



# Generating Referring Expressions in Open Domains

**Advaith Siddharthan & Ann Copestake**

[as372@cs.columbia.edu](mailto:as372@cs.columbia.edu) & [aac10@cl.cam.ac.uk](mailto:aac10@cl.cam.ac.uk)



# Structure of Talk—1

- Motivation
- Attribute Selection
  - The Incremental Algorithm (IE) (Reiter and Dale, 1992)
  - Various Problems
  - Our Approach
  - A Comparison
- Relations
- Nominals
- Evaluation
- Conclusions



# Motivation

A former ceremonial officer from Derby, who was at the heart of Whitehall's patronage machinery, says there is a general review of the state of the honours list every five years or so.



A former ceremonial officer from Derby says there is a general review of the state of the honours list every five years or so. This former officer was at the heart of Whitehall's patronage machinery.



# The Incremental Algorithm (IA)

- Reiter and Dale (1992)
- Representation of Entities:

$\left[ \begin{array}{ll} \text{type} & \textit{dog} \\ \text{size} & \textit{small} \\ \text{colour} & \textit{black} \end{array} \right]$	$\left[ \begin{array}{ll} \text{type} & \textit{dog} \\ \text{size} & \textit{large} \\ \text{colour} & \textit{black} \end{array} \right]$
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- Input:
  - intended referent (AVM)
  - contrast set (AVMs)
  - \*preferred-attributes\* list  
eg: [colour, size, shape, ...]



# IA continued

$$e1 = \begin{bmatrix} \text{type} & \textit{dog} \\ \text{size} & \textit{small} \\ \text{colour} & \textit{black} \end{bmatrix} \quad e2 = \begin{bmatrix} \text{type} & \textit{dog} \\ \text{size} & \textit{large} \\ \text{colour} & \textit{black} \end{bmatrix}$$

`*preferred-attributes*` = {colour, size, shape}

- Incremental Step:

Add an attribute from `*preferred-attributes*` that rules out at least one entity in the contrast set.

- End Condition:

All the entities in the contrast set have been ruled out.

OR

All the attributes have been used up



# Justification

The psycholinguistic justification for the incremental algorithm:

- Humans build up referring expressions incrementally.
- Humans often use sub-optimal expressions.
- There is a preferred order in which humans select attributes  
eg. colour>shape>size...



# Problems with the IA

Assumptions:

- A classification scheme for attributes exists
- The values that an attribute can take are mutually exclusive.  
eg:  $e1 = \{\text{big dark dog}\}$        $e2 = \{\text{huge black dog}\}$
- Linguistic realisation of attributes are unambiguous

$$e1 = \begin{bmatrix} \text{type} & \textit{president} \\ \text{age} & \textit{old} \\ \text{tenure} & \textit{present} \end{bmatrix} \quad e2 = \begin{bmatrix} \text{type} & \textit{president} \\ \text{age} & \textit{young} \\ \text{tenure} & \textit{past} \end{bmatrix}$$



# Our Approach

- Measures the relatedness of adjectives
- Works at the level of words, not their semantic labels.
- Treats discriminating power as only one criteria for selecting attributes
- Allows for the easy incorporation of other considerations:
  - reference modification
  - reader's comprehension skills





# Discriminating Power

How useful is an adjective for referencing an entity?

We define three quotients:

- Similarity Quotient ( $SQ$ )
- Contrastive Quotient ( $CQ$ )
- Discriminating Quotient ( $DQ$ )



# Similarity Quotient ( $SQ$ )

- Quantifies how similar an adjective ( $a_o$ ) is to adjectives describing distractors
- Transitive WordNet synonymy
- We form the Sets:
  - $S_1$ : WordNet synonyms of  $a_o$
  - $S_2$ : WordNet synonyms of members of  $S_1$
  - $S_3$ : WordNet synonyms of members of  $S_2$
- For each adjective ( $a_i$ ) describing each distractor:
  - if  $a_i$  is in  $S_1$ ,  $SQ_+ = 4$
  - else, if  $a_i$  is in  $S_2$ ,  $SQ_+ = 2$
  - else, if  $a_i$  is in  $S_3$ ,  $SQ_+ = 1$



