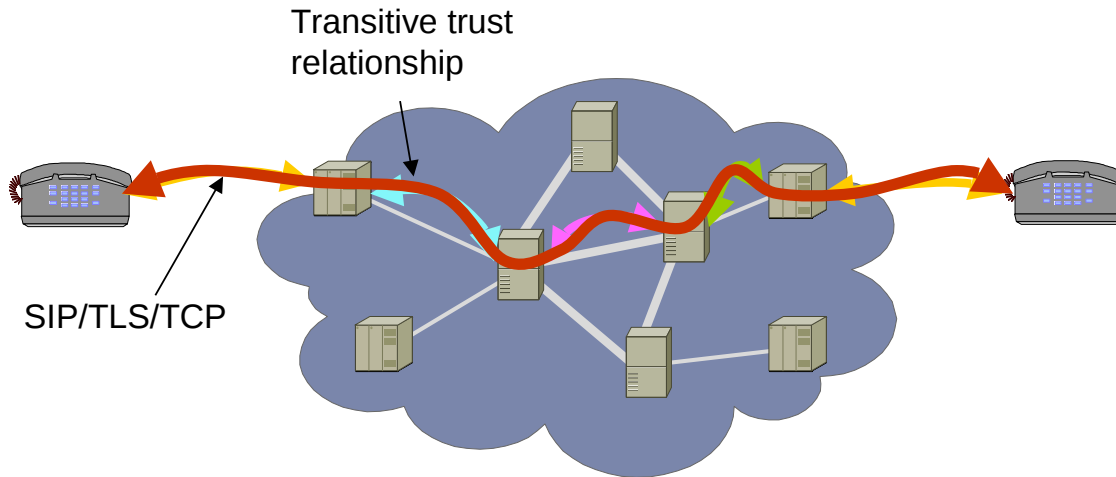
# Hop-by-hop encryption with IPSec



## ◆ Possible deployment scenario

- IPSec between hosts inside an administrative domain
- Established trust relationships, pre-shared keys

## ◆ Security functions independent of SIP layer



## ◆ Hop-By-Hop security

- TLS secures connections between SIP entities
- TCP only!

## ◆ Useful for hosts with no pre-existing trust association

## ◆ Usage of TLS is coupled with SIP

- Needs to be signalled, e.g, in Via headers
- MUST be implemented by proxy servers, redirect server and registrars

## ◆ Basic model

- A UA establishes a trust association with his outbound proxy (TLS connection)
- The proxy build trust associations with other proxies/UAs
  - ◆ May require certificate exchange and validation

## ◆ Problems

- Call setup delays
- Resources for open TLS connections

# End-to-End Encryption of SIP Messages

## ◆ Impossible for entire message: proxies do call-routing

## ◆ S/MIME

- Tunneling SIP messages
- Repeat important headers outside multipart body

→ complex

## ◆ Difficult anyway: Key distribution problem *prior to call*

- No global PKI
- Certificates for SIP proxies, typically not for users
- Typically no shared secrets

## SIP and S/MIME

### ◆ Securing MIME bodies in SIP messages

- Can be used to achieve end-to-end security
  - ◆ E.g., when the network cannot be trusted or other mechanisms are not available
- Does only protect the message body, not the header fields

### ◆ Tunneling SIP in S/MIME

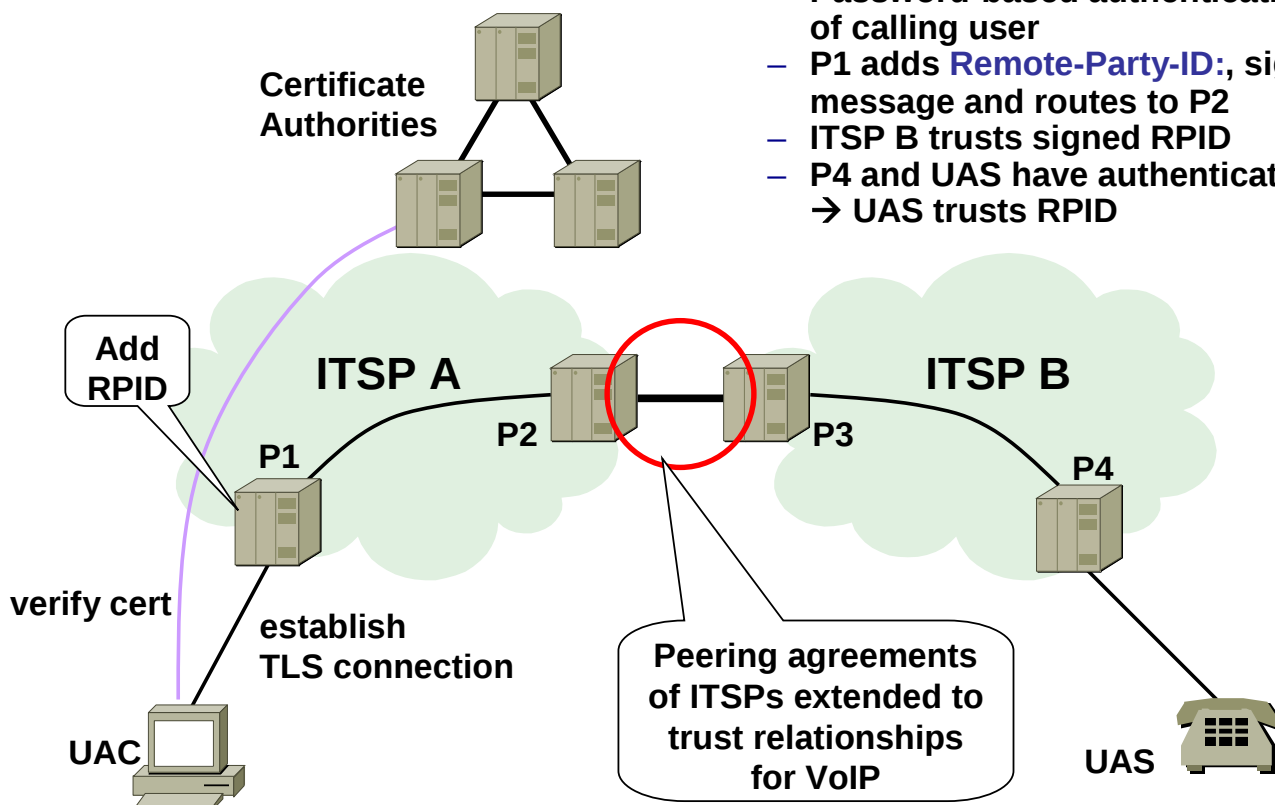
- Encapsulating entire SIP messages within the bodies of other SIP messages
- Apply MIME security to message body
- Does also authenticate header fields
- Encapsulated header fields are a copy of the “outer” header fields
  - ◆ Some outer header fields remain visible
    - To, From, Call-ID, Cseq, ...
  - ◆ Some outer header fields may also be changed in transit
    - Request-URI, Via, Record-Route, ...

### ◆ Issues

- Validation of end user certificates will have to rely on public key infrastructures (or pre-configured certificates)

## Transitive Trust-Relationships

- UAC establishes TLS connection
  - ◆ Proxy provides certificate
  - ◆ UAC verifies cert
- Password-based authentication of calling user
- P1 adds **Remote-Party-ID**, signs message and routes to P2
- ITSP B trusts signed RPID
- P4 and UAS have authenticated  
→ UAS trusts RPID



## Privacy of Calling Party Information

- ◆ **Extension header *Remote-Party-ID*: (RPID)**
  - Intermediate authenticates request initiator and adds RPID (failed authentication will be explicitly indicated)
  - Message is forwarded across trust boundaries only if requested privacy is guaranteed (*RPID-Privacy* header)
  - Initiator and intermediary can specify a required level of privacy
  - Multiple headers for different types of RPID information (user, subscriber, terminal)
  
- ◆ **Extension header *Anonymity***
  - IP address privacy using anonymizer
  
- ◆ **Signed RPID can be used to carry authenticated address of request initiator**  
→ trust relationships between ITSPs

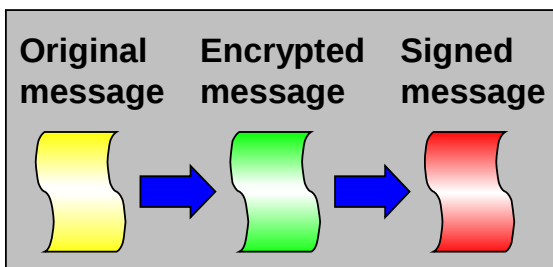
## SIP Media Privacy

- ◆ **Encryption of (RTP) media streams**
  - use old RTP encryption scheme
  - use Secure RTP (SRTP) profile
    - ◆ Currently finalized within the IETF
  
- ◆ **Secure key distribution between endpoints *in a call***
  
- ◆ **SDP allows for per media key field (“k=“)**
  - Requires encrypted SDP in SIP message body
  - Not really feasible today
  
- ◆ **SDP extensions for media key**
  - Allows for end-to-end negotiation of keys
  - Protection of the exchanged information within SDP

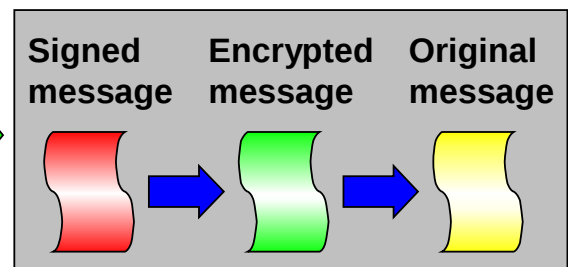
## SIP Authentication

- ◆ HTTP *digest* authentication
- ◆ Challenge with **WWW-Authenticate:** header field
- ◆ **Authorization:** header field
  - End-to-end authentication of UAC
  - Digitally sign message body and header fields following the *Authorization:* header
  - Use canonical form
  - Fields to be changed must precede *Authorization*

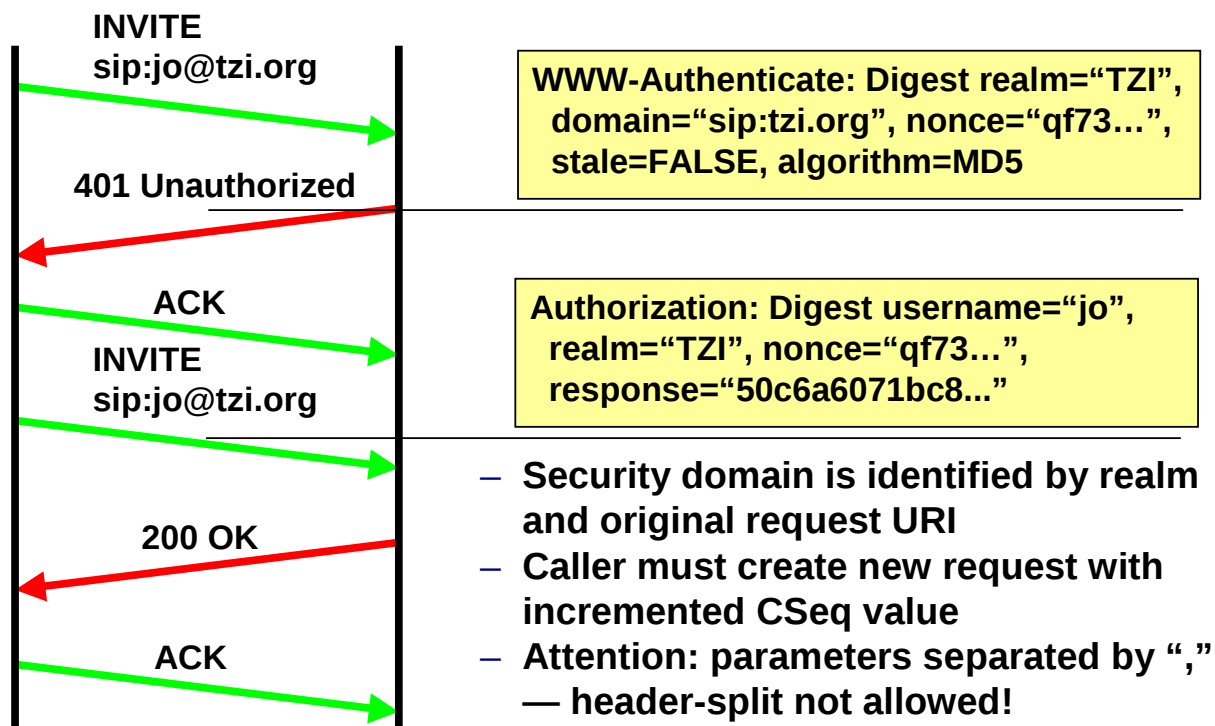
### User Agent A



### User Agent B



## Authentication: Example Call Flow

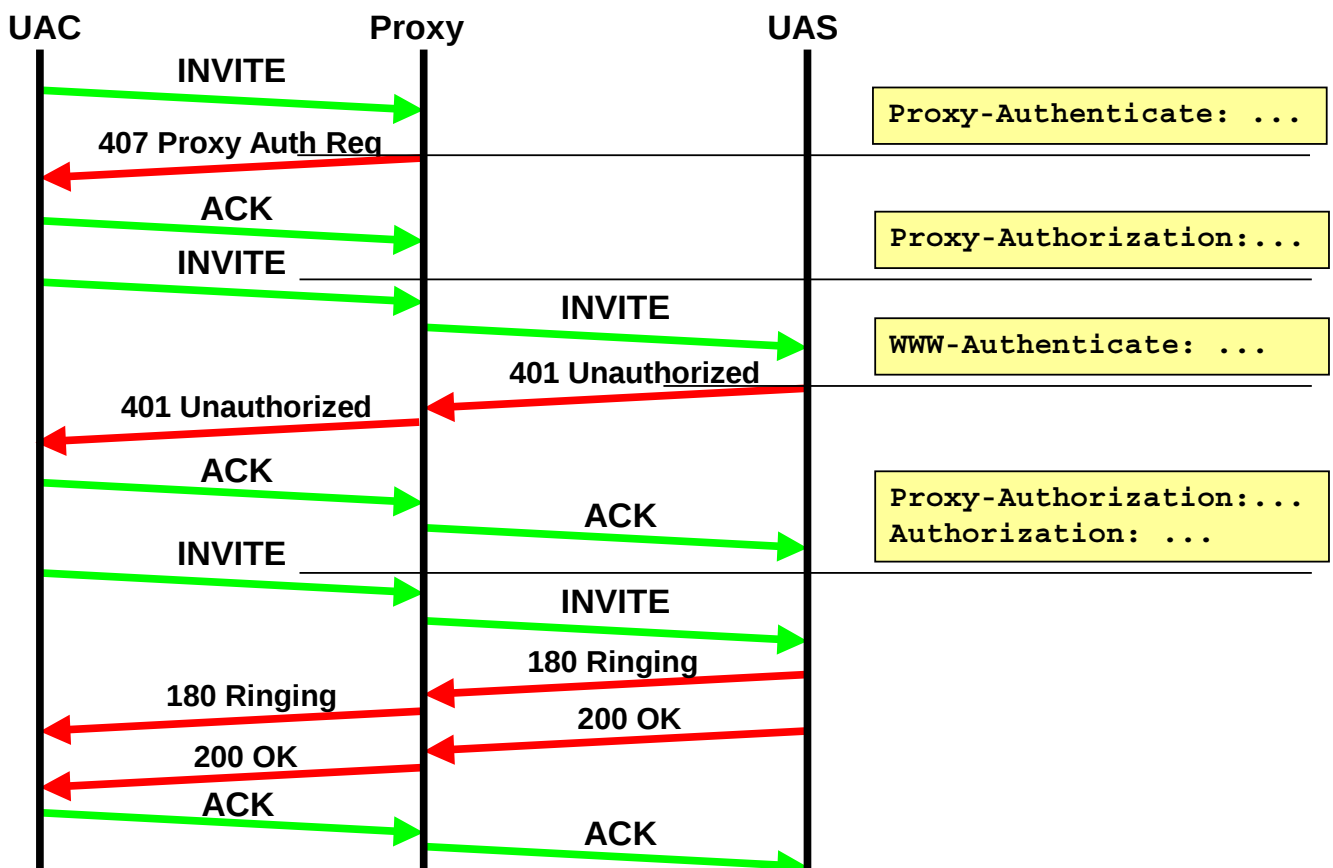


## Authentication for Proxies

- ◆ Similar to endpoints (HTTP Digest)
- ◆ Proxy rejects client request with “407 Proxy Auth required”
  - **Proxy-Authenticate:** header
  - Multiple proxies along the path may challenge
- ◆ Client resubmits request with credentials for Proxy
  - in **Proxy-Authorization:** header
  - Multiple headers with credentials may need to be included
- ◆ Finally:

