Data Structures in Java

Lecture 20: Algorithm Design Techniques

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Algorithms and Problem Solving

- Purpose of algorithms: find solutions to problems.
- Data Structures provide ways of organizing data such that problems can be solved more efficiently
 - Examples: Hashmaps provide constant time access by key, Heaps provide a cheap way to explore different possibilities in order...
- When confronted with a new problem, how do we:
 - Get an idea of how difficult it is?
 - Develop an algorithm to solve it?

Common Types of Algorithms

- Greedy Algorithms
- Divide and Conquer
- Dynamic Programming

- We have already seen some examples for each.
- We will look at the general techniques and some additional examples.

Greedy Algorithms

"Take what you can get now"

- Algorithm uses multiple "phases" or "steps". In each phase a local decision is made that appears to be good.
- Making a local decision is fast (often O(log N) time).
 Examples: Dijkstra's, Prim's, Kruskal's
- Greedy algorithms assume that making *locally optimal* decisions leads to a *global optimum*.
 - This works for some problems.
 - For many others it doesn't. Greedy algorithms are still useful to find approximate solutions.

ASCII Encoding

Character	Decimal	Binary
•		
А	65	1000001
В	66	1000010
С	67	1000011
D	68	1000100
E	69	1000101
• • •		
а	97	1100000
b	98	1100001
С	99	1100010
d	100	1100011
е	101	1100100
•		

- The ASCII codec contains 128 characters (about 100 printable characters + special chars).
- Each character needs $\lceil \log 128 \rceil = 7$ bits of space.

Can we store data more efficiently?

A 5-Character Alphabet

Character	Decimal	Binary
а	0	"000"
е	1	"001"
i	2	"010"
S	3	"011"
t	4	"100"
space	5	"101"
newline	6	"110"

A 5-Character Alphabet

Assume we see each character with a certain frequency in a textfile. We can then compute the total number of bits required to store the file.

Character	Decimal	Binary Code	Frequency	Total bits
а	0	"000"	10	30
е	1	"001"	15	45
i	2	"010"	12	36
S	3	"011"	3	9
t	4	"100"	4	12
space	5	"101"	13	39
newline	6	"110"	1	3
				Total: 175

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nl е S sp

 d_i depth of character i

file size
$$=\sum_i d_i f_i$$

 f_i frequency of i in the file



 d_i depth of character i

file size
$$=\sum_i d_i f_i$$

$$f_i$$
 frequency of i in the file

Can we restructure the tree to minimize the file size?



file size $= \sum_{i} d_i f_i$ f_i frequency of i in the file Prefix "11" is not used for any other character than *nl*.



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We cannot place characters on interior nodes, or else encoded sequences would be ambiguous.



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			U
chr	bin	fi	
е	"01"	15	
sp	"11"	13	
i	"10"	12	
а	"001"	10	
t	"0001"	4	
S	"00000"	3	S
nl	"00001"	1	



file size
$$=\sum_i d_i f_i$$

- All characters are at leaves.
- Frequent characters have short codes.
- Rare characters have long codes.



• This example: Save 16% space compared to standard coding.

• Typically much better compression (for larger files and alphabets).



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- Maintain a forest of prefix trees.
- Weight of a tree T = sum of frequencies of characters in T.



- In every phase:
 - Choose the two trees with smallest weight and merge them.



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- This is clearly a greedy algorithm as we consider then two lowest-weight trees at any level.
- Keep the trees in the forest on a heap.

Divide and Conquer Algorithms

- Algorithms consist of two parts:
 - Divide: Decompose the problem into smaller sub-problems. Solve each problem recursively (down to the base case).
 - Conquer: Solve the problem by combining solutions to the sub-problem.

Divide and Conquer Example Algorithms

- Merge Sort. Quick Sort.
- Binary Search.
- Towers of Hanoi.
- These algorithms work efficiently because:
 - The subproblems are independent.
 - Solving the subproblems first makes the overall problem easier.

- Split the array in half, recursively sort each half.
- Merge the two sorted lists.

