

## Last time:

- finish fast rand alg for polynomial identity testing: Schwarz-Zippel<sup>lemma</sup>
- rand. alg. #2:  $\text{poly}(n) \cdot (\frac{3}{2})^n$ -time alg for 3CNF-SAT
- start rand. complexity classes Pap. 11.2, AB 7.3, Cai 5.4  $\text{RP, co-RP}$

## Today:

- RP amplification
  - ZPP,  $\text{ZPP} = \text{RP} \cap \text{co-RP}$
  - BPP, BPP amplification
  - PP,  $\text{NP} \subseteq \text{PP}$
  - relations among the above
  - $\text{BPP} \subseteq \text{P/poly}$  (nonuniformity is at least as powerful)
  - $\text{BPP} \subseteq \text{PH}$  (in fact,  $\text{BPP} \subseteq \Sigma_2^P \cap \Pi_2^P$ )
- Pap 11.2,  
AB 7.5,  
Cai 5.5
- as randomness

## Questions?

Recall: Def Lang  $L$  is in  $\overset{\text{randomized P}}{\text{RP}}$  if there's a p.p.t. TM  $M$  s.t.  $\forall$  input  $x$ ,

- if  $x \in L$ ,  $\Pr\{M \text{ accepts } x\} \geq \frac{1}{2}$
- if  $x \notin L$ ,  $\Pr\{M \text{ acc } x\} = 0$ .

RP Amplif.: Can replace " $\frac{1}{2}$ " in def. of RP w/ " $1 - 2^{-p(n)}$ " for any poly  $p(n)$ , & doesn't change class of lang. in RP.

(co-RP: similar.)

Pf: Consider  $M'$ : run orig. RP machine  $M$   $p(n)$  times on input  $|x|=n$ ;  $M'$  accepts if any of the  $p(n)$  runs of  $M$  accept.

$$x \notin L \Rightarrow \Pr[M' \text{ acc}] = 0 \quad \ddot{\smile}$$

$$x \in L \Rightarrow \Pr[M' \text{ rej}] \leq \frac{1}{2^{p(n)}}.$$

---

RP, co-RP:  $\ddot{\smile}$  always poly-time;  
 $\ddot{\smile}$  can make mistakes.

ZPP: flips this.

Def: The class ZPP cont. those lang.  $L$  s.t. there is a prob. TM  $M$  for  $L$ , + a poly  $p(n)$ , s.t.

$$(1) \forall x, M \text{ never errs w.r.t. } L; + \\ \left( \begin{array}{l} M \text{ acc } x \stackrel{\text{w. prob. } > 0}{\Rightarrow} x \in L; \\ M \text{ rej } x \stackrel{\text{w. prob. } > 0}{\Rightarrow} x \notin L \end{array} \right)$$

$$(2) \forall |x|=n,$$

$$\mathbb{E}[T(M(x))] \leq p(n), \text{ where}$$

$T(M(x)) =$  time  $M$  runs for on  $x$  before acc. or rej.  
random variable.

---

Note: poss that on some runs  $M$  may go for

$n^{\log n}$ , or  $2^n$ , or  $2^{2^n}$ , ... time.

---

Thm:  $ZPP = RP \cap co-RP$ .

Pf:  $RP \cap coRP \subseteq ZPP$ : Fix  $L \in RP \cap co-RP$ .

Let  $M_1 = RP$  machine for  $L$

$M_2 = co-RP$  " " " . one "trial"

We construct  $ZPP$   $M$  for  $L$ :

$M$  runs  $M_1$  &  $M_2$  on  $x$ .

$M$ : accepts if  $M_1$  accepts;

rejects if  $M_2$  rejects;

& if  $M_1$  rej &  $M_2$  accepts,  $M$  tries again

(with fresh coin tosses).

Does this work? Yes:

- if  $M$  accepts  $x$  on any execution, must have  $M_1$  acc  $x$  on some exec, so  $x \in L$ ;

- if  $M$  rejects  $x$  on any execution, must have  $M_2$  rej  $x$  on some exec, so  $x \notin L$ .

So (1) of  $ZPP$  def. holds.

(2):  $\mathbb{E}\{\text{runtime of } M\}$  ?

Whether  $x \in L$  or  $x \notin L$ ,

$\Pr[\text{1st trial succeeds}] \geq \frac{1}{2}$

So  $\mathbb{E}[\text{\# trials needed}] \leq$

$$\mathbb{E}(\# \text{ times need to toss fair coin before get H}) \\ = 1 \cdot \frac{1}{2} + 2 \cdot \frac{1}{4} + 3 \cdot \frac{1}{8} + \dots$$

$$\begin{array}{l} \frac{1}{2} + \frac{1}{4} + \frac{1}{8} + \dots \\ + \frac{1}{4} + \frac{1}{8} + \dots \\ + \frac{1}{8} + \dots \end{array} \left. \begin{array}{l} = 1 \\ = \frac{1}{2} \\ \vdots \end{array} \right\} = 2$$

So  $\mathbb{E}[\# \text{ trials}] \leq 2$ , +  $\mathbb{E}[\text{time}] \leq 2 \cdot \max(\text{RP runtime} + \text{coRP runtime})$ .

---

Now  $\text{ZPP} \subseteq \text{RP} \cap \text{coRP}$ .

Fix  $L \in \text{ZPP}$ , let  $M$  be ZPP machine w/  
 $p(n) = \mathbb{E}[\text{runtime}]$ . We'll show  $L \in \text{RP}$ .

Let  $M'$  be the prob. TM that runs  $M$  on  $|x|=n$   
 $x$  for  $2p(n)$  steps, acc./rej. as  $M$  does;  
 if  $M$  still running after  $2p(n)$  steps,  $M'$  halts &  
 rej.