**HTTP Basic is deprecated! Forbidden in RFC2543bis**  
**Do not send passwords “in the clear”**

## Multiple Authentication Steps



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- ◆ Packet A/V Basics + Real-time Transport
- ◆ SIP Introduction, History, Architecture
- ◆ SIP Basic Functionality, Call Flows
- ◆ SIP Security
- ◆ **SIP Service Creation** ← You are here
- ◆ SIP in Telephony and Deployment Issues
- ◆ SIP in 3GPP

## Extension Mechanisms

- ◆ Proxies forward unknown methods and headers
  - ◆ UAS' ignore unknown headers, reject methods
  - ◆ Feature negotiation
    - Headers: **Require, Proxy-Require, Supported**
    - Option tags for feature naming (see below)
    - Error responses:
      - ◆ 405 Method not allowed
      - ◆ 420 Unsupported
      - ◆ 421 Extension Required
- } **UAC and UAS/proxy must agree on common feature subset**
- ◆ Option tags
    - Identified by unique token
    - Prefix reverse domain name of creator
    - IANA: implicit prefix *org.ietf.*

## Some Current SIP Extensions

- ◆ Reliable provisional responses
- ◆ Session Timers
- ◆ Early Media
- ◆ Adjusting session state: UPDATE
- ◆ INFO method
- ◆ REFERing peers to third parties
- ◆ SIP for subscriptions and event notifications
- ◆ Instant messaging
- ◆ ...
  
- ◆ Addressed later today where appropriate...

## Reliable Provisional Responses

- ◆ Signaling Gateways, ACD systems etc.  
may depend on provisional responses

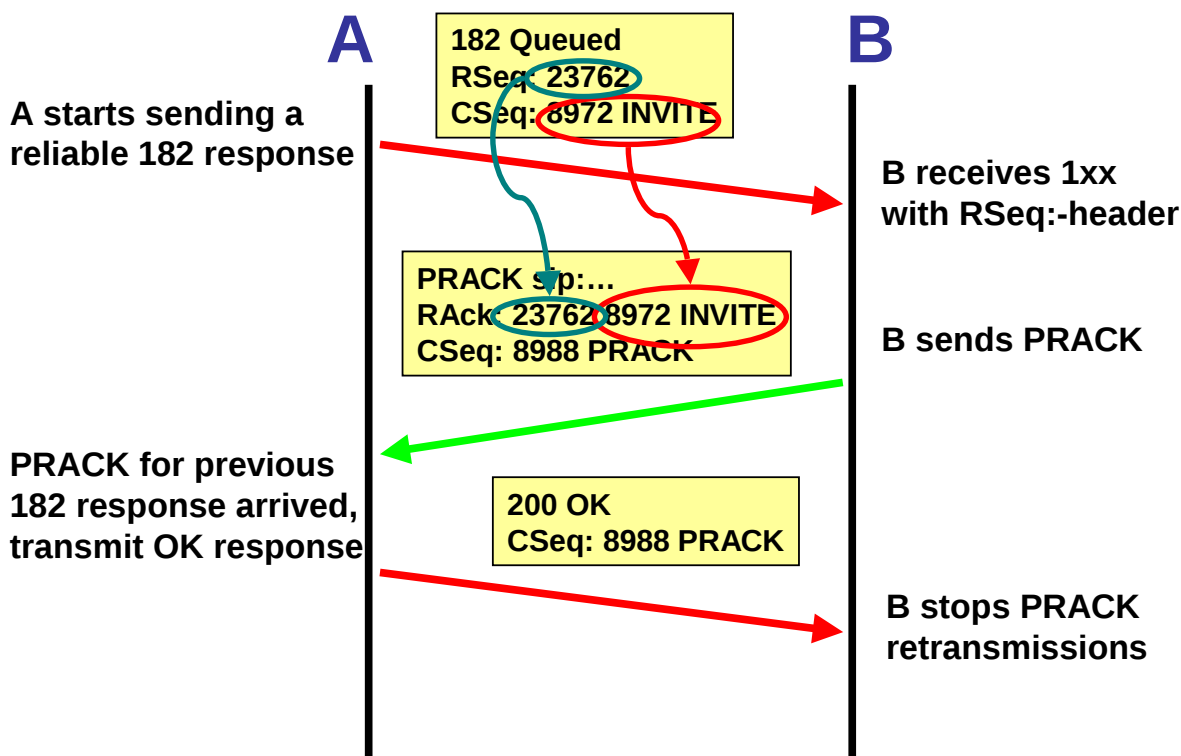
→ need optional reliability

- ◆ Option tag **100rel**:
  - End-to-end reliability of provisional responses > 100
  - Retain order of reliable responses
- ◆ Implementation:
  - Windowing: **RSeq/RAck** headers
  - Explicit acknowledge: **PRACK** request

## Use of PRACK

- ◆ **Insert RSeq:-header with random integer value**
  - new responses must increment RSeq: by 1
- ◆ **Retransmit with exponential backoff**
- ◆ **No subsequent reliable provisional responses until first PRACK**
  - enables re-ordering at receiver side
- ◆ **PRACK request**
  - RACK: contains RSeq: and CSeq: values to acknowledge
  - May contain body with additional information

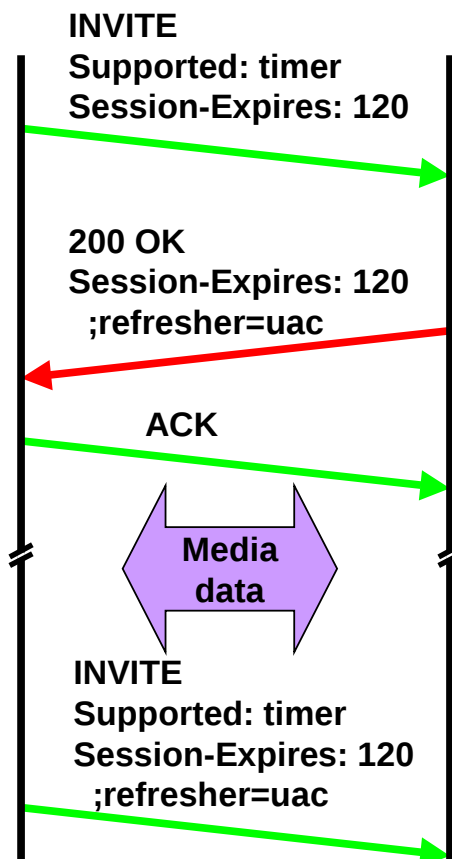
## Example Call Flow: PRACK



## Session Timers

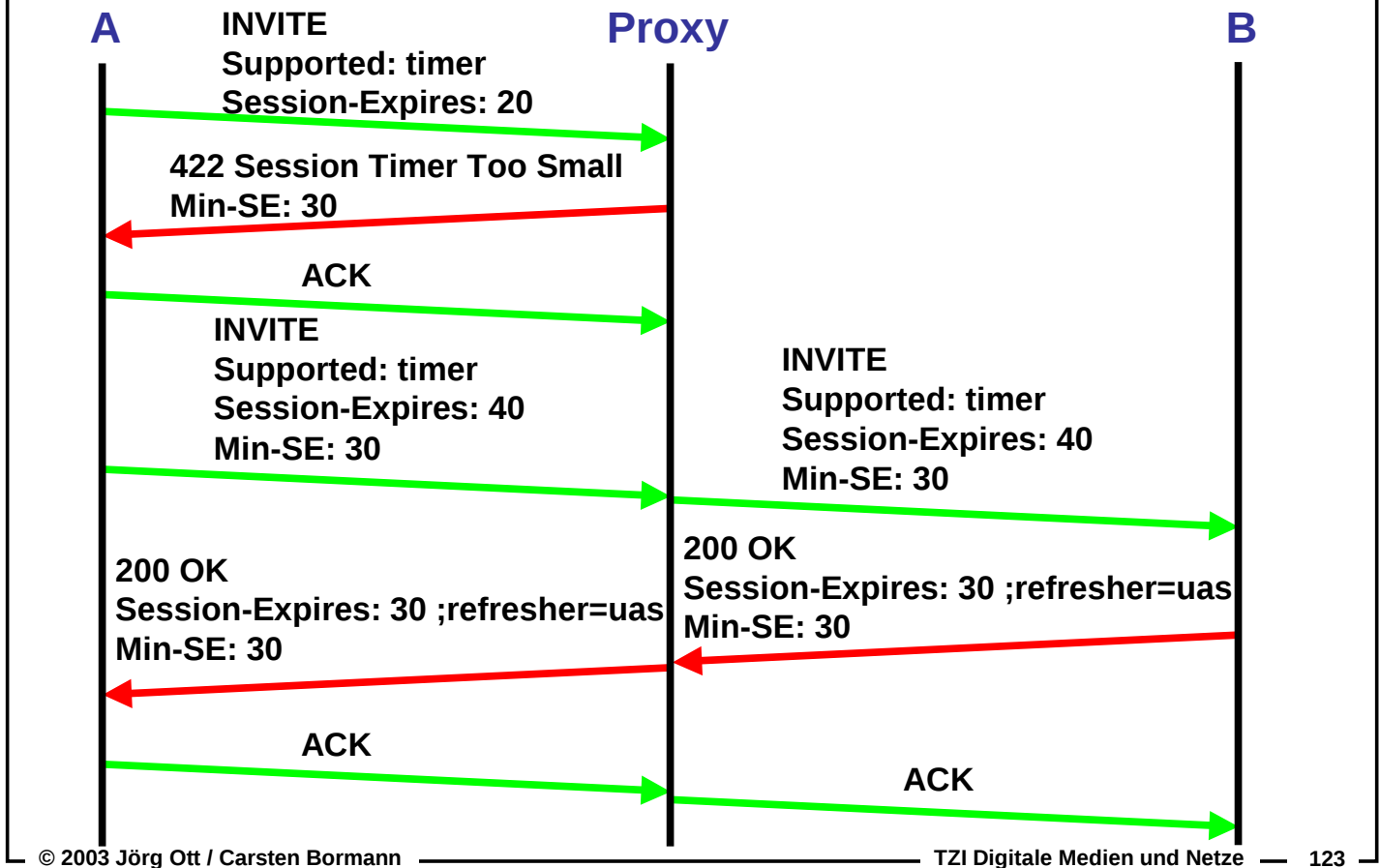
- ◆ **No keep-alive mechanism for SIP calls**
  - Proxies may preserve outdated state information
  - Close dynamically created holes in firewalls
  - Need timeout-mechanism to perform cleanup
- ◆ **SIP Extension for Session timers**
  - Option tag **timer**
  - Negotiation mechanism for expiry time and refresh responsibility
    - ◆ **Session-Expires**: duration and role
    - ◆ **422** response with **Min-SE**: lower bound for expiry interval
  - *Responsible UA* sends re-INVITE before timer expires
- ◆ **Session is terminated if no re-INVITE arrived in time**
  - *Responsible UA* sends BYE
  - Proxies silently drop state information

## Example: Keep-alive



- Caller indicates support of session timers in INVITE
- Propose initial timer value
- Called UA supports timers, accepts proposed value, asks the caller to send refresh
- Setup complete, media streams are established
- After  $n/2 \cdot \text{timer}$  calling party sends re-INVITE
- Call continues after 200 OK and ACK

## Example: Interval Negotiation



## Event Notifications

### ◆ Need for flexible event notification

- Enable presence information
- Better support for mobile SIP applications
- Home network appliances
- Feedback about progress of other calls, conference state, etc.
- **NOTIFY** method, **Event:**-header

### ◆ Event subscription

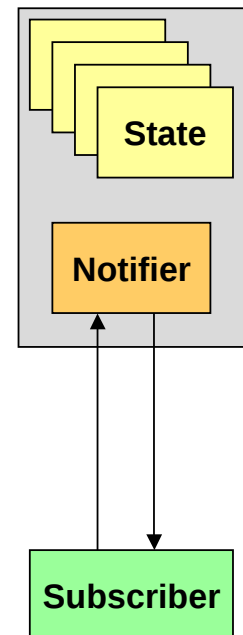
- **SUBSCRIBE**, **Event:**
- Events may be call-related or third-party generated
- Security issues: Sensitive Events
  - ◆ Privacy
  - ◆ Authentication

### ◆ Used for personal presence applications

### ◆ Augmented by **MESSAGE** method for Instant Messaging

## Event Concept

- ◆ **Piece of state information S**
  - Identified by some name (“package”)
- ◆ **SIP entities interested in S**
  - Query for the current state
    - ◆ “polling”
  - Be notified about changes to S
    - ◆ SUBSCRIBE
  - Subscriptions may be created implicitly
    - ◆ By means of other (SIP or non-SIP) protocol activity
- ◆ **Information about S carried in message body**
  - NOTIFY
  - Formats to be defined specific to S
- ◆ **Protection of S**
  - Keep control of who gains access, who has access; for how long