# Contrastive Quotient ( $CQ$ )

- Quantifies how contrastive an adjective ( $a_o$ ) is to adjectives describing distractors
- Transitive WordNet antonymy
- We form the Sets:
  - $A_1$ : WordNet antonyms of  $a_o$
  - $A_2$ : WordNet synonyms of members of  $A_1$   
+ WordNet antonyms of members of  $S_1$
  - $A_3$ : WordNet synonyms of members of  $A_2$   
+ WordNet antonyms of members of  $S_2$
- For each adjective ( $a_i$ ) describing each distractor:
  - if  $a_i$  is in  $A_1$ ,  $CQ+ = 4$
  - else, if  $a_i$  is in  $A_2$ ,  $CQ+ = 2$
  - else, if  $a_i$  is in  $A_3$ ,  $CQ+ = 1$



# Discriminating Quotient ( $DQ$ )

- An attribute with high  $SQ$  has bad discriminating power.
- An attribute with high  $CQ$  has good discriminating power.
- We define the Discriminating Quotient ( $DQ$ ) as

$$DQ = CQ - SQ$$

- We now have an order (decreasing  $DQ$ s) in which to incorporate attributes



# Example—1

$$e1(\textit{referent}) = \begin{bmatrix} \textit{type} & \textit{president} \\ \textit{age} & \textit{old} \\ \textit{tenure} & \textit{current} \end{bmatrix} \quad e2(\textit{distractor}) = \begin{bmatrix} \textit{type} & \textit{president} \\ \textit{age} & \textit{young} \\ \textit{tenure} & \textit{past} \end{bmatrix}$$

- Assume we want to refer to  $e1$ .
- Following a typing system, comparing the  $\textit{age}$  attribute would rule out  $e2$
- We would end up with **the old president** that is ambiguous.

attribute	distractor	CQ	SQ	DQ
old	$e2\{\textit{young}, \textit{past}\}$	4	4	0
current	$e2\{\textit{young}, \textit{past}\}$	2	0	2



# Example—2

We have four dogs in context:  $e_1$ (a large brown dog),  $e_2$ (a small black dog),  $e_3$ (a tiny white dog) and  $e_4$ (a big dark dog).

To refer to  $e_4$ :

attribute	distractor	CQ	SQ	DQ
big	$e_1$ {large, brown}	0	4	-4
big	$e_2$ {small, black}	4	0	4
big	$e_3$ {tiny, white}	1	0	1
				1
dark	$e_1$ {large, brown}	0	0	0
dark	$e_2$ {small, black}	1	4	-3
dark	$e_3$ {tiny, white}	2	1	1
				-2

*the big dark dog*



# Example—3

We have four dogs in context:  $e_1$ (a large brown dog),  $e_2$ (a small black dog),  $e_3$ (a tiny white dog) and  $e_4$ (a big dark dog).

To refer to  $e_3$ :

attribute	distractor	CQ	SQ	DQ
tiny	$e_1$ {large, brown}	1	0	1
tiny	$e_2$ {small, black}	0	1	-1
tiny	$e_4$ {big, dark}	1	0	1
				1
white	$e_1$ {large, brown}	0	0	0
white	$e_2$ {small, black}	4	0	4
white	$e_4$ {big, dark}	2	0	2
				6

*the white dog*



# Justification -Psycholinguistic

The psycholinguistic justification for the incremental algorithm:

1. Humans build up referring expressions incrementally.
2. There is a preferred order in which humans select attributes  
eg. colour>shape>size...

Our algorithm:

- Is also incremental but differs from premise 2
- Assumes that speakers pick out attributes that are distinctive in context
- Averaged over contexts, some attributes have more discriminating power than others (largely because of the way we visualise entities)
- Premise 2 is an approximation to our approach.





# Justification -Computational

$N$  = Max number of entities in the contrast set

$n$  = Max number of attributes per entity

Incremental Algo	Our Algorithm	Optimal Algo <sup>1</sup>
$O(nN)$	$O(n^2N)$	$O(n2^N)$

<sup>1</sup> such as Reiter (1990)



# Other Considerations

- Discriminating power is only one of many reasons for selecting an attribute.