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Merge Sort Running Time

- Base case: N=1 (sort a 1-element list). T(1) = 1
- Recurrence: T(N) = 2 T(N/2) + N

Merge the two halfs

Recursively sort each half

Running Time Analysis for Merge Sort and Quick Sort with Perfect Pivot.

$$\begin{split} T(N) &= 2 \cdot T(\frac{N}{2}) + N \\ &= 2 \cdot \left(2 \cdot T(\frac{N}{4}) + \frac{N}{2}\right) + N \quad = 4 \cdot T(\frac{N}{4}) + N + N \\ &= 2^k \cdot T(\frac{N}{2^k}) + k \cdot N \quad & \text{assume} \quad k = \log N \\ &= N \cdot T(1) + \log N \cdot N \end{split}$$

$$N = N + N \cdot \log N = \Theta(N \log N)$$

Running time of Divide and Conquer Algorithms: "Master Theorem"

Most divide and conquer algorithms have the following running time equation:

$$T(N) = aT(N/b) + \Theta(N^k)$$

The "Master Theorem" states that this recurrence relation has the following solution:

$$T(N) = egin{cases} O(N^{\log_b a}) & ext{if } a > b^k \ O(N^k \log N) & ext{if } a = b^k \ O(N^k) & ext{if } a < b^k \end{cases}$$

Master Theorem: MergeSort

$$aT(N/b) + \Theta(N^k) = egin{cases} O(N^{\log_b a}) & ext{if } a > b^k \ O(N^k \log N) & ext{if } a = b^k \ O(N^k) & ext{if } a < b^k \end{cases}$$

Example: Merge Sort $T(N) = 2 \cdot T(\frac{N}{2}) + N$ a = 2 b = 2 k = 1

This is Case 2: $T(N) = O(N \log N)$
Dynamic Programming Algorithms

- In some cases, recursive algorithms (such as the ones used for Divide and Conquer algorithms) won't work.
- That's because the solution to a subproblem is used more than once.
 - Merge Sort works because each partition is processed *exactly once*.
- Dynamic Programming algorithms solve this problem by systematically recording the solution to sub-problems in a table and re-using them later.

Broken Fibonacci

$$F_1 = 1, F_2 = 2$$

 $F_{k+1} = F_k + F_{k-1}$
1,1,2,3,5,8,13,21,...



Analyzing the Recursive Fibonacci Solution



Dynamic Programming Fibonacci

public int fibonacci(int k) throws IllegalArgumentException{

```
if (k < 1) {
   throw new IllegalArgumentException("Expecting a positive integer.");
}
int b = 1; //k-2
int a = 1; //k-1
for (int i=3; i<=k; i++) {
   int new_fib = a + b;
    b = a;
    a = new_fib;
}
return a;</pre>
T(N) = O(N)
```

Longest Increasing Subsequence

 Given a sequence of numbers, find the longest increasing (not necessarily contiguous) subsequence.



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Longest Increasing Subsequence

• We can think of this problem as a graph problem.



This is a DAG. Our goal is₃to find the longest path.

Longest Increasing Subsequence: Recursive Solution



Step 1: Reducing the problem to easier subproblems (recursive divide-and-conquer solution)

LIS(i) { return max({LIS(j) for j=j..i-1 if a[j] < a[i]}) + 1



i	0	1	2	3	4	5	6	7
a[i]	5	2	8	6	3	6	9	7
L[i]	0	0						

i	0	1	2	3	4	5	6	7
a[i]	5	2	8	6	3	6	9	7
L[i]	0	0	1					

i	0	1	2	3	4	5	6	7
a[i]	5	2	8	6	3	6	9	7
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L[i]	0	0	1	1	1			

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a[i]	5	2	8	6	3	6	9	7
L[i]	0	0	1	1	1	2		

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a[i]	5	2	8	6	3	6	9	7
L[i]	0	0	1	1	1	2	3	

i	0	1	2	3	4	5	6	7
a[i]	5	2	8	6	3	6	9	7
L[i]	0	0	1	1	1	2	3	3

i	0	1	2	3	4	5	6	7
a[i]	5	2	8	6	3	6	9	7
L[i]	0	0	1	1	1	2	3	3

 The Minimum Edit Distance (Levenshtein Distance) between two strings s and t is the minimal number of insertions, deletions, and substitutions needed to convert s into t.