## SUBSCRIBE

- ◆ **SUBSCRIBE used to registered interest in a piece of state**
  - **Event:** identifies the state information to be retrieved
    - ◆ 489 Bad Event
    - ◆ Syntax reflects package concept:  
`package ( '.' template )*` → e.g. "Event: presence.winfo"
  - **Allow-Events:** used to indicate which event packages are supported
  - **Expires:** how long to subscribe
    - ◆ Subscriptions are soft-state; need to be refreshed periodically
    - ◆ May be shortened or lenthened by server
  - **Expires: 0**
    - ◆ Poll the state information once; do not establish a subscription
  - Body may indicate desired notification policy
- ◆ **Each SUBSCRIBE triggers at least one NOTIFY**
  - May contain no (useful) information if access not yet authorized

## SUBSCRIBE Response

### ◆ SUBSCRIBE Responses

- **200 OK**: Everything's fine: event understood, client authorized, etc.
- **202 Accepted** : Request received, working on a final result
- **401, 603, ...** if applicable
- Acceptance or rejection to be reported in NOTIFY

### ◆ 155 Update Request

- Typically for authorization instead of 401

### ◆ Initiate dialog after receiving 155 or 2xx response

- Mid-dialog SUBSCRIBE and UPDATE to refresh subscription

## NOTIFY

### ◆ NOTIFY carries state information in message body

- Format depending on **Accept**: header from SUBSCRIBE
- Full state vs. state deltas
- **Subscription-State**: active/pending/terminated

### ◆ NOTIFY indicates acceptance or rejection of SUBSCRIBE

- If no immediate response could be supplied

### ◆ NOTIFY may be used to terminate subscriptions

- Initiated by the notifier
- Includes reason code parameter

### ◆ NOTIFY may be sent without SUBSCRIBE

- Implicit subscription

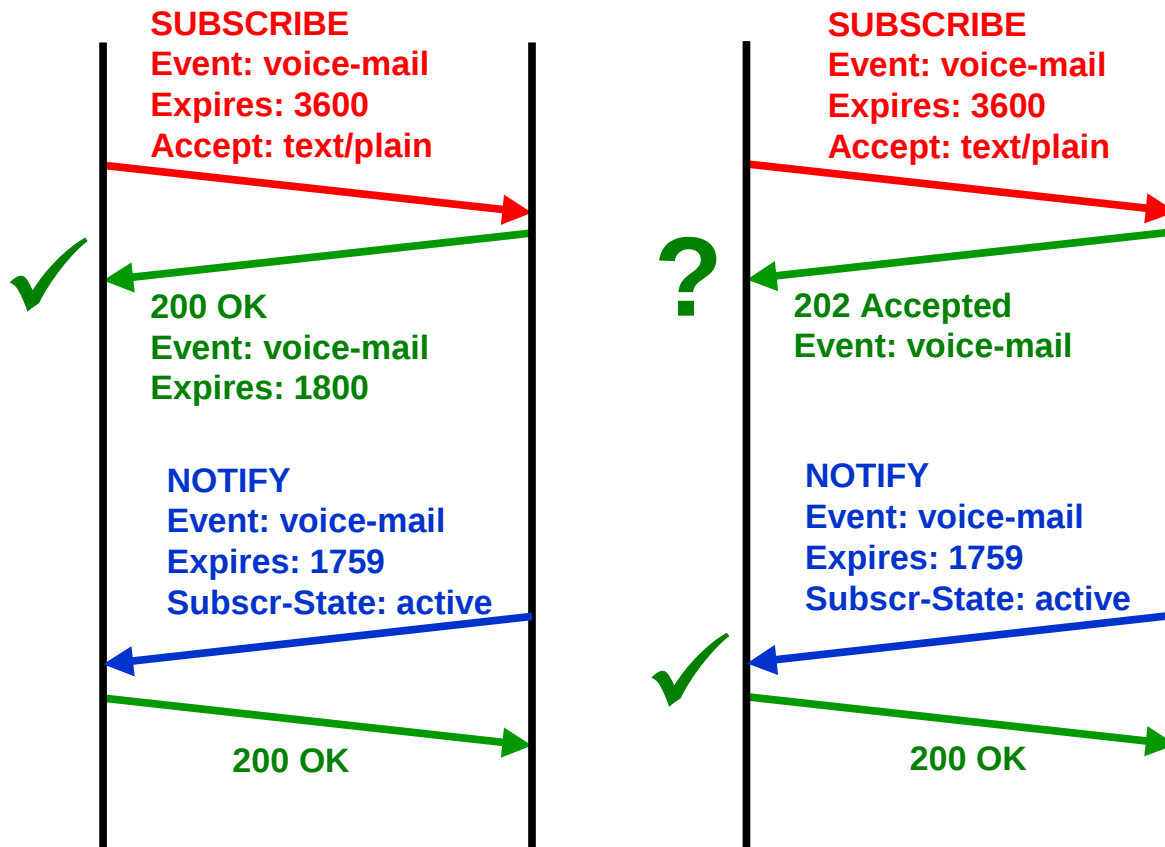
### ◆ Response to NOTIFY

- **200 OK** vs. **481 Subscription does not exist**

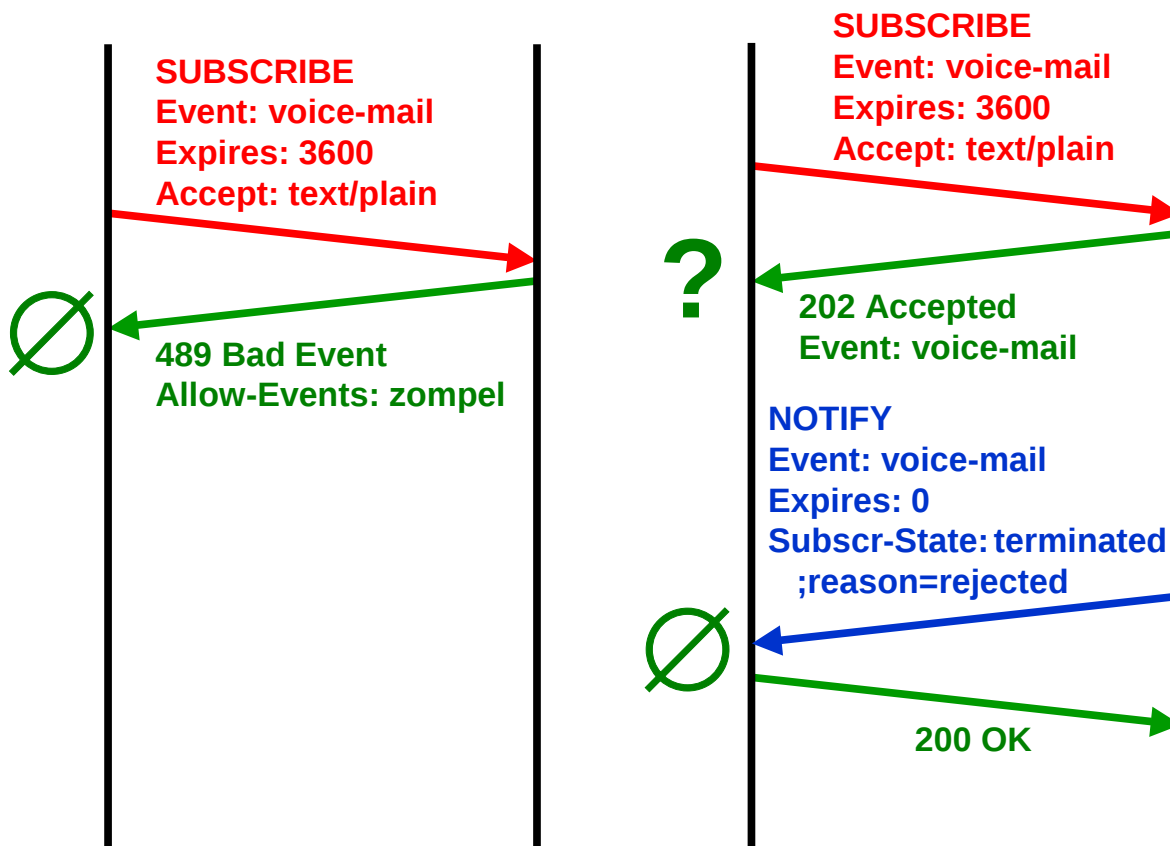
### ◆ Notification rate: risk of network congestion

- Handling to be specified in event packages

## Example: Successful SUBSCRIBE



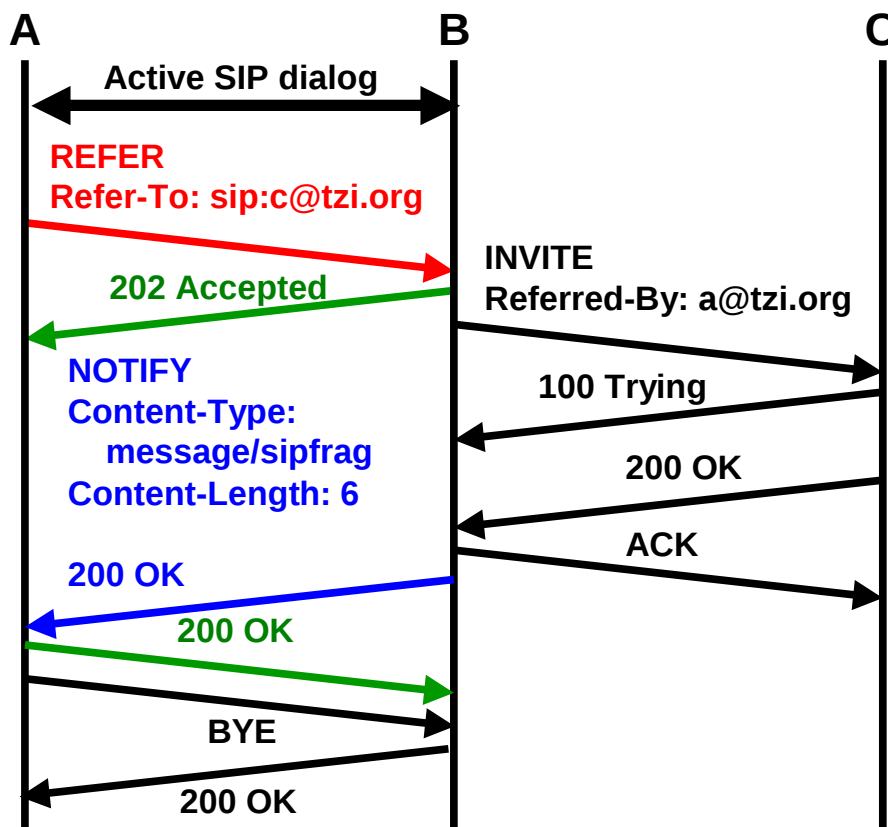
## Example: Unsuccessful SUBSCRIBE



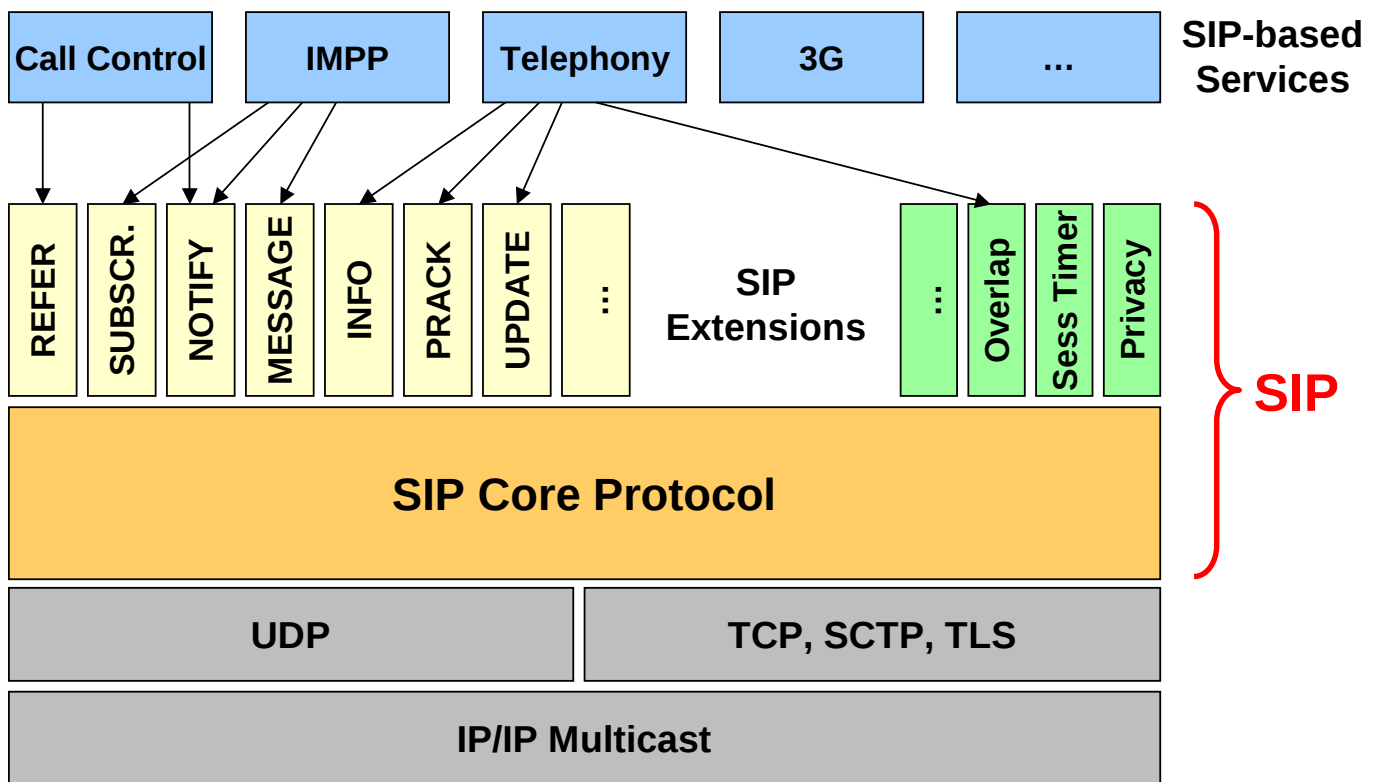
## REFER Method

- ◆ Original motivation: Call Transfer
- ◆ General idea: make a SIP entity contact a third party
- ◆ Originator sends **REFER** method to peer
  - indicates *refer target* in **Refer-To:** header
- ◆ Referred party accepts or declines immediately
  - **202 Accepted** or uses some SIP error code
  - Accepting establishes an *implicit subscription* on the new dialog
- ◆ Contacts *refer target* in an entirely independent dialog
  - May indicate who caused the call in **Referred-By:** header
  - New **INVITE** – **200 OK** – **ACK** sequence, unrelated
- ◆ Reports outcome of new call to originator
  - (exactly) one **NOTIFY**
  - Message body contains SIP message fragments from new call, e.g.
    - ◆ **200 OK**                      **486 Busy Here**                      **503 Service unavailable**