# Reference Modification

- Attributes can be reference modifying:
  - $e_1 = \textit{an alleged murderer}$
  - *alleged* modifies the reference *murderer*
  - *alleged* does not modify the referent  $e_1$
- We handle reference modifying adjectives trivially by adding a positive weight to their *DQs*.
- This has the effect of forcing that attribute to be selected in the referring expression.



# Reading Skills

- Uncommon adjectives have more discriminating power than common adjectives.
- However, they are more likely to be incomprehensible to people with low reading ages.
- Giving uncommon adjectives higher weights will generate referring expressions with fewer, though harder to understand, adjectives.
- Giving common adjectives higher weights will generate referring expressions with many simple adjectives.



# Contrast Sets and Salience

- The incremental algorithm assumes the availability of a contrast set of distractors
- The contrast set, in general, needs to take context into account
- Krahmer and Theune (2002) propose an extension to the incremental algorithm which treats the contrast set as a combination of a discourse domain and a salience function.
- Incorporating salience into our algorithm is trivial
  - We computed  $SQ$  and  $CQ$  for an attribute by adding  $w \in \{4, 2, 1\}$  to them each time a distractor's attribute was discovered in a synonym or antonym list.
  - We can incorporate salience by weighting  $w$  with the salience of the distractor whose attribute we are considering.
  - This will result in attributes with high discriminating power with regard to more salient distractors getting selected first in the incremental process.

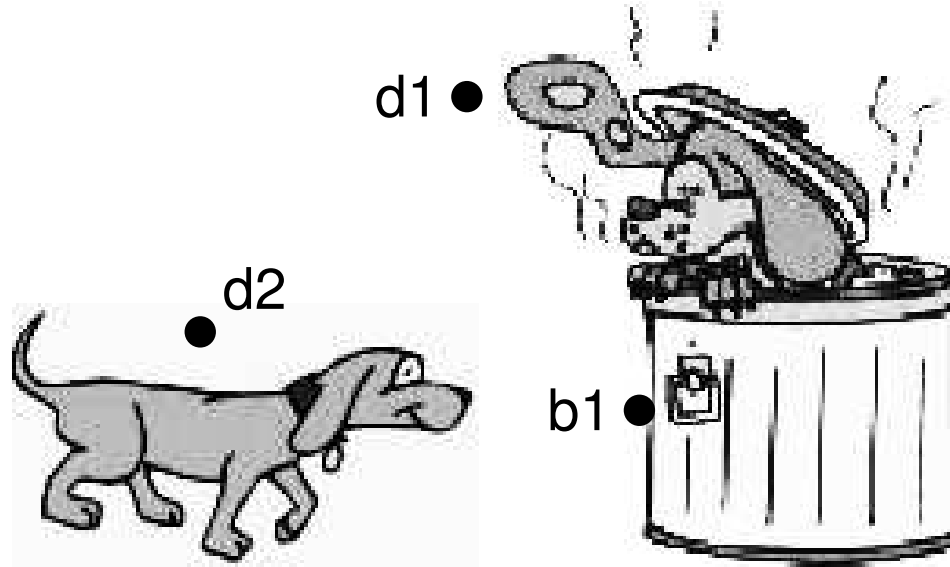


# To Summarise...

- Reference generation belongs in the realisation module, not in microplanning.
- Adjective classification is *unnatural* and infeasible
- Context matters
- Attribute selection is possible regardless
- Discriminating power is only one of many criteria



# Relations



$$d1 = \begin{bmatrix} \text{head} & \textit{dog} \\ \text{attrib} & [\textit{small}, \\ & \textit{grey}] \\ \text{in} & \textit{b1} \\ \text{near} & \textit{d2} \end{bmatrix} \quad
 d2 = \begin{bmatrix} \text{head} & \textit{dog} \\ \text{attrib} & [\textit{small}, \\ & \textit{grey}] \\ \text{outside} & \textit{b1} \\ \text{near} & \textit{d1} \end{bmatrix} \quad
 b1 = \begin{bmatrix} \text{head} & \textit{bin} \\ \text{attrib} & [\textit{large}, \\ & \textit{steel}] \\ \text{containing} & \textit{d1} \\ \text{near} & \textit{d2} \end{bmatrix}$$