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SATURDAY

 The Minimum Edit Distance (Levenshtein Distance) between two strings s and t is the minimal number of insertions, deletions, and substitutions needed to convert s into t.

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SATURDAY delete A \ STURDAY

 The Minimum Edit Distance (Levenshtein Distance) between two strings s and t is the minimal number of insertions, deletions, and substitutions needed to convert s into t.

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```
delete A
delete T
delete T
SURDAY
SURDAY
```

 The Minimum Edit Distance between two strings s and t is the minimal number of insertions, deletions, and substitutions needed to convert s into t.

```
SATURDAY

delete A \downarrow

STURDAY Minimum Edit Distance = 3

delete T \downarrow

SURDAY

Substitute R with N \downarrow

SUNDAY 57
```

Edit Distance as Search

- Initial state s, Goal state t.
- Try each operation for each letter.
- Try to find the shortest path.



Search space is HUGE and contains many duplicate states.

Dynamic Programming Algorithm for Edit Distance

- Assume we have two strings $s = s_1, s_2, \dots, s_n$ and $t = t_1, t_2, \dots, t_m$
- Let D(i,j) be the minimum edit distance between s[0..i] and t[0..j].
- For example s = SATURDAY, t = SUNDAYD(2,3) = 2

$$SA \xrightarrow{}_{subs A/U} SU \xrightarrow{}_{insert N} SUN$$

Dynamic Programming Algorithm for Edit Distance

- Let D(i,j) be the minimum edit distance between s[0..i] and t[0..j].
- Basic approach:
 - Fill a table by computing D(i,j) for all (0 < i < n) and (0 < j < m).
 - Do this "bottom-up", starting with small i and j. Table entries for larger i and j are based on previous entries.

Dynamic Programming Algorithm for Edit Distance

For i = 1..n
$$D(i, 0) = i$$

For j = 1..m $D(0, j) = j$
For i = 1..n {
For j = 1..m {
 $D(i, j) = min \begin{cases} D(i - 1, j) + 1 \\ D(i , j - 1) + 1 \\ D(i - 1, j - 1) + 1 \end{cases}$
 $D(i - 1, j - 1) + \begin{cases} 1 \text{ if } s_i \neq s_j \\ 0 \text{ if } s_i = s_j \end{cases}$

Edit Distance Example

initializationD(i,0) = iD(0,j) = j



$$D(i,j) = min \begin{cases} D(i-1,j) + 1 & \text{deletion} \\ D(i , j-1) + 1 & \text{insertion} \\ D(i-1,j-1) + \begin{cases} 1 \text{ if } s_i \neq s_j & \text{subst} \\ 0 \text{ if } s_i = s_j & \text{or equal} \end{cases}$$

$$\boxed{D(i,j)} \\ Y \\ B \\ A \\ 7 \\ D \\ 6 \\ R \\ 5 \\ U \\ 4 \\ T \\ 3 \\ A \\ 2 \\ S \\ 1 \\ - \\ 0 \\ 1 \\ 2 \\ 3 \\ 4 \\ 5 \\ 0 \\ 1 \\ 2 \\ 3 \\ 4 \\ 5 \\ 6 \\ - \\ 5 \\ U \\ N \\ D \\ A \\ 7 \\ 1 \\ 1 \\ 2 \\ 3 \\ 4 \\ 5 \\ 6 \\ - \\ 5 \\ U \\ 1 \\ 1 \\ 2 \\ 3 \\ 4 \\ 5 \\ 6 \\ - \\ 5 \\ U \\ 1 \\ 1 \\ 2 \\ 3 \\ 4 \\ 5 \\ 6 \\ - \\ 5 \\ U \\ 1 \\ 1 \\ 2 \\ 3 \\ 4 \\ 5 \\ 6 \\ - \\ 5 \\ U \\ N \\ D \\ A \\ Y \\ \end{bmatrix}$$