- $x \notin L$ :  $M$  either rej in  $\leq 2p(n)$  steps or is still running; so  $M'$  rej. for sure.

- $x \in L$ : since  $\mathbb{E}[\text{runtime}] = p(n)$ ,

by Markov know  $\Pr[\text{runtime} > 2p(n)] < \frac{1}{2}$ .

So  $M$  is RP machine for  $L$ .

Same arg gives  $L$  also in co-RP. 

---

ZPP is "stricter" than RP, co-RP.

A "looser" rand. C.C.:

---

Def  $L \in \text{BPP}$  if  $\exists$  p.p.t. TM  $M$  s.t.

$\forall x,$

$$\left. \begin{array}{l} x \in L \Rightarrow \Pr\{M(x) \text{ acc}\} \geq \frac{2}{3} \\ x \notin L \Rightarrow \Pr\{M(x) \text{ acc}\} \leq \frac{1}{3} \end{array} \right\} \text{gap}$$

---

Equiv. def. :  $L \in \text{BPP}$  if  $\exists$  det poly time

TM  $M'$ , poly  $p(n)$  s.t.  $\forall n, \forall |x|=n,$

$$x \in L \Rightarrow \Pr_{r \in \{0,1\}^{p(n)}} [M'(x,r) \text{ acc}] \geq \frac{2}{3}$$

$$x \notin L \Rightarrow \Pr_{r \in \{0,1\}^{p(n)}} [M'(x,r) \text{ acc}] \leq \frac{1}{3}.$$

---

$\Rightarrow$  BPP is "feasible classical computation"

---

BPP amplif. : Can replace " $\frac{2}{3}$ " in def  
" $\frac{1}{3}$ "

with " $1 - 2^{-p(n)}$ " + doesn't change BPP.  
" $2^{-p(n)}$ "

Pf: Chernoff bd. Recall:

"multiplicative" independent, identically distributed  
"Chernoff bound": Let  $X_1, \dots, X_m$  be i.i.d. Bernoulli r.v.'s with  $\Pr[X_i=1]=p$  for all  $i$ .  
Let  $X = X_1 + \dots + X_m$  (so  $\mathbb{E}[X] = mp$ )  
Then for all  $0 \leq \gamma \leq 1$   
$$\Pr[X \leq (1-\gamma)mp] \leq \exp(-\frac{1}{2} \gamma^2 \cdot mp)$$

Run BPP alg  $m = \Theta(p(n))$  times, acc iff # times it accepts  $\geq \frac{m}{2}$ .

Analysis: Sps.  $x \in L$ . (other case similar).

$\Pr[\text{fewer than } m/2 \text{ runs accept}]$  corr. to  
 $\gamma = \frac{1}{4}$  (b/c  $\frac{3}{4} \cdot \frac{2}{3} = \frac{1}{2}$ ),  
 $p = \frac{2}{3}$ , so  $\leq e^{-\Omega(m)}$ .

---

Other direc.  $\rightarrow$  (tiny gap) (last rand c.c.):

Def:  $L \in PP$  if  $\exists$  p.p.t. TM  $M$  s.t.

$$x \in L \Rightarrow \Pr[M(x) \text{ acc}] > \frac{1}{2};$$

$$x \notin L \Rightarrow \Pr[M(x) \text{ acc}] \leq \frac{1}{2}.$$

---

Not "feasible computation": if  $L \in PP$  & have the ppt  $M$ , how do you eff. det. whether  $x \in L$ ?

---

Obs:  $NP \subseteq PP$ .

Pf: Let  $L \in NP$  with NTM  $M$ .

Here's a p.p.t. TM  $M'$ :

- toss coin; accept if H. If T,
- run  $M$ , tossing coins for nondet choices.

$M'$  puts  $L \in PP$ .

---

Relat. among these classes:

- $P \subseteq ZPP \begin{matrix} \subseteq RP \\ \subseteq \text{co-RP} \end{matrix} \subseteq BPP$
- $RP \subseteq NP$
- $BPP \subseteq EXP$ : enum. all seq. of coin tosses,

Keeping count (of # acc/rej.)

•  $BPP \subseteq PSPACE$  (reuse space in )

• ?  $BPP \subseteq NP$ ? don't know.

?  $BPP = NEXP$ ? don't know.

( $L \neq P$  or  $P \neq PSPACE$ .)

• Know: If  $P = NP$ , then  $BPP = P$

If  $BPP = P$ , then  $BPP \neq EXP$ .

So either  $P \neq NP$  or  $BPP \neq EXP$ .

---

• Most people think  $P = BPP$ ... but hard to prove.

Seems to often/sometimes happen that problems go from  $BPP$  to  $P$ ...

'77: PRIMES  $\in$  BPP

'79: "  $\in$  co-RP

'92: "  $\in$  RP

'02: "  $\in$  P.

} so  $\in$  ZPP

---

Two results "upper bounding" BPP.



---

①  $BPP \subseteq P/poly$

②  $BPP \subseteq \Sigma_2^P \cap \Pi_2^P$

Thm 1  $\nearrow$ ; i.e. any  $L \in BPP$  has poly-size circuits.

PF idea: "Probabilistic method." : existence proofs based on probability -- show that a rand object has nonzero prob. of having prop.  $P$ . This tells us an object w/ prop.  $P$  must exist, even though we don't know what it is!

Key idea: if an event has nonzero prob., the object it describes must exist.

---

Next time: use this to argue existence of poly-size ccts for any  $L \in BPP$ .

---

Also next time: counting problems.