# Relations

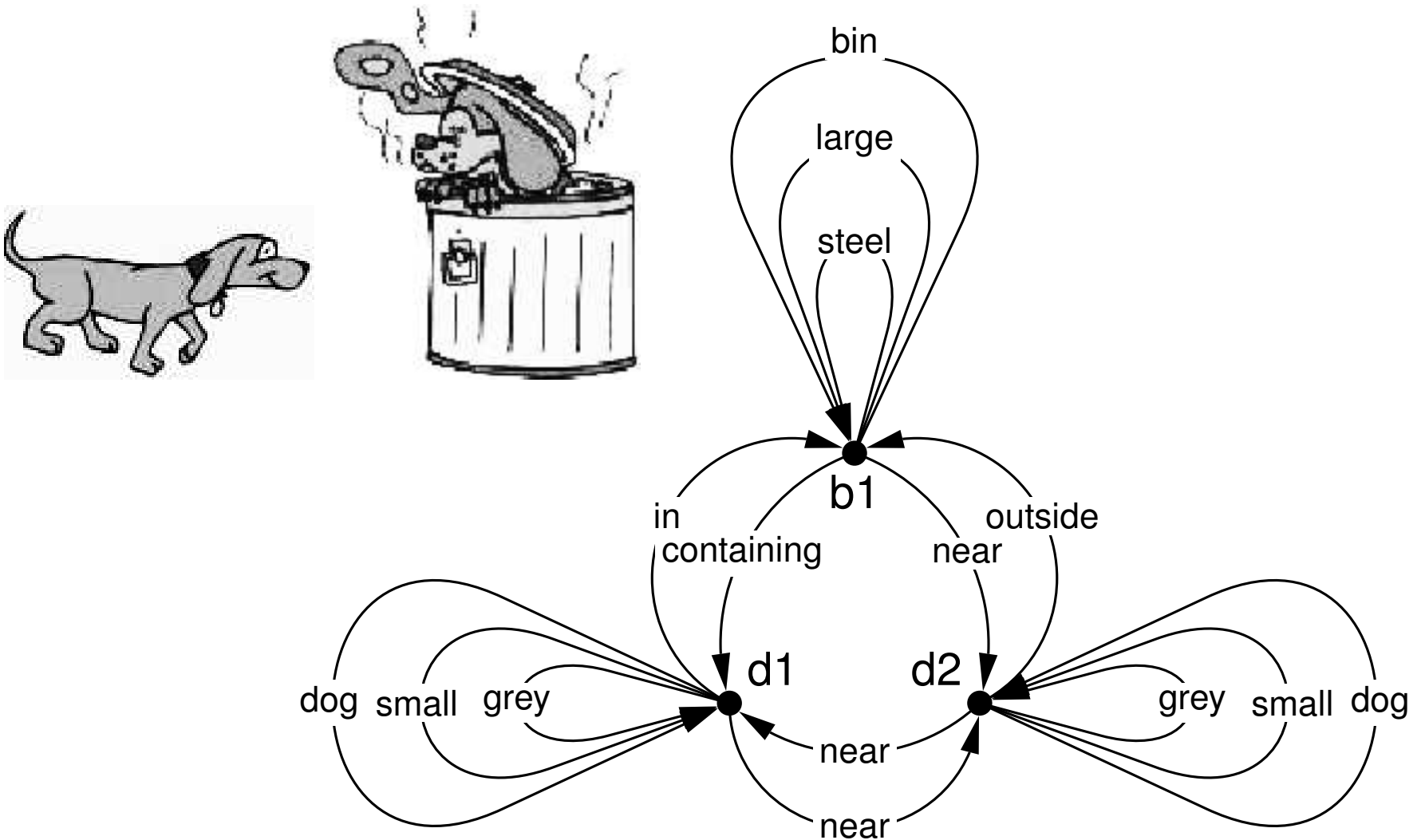
- *attributes* describe an entity (*the small grey dog*)
- *relations* relate an entity to other entities (*the dog in the big bin*)
- The IA does not consider relations and the referring expression is constructed out of only attributes.
- It is difficult to imagine how relational descriptions can be incorporated in the incremental framework of the IA
- Dale and Haddock (1991) allows for relational descriptions but involves exponential global search.
- Our approach computes the order in which attributes are incorporated on the fly, by quantifying their utility through  $DQ$ .
- We can compute  $DQ$  for relations in much the same way as we did for attributes





