Questions for Flipped Classroom Session of COMS 4705 Week 3, Fall 2014. (Michael Collins)

Question 1 Consider a trigram HMM tagger with:

- The set \mathcal{K} of possible tags equal to $\{D, N, V\}$
- The set \mathcal{V} of possible words equal to {the, dog, barks}
- The following parameters:

$$\begin{array}{rcl} q({\bf D}|^*,*) &=& 1\\ q({\bf N}|^*,{\bf D}) &=& 1\\ q({\bf V}|{\bf D},{\bf N}) &=& 1\\ q({\bf STOP}|{\bf N},{\bf V}) &=& 1\\ e({\rm the}|{\bf D}) &=& 1\\ e({\rm dog}|{\bf N}) &=& 0.4\\ e({\rm barks}|{\bf N}) &=& 0.6\\ e({\rm dog}|{\bf V}) &=& 0.1\\ e({\rm barks}|{\bf V}) &=& 0.9 \end{array}$$

with all other parameter values equal to 0.

Question: Write down the set of all pairs of sequences $x_1 \dots x_n, y_1 \dots y_{n+1}$ such that the following properties hold:

- $p(x_1...x_n, y_1...y_{n+1}) > 0$
- $x_i \in \mathcal{V}$ for all $i \in 1 \dots n$
- $y_i \in \mathcal{K}$ for all $i \in 1 \dots n$, and $y_{n+1} =$ STOP

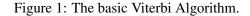
Input: a sentence $x_1
dots x_n$, parameters q(s|u, v) and e(x|s). **Definitions:** Define \mathcal{K} to be the set of possible tags. Define $\mathcal{K}_{-1} = \mathcal{K}_0 = \{*\}$, and $\mathcal{K}_k = \mathcal{K}$ for $k = 1 \dots n$. **Initialization:** Set $\pi(0, *, *) = 1$. **Algorithm:**

• For
$$k = 1 \dots n$$
,

- For
$$u \in \mathcal{K}_{k-1}, v \in \mathcal{K}_k$$
,

$$\pi(k, u, v) = \max_{w \in \mathcal{K}_{k-2}} \left(\pi(k-1, w, u) \times q(v|w, u) \times e(x_k|v) \right)$$

• **Return**
$$\max_{u \in \mathcal{K}_{n-1}, v \in \mathcal{K}_n} (\pi(n, u, v) \times q(\text{STOP}|u, v))$$



Question 2 Consider a trigram HMM, as introduced in class. We saw that the Viterbi algorithm could be used to find

$$\max_{y_1\dots y_{n+1}} p(x_1\dots x_n, y_1\dots y_{n+1})$$

where the max is taken over all sequences $y_1 \dots y_{n+1}$ such that $y_i \in \mathcal{K}$ for $i = 1 \dots n$, and $y_{n+1} =$ STOP. (Recall that \mathcal{K} is the set of possible tags in the HMM.) In a trigram tagger we assume that p takes the form

$$p(x_1 \dots x_n, y_1 \dots y_{n+1}) = \prod_{i=1}^{n+1} q(y_i | y_{i-2}, y_{i-1}) \prod_{i=1}^n e(x_i | y_i)$$
(1)

Recall that we have assumed in this definition that $y_0 = y_{-1} = *$, and $y_{n+1} =$ STOP. The Viterbi algorithm is shown in figure 1.

Now consider a four-gram tagger, where p takes the form

$$p(x_1 \dots x_n, y_1 \dots y_{n+1}) = \prod_{i=1}^{n+1} q(y_i | y_{i-3}, y_{i-2}, y_{i-1}) \prod_{i=1}^n e(x_i | y_i)$$
(2)

We have assumed in this definition that $y_0 = y_{-1} = y_{-2} = *$, and $y_{n+1} =$ STOP.

Question: In the box below, give a version of the Viterbi algorithm that takes as input a sentence $x_1 \dots x_n$, and finds

$$\max_{y_1\dots y_{n+1}} p(x_1\dots x_n, y_1\dots y_{n+1})$$

for a four-gram tagger, as defined in Eq. 4.