## REFER Example



## SIP Service Creation Model



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## Caller Preferences/Callee Capabilities

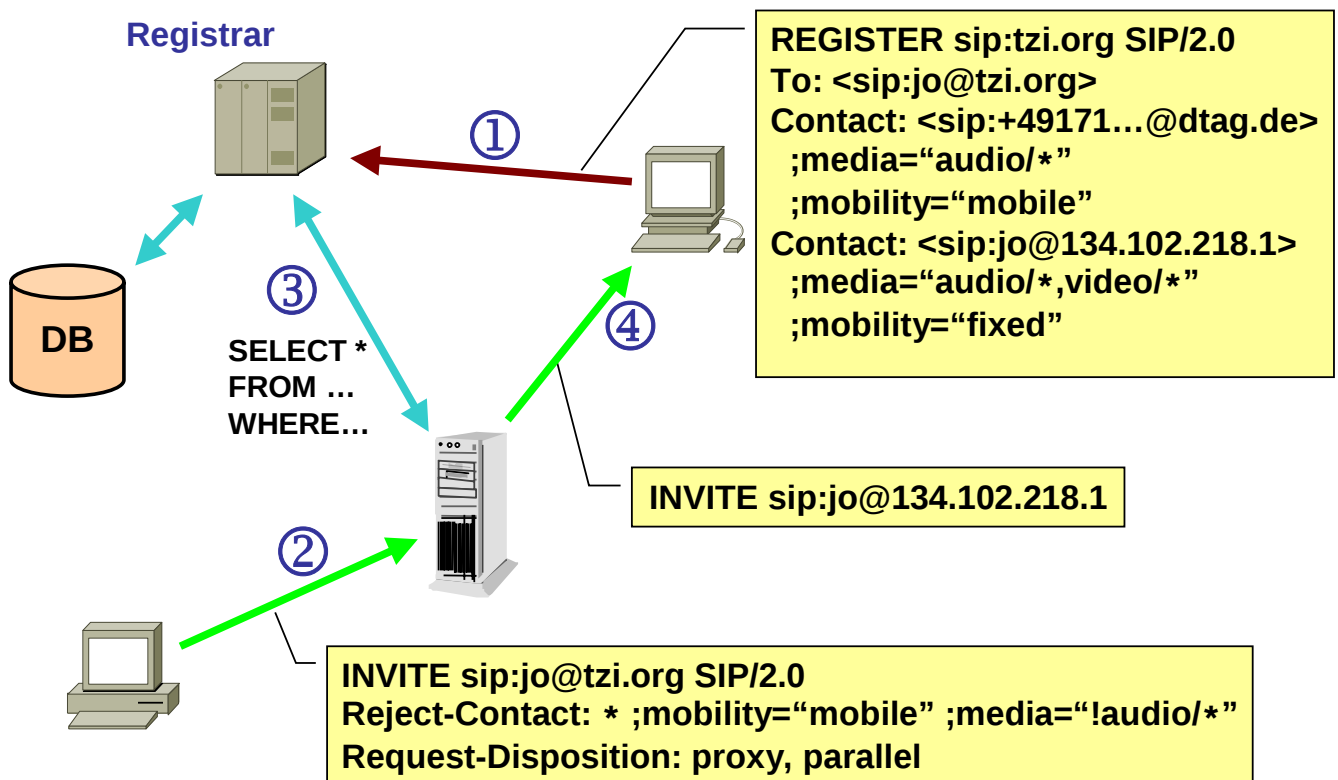
### ◆ Caller may provide preferences for request routing

- URI-based Proxy or redirect
- Refuse particular URIs
- Forking
- Recursive search
- Parallel or sequential search

### ◆ SIP-Extensions

- **Request-Disposition:** Request routing in SIP servers
- **Accept-Contact:** Change address ordering
- **Reject-Contact:** Reject particular URIs
- Additional parameters for Contact:-headers

## Preference/Capability Matching



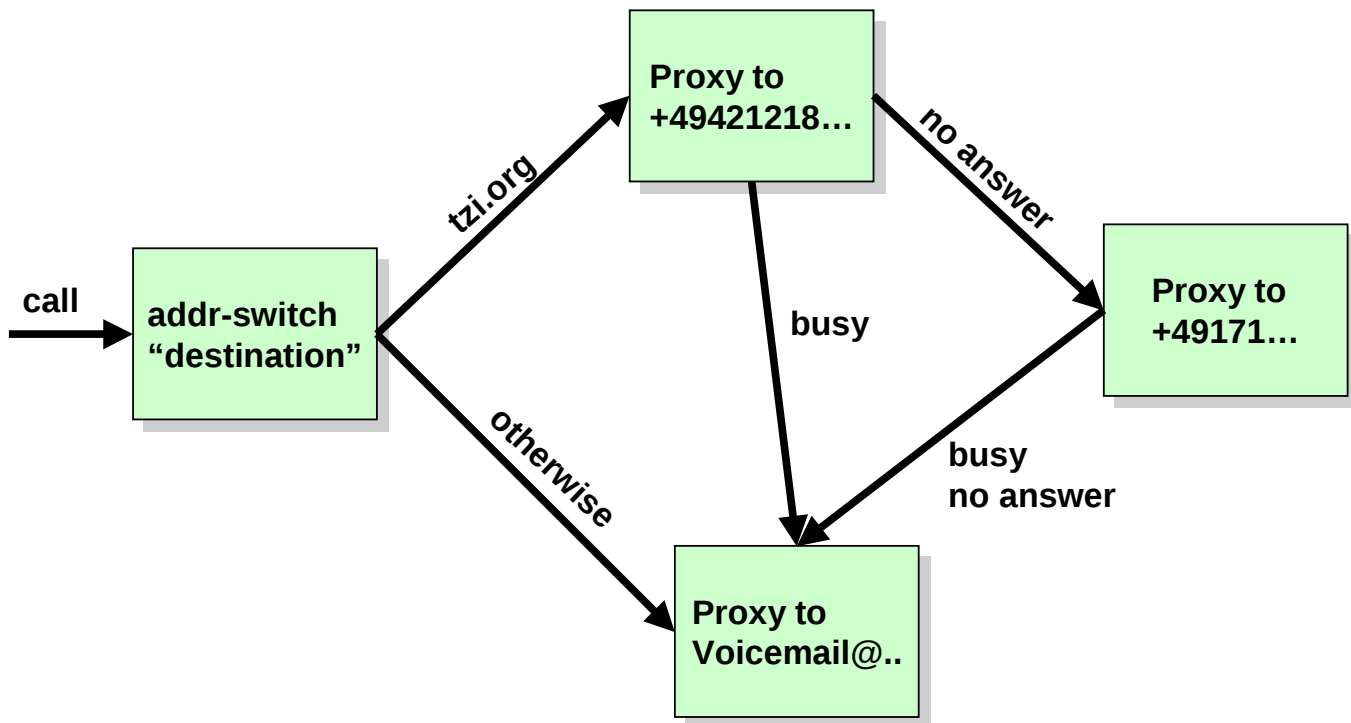
## Call Processing Language (CPL)

- ◆ **Per-user configuration of server behavior**
  - Specification of call-related actions
  - No side-effects
  - No loops or recursion
- ◆ **Graphical representation, stored as XML document**
- ◆ **CPL scripts are associated with user's URI**  
→ registration
- ◆ **Design goals**
  - Light-weight: minimal use of server's resources
  - Safety: do not break running server
  - Extensibility: add features without breaking existing scripts

## Language Features

- ◆ **Server provides information about incoming message**
  - Destination
  - Originator
  - Caller preferences
  - Contents of several important header fields
  - Media description
  - Security parameters
- ◆ **CPL scripts can specify several actions to take**
  - Reject, redirect or proxy incoming message
  - Set timeout values for actions
  - Context-dependent choice of different actions
  - Perform location lookups

## Example CPL Script



```

<?xml version="1.0" ?>
<cpl>
  <subaction id="vm">
    <location url="sip:voicemail@dmn.tzi.org">
      <proxy />
    </location>
  </subaction>
  <incoming>
    <address-switch field="destination" subfield="host">
      <address subdomain-of="tzi.org">
        <location url="tel:+49421218...">
          <proxy timeout="10">
            <busy> <sub ref="vm" /> </busy>
            <noanswer>
              <location url="tel:+49171...">
                [...]
              </noanswer>
            </proxy>
          </location>
        </address>
      </address-switch>
    </incoming>
  </cpl>

```

## Service Creation

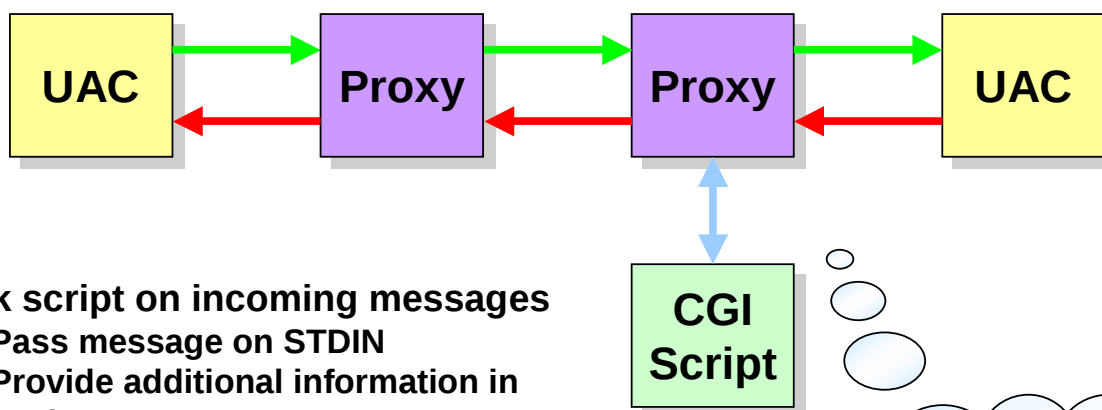
### ◆ Flexible processing of SIP messages in *proxy*

- Rapid development of supplementary services
- Pass incoming requests to external script for processing

### → SIP Common Gateway Interface (CGI)

- Adaptation of HTTP CGI
- Support SIP's idiosyncrasies:
  - ◆ Transport protocols UDP, TCP
  - ◆ Central role of proxy servers
  - ◆ Persistent transaction state
  - ◆ Registrations
  - ◆ Request forking, recursive search, timeouts
  - ◆ ...

## SIP CGI Architecture



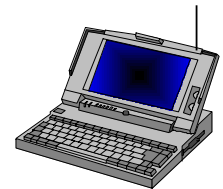
- Fork script on incoming messages
  - ◆ Pass message on STDIN
  - ◆ Provide additional information in environment vars
- Process commands from script's STDOUT
  - ◆ Message forwarding
  - ◆ Create new messages
  - ◆ Add/delete message headers
- Use cookies to preserve state information

Script is invoked for subsequent messages of same transaction only if explicitly requested.

# SIP for Presence and Instant Messaging

## A Role for Presence in “VoIP”

**Awareness of other users: availability, location, ...**

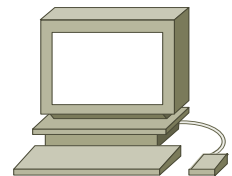


### ◆ To perform existing functions

- User location, call routing, follow-me, ...

### ◆ To improve existing services

- Call completion ratio
- Indicate availability



### ◆ To enable new services

- Presence per se: Simplify meeting people
- Messaging per se: “SMS”
- Presence and Messaging as basis for other applications
- Location-based services



### ◆ To rescue the “VoIP” industry...



# SIP: Personal Presence and Instant Messaging

## ◆ “Buddy Lists + Chat”

## ◆ Idea: re-use SIP infrastructure

- Maintain user locations
- Route messages
- Contact users

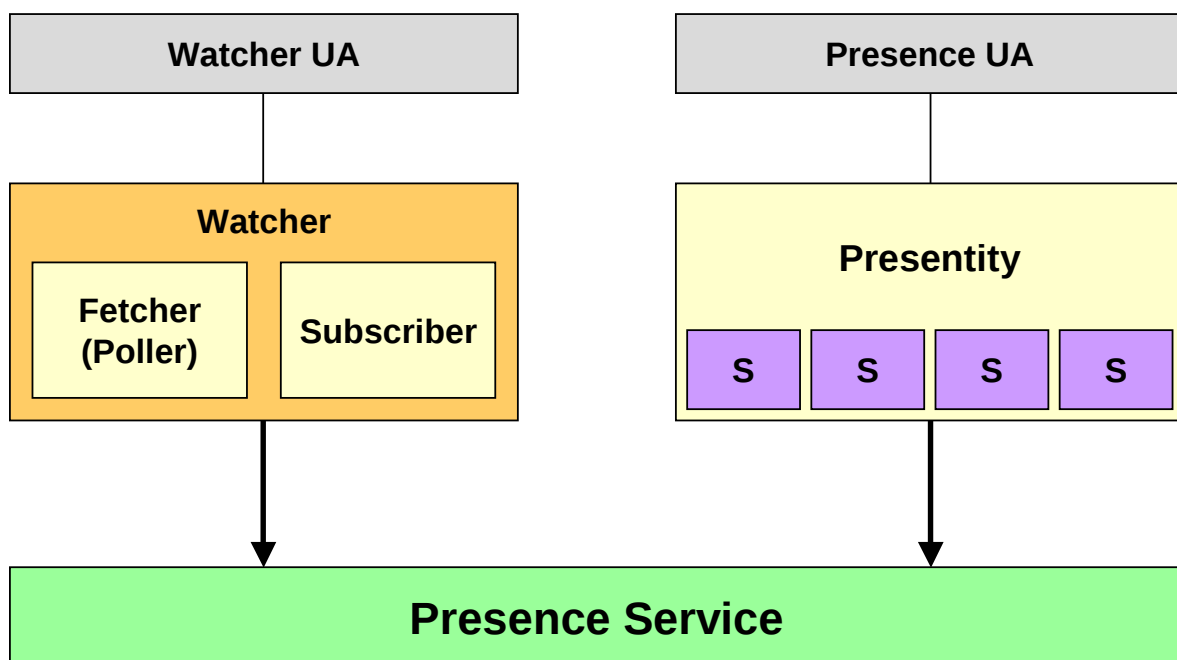
## ◆ SIP Event package for “presence”

- SUBSCRIBE / NOTIFY fit well
- Define presence format (cpim+xml)
  - ◆ shared with IMPP group