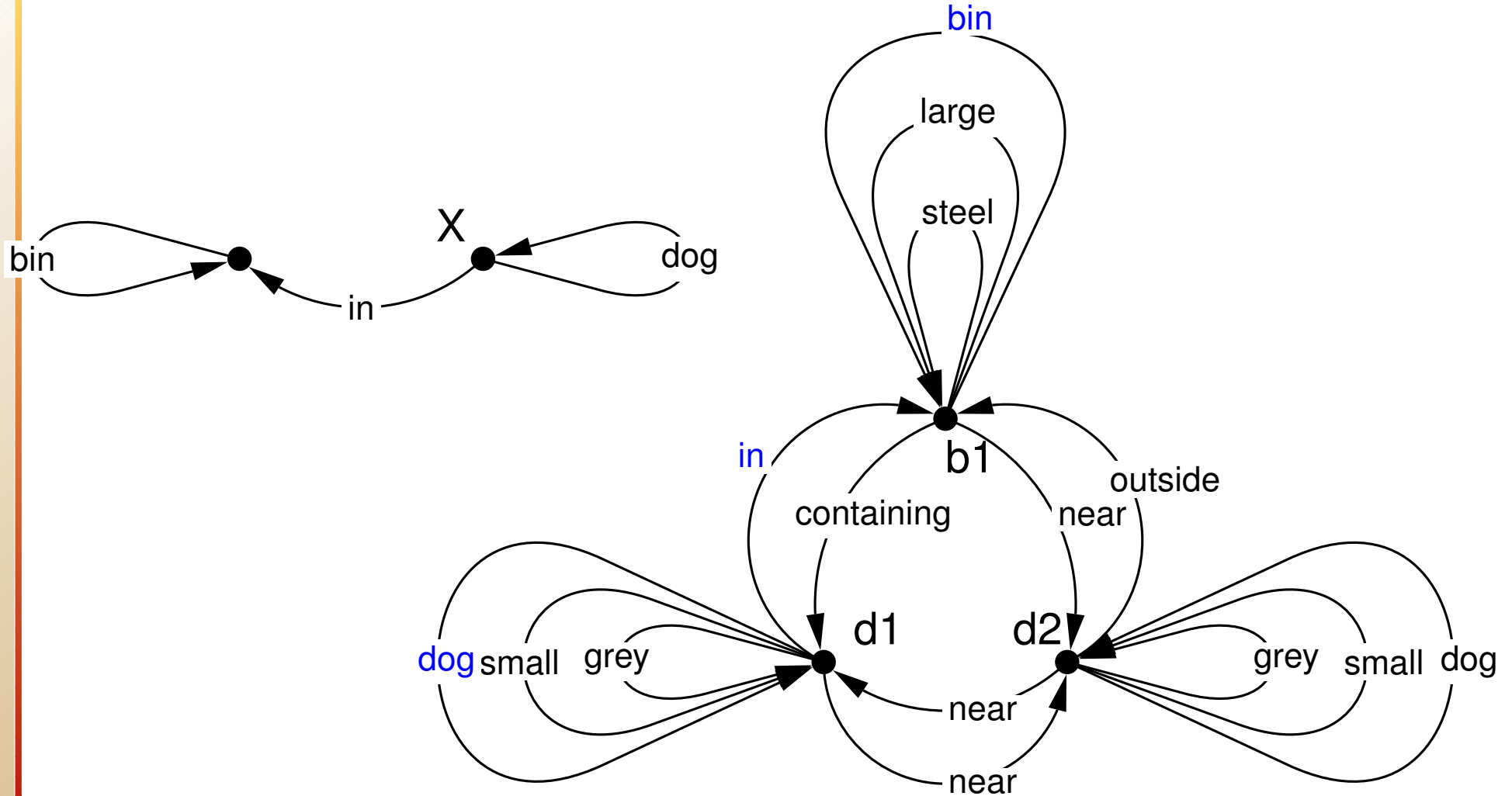
# Graph Approach

Krahmer et al. (2003)