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$$\boxed{D(i,j)} & \boxed{\mathbf{Y} \ B} & \boxed{\mathbf{A} \ 7} & \boxed{\mathbf{A} \$$

$$D(i,j) = min egin{cases} D(i-1,j \ D(i \ ,j-1)+1 \ D(i \ ,j-1)+1 \ D(i \ s_i
eq s_j \ subst \ 0 \ ext{if} \ s_i = s_j \ ext{or equal} \end{cases}$$

D(i,j)							
Υ	8						
Α	7						
D	6						
R	5						
U	4						
Т	3						
Α	2						
S	1	0	1				
-	0	1	2	3	4	5	6
	-	S	U	Ν	D	Α	Y

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Α	7						
D	6						
R	5						
U	4						
Т	3						
Α	2	1					
S	1	0	1	2	3	4	5
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Т	3						
Α	2	1	1	2	3	, 3	
S	1	0	1	2	3 -	4	5
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D(i,j)							
Y	8						
Α	7						
D	6						
R	5						
U	4	3	/ ²	3	3	4	5
Т	3	2	2	2	3	4	4
Α	2	1	1	2	3	3	4
S	1	0	1	2	3	4	5
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R	5	4	3	3	4	4	5
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Υ	8						
Α	7						
D	6	5	4	4	3	4	5
R	5	4	3	3	4	4	5
U	4	3	2	3	3	4	5
Т	3	2	2	2	3	4	4
Α	2	1	1	2	3	3	4
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D(i,j)							
Υ	8						
Α	7	6	5	5	4	3	4
D	6	5	4	4	3	4	5
R	5	4	3	3	4	4	5
U	4	3	2	3	3	4	5
Т	3	2	2	2	3	4	4
Α	2	1	1	2	3	3	4
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Т	3	2	2	2	3	4	4
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D(i,j)							
Y	8	7	6	6	5	4	, 3
Α	7	6	5	5	4	3	4
D	6	5	4	4	, 3 🖌	4	5
R	5	4	subs	, 3 🖌	4	4	5
U	4	3	2	3	3	4	5
Т	3	2	2	2	3	4	4
Α	2	1	1	2	3	3	4
S	1	0	1	2	3	4	5
-	0	1	2	3	4	5	6
	-	S	U	Ν	D	Α	Y

$$D(i,j) = min egin{cases} D(i-1,j \ D(i \ ,j-1)+1 \ D(i \ ,j-1)+1 \ D(i \ s_i
eq s_j \ subst \ 0 \ ext{if} \ s_i = s_j \ ext{or equal} \end{cases}$$

D(i,j)							
Y	8	7	6	6	5	4	, 3
Α	7	6	5	5	4	3	4
D	6	5	4	4	3 🖌	4	5
R	5	4	subs	, 3 🖌	4	4	5
U	4	3	2	3	3	4	5
Т	3	2 🖌	2	2	3	4	4
Α	2	1	1	2	3	3	4
S	1	0	1	2	3	4	5
-	0	1	2	3	4	5	6
	-	S	U	Ν	D	Α	Y

$$D(i,j) = min egin{cases} D(i-1,j \ D(i \ ,j-1)+1 \ D(i \ ,j-1)+1 \ D(i \ s_i
eq s_j \ subst \ 0 \ ext{if} \ s_i = s_j \ ext{or equal} \end{cases}$$

D(i,j)							
Y	8	7	6	6	5	4	, 3
Α	7	6	5	5	4	3	4
D	6	5	4	4	, 3 🖌	4	5
R	5	4	subs	, 3 🖌	4	4	5
U	4	3	2	3	3	4	5
Т	3	2	2	2	3	4	4
Α	2	1	1 1	2	3	3	4
S	1	0	1	2	3	4	5
-	0 🖌	1	2	3	4	5	6
	-	S	U	Ν	D	Α	Y

$$D(i,j) = min egin{cases} D(i-1,j \ D(i-1,j-1)+1 & ext{deletion} \ D(i \ J(i-1,j-1)+1 & ext{insertion} \ D(i-1,j-1)+ iggl\{ egin{array}{c} 1 & ext{if} \ s_i
eq s_j \ 0 & ext{if} \ s_i = s_j \ 0 & ext{if} \ s_i = s_j \ 0 & ext{or equal} \ \end{array}
ight.$$

D(i,j) Y Α З D R subs U Т ins Α ins 0♥ S 0 🖌 S U Υ Ν D Α

SUNDAY \+A SAUNDAY \+ T SATUNDAY \ N/R SATURDAY