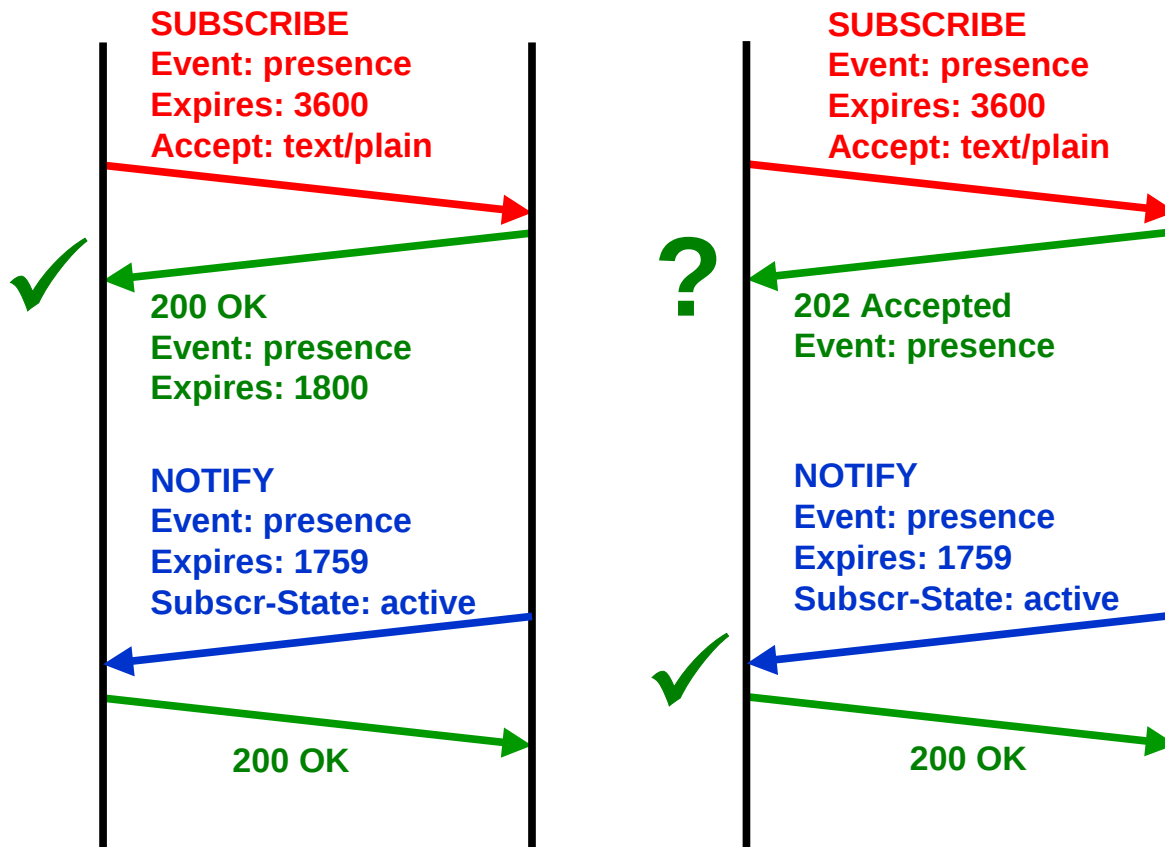
## ◆ Define a new method for Instant Messaging

- MESSAGE
- Define basic message content format (text/plain)

# Presence Model



## Example: SIP for Presence



## SIP Presence Notification

```
<presence ... entity="pres:jo@tzi.org">
  <tuple id="mobile-im">
    <status>
      <basic>open</basic>
    </status>
    <contact priority="0.8">im:jo@sms-gw.tzi.org</contact>
    <note xml:lang="en">Don't Disturb Please!</note>
    <note xml:lang="fr">Ne dérangez pas, s'il vous plaît</note>
    <timestamp>2003-01-14T10:49:29Z</timestamp>
  </tuple>
  <tuple id="interactive-mm">
    <status>
      <basic>closed</basic>
    </status>
    <contact priority="1.0">sip:jo@tzi.org</contact>
  </tuple>
  <note>I'll be in Paris next week</note>
</presence>
```

## SIP for Instant Messaging (IM)

### ◆ UAs may send and receive messages

- Similar model to Presence

### ◆ Receivers indicate support when registering

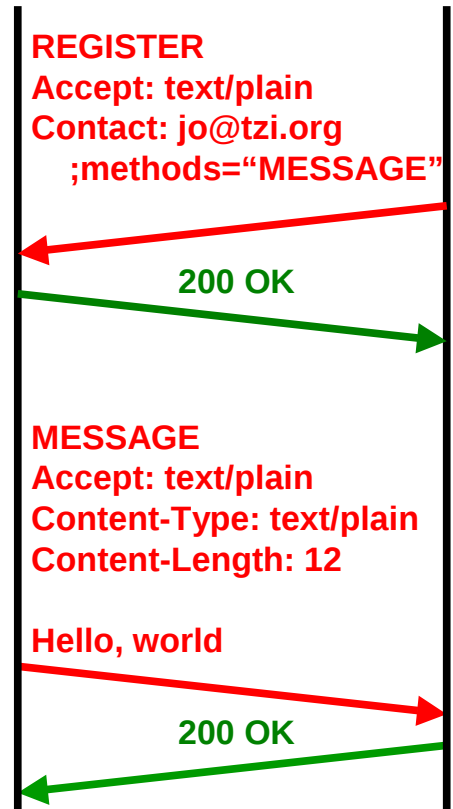
Contact: sip:jo@tzi.org;methods="MESSAGE"

### ◆ Senders just send

- Use sip: or im: URLs
- Congestion control is important!

### ◆ For longer "chat sessions"

- Create a persistent IM session
  - ◆ Just another media type
- May not be able use TCP or TLS
- Use SIP-based session instead?
  - ◆ Lightweight SIP just as transport protocol



## (Issue:) Security

### ◆ Authentication of (Subscription) Requests

- Standard SIP mechanisms: 401/407 responses

### ◆ Authorization: Users must stay in control of subscriptions

- May subscribe to their own subscription state ("watcher info")
- Receive notifications for every subscription attempt
- May authorize each subscription
- May cancel existing subscriptions
- May retrieve lists of subscribers

### ◆ Authentication of Instant Messages

- May include contents to be automatically acted upon
- User / system needs to validate originator

### ◆ Meta-issue: end-to-end authentication using PKI...

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- ◆ SIP Service Creation
- ◆ **SIP in Telephony** 
- ◆ SIP in 3GPP

## SIP for Telephony

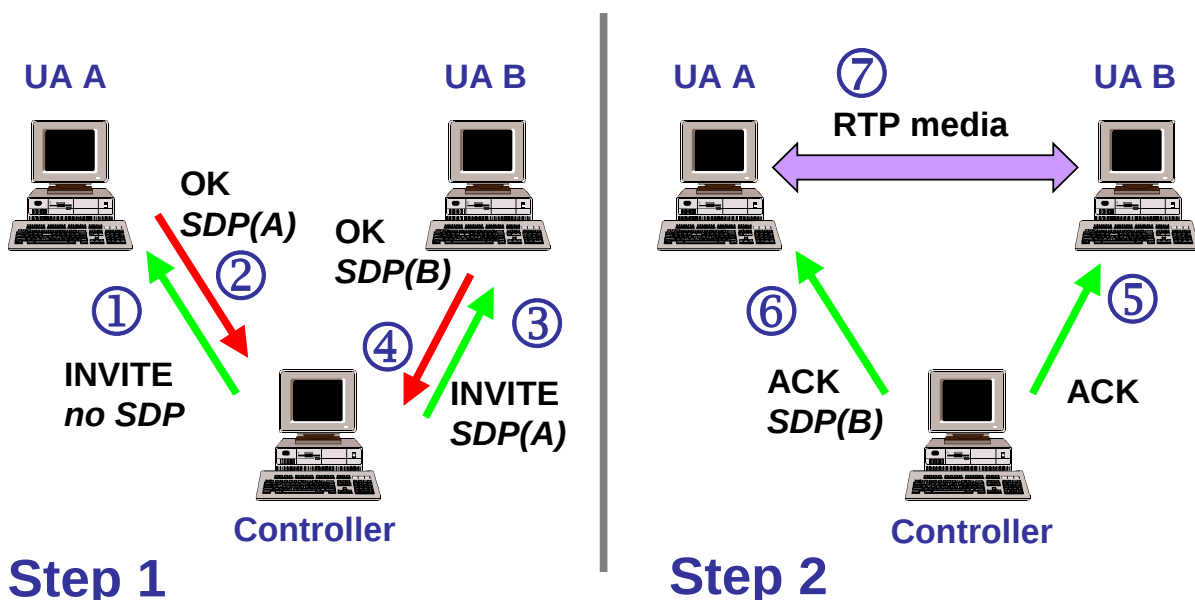
...yet another set of SIP services...

## “Supplementary Services”

- ◆ **Call Diversion**
  - Intrinsic support (**301/302** redirection)
- ◆ **Call Park & Pickup**
  - Distributed state of user agents; embed call state in cookies
- ◆ **Call Hold and Retrieve**
  - User agents sends re-INVITE to mute other parties (**a=inactive**)
- ◆ **Call Waiting**
  - Implemented in endpoints
- ◆ **3<sup>rd</sup> party call control**
- ◆ **Call transfer**
  - Use new **REFER** method to indicate a party to place a call to
- ◆ **Conferencing**
- ◆ **Message waiting**
  - Specific event package: **message-summary**

## Third-Party Call Control

- ◆ “Click-to-dial”
- ◆ Conference bridge initiation



# Call Transfer

## ◆ Part of Call Control Framework

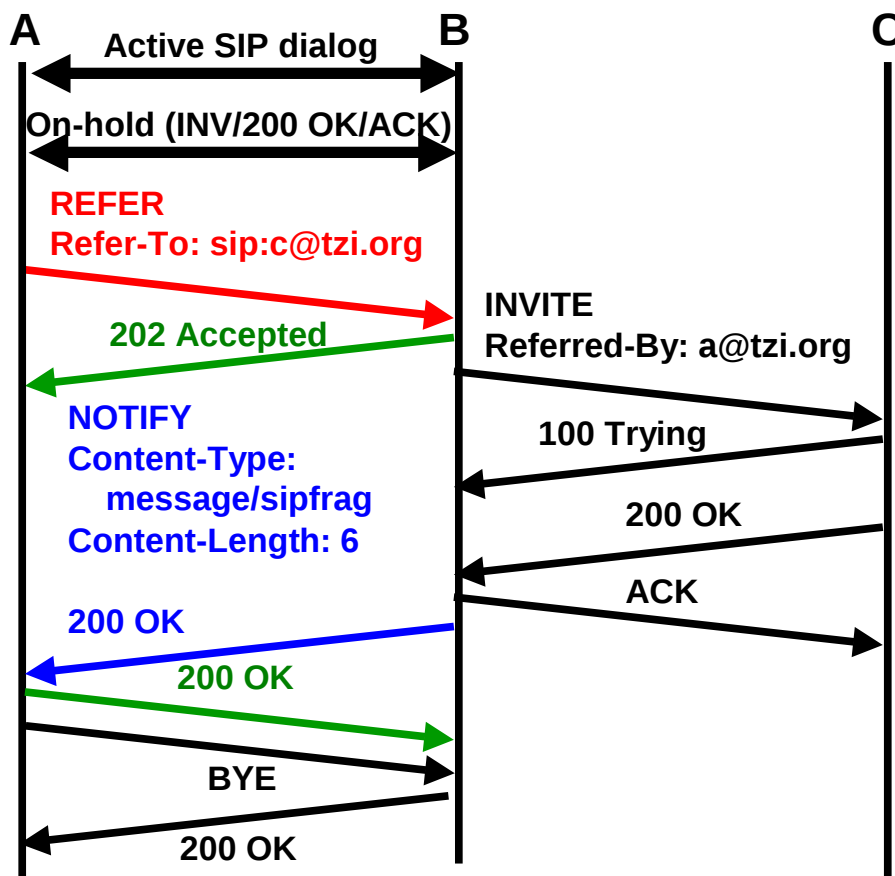
## ◆ Uses basic SIP protocol features and extensions

- **REFER** method to invoke another (INVITE) transaction
- **NOTIFY** (with implicit subscription) to indicate success or failure
- New **Replaces**: header to indicate substitution of an existing call

## ◆ Supports numerous variants

- Attended
- Unattended
- Intermediate three-way calling
- Optional protection of transfer target

# Simple Unattended Transfer



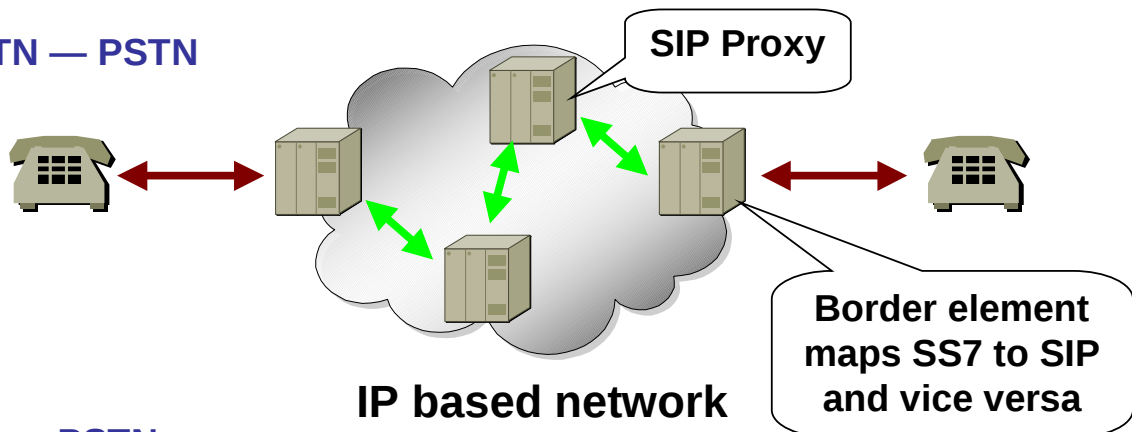
## Message Waiting Indication

- ◆ **Asynchronously notify endpoint(s) about messages**
  - Voice, video, image, text, ...
- ◆ **Define a new SIP event package**
  - Subscribe to one or more mailboxes
  - Results from many sources may be merged
- ◆ **Content-Type: application/simple-message-summary**
  - General indicator for new messages
  - Message type followed by new/old and (new-urgent/old-urgent)
  - Encoding as plain text
- ◆ **Example:**