# Graph Approach





# Calculating $DQ$ for Relations

To compute the three quotients for the relation  $[prep_o e_o]$ :

- We consider each entity  $e_i$  in the contrast set in turn.
- If  $e_i$  does not have a  $prep_o$  relation  $CQ_+ = 4$
- If  $e_i$  has a  $prep_o$  relation:
  - If the object of  $e_i$ 's  $prep_o$  relation is  $e_o$  then  $SQ_+ = 4$ .
  - Else  $CQ_+ = 4$ .
- For attributes, we defined  $DQ = CQ - SQ$ .
- For relations, we can define  $DQ = (CQ - SQ)/length$
- Approximate  $length$  as  $length = 3 + n$  where  $n$  is number of distractors containing a  $prep_o$  relation with a non- $e_o$  object



# Discourse Plans

- Attributes are usually used to *identify* an entity
- Relations, in most cases, serve to *locate* an entity
- Generating instructions for using a machine:  
*switch on the red button on the top-left corner*
- Generating directions for finding things  
*The salt behind the corn flakes on the shelf above the fridge*
- If the discourse plan requires preferential selection of relations or attributes, we can add a positive amount  $\alpha$  to their *DQs*
- $DQ = (CQ - SQ)/length + \alpha$
- $length = 1$  for attributes
- By default,  $\alpha = 0$  for both relations and attributes.



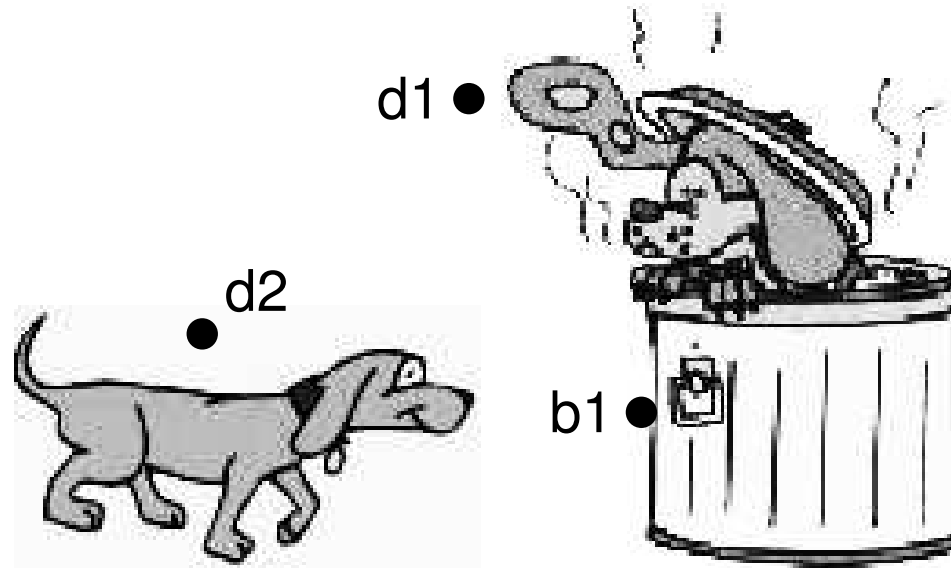
# The Algorithm

To generate a referring expression for an entity:

- calculate  $DQs$  for all its attributes and approximate the  $DQs$  for all its relations.
- form the *\*preferred\** list
- add elements of *\*preferred\** till the contrast set is empty
  - straightforward for attributes
  - For relations, recursively generate the prepositional phrase first
    - check that it hasn't entered a loop  
*the dog in the bin containing the dog in the bin...*
    - generate a new contrast set for the object(bin)
    - recursively generate a referring expression for the object of the relation



# An Example



$$d1 = \begin{bmatrix} \text{head} & \text{dog} \\ \text{attrib} & [\text{small}, \\ & \text{grey}] \\ \text{in} & b1 \\ \text{near} & d2 \end{bmatrix} \quad d2 = \begin{bmatrix} \text{head} & \text{dog} \\ \text{attrib} & [\text{small}, \\ & \text{grey}] \\ \text{outside} & b1 \\ \text{near} & d1 \end{bmatrix} \quad b1 = \begin{bmatrix} \text{head} & \text{bin} \\ \text{attrib} & [\text{large}, \\ & \text{steel}] \\ \text{containing} & d1 \\ \text{near} & d2 \end{bmatrix}$$



# An Example

Referring Expression for d1

- $ContrastSet = [d2]$
- $DQ_{small} = -4, DQ_{grey} = -4$   
 $DQ_{[in\ b1]} = 4/3, DQ_{[near\ d2]} = 4/4$
- $*preferred* = [[in\ b1], [near\ d2], small, grey]$
- iteration 1:  $[in\ b1]$ 
  - $ContrastSet$  is empty
  - return  $\{bin\}$
- add the PP  $[in\ the\ \{bin\}]$  to RE
- $ContrastSet$  is now empty
- return  $\{[in\ the\ \{bin\}], dog\}$



# Nominals

- Nominals introduced through relations can also be introduced attributively
  1. professor at Columbia  $\leftrightarrow$  Columbia professor
  2. novel by Archer  $\leftrightarrow$  Archer novel
  3. president of IBM  $\leftrightarrow$  IBM president
  4. company from East London  $\leftrightarrow$  East London company
  5. church in Paris  $\leftrightarrow$  Paris church
  
- We need to compare nominal attributes with the objects of relations.
  
- We also need to extend the algorithm for calculating  $DQ$  for a relation





# An Example

Also contributing to the firmness in copper, the analyst noted, was a report by Chicago purchasing agents, *which precedes the full purchasing agents report that is due out today* and gives an indication of what the full report might hold.

$$e_o = \left[ \begin{array}{cc} \text{head} & \text{report} \\ \text{by} & \left[ \begin{array}{cc} \text{head} & \text{agents} \\ \text{attrib} & [\text{Chicago}, \\ & \text{purchasing}] \end{array} \right] \end{array} \right]$$

$$e_1 = \left[ \begin{array}{cc} \text{head} & \text{report} \\ \text{attributes} & [\text{full}, \text{purchasing}, \text{agents}] \end{array} \right]$$

Also contributing to the firmness in copper, the analyst noted, was a report by Chicago purchasing agents. **The Chicago report** precedes the full purchasing agents report and gives an indication of what the full report might hold. **The full report** is due out today.



# Evaluation

- Notoriously difficult!
- Existing algos are domain specific
- Can't be compared easily
- No standard test sets
- In fact, no quality evaluations at all!



# Evaluation

- Our Algo is open domain
- Evaluation possible on the Penn WSJ Treebank
  - We identified instances of referring expressions,
  - Then identified the antecedent & all the distractors in a four sentence window,
  - Then generated a referring expression for the antecedent, giving it a contrast-set containing the distractors
  - Compared with the ref exp. in the text.



# Evaluation

- There were 146 instances of Ref Exps (noun phrases with a definite determiner) for which:
  - An antecedent was found for the referring expression.
  - There was at least one distractor in the discourse window.
  - The ref exp. had at least one attribute or relation.
- 81.5% Perfect!
- Many others seemed ok, some are hard to tell!
- eg: ref exp in WSJ = *the one-day limit*  
antecedent found = *the maximum one-day limit for the S&P 500 stock-index futures contract*  
Contrast set= *{the five-point opening limit for the contract, the 12-point limit, the 30-point limit, the intermediate limit of 20 points}*  
Our program generated = *the maximum limit*



# Evaluation

- Examples of Wrong REs:

Noun Phrase	Generate Ref. Exp.
personal care products	care products
open end mutual funds	end funds
privately funded research	funded research



# Conclusions

- Open Domain
- Selects attributes and relations that are distinctive in context
- Does not require adjective classification
- Incremental incorporations of relations
- Treatment of nominals
- Corpus-Based Evaluation!



# References

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# The Need for 3 Quotients

## ■ Questions

- Why do we need three different quotients?
- In particular, what role does the synonymy quotient  $SQ$  play?
- Why can't we perform the above analysis using only the contrastive quotient  $CQ$ ?

## ■ Answers

- Our definition ( $CQ$ ) of *contrastive* is too strict.
- Combining  $SQ$  with  $AQ$  increases the robustness of the approach.
- Computing antonyms transitively can give spurious results
- But sensible results are found first