Input: a sentence $x_1 \dots x_n$, parameters q(w|t, u, v) and e(x|s). Definitions: Define \mathcal{K} to be the set of possible tags. Define $\mathcal{K}_{-2} = \mathcal{K}_{-1} = \mathcal{K}_0 = \{*\}$, and $\mathcal{K}_k = \mathcal{K}$ for $k = 1 \dots n$. Initialization: Algorithm: Return: **Question:** In the box below, give a version of the Viterbi algorithm that takes as input an integer n, and finds

 $\max_{y_1\dots y_{n+1}, x_1\dots x_n} p(x_1\dots x_n, y_1\dots y_{n+1})$

for a trigram tagger, as defined in Eq. 3. Hence the input to the algorithm is an integer n, and the output from the algorithm is the highest scoring *pair* of sequences $x_1 \dots x_n, y_1 \dots y_{n+1}$ under the model.

Input: an integer *n*, parameters q(w|u, v) and e(x|s). **Definitions:** Define \mathcal{K} to be the set of possible tags. Define $\mathcal{K}_{-1} = \mathcal{K}_0 = \{*\}$, and $\mathcal{K}_k = \mathcal{K}$ for $k = 1 \dots n$. Define \mathcal{V} to be the set of possible words. **Initialization: Algorithm: Return:** **Question 3** Consider a trigram HMM, as introduced in class. We saw that the Viterbi algorithm could be used to find

$$\max_{y_1\dots y_{n+1}} p(x_1\dots x_n, y_1\dots y_{n+1})$$

where the max is taken over all sequences $y_1 \dots y_{n+1}$ such that $y_i \in \mathcal{K}$ for $i = 1 \dots n$, and $y_{n+1} =$ STOP. (Recall that \mathcal{K} is the set of possible tags in the HMM.) In a trigram tagger we assume that p takes the form

$$p(x_1 \dots x_n, y_1 \dots y_{n+1}) = \prod_{i=1}^{n+1} q(y_i | y_{i-2}, y_{i-1}) \prod_{i=1}^n e(x_i | y_i)$$
(3)

Recall that we have assumed in this definition that $y_0 = y_{-1} = *$, and $y_{n+1} =$ STOP. The Viterbi algorithm is shown in figure 1.

Now consider a "skip" tagger, where p takes the form

$$p(x_1 \dots x_n, y_1 \dots y_{n+1}) = \prod_{i=1}^{n+1} q(y_i | y_{i-2}) \prod_{i=1}^n e(x_i | y_i)$$
(4)

We have assumed in this definition that $y_0 = y_{-1} = *$, and $y_{n+1} =$ STOP. Note that a "skip" tagger replaces the term $q(y_i|y_{i-2}, y_{i-1})$ in a regular trigram tagger with

 $q(y_i|y_{i-2})$

We call it a skip tagger because y_{i-1} is now omitted from the conditioning information.

Question: In the box below, give a version of the Viterbi algorithm that takes as input a sentence $x_1 \dots x_n$, and finds

$$\max_{y_1\ldots y_{n+1}} p(x_1\ldots x_n, y_1\ldots y_{n+1})$$

for a skip tagger, as defined in Eq. 4. (Note: it is fine if the runtime of your algorithm is $O(n|\mathcal{K}|^3)$.)

 Input: a sentence $x_1 \dots x_n$, parameters q(w|v) and e(x|s).

 Definitions: Define \mathcal{K} to be the set of possible tags. Define $\mathcal{K}_{-1} = \mathcal{K}_0 = \{*\}$, and $\mathcal{K}_k = \mathcal{K}$ for $k = 1 \dots n$.

 Initialization:

 Algorithm:

 Return:

Question 4 Say we have a training set consisting of two tagged sentences:

the/DT can/NN is/VB in/IN the/DT shed/NN

the/DT dog/NN can/VB see/VB the/DT cat/NN

We train a bigram tagger of the form

$$p(x_1 \dots x_n, y_1 \dots y_{n+1}) = \prod_{i=1}^{n+1} q(y_i | y_{i-1}) \prod_{i=1}^n e(x_i | y_i)$$

using simple maximum-likelihood estimates for the q and e parameters.

If we then use the Viterbi algorithm to find the maximum probability tag sequence for each of the training sentences, show that the tagger tags both sentences correctly.

Question 5 Now come up with a training set such that when we train a bigram tagger using maximum likelihood estimates, the resulting model makes at least one mistake on the training set.