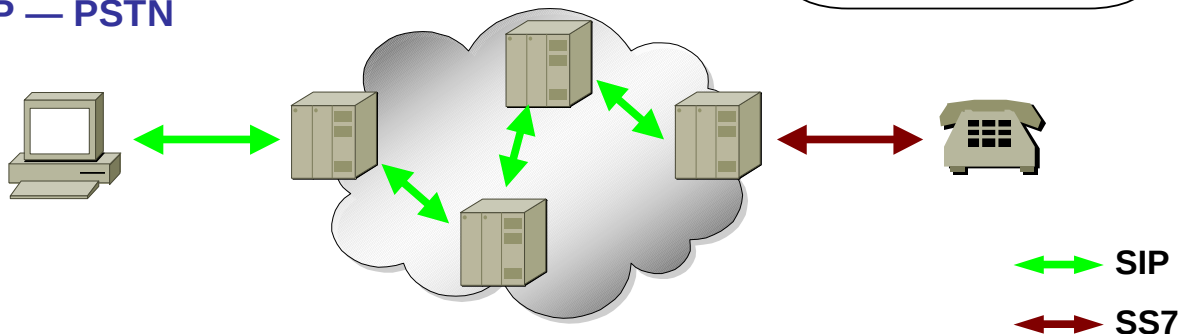
Messages-Waiting: yes  
Voicemail: 4/8 (1/2)  
Email: 238/42116 (0/1)

## Interfacing to the PSTN

### 1. PSTN — PSTN



### 2. SIP — PSTN

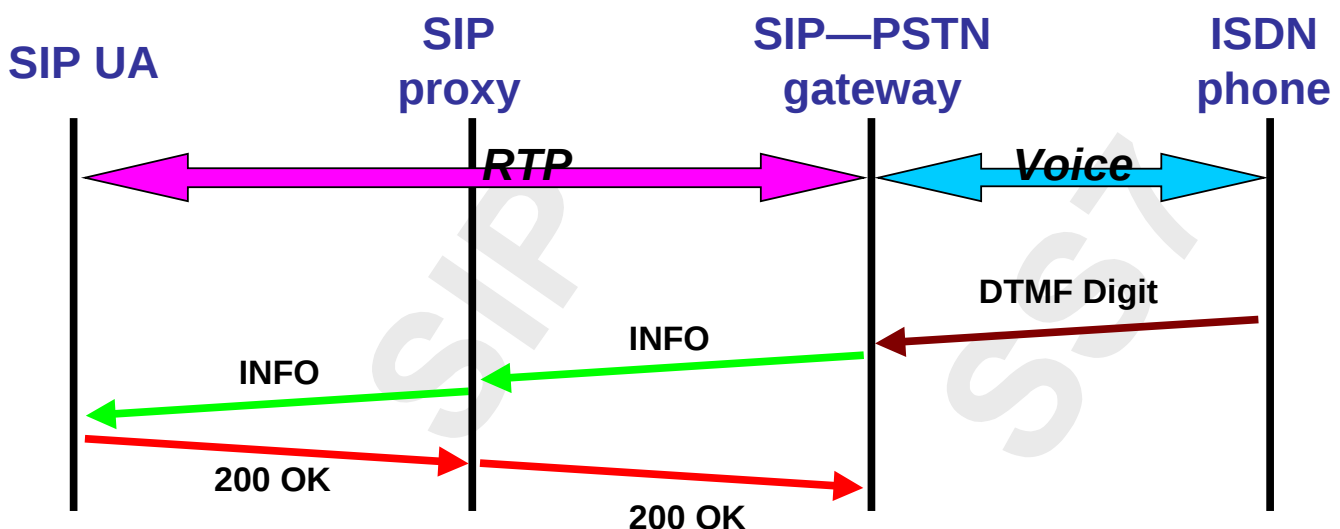


## SIP for Telephony (SIP-T)

- ◆ Interface to the PSTN
- ◆ Preserve feature transparency
  - transport SS7 information (ISUP MIME type)
    - Eventually convert between different ISUP versions
- ◆ Provide enough routing information to find callee
  - (partially) translate ISUP to SIP
- ◆ Support for **tel:-**URLs to indicate Called Party Number
- ◆ Additional information during call
  - **INFO** method (RFC 2976)
  
- ◆ Is tunneling a good thing?

## INFO Method

- ◆ Transmit application-layer information during call
  - Use SIP signaling path of current session
  - Information is carried in message headers or body
  - No change of (SIP-related) call state



## 183 Session Progress Message

- ◆ INFO not applicable *before* call is established
- ◆ ISUP mapping requires inband data prior to final response

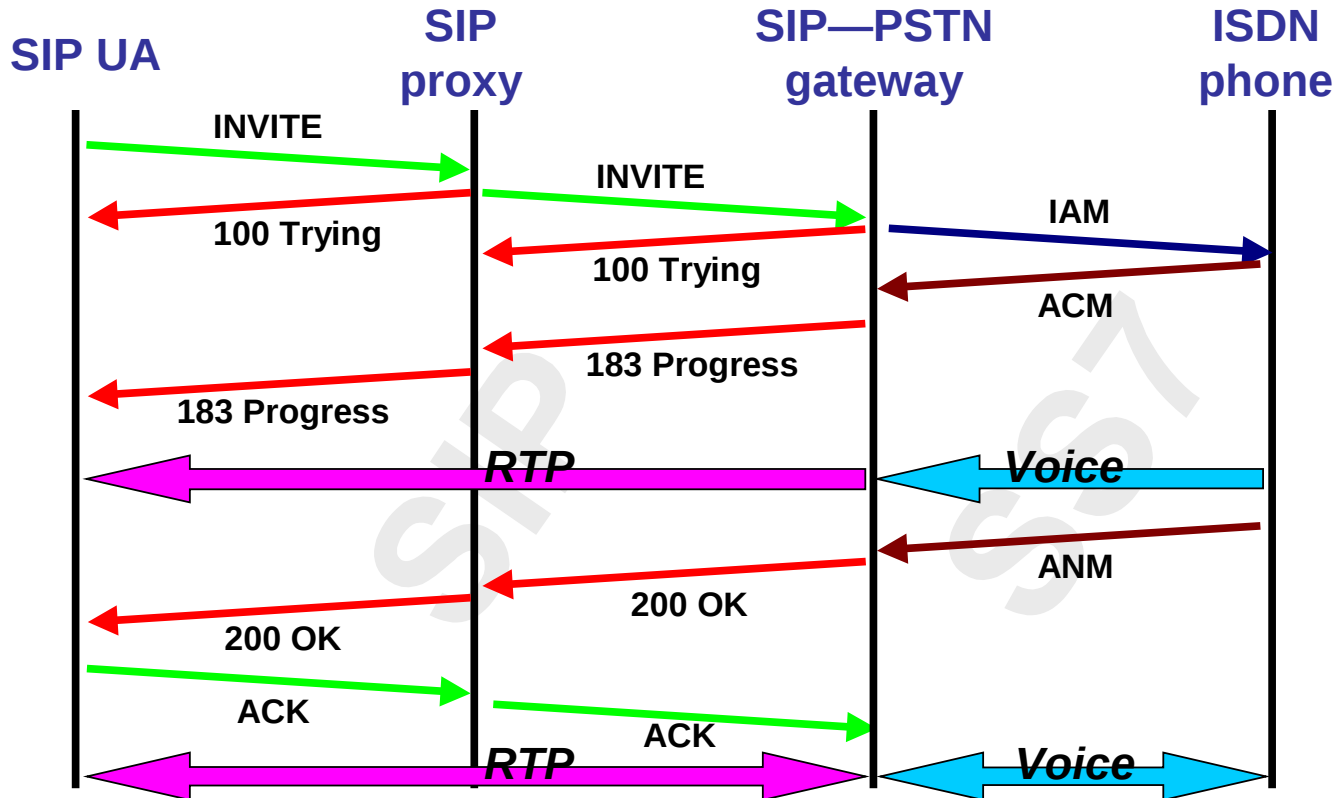
→ provisional response 183

- ◆ Additional information in message body
- ◆ **Session:-header**
  - Indicate reason: **media**, **qos**, **security**
- ◆ Use 100rel, if need be

## Early Media Support

- ◆ **Early media** during call setup (SIP INVITE)
  - One-way transmission to report progress
  - Announcements, specific dial tones, ...
  - No charge
  - Inhibit local alerting at calling user agent
- ◆ **Problem: Media negotiation**
  - Send SDP message in provisional response  
→ *fast setup*
  - Create SDP from initial INVITE's capability set  
→ What if not suitable for early media session?
  - Calling UA will establish *recvonly* media session  
→ Cannot decline session or change codecs

## SIP—PSTN Call With In-band Alerting



## Sample SIP Phone Functionality

- ◆ Somewhat resemble a phone
  - More or less futuristic design
  - Two-line to color graphics display
  - Sometimes line power
- ◆ Basic SIP functionality
  - Registrations, voice calls (G.711, G.729, G.723, ...)
- ◆ Expected “supplementary services”
  - Address book, short dials
  - Several “lines”, speaker
  - Call hold, call transfer, conferencing, ...
  - N-way conferencing for a few participants (local mixing)
- ◆ Some kind of CTI support
  - APIs, 3<sup>rd</sup> party call control, ...
- ◆ Autoconfiguration: DHCP, (t)ftp, ...
- ◆ HTTP server for manual user configuration
- ◆ Sometimes web browsers

## Some SIP Phones



## Tutorial Overview

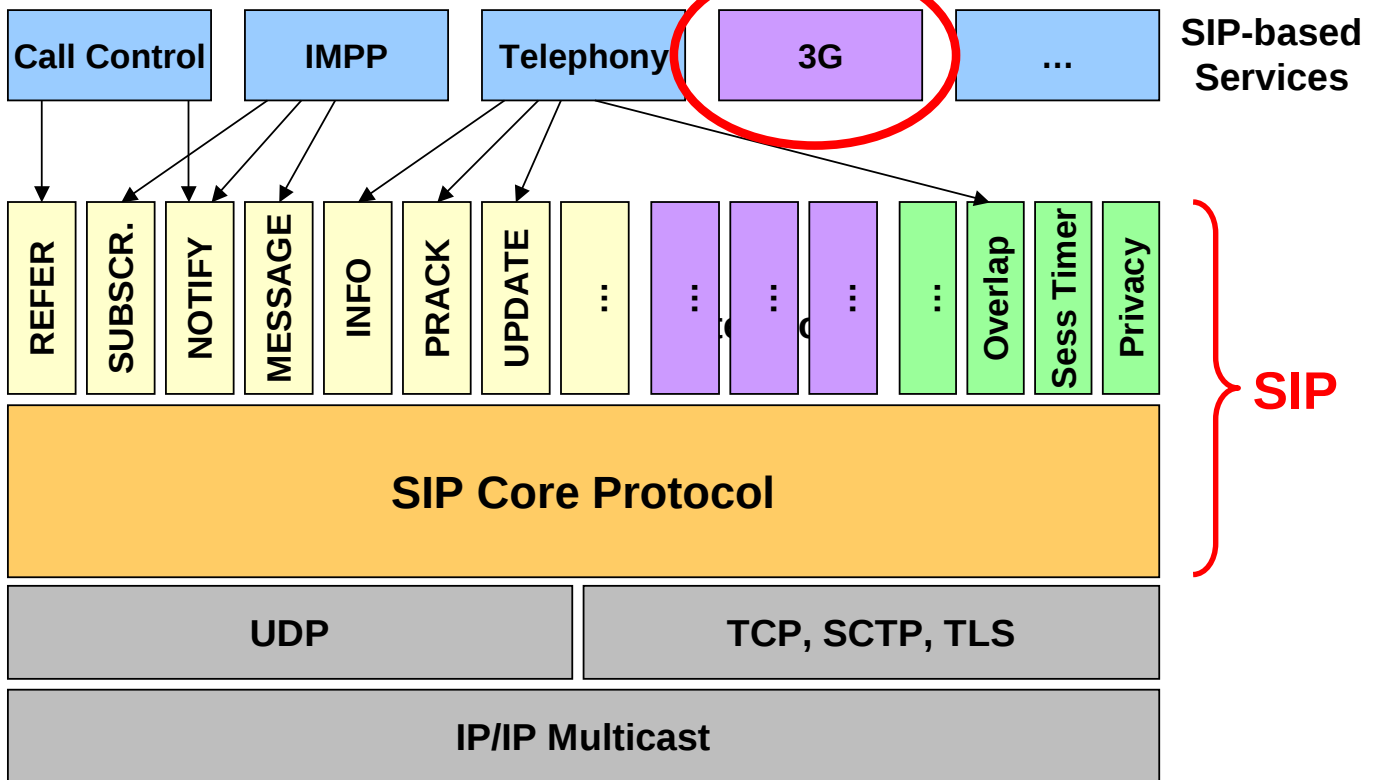
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# SIP and 3G

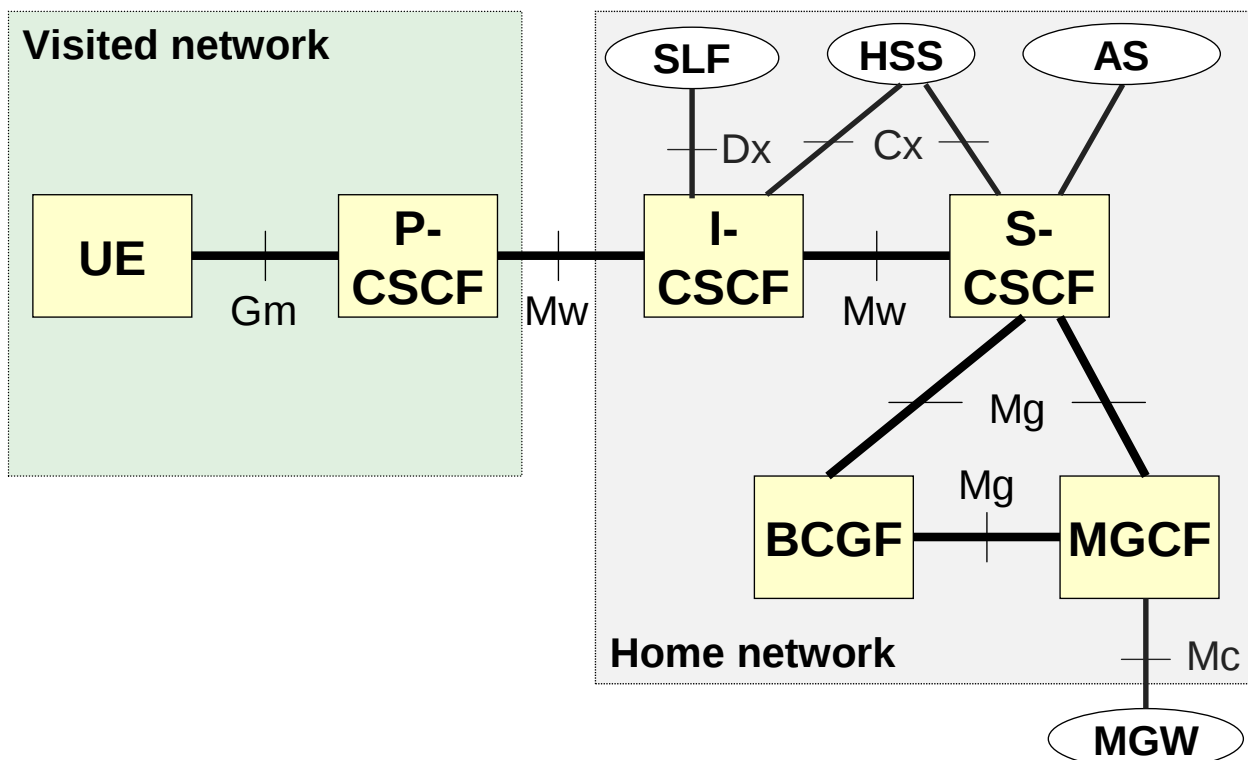
# SIP and 3GPP

- ◆ **3G networks offer two modes of operation:**
  - circuits and packets
- ◆ **Multimedia functionality based on packets**
  - IP Multimedia Subsystem (IMS) in the Core Network (CN)
  - (exception: H.324 used for video telephony)
- ◆ **IMS uses SIP for signaling**
  - one piece out of a number of IETF protocols
- ◆ **In the long run, all services shall converge to IP**
- ◆ **Release 5: SIP-based multimedia calls**
- ◆ **Release 6: Further SIP services to come (e.g. Presence, IM)**

## SIP and 3G



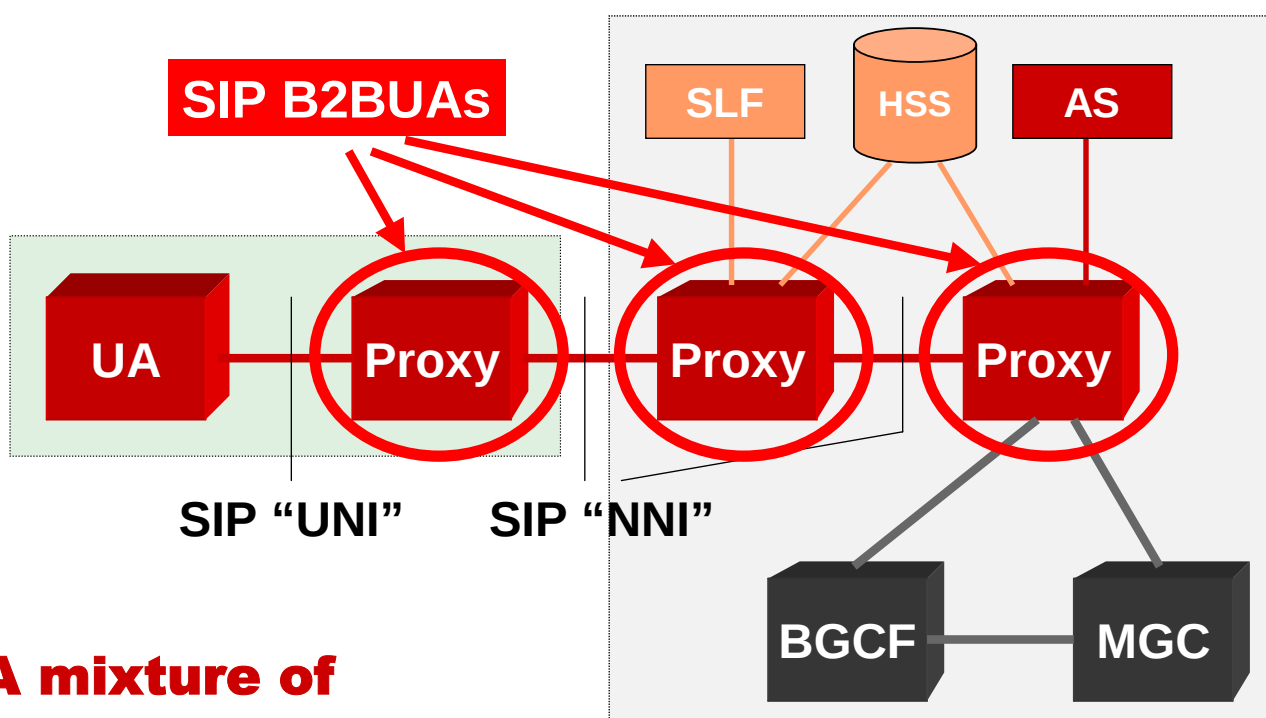
## SIP-related Components in 3GPP



## A Collection of Acronyms

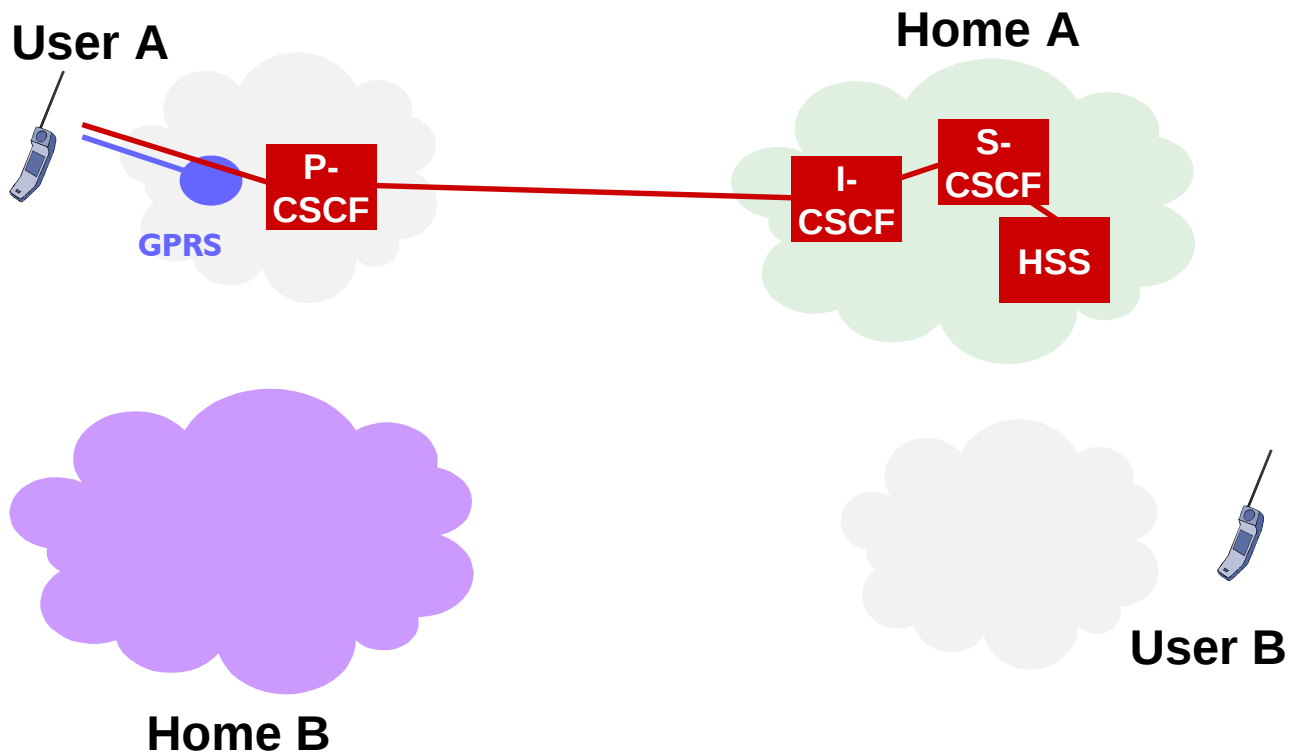
- ◆ **UE**      **User Equipment**
  
- ◆ **P-CSFC**    **Proxy Call Session Control Function**
- ◆ **I-CSFC**    **Interrogating Call Session Control Function**
- ◆ **S-CSFC**    **Serving Call Session Control Function**
- ◆ **HSS**      **Home Subscriber Server**
- ◆ **AS**        **Application Server**
- ◆ **SLF**      **Subscription Locator Function**
  
- ◆ **BGCF**      **Breakout Gateway Control Function**
- ◆ **MGCF**      **Media Gateway Control Function**
- ◆ **MGW**      **Media Gateway**

## SIP Components in 3GPP

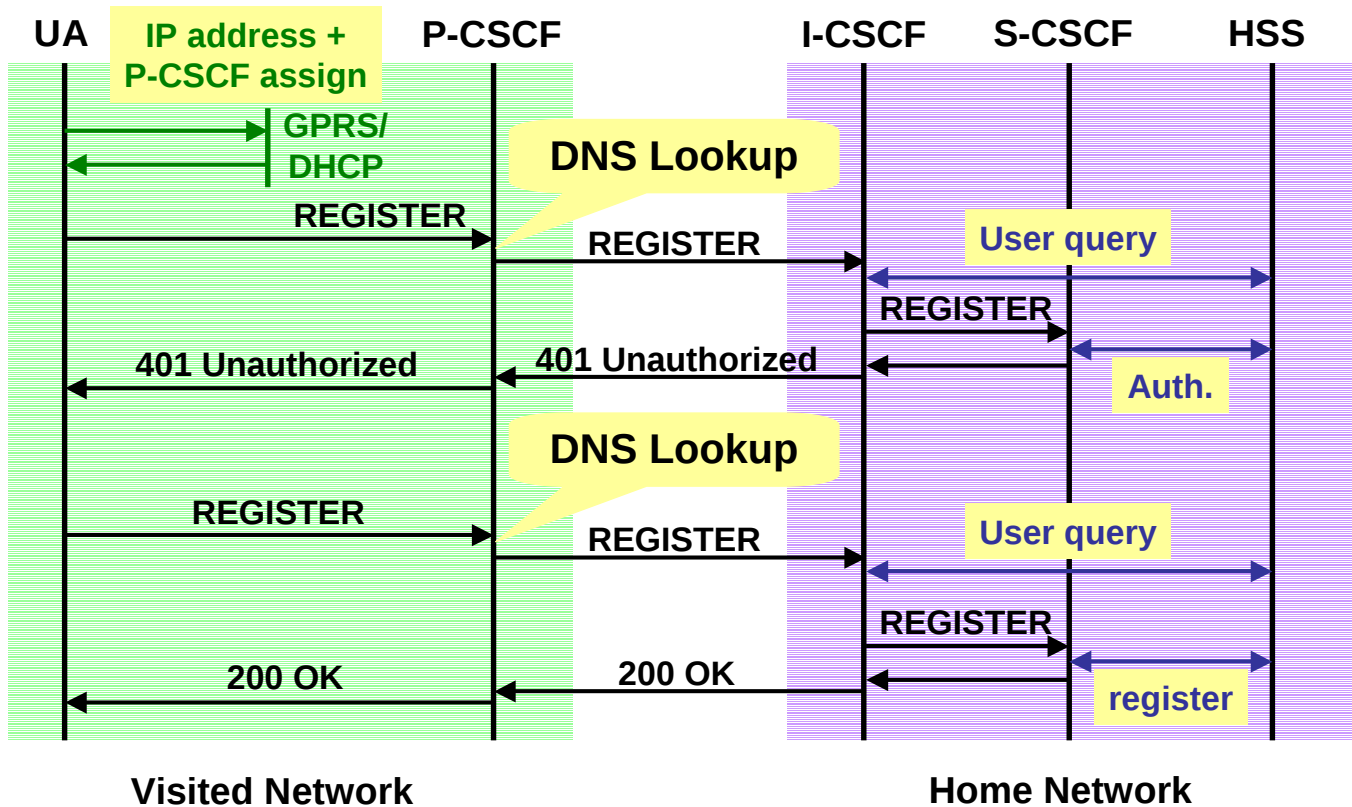


**A mixture of  
SIP and MEGACO...**

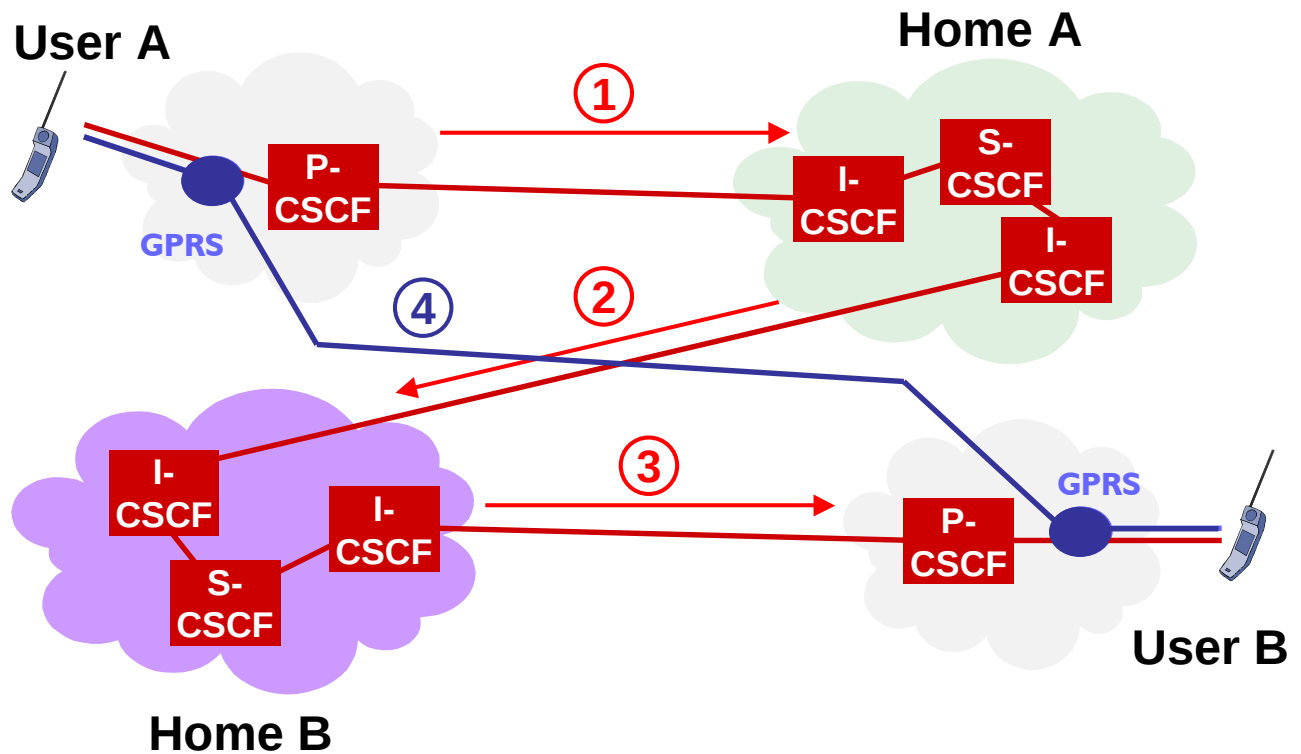
## 3G Roaming Scenario: Registration



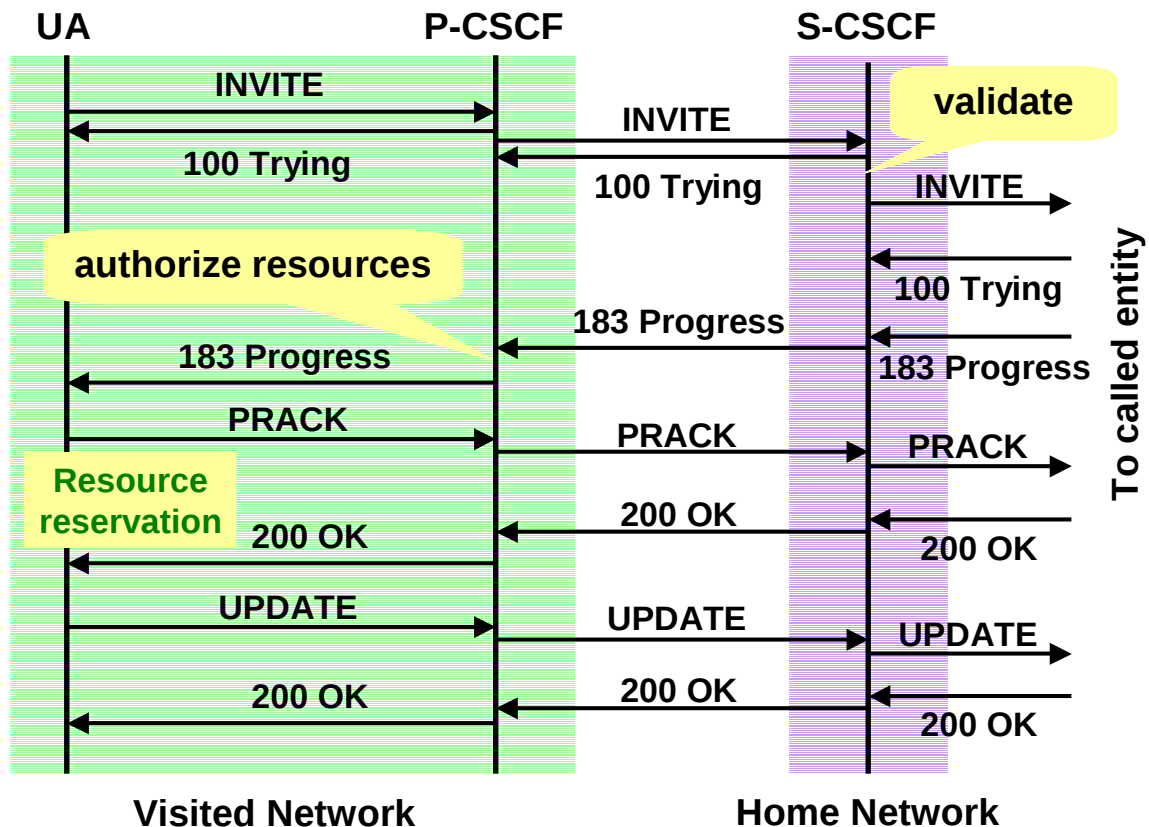
## SIP Registration of a Mobile Node



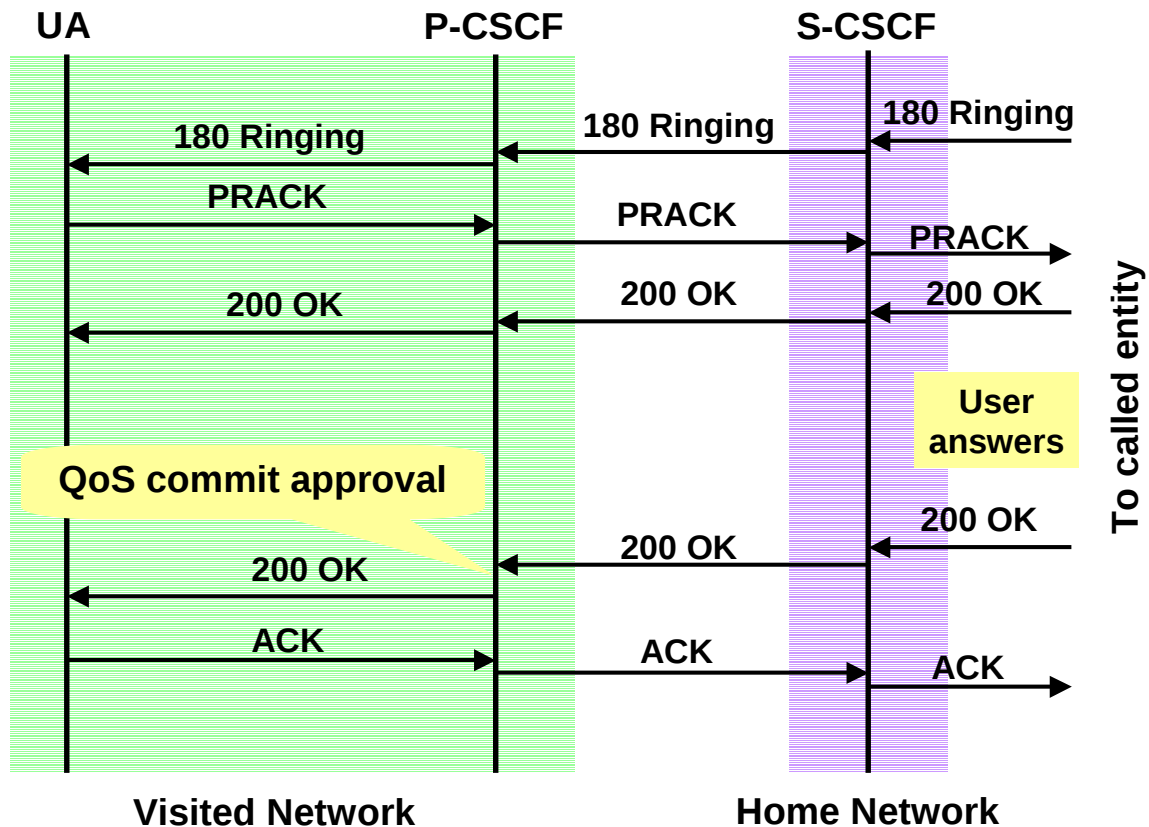
## 3G Roaming Scenario: Simple Call



## Simple SIP Call: Caller Side (1)



## Simple SIP Call: Caller Side (2)



## Some further 3G Signaling

- ◆ **Called side: pretty much the same**
- ◆ **Call termination by either endpoint: straightforward**
  - Simple two-way handshake: BYE – 200 OK
  - Release associated GRPS resources
  - Accounting in S-CSCF/HSS: e.g. generate Call Detail Record (CDR)
- ◆ **Call termination by “network”**
  - S-CSCF generates SIP BYE requests to called and calling UA
- ◆ **Terminal de-registration by user**
  - Simple two-way SIP handshake: REGISTER – 200 OK
- ◆ **Terminal de-registration by “network”**
  - Uses SIP NOTIFY mechanism to inform the UA

## SIP Features used in 3G

- ◆ SIP Base Spec (RFC 3261)
- ◆ Reliable Provisional Response (PRACK) (RFC 3262)
- ◆ Session Description Protocol (RFC 2327)
- ◆ SDP Offer/Answer Scheme (RFC 3264)
- ◆ SUBSCRIBE / NOTIFY method (RFC 3265)
- ◆ SDP extensions for IPv6 (RFC 3266)
- ◆ UPDATE method (RFC 3311)
  
- ◆ Resource reservation extensions (“manyfolks”)
- ◆ Authentication and privacy

... among others ...      +      ... a number of extensions ...

## Service Routing Extensions in 3G

- ◆ P-CSCF and S-CSCF perform central functions for UAs
  - Calls need to pass (at least) through both of them
  - to ensure all services are available
- ◆ Configure P-CSCF as outbound proxy
  - Address obtained during link layer initialization
- ◆ Record proxy chain to be traversed during registration
  - SIP extension for recording proxies to be traversed en-route
  - **Path:** header
  - Used together with loose source routing from S-CSCF to UA
- ◆ Learn about S-CSCF in REGISTER response
  - SIP extension for service routing
  - **Service-Route:** header
  - Used together with loose source routing (as defined in RFC 3261)

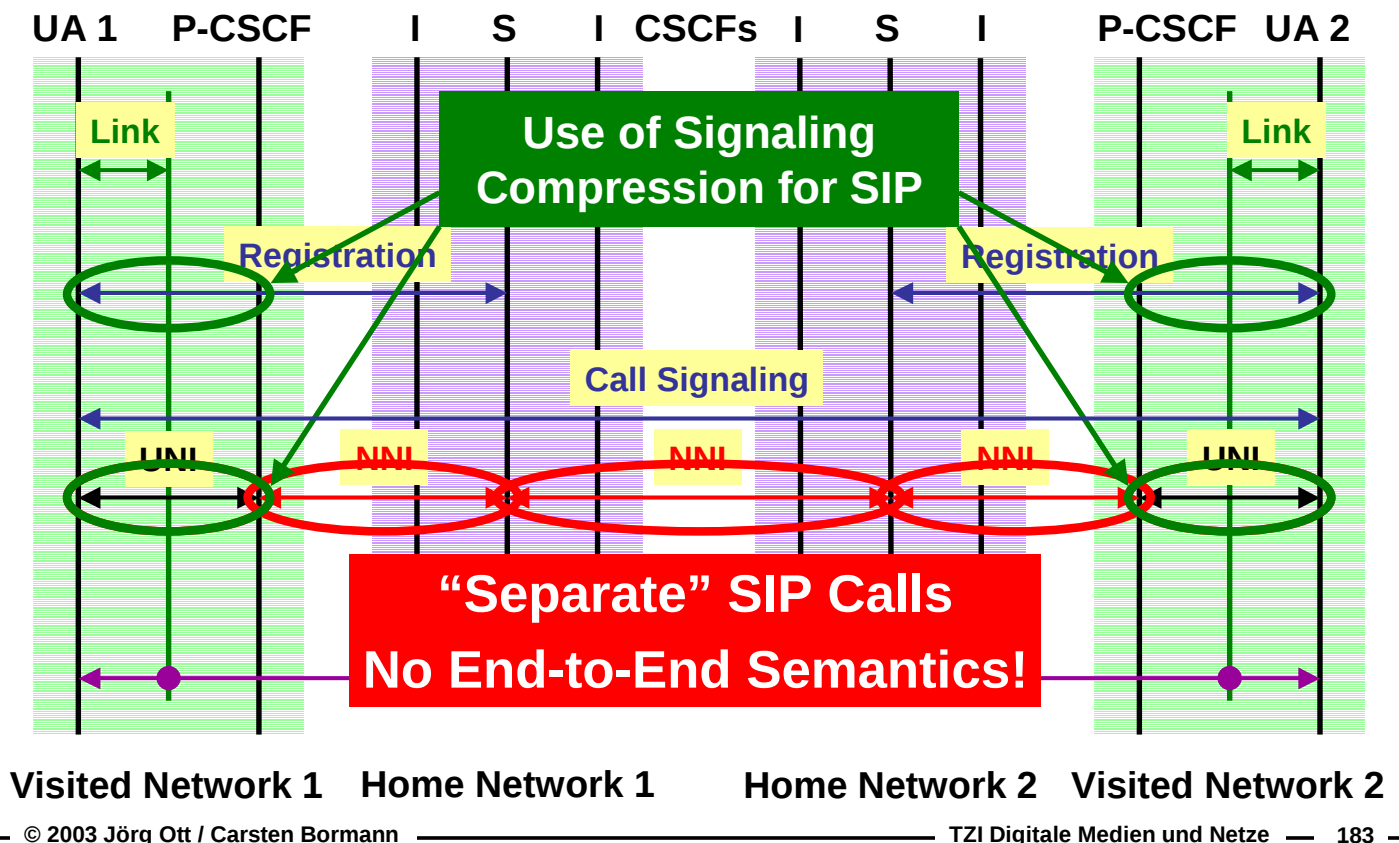
## Some SIP Security in 3G

- ◆ **Basic Approach: closed network infrastructure**
  - Transitive trust (and secure communications) within the network
- ◆ **SIP Digest Authentication for registration**
- ◆ **Stateful network infrastructure**
  - Only registered users can place calls
  - Ingress nodes (P-CSCFs) validate UA requests
  - S-CSCF additionally check validity per request
- ◆ **P-Asserted-Identity: header**
  - May contain network-generated (anonymized) identifier
- ◆ **Privacy: header to select CLIP/CLIR**
  - User id may be removed per user request when leaving the network

## Further 3G Extension Headers

- ◆ **P-Associated-URI:**
  - URIs allocated by service provider returned in REGISTER response
- ◆ **P-Called-Party-ID:**
  - To indicate original request URI to the called party
- ◆ **P-Visited-Network-ID:**
  - Conveys the identifier of the currently visited network
- ◆ **P-Access-Network-Info:**
  - Reports information about the currently used access radio link
- ◆ **P-Charging-Function-Addresses:**
  - Indicates where to report charging information (e.g. CDRs) to
- ◆ **P-Charging-Vector:**
  - Enable correlation of charging information across the network

## Summary: Signaling and Media Flows in 3G



## Service Creation in 3GPP

- ◆ **Standardized functional building blocks in the network**
  - services to be created on top of those
  - Partially standardized services
- ◆ **“APIs” for service providers**
- ◆ **SIP for interfacing to Application Servers**
- ◆ **Service creation in the network**
  - “closed” environment
  - potential for limited outside access
- ◆ **BUT: No end-to-end signaling**
  - Header fields may be removed by P-CSCF
  - New methods may not be passed through
- ◆ **No / limited end user or third party innovation possible**

## Conclusion: SIP and 3G

3G is partially built the **old** way – the telephony way...

- ◆ Breaks the (S)IP end-to-end model
  - **built-in limitation of innovation**
- ◆ Enforces net-centric service creation
  - **built-in protection against newcomers**
- ◆ Closed architectural model
  - **built-in gateways even to external SIP devices**

(obvious economic motivations for the above)

- ◆ Many boxes, many lines, many interfaces
  - **High degree of complexity? (! KISS)**

## Further Information

<http://www.ietf.org/html.charters/sip-charter.html>

<http://www.ietf.org/html.charters/sipping-charter.html>

<http://www.ietf.org/html.charters/simple-charter.html>

<http://www.ietf.org/html.charters/impp-charter.html>

<http://www.softarmor.com/sipwg>

<http://www.softarmor.com/sipping>

<http://www.cs.columbia.edu/~hgs/sip>

<http://www.3gpp.org/>

<ftp://ftp.3gpp.org/>