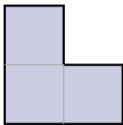


# Lecture 5: strong induction, and sets

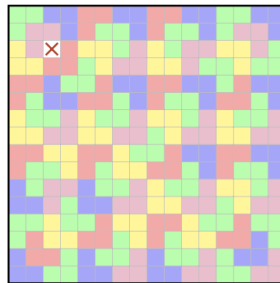
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Can you tile a  $2^n \times 2^n$  chessboard with one corner removed, using only L-shaped (tromino) pieces?

One tromino



A complete tiling



We can prove this using induction! See the slides for the proof :)

## 1 Strong Induction

Sometimes, to prove  $P(n)$ , it's not enough to assume  $P(n-1)$ : we might need  $P(n-2)$ , or  $P(n/2)$ , or even *all* of  $P(1), P(2), \dots, P(n-1)$  at once. This is called **strong induction**.

To prove  $\forall n \in \mathbb{N}, P(n)$  by strong induction, it suffices to show

1. one or more **base cases** are true, and
2. for any  $k$ , if  $P(1), P(2), \dots, P(k)$  are *all* true, then  $P(k+1)$  is also true.

Recall the Fibonacci numbers:  $F_1 = F_2 = 1$ , and  $F_n = F_{n-1} + F_{n-2}$  for  $n > 2$ .

**Proposition 1.1.** *For all  $n \in \mathbb{N}$ ,  $F_n < 2^{n+1}$ .*

*Proof.* Since the recursive definition of  $F_n$  depends on *both*  $F_{n-1}$  and  $F_{n-2}$ , we'll need two base cases.

*Base cases.*  $F_1 = 1 < 4 = 2^2$ , and  $F_2 = 1 < 8 = 2^3$ .

*Inductive step.* Fix  $n \geq 2$ , and suppose  $F_k < 2^{k+1}$  for every  $1 \leq k \leq n$  (the strong induction hypothesis). Then

$$F_{n+1} = F_n + F_{n-1} < 2^{n+1} + 2^n < 2^{n+1} + 2^{n+1} = 2^{n+2},$$

so  $F_{n+1} < 2^{(n+1)+1}$ , as needed.

By strong induction,  $F_n < 2^{n+1}$  for all  $n \in \mathbb{N}$ . □

Why do we need *two* base cases here, rather than just one? If we only established  $F_1 < 2^2$  and tried to start the inductive step at  $n = 1$ , we'd need to write  $F_2 = F_1 + F_0$ , but  $F_0$  was never defined, so the recursive step isn't valid. We need both  $F_1$  and  $F_2$  verified directly before the recursive step (which uses the two *previous* terms) can take over.

**Proposition 1.2.** *Every natural number  $\geq 2$  has a prime factorization. That is, for all  $n \geq 2$ ,  $n = p_1 \times p_2 \times \cdots \times p_m$ , where  $p_1 \cdots p_m$  are all prime (possibly with repeats).*

We can prove this by strong induction as well.

*Proof. Base case.*  $n = 2$  is itself prime, so 2 is (trivially) a prime factorization of itself.

*Inductive step.* Fix  $n \geq 2$ , and suppose every  $k$  with  $2 \leq k \leq n$  has a prime factorization (the strong induction hypothesis). We show  $n + 1$  has one too.

*Case 1:  $n + 1$  is prime.* Then  $n + 1$  itself is a (one-term) prime factorization of  $n + 1$ .

*Case 2:  $n + 1$  is not prime.* Then, by definition,  $n + 1$  has some positive divisor  $m$  other than 1 and  $n + 1$  itself, so  $2 \leq m \leq n$ . Write  $n + 1 = mq$  for some integer  $q$ . Since  $m \geq 2$ ,

$$q = \frac{n+1}{m} \leq \frac{n+1}{2} \leq n,$$

and since  $m \leq n < n + 1$ , we also have  $q = (n + 1)/m > 1$ , so  $q \geq 2$ . Thus both  $m$  and  $q$  lie in  $\{2, \dots, n\}$ , so by the strong induction hypothesis, each has a prime factorization:  $m = p_1 \times \cdots \times p_i$  and  $q = p'_1 \times \cdots \times p'_j$ . Then

$$n + 1 = mq = p_1 \times \cdots \times p_i \times p'_1 \times \cdots \times p'_j$$

is a prime factorization of  $n + 1$ .

In either case,  $n + 1$  has a prime factorization. By strong induction, every  $n \geq 2$  has a prime factorization.  $\square$

## 2 Sets

**Definition 2.1.** A **set** is an unordered collection of objects, called its **elements**. Order doesn't matter, and repetition doesn't matter:  $\{1, 1, 2, 3\}$  and  $\{1, 2, 3\}$  are the same set. We write  $x \in A$  to mean " $x$  is an element of  $A$ ."

**Example 2.2.** Here are some sets:

$$A = \{1, 2, 3\}; \quad B = \text{the members of BTS}; \quad C = \{\text{triangle, red, 5, Jimin from BTS}\}.$$

The familiar sets  $\mathbb{Z}, \mathbb{Q}, \mathbb{R}, \mathbb{N}$ , which we've been using throughout the course, are sets too.

The **empty set**, written  $\emptyset$  or  $\{\}$ , is the set with no elements. Sets can themselves contain other sets — for instance,  $\{1, 2, \{1, 2\}, \{1, 2, 3\}\}$  is a (four-element) set whose elements are two numbers and two sets.

For a finite set  $A$ , we write  $|A|$  for the number of elements of  $A$ .

### 2.1 Set-Builder Notation

Listing out every element works for finite sets, but not for infinite ones. Instead, we use **set-builder notation**:

$$\{x : \text{condition on } x\}.$$

For example,  $\{x : x \in \mathbb{Z}, x \geq 0\}$ , which we could also write  $\{x \in \mathbb{Z} : x \geq 0\}$ .

**Example 2.3.** How would you write the set of even numbers? How about the set of primes between 100 and 200?

## 2.2 Subsets

We already know how to say “7 is in the integers”:  $7 \in \mathbb{Z}$ . But how do we express that the naturals are “contained in” the integers? We can’t write  $\mathbb{N} \in \mathbb{Z}$ , since  $\mathbb{N}$  is a set, while  $\mathbb{Z}$  contains numbers, not sets. Instead,  $\mathbb{N}$  is a **subset** of  $\mathbb{Z}$ .

**Definition 2.4.** *A is a **subset** of B, written  $A \subseteq B$ , if every element of A is also an element of B:*

$$A \subseteq B \iff \forall x, (x \in A \implies x \in B).$$

Every set is a subset of itself, and the empty set is a subset of every set.

Just as  $\leq$  has a strict counterpart  $<$ ,  $\subseteq$  has a strict counterpart:  $A$  is a **proper subset** of  $B$ , written  $A \subsetneq B$ , if  $A \subseteq B$  and there exists some  $x \in B$  with  $x \notin A$ . (We’ll avoid the notation  $\subset$ , since different textbooks use it inconsistently to mean either  $\subseteq$  or  $\subsetneq$ , whereas  $\subsetneq$  is unambiguous.)

## 2.3 Vacuous Statements

A statement of the form “ $\forall x \in \emptyset, P(x)$ ” is always true, **vacuously**. There’s no  $x$  that could ever serve as a counterexample.

**Example 2.5.** “All even primes greater than 5 are divisible by 10” and “all unicorns live in Scotland” are both (vacuously) true.