

Error Correcting Codes

Berlekamp-Welch



message:  $\in \Sigma^k$   
 alphabet

Code: encode  $E: \Sigma^k \rightarrow \Sigma^n$

$k$ : orig. # char.  
 $n$ : length of msg.  
 $n > k$

decode:  $D: \Sigma^n \rightarrow \Sigma^k$

property:  $\forall (f_0, \dots, f_{k-1}) = f$

$D(E(f) + \text{corruption}) = f$

- 1) erasure & replace  $\leq$  chars with ?
- 2) error;  $e$  chars got changed. don't know which
- 3) deletion/insertion of message  $\Sigma^n \rightarrow \text{into } \Sigma^{n+1}$

000  $\rightarrow$  0100  
 $\rightarrow$  00

Motivation

- communication
- storage: fault-tolerant

storing  $k$  files on  $k$  hard drives

naive way to make f.t.:

repetition (code):

repeat each file on  $d > 1$  hard drives

$n = d \cdot k$  (# hd)

if  $d-1$  hd fail, still ok.

erasure corruption

Can we do better?

yes: want  $n = d \cdot k$ ,  
 $d = \text{const.}$   
 to be resilient to more errors?

Def: code  $\mathcal{C} \subset \Sigma^n$

$\mathcal{C} = \{E(f) : f \in \Sigma^k\}$



Natural decoding: to map  $m \in \Sigma^n$

into closest code  $c \in \mathcal{C}$  and then decode it.

When can we decode (how many coord can be affected?)

1) erasure (# erasure errors can tolerate)

$t \leq d-1$

$d = \text{min dist in } \mathcal{C}$

$d = \min \{ \text{dist}(x_i, y) : y \in \mathcal{C} \}$

2) error (substitution)

decoding: map the corrupted message  $m \in \Sigma^n$  into closest codeword  $c \in \mathcal{C}$

correct decoding iff # errors  $\leq \frac{d-1}{2}$

A code  $\mathcal{C}$  has params:

$(k, n, d)$  — min. dist.

length orig. msg / length of codeword

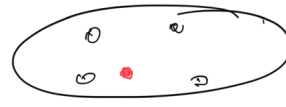
Rep code:  $(k, n = dk, d-1)$

Thm (Shannon '48): can obtain code  $\mathcal{C}$  with

$n = d \cdot k$  for  $d = \alpha(i)$   
 $d = \beta \cdot n$   $\beta = \beta(i)$   
 $\Rightarrow$  can tolerate a const. frac.  
 of failures

Pf: take  $C =$  set of  $2^k$   
 random vectors in  $\{0,1\}^n$   
 (for  $\Sigma = \{0,1\}$ ).

$\exists$  any map  $\{0,1\}^k \rightarrow C$  1-1



$D$ : decode to closest codeword.

Issue: how to compute  $E, D$ .

namely:  $D$ ?  
 naive time  $\sim 2^k$   
 exact time  $\text{poly}(k)$ .

Reed-Solomon codes  
for  $\Sigma$  "large".

$\Sigma = \mathbb{F}_p \leftarrow$  integer arithmetic modulo  $p = \text{prime}$ .

Def: of the RS code:

$C = \{ f(\alpha_1), f(\alpha_2), \dots, f(\alpha_n) \}$   
 $f(x) = \sum_{i=0}^{k-1} f_i x^i, f_0, \dots, f_{k-1} \in \mathbb{F}_p$   
 where  $\alpha_1, \dots, \alpha_n$  are distinct in  $\mathbb{F}_p$ .

an input message is encoded  
 into a polynomial with coeff.  
 = message.

$(f_0, f_1, \dots, f_{k-1}) \xrightarrow{E} (f(\alpha_1), \dots, f(\alpha_n))$

Params of the RS code?

think of  $n = \Theta(k)$ .

Lem: min dist in RS code  
 is  $d = n - k + 1$ .

Eg:  $n = 2k \Rightarrow d = k + 1$   
 $\Rightarrow$  can tol.  $k$  eras.  
 $k/2$  sends!

(and fac of those  
e.g.)

pf: take 2 distinct codewords  
 $A(x) = \sum f_i x^i$   $B(x) = \sum g_i x^i$   
with  $\{f_i \neq g_i\}$   
Dist  $E(f)$  and  $E(g)$ :  
#  $\alpha_i$ 's  $\geq 1$ .  $A(\alpha_i) = B(\alpha_i)$   
A, B are 2 poly's of deg  $\leq k-1$   
distinct.  
at most  $k-1$  (fundam. thm. of algebra)

$C(x) = A(x) - B(x) \neq 0$  poly.  
 $\Rightarrow C(x)$  can have  $\leq \deg(C)+1$  zeros  
 $\Rightarrow$  at most  $k-1$   $\alpha_i$ 's where  
 $A(\alpha_i) = B(\alpha_i)$   $\square$

RS codes:  $(k, n, n-k+1)$ -code.

Algos for E, D?  
E: simple: directly computed

Decoding Algos

Error correction errors:  $(01011)$   
 $01011$   
 $01011$   
want dec. algo to detect as long  
as # errors  $\leq n-k$  dt.

Comp. Prob.: we get a vector

$(y_1, y_2, \dots, y_n)$ , where  
for  $i \in S$ ,  $|S| \leq e$ ,  $y_i = ?$   
otherwise  $y_i = f(\alpha_i)$ .  
Recover  $f(x) = \sum_{i=0}^{k-1} f_i x^i$ .

orig. msg  $= (f_0, \dots, f_{k-1}) \Leftrightarrow f$   
time: poly(k)  
Inputs:  $(\alpha_1, \dots, \alpha_n)$  (fixed)  
 $(y_1, \dots, y_n)$  (code msg)  
outs:  $f$ .

Algos: polynomial interpolation

given eval of  $f(x)$  in  
 $n-e \geq k$  values

Setup as a linear system of eqs.  
 $\sum_{i=0}^{k-1} f_i \alpha_j^i = y_j, j \in S$

$k$  unknowns  $(f_i)$   
 $\geq k$  eqn's  $(n-|S| \geq k)$   
matrix is Vandermonde matrix:

$$V = \begin{bmatrix} \alpha_1^0 & \alpha_1^1 & \alpha_1^2 & \dots & \alpha_1^{k-1} \\ \alpha_2^0 & \alpha_2^1 & \alpha_2^2 & \dots & \alpha_2^{k-1} \\ \vdots & \vdots & \vdots & \dots & \vdots \\ \alpha_n^0 & \alpha_n^1 & \alpha_n^2 & \dots & \alpha_n^{k-1} \end{bmatrix}$$

with  $e$  rows missing.  
 $\det(V) \neq 0$  as long as  
 $k$  diff  $\alpha_j$ 's.

Subst. errors?  $00110$   
 $01010$   
Challenge: we don't know  $S$

trying all poss for  $S$  too costly:  
# poss  $\approx \binom{n}{|S|} \approx 2^{\Theta(n)}$

Reed-Solomon decoding  
(decoding)

$E(x) = \prod (x - \alpha_i)$  error poly.  
 $y = f(x)$

Don't know  $E(x)$ .  
 $\otimes \frac{E(\alpha_i) \cdot f(\alpha_i) - y_i}{\alpha_i} = 0 \quad \forall i \in \mathbb{N}_3$

$N(x) = E(x) \cdot f(x)$

Claim: there exist poly's:  
 $N(x) = y \cdot E(x)$   
where  $N(x)$  has deg  $\leq k-1$   
 $E(x) \rightarrow e$

pf: follows  $\square$ .  
Algos learn/compute:  
poly  $N(x)$  deg  $\leq k-1$   
 $E(x)$  deg  $e$   
s.t.  $N(x) = y \cdot E(x)$ .

pf: setting up a system of  
lin. equations  
# unknowns is  $(k-1)+1$   
 $+ e + 1$   
# eqn's is  $n$   
can do  $e+k+e+1 \leq n$   
 $\Rightarrow e \leq \frac{n-k-1}{2} = \frac{d}{2} - 1$

RS: Best possible code, for  
large  $\Sigma$ .  
under-att.  $(k, n